



STATE OF CONNECTICUT

WEATHERIZATION ASSISTANCE PROGRAM

ARRA ANNUAL FILE

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ANNUAL FILE

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I. BUDGET

U.S. Department of Energy
(04-94)

Replaces EIA-459C

All Other Editions Are Obsolete

OMB Control No.

1910-0400

Federal Assistance Budget Information

OMB Burden Disclosure Statement

Public reporting burden for this collection of information is estimated to average 1.87 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Office of Information Resources Management Policy, Plans and Oversight, Records Management Division, HR-422 - GTN, Paperwork Reduction Project (1910-0400), U.S. Department of Energy, 1000 Independence Avenue, S.W., Washington, DC 20585; and to the Office of Management and Budget (OMB), Paperwork Reduction Project (1910-0400), Washington, DC 20503.

1. Program/Project Identification No. 09EE00129	2. Program/Project Title American Recovery and Reinvestment Act (ARRA) / Weatherization Program For Low Income Persons
3. Name and Address Department of Social Services 25 Sigourney Street Hartford, Connecticut 06106	4. Program/Project Start Date 04/01/2009 5. Completion Date 03/31/2012

SECTION A - BUDGET SUMMARY

Grant Program Function or Activity (a)	Federal Catalog No. (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1.		\$	\$	\$ 64,310,502	\$	\$ 64,310,502
2.						
3.						
4.						
5. TOTALS		\$	\$	\$ 64,310,502	\$	\$ 64,310,502

SECTION B - BUDGET CATEGORIES

6. Object Class Categories	Grant Program, Function or Activity				Total (5)
	(1) Grantee Administration	(2) Subgrantee Administration	(3) Grantee T & TA	(4) Subgrantee T & TA	
a. Personnel	\$ 1,455,000	\$	\$	\$	\$ 1,455,000
b. Fringe Benefits	873,000				873,000
c. Travel			100,000		100,000
d. Equipment					
e. Supplies					
f. Contractual		3,231,050		8,500,000	60,600,000
g. Construction					
h. Other	222,000		410,502		632,502
i. Total Direct Charges	\$2,550,000	\$3,231,050	\$510,502	8,500,000	\$63,660,502
j. Indirect Charges	\$650,000				\$650,000
k. TOTALS	\$3,200,000	\$3,231,050	\$510,502	\$8,500,000	\$ 64,310,502
7. Program Income	\$	\$	\$	\$	\$

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		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1.		\$	\$	\$	\$	\$
2.						
3.						
4.						
5. TOTALS		\$	\$	\$	\$	\$

SECTION B - BUDGET CATEGORIES

6. Object Class Categories	Grant Program, Function or Activity				Total (5)
	(1) Standard Program Operations	(2) Materials	(3) Program Support less Labor	(4) Labor (Standard)	
a. Personnel	\$	\$	\$	\$	\$
b. Fringe Benefits					
c. Travel					
d. Equipment					
e. Supplies					
f. Contractual	45,210,825				45,210,825
g. Construction					
h. Other					
i. Total Direct Charges	45,210,825				45,210,825
j. Indirect Charges					
k. TOTALS	\$45,210,825	\$	\$	\$	\$45,210,825
7. Program Income	\$	\$	\$	\$	\$

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		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1.		\$	\$	\$	\$	\$
2.						
3.						
4.						
5. TOTALS		\$	\$	\$	\$	\$

SECTION B - BUDGET CATEGORIES

6. Object Class Categories	Grant Program, Function or Activity				Total (5)
	(1) Liability Insurance	(2) Capital-Intensive Program Operations	(3) Capital-Intensive Materials	(4) Capital-Intensive Program Support less Labor	
a. Personnel	\$	\$	\$	\$	\$
b. Fringe Benefits					
c. Travel					
d. Equipment					
e. Supplies					
f. Contractual	157,500				157,500
g. Construction					
h. Other					
i. Total Direct Charges	157,500				157,500
j. Indirect Charges					
k. TOTALS	\$157,500	\$	\$	\$	\$157,500
7. Program Income	\$	\$	\$	\$	\$

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		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1.		\$	\$	\$	\$	\$
2.						
3.						
4.						
5. TOTALS		\$	\$	\$	\$	\$

SECTION B - BUDGET CATEGORIES

6. Object Class Categories	Grant Program, Function or Activity				Total (5)
	(1) Capital-Intensive Labor	(2) Low-Cost/No-Cost	(3) Leveraging	(4) Energy Audit	
a. Personnel	\$	\$	\$	\$	\$
b. Fringe Benefits					
c. Travel					
d. Equipment					
e. Supplies					
f. Contractual			450,000		450,000
g. Construction					
h. Other					
i. Total Direct Charges			450,000		450,000
j. Indirect Charges					
k. TOTALS	\$	\$	\$ 450,000	\$	\$ 450,000
7. Program Income	\$	\$	\$	\$	\$

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		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1.		\$	\$	\$	\$	\$
2.						
3.						
4.						
5. TOTALS		\$	\$	\$	\$	\$

SECTION B - BUDGET CATEGORIES

6. Object Class Categories	Grant Program, Function or Activity				Total (5)
	(1) Health and Safety	(2) Financial Audit	(3)	(4)	
a. Personnel	\$	\$	\$	\$	\$
b. Fringe Benefits					
c. Travel					
d. Equipment					
e. Supplies					
f. Contractual	3,000,000	50,625			3,050,625
g. Construction					
h. Other					
i. Total Direct Charges	3,000,000	50,625			3,050,625
j. Indirect Charges					
k. TOTALS	\$3,000,000	\$50,625	\$	\$	\$ 3,050,625
7. Program Income	\$	\$	\$	\$	\$

**ARRA - T & TA BUDGET/NARRATIVE/JUSTIFICATION
OVER THE THREE YEARS**

GRANTEE

A.	<u>Travel</u> - The costs of lodging, meals, transportation and incidental expenses incurred by the Department of Social Services Staff while attending training conferences and meetings.	\$100,000
B.	<u>Publication/Educational Materials/Organizational Dues</u> To cover costs of subscriptions and dues.	\$ 10,000
C.	Unallocated T&TA funds for future use	<u>\$ 400,502</u>
	TOTAL GRANTEE T & TA	\$ 510,502

SUBGRANTEE T & TA

A.	<u>Travel & Training</u> - To allow subgrantees personnel to travel to workshops and conferences and to develop training to meet local needs.	\$ 3,000,000
	To allow DOL/WIB/OWC to provide training and education for the specialized skills and knowledge	\$ 3,500,000
	To allow CCTC/VOCTECH to provide training facilities	\$ 1,500,000
	To allow DECD to provide training and education for public housing	<u>\$ 500,000</u>
	TOTAL SUBGRANTEE T & TA	\$ 8,500,000
	SUB-TOTAL T & TA	\$ 8,510,502
B.	<u>Leveraging</u> -	<u>\$ 450,000</u>
	TOTAL STATE T & TA	\$ 9,460,502
	T&TA BALANCE TO PROGRAM SERVICES	\$ 1,820,248



**U.S. DEPARTMENT OF ENERGY
GOLDEN FIELD OFFICE**

BUDGET EXPLANATION FOR FORMULA GRANTS

Provide detailed information to support each Cost Category using this form. Cost breakdown estimates may be entered on this form or attach a breakdown of costs using your own format as Attachment A.

1. PERSONNEL – Prime Applicant only (all other participant costs must be listed on 6. below and form DOE F 4600.4, Section B. Line 6.f. Contracts and Sub Grants.

a. Identify, by title, each position to be supported under the proposed award.

The position of Fiscal Administrative Officer is currently assigned to the Community Energy and Refugee Services division. To meet the requirements of ARRA, DSS expects to acquire two additional durational fiscal staff persons, one of whom would be assigned to DECD contract.

DSS will acquire a durational Accounts Examiner who will be assigned to the Quality Assurance Division.

DSS is committed to ensuring that the integrity of the program is maintained and plans on acquiring four additional durational staff to monitor subgrantee activity during the ARRA contract period.

DSS will also acquire a Durational Project Manager to oversee the ARRA program to ensure the transparency and accountability of the program.

b. Briefly specify the duties of professionals to be compensated under this project.

The Fiscal Administrative Officer at DSS will perform the fiscal monitoring portion of the annual comprehensive monitoring of each subgrantee to ensure adequate financial management control. This includes the review and processing of reports submitted monthly by subgrantees, for accuracy and completeness; preparation of contracts with subgrantees; preparation of payments to subgrantees; preparation of reports required by DOE and other entities. The procurement procedures are also reviewed to ensure that materials meet federal specifications and are cost effective. The same will apply to the fiscal staff assigned to the DECD contract.

The Accounts Examiner will ensure that the ARRA requirements concerning transparency and accountability are met. This person will be responsible for auditing financial transactions in the funding and payment cycles, and ensuring that the federal and state Single Audit Requirements are met.

The monitors will be expected to be knowledgeable about the program and will undergo the same certification and training that is required for all subgrantee field staff. Out of these four, two staff persons will be assigned to provide monitoring and technical assistance to DECD. Monitoring visits will be made to each subgrantee or its contractor agency on at least a monthly basis. Regular monitoring visits usually consist of client file reviews and inspections of completed units, to ensure quality of work and client satisfaction. They will also be responsible for performing an annual comprehensive monitoring review.

The durational project manager will oversee the operation of the ARRA WAP. Responsibilities will include the planning and statewide coordination of the ARRA WAP and supervision of the state weatherization staff. This position will identify needs for program capacity and training, and will ensure that there is statewide program consistency and adherence to program specifications, rules and regulations.

The person in this position will have daily contact with subgrantees throughout the state and will communicate regularly with national and state funding sources. This position requires the ability to effectively communicate with staff, managers, other state and federal agency staff, local service providers and the public.

This position assures that the complex and varied state and federal procedures and regulations are followed by the state, subgrantees and other partners implementing the program. The durational project manager will provide necessary technical assistance for effective program delivery through the community partner network.

2. FRINGE BENEFITS –

- a. Are the fringe cost rates approved by a Federal Agency? If so, identify the agency and date of latest rate agreement or audit below, and include a copy of the rate agreement.

The Fringe benefit cost rates are approved by the Federal Department of Health and Human Services.

- b. If a above does not apply, indicate the basis for computation of rates, including the types of benefits to be provided, the rate(s) used, and the cost base for each rate. You may provide the information below or provide the calculations as an attachment.

3. **TRAVEL** - Identify total Foreign and Domestic Travel as separate items.

- a. Indicate the purpose(s) of proposed travel.

All trips taken under this project will be for Domestic Travel.

It is estimated that there will be approximately four (4) trips over the three program year, for the purpose of attending USDOE meetings that relate to the program. This may include: the NASCSP Annual Training Seminar; the regional conference, and national conferences, meetings, training and seminars sponsored by USDOE.

- b. Specify the basis for computation of travel expenses (e.g., current airline ticket quotes, past trips of a similar nature, federal government or organization travel policy, etc.).

Expenses are based on the cost of trips to the same areas and on the travel policies of the State of Connecticut in the reimbursement of meals. Lodging is based on the rate provided to the conference. Registration fees are set by the conference planning committees.

Program Managers' Meeting for the next three years - \$10,000

Regional Conference for the next three years - \$25,000

National Conference for the next three years - \$50,000

Training and Meetings for the next two years - \$15,000

4. **EQUIPMENT** – as defined in 10 CFR 660.202. Definitions can be found at http://www.access.gpo.gov/nara/cfr/waisidx_00/10cfr600_00.html

- a. Provide the basis for the equipment cost estimates (e.g., vendor quotes, prior purchases of similar or like items, etc.).

No equipment will be purchased by the Grantee under this award

- b. Briefly justify the need for items of equipment to be purchased.

N/A

5. **MATERIALS AND SUPPLIES** – as defined in 10 CFR 660.202. Definitions at http://www.access.gpo.gov/nara/cfr/waisidx_00/10cfr600_00.html.

- a. Provide the basis for the materials and supplies cost estimates (e.g., vendor quotes, prior purchases of similar or like items, etc.).

There will be no purchases in this category.

- b. Briefly justify the need for items of material to be purchased.

N/A

6. CONTRACTS AND SUBGRANTS – All other participant costs including subcontractor, sub-grants, and consultants.

Provide the information below for new proposed sub recipients and subcontractors. For ongoing subcontractors and sub recipients, if this information is provided elsewhere in the application, it does not have to be restated here, but please indicate the document and page numbers where it can be found.

<u>Name of Proposed Sub</u>	<u>Total Cost</u>	<u>Basis of Cost*</u>
-----------------------------	-------------------	-----------------------

Not Applicable

Non-competitively selected:

<u>Contractor/Subgrantee</u>	<u>2009 - 2012</u>
ABCD	\$ 5,900,899
CRT	\$ 5,378,787
NO for New Haven Area	\$ 4,217,099
NO	\$ 6,981,930*
<u>ACCESS</u>	<u>\$ 6,021,285</u>
TOTAL:	\$28,500,000
DECD	20,000,000
DOL/WIB/OWC	3,500,000
CCTC/VOCTECH	1,500,000
<u>Special Projects</u>	<u>7,100,000</u>
TOTAL	\$60,600,000

* Includes additional funds for statewide Leveraging and T & TA activities.

Work Description:

All contractors/subgrantees will be providing weatherization services to eligible low-income households in the State, using crews and/or contractors.

7. OTHER DIRECT COSTS - Include all direct costs not included in above categories.

a. Provide the basis for the cost estimates (e.g., vendor quotes, prior purchases of similar or like items, etc.).

1. *Under T&TA, \$10,000 will be used to pay for dues to various organizations for the next three years such as NASCSP and for subscriptions to publications such as Home Energy.*

Costs estimates are based on actual costs under T & TA.

1a. *Unallocated \$400,502 of T&TA funds for future use.*

2. *Under Grantee Administration, \$15,000 will be used to pay for legal notice and \$10,000 for the Public hearing for the next three years. The public notice is published statewide in eight newspapers.*

b. Briefly justify the need for items to be purchased.

Funds are needed to pay dues to NASCSP who provide information to States on Federal funding levels, hold training seminars, and operate the WAP Training Center. Subscriptions to publications help to keep us updated on new technologies in the weatherization field.

USDOE requires notification by legal notice of the Public Hearing on the proposed state application and plan.

8. INDIRECT COSTS -

a. Are the indirect cost rates approved by a Federal Agency? If so, identify the agency and date of latest rate agreement or audit below, and include a copy of the rate agreement.

The Department of Health and Human Services and the approval date is July 1, 2007.

b. If a above does not apply, indicate the basis for computation of rates, including the types of benefits to be provided, the rate(s) used, and the cost base for each rate. You may provide the information below or provide the calculations as an attachment.

MEMORANDUM NO. 2008-19

July 1, 2008

TO THE HEADS OF ALL STATE AGENCIES

Attention: Fiscal and Administrative Officers, Business Managers, Payroll and Personnel Officers

Subject: 2008-2009 Fringe Benefit Cost Recovery Rates

The percentage rates for unemployment compensation and the various retirement plans listed on the attached chart are effective July 1, 2008. These rates should be used for any fringe benefit cost recovery taking place on or after July 1, 2008. In 2008-2009, as in the previous fiscal year, there will be no composite rates encompassing all fringe benefit components.

Actual Cost Fringe Benefits

Since November 2003, with the implementation of the Core-CT HRMS module, the state shares of certain fringe benefits have been charged to agencies on an actual cost basis. This includes group life insurance and medical insurance, which are calculated based on the actual cost of the state's share of insurance premiums. In addition, FICA-Social Security and FICA-Medicare have been calculated based on the existing Federal tax rates instead of the percentage rate developed in the past by the Office of the State Comptroller. The actual cost method will continue to be used in Fiscal Year 2008-09.

Fringe Benefit Recovery

All personal service expenditures from Federal and Private Grants and any funds other than the General Fund and Correction Industries Internal Service Fund are subject to fringe benefit recovery.

Charging Employees to the Correct Funding Source

In Core-CT, fringe benefits are charged to the same funding source as the personal services expenditure. If an employee is paid from the correct funding source, Core-CT will charge the actual cost of fringe benefits to the proper funding source. Therefore, coordination is needed between agency payroll and financial staff to ensure every effort is made to pay employees from the correct funding sources.

Avoiding Temporary Funding Sources

To reduce the number of payroll corrections, agencies are asked to charge payroll expenses to the proper funding sources, even in cases where anticipated funding is not yet available, but is expected within the current fiscal year. In these cases, the account will be allowed to go negative temporarily. However, if the anticipated funding does not become available, the agency will be responsible for addressing the negative balance.

Salary and Fringe Benefit Payroll Corrections

If an agency pays an employee from the wrong funding source, fringe benefits will be charged to the wrong funding source as well. For corrections, the agency will be responsible for identifying the salary amounts and fringe benefit amounts that need to be transferred.

Salaries can be transferred through spreadsheet journals using the source code of PC, although there are limitations to this approach. In addition, when the salary transfer is between a reimbursable funding source and a non-reimbursable source (e.g., a Grant Fund SID and the General Fund), the agency will need to submit a CO-826 form to identify the fringe benefit amounts to be transferred by fringe benefit account.

Completed CO-826 forms should be sent to the Office of the State Comptroller, **Cost Reporting Unit** for processing: 55 Elm Street, Room 109, Hartford, Connecticut 06106. Forms can also be faxed to (860) 702-3699.

The Impact of Payroll Corrections on Financial Reporting

It should be noted that spreadsheet journal transfers of salary and fringe benefits in the Core-CT financials module are not reflected in the HRMS system or in the payroll tables in EPM. Therefore, if using HRMS for reporting purposes, agencies must track the corrections they make through spreadsheet journals and the use of CO-826 forms.

Fringe Benefit Variances - New Requests Required

All variances approved in Fiscal Year 2007-08 or earlier will be deleted for check date August 29, 2008. Variances for Fiscal Year 2008-09 will require a new request.

Full and Partial Fringe Benefit Variances

In most cases, full fringe benefit variances can be accommodated in Core-CT through the use of fringe benefit allocations that run when payrolls are posted. Fully exempted fringe benefit expenditures will be transferred to the appropriate central appropriation.

For partial variances, Core-CT will charge the funding source the full amount for fringe benefits. Agencies with approved partial variances should submit CO-826 forms to recover the portion of fringe benefits that have been exempted.

Deposits to Fund 34005 - Fringe Benefit Recovery Fund

In certain situations, state agencies bill another state agency, municipality or private firm for services provided by an employee. The bill can include both salary and fringe benefit costs to be reimbursed.

Payments for the fringe benefit portion should be deposited or applied to the following coding:

Fund:	34005
SID:	40001
Account:	44338
Budget Reference:	Current Fiscal Year

In addition, the agency should use its own Department ID, Program and Project values.

Alerting OSC of the Fund 34005 Deposit Using the CO-826 Form

Once a fringe benefit recovery payment is deposited or applied to Fund 34005, the agency should alert the Office of the State Comptroller (OSC) using a CO-826 Reimbursable Cost Recovery Form. This allows OSC to credit the recovery to the proper central fringe benefit appropriations. A sample CO-826 form for Fund 34005 fringe benefit recovery deposits is available as a General Ledger job aid on the Core-CT website at <http://www.core-ct.state.ct.us/user/finjobaids/gl.htm>.

If there are questions, please call the Cost Reporting Unit of the Budget and Financial Analysis Division at (860) 702-3352.

NANCY WYMAN
STATE COMPTROLLER

Actual Cost Fringe Benefits

Account Code	Fringe Benefit Description	Actual Cost Expenditure Basis
50410	Employer Share Group Life Insurance	State Share Premium
50420	Employer Share Medical Insurance	State Share Premiums for Medical, Dental and Prescription Coverage
50441	Employer Share FICA-Social Security	Federal Tax Rate of 6.2% of applicable wages up to Federal maximum limit.
50442	Employer Share FICA-Medicare	Federal Tax Rate of 1.45% of applicable wages - no maximum limit.

Percentage Rate Fringe Benefits

Account Code	Fringe Benefit Description	FY 2008-09 Rate
50430	Unemployment Compensation	0.09%
50471	Employer SERS Retirement Regular Employee	33.99%
50471	Employer SERS Retirement Hazardous Duty	32.03%
50472	Employer Alternative Retirement Program	9.57%
50473	Employer Teachers Retirement	26.33%
50474	Employer Judges/Compensation Commissioners Retirement Plan	45.46%

II. SUBGRANTEES

The State will use the existing community action agency (CAA) network, as well as other entities, to operate the ARRA WAP.

The proposed subgrantees will be based upon the following:

1. CAAs deemed to be in good standing with DSS in regards to CSBG core strengths
2. State Department of Economic & Community Development (DECD)

The proposed ARRA WAP subgrantees are listed below:

- Action for Bridgeport Community Development, Inc. (ABCD) - Bridgeport
- The Community Renewal Team, Inc. (CRT) - Hartford & Middletown
- New Opportunities, Inc. (NO) - Waterbury & Meriden
- The ACCESS Agency, Inc. (ACCESS) – Willimantic
- New Opportunities, Inc. (NO) - Waterbury & Meriden has been chosen to serve the Greater New Haven service area.
- Connecticut Department of Economic & Community Development (DECD)

DOE has informed Connecticut that another state agency that is involved in the housing issues such as the administration of federal and state funded public housing can qualify as a subgrantee. DSS intends to work with DECD as a subgrantee on the weatherization of the state-financed portfolio of public housing. These properties generally house very low income elders, persons with disabilities and families and have very high energy bills.

DECD will follow the WAP regulations and DSS policies in completing all the work on these units. Household eligibility will be established through the use of the DSS forms and procedures and DECD will keep all documentation on file for on-site review by DSS staff. DECD will enlist the use of contractors to meet the demands of the program. The selection of contractors will be made through a Request for Proposals process. All service providers will be required to follow sound internal management policies and provide skilled workmanship, high quality materials and timely weatherization of units. DSS will evaluate DECD's performance on a continuing basis throughout the contract period.

In addition, we are encouraging the above-referenced CAAs (ABCD, CRT, NO and ACCESS) and DECD to use the other CAAs that are in good standing and have past experience with the WAP; work with low income clientele; and are knowledgeable of the needs in their catchment areas as temporary subcontractors to accomplish the work for which this funding was made available. Based on the past experience of all CAA's as Weatherization providers and public comments received during the public hearing process temporary subgrantees may be selected as full subgrantees if it is determined necessary to meet the goals of ARRA funding.

These temporary subcontractors include:

- TEAM, Inc. (TEAM) – Derby
- Human Resource Agency of New Britain, Inc. (HRA) – New Britain
- Norwalk Economic Opportunities Now, Inc. (NEON) – South Norwalk
- Thames Valley Council for Community Action, Inc. (TVCCA) – Jewett City
- CTE, Inc. (CTE) – Stamford
- Bristol Community Organization, Inc. (BCO) - Bristol

III. ALLOCATION OF FUNDS

DSS proposes to allocate the \$64.3 million as outlined below:

CAAs*	\$ 28,500,000
DOL/WIB/OWC	\$ 3,500,000
CCTC/VOCTECH	\$ 1,500,000
DECD	\$ 20,000,000
Special Projects/Initiatives	\$ 7,100,000
DSS (Admin/T&TA)	\$ 3,700,000
TOTALS	\$64,300,000

IV. TEMPORARY SUBGRANTEES

DSS also proposes to retain flexibility to add or change subgrantees, if necessary, to meet ARRA goals. Selection of additional subgrantees will be in compliance with the requirements outlined in 10 CFR § 440.15. Specifically, DSS may add temporary subgrantees to provide overflow capacity and to assist targeted building portfolios, or to provide additional capacity in a specific geographic region. Temporary subgrantees will be solicited through a selection process, and will be chosen to work on targeted building portfolios or geographic areas. Candidates will be selected based upon their qualifications; ability to manage all responsibilities of a subgrantee; and ability to leverage other resources. These subgrantees will participate in the WAP only through the expenditure of the ARRA funds. Temporary subgrantees will be provided with all necessary training, as detailed in the Training Activities section of this plan.

V. SPECIAL PROJECTS / INITIATIVES

DSS is proposing to allocate \$7.1 million of the ARRA funds for Special Project/Initiatives.

Shelters

DOE has determined that weatherization program funds can be used for the weatherization of shelters. In their regulations DOE defines a shelter as a dwelling unit or units whose principal purpose is to house, on a temporary basis, individuals who may or not be related to one another. DOE has further identified that the state may count each 800 square feet or each floor of the shelter as a dwelling unit. It is anticipated that an equivalent of 1,300 units will be weatherized with ARRA funds. Approximately \$3 million will be allocated for the weatherization of shelters. Although \$3 million would allow for the weatherization of approximately 462 units at the average cost of \$6,500 permitted by DOE, it is anticipated that many more units will actually be weatherized due to the number of dwelling units existing in a shelter.

DSS will contract with the CAAs in good standing and will develop criteria to identify the shelters to be weatherized with ARRA funds. Weatherization of these buildings will make them more energy efficient and improve the health and safety of the occupants. It will also result in lower energy bills enabling the shelters to use their limited funds for other services.

Any weatherization work performed on the shelters will be in accordance with the WAP regulations and ARRA guidelines.

Incentive Pool

Subgrantees will be given production goals in their contracts with DSS. Those subgrantees that meet or exceed the production goals will have access to an incentive pool of \$3 million. This performance-based incentive will be made available to existing subgrantees that demonstrate the ability to effectively utilize ARRA funding during the initial program year. Pool funds will be allocated according to a formula which ensures equitable distribution on a statewide basis to those subgrantees that meet the production goals. DSS, in its decision to allocate additional funds, will give consideration to subgrantee capacity so that no subgrantee is provided with funding in excess of the amount that DSS reasonably expects the subgrantee to be able to utilize.

DSS will reserve the balance of the \$7.1million to be allocated on an as-needed basis. Any unused training and technical assistance funds will also be added to this pool as the program progresses.

These additional funds may be provided to other entities, such as the Fuel Oil Conservation Board (FOCB) and the Connecticut Energy Efficiency Fund (CEEF) so that additional units may receive services for which funds were not previously available. These services may include the repair and replacement of heating systems and energy efficient lighting fixtures.

VI. WEATHERIZATION ASSISTANCE PROGRAM SUBGRANTEES

Four subgrantees have been selected in accordance with 10 CFR § 440.15 to provide weatherization services with ARRA funds. New Opportunities has been chosen for the Greater New Haven service area to ensure that all areas of the state are served. All of these organizations are CAAs and have many years of experience operating the WAP. These subgrantees also have many years of experience operating a variety of programs for low-income households. Each year, public comment is encouraged concerning these subgrantees at the required public hearing. DSS reviews these subgrantees annually concerning their past performance and their ability to continue in Connecticut's WAP. The subgrantees operating the program are:

Action for Bridgeport Community Development, Inc. (ABCD), 1407 Fairfield Ave, Bridgeport, CT 06604

ABCD serves the towns of: Bridgeport, Darien, Easton, Fairfield, Greenwich, Monroe, New Canaan, Norwalk, Stamford, Stratford, Trumbull, Weston, Westport, and Wilton.

The Community Renewal Team, Inc. (CRT), 555 Windsor Street, Hartford, CT 06120

CRT serves the towns of: Avon, Bloomfield, Branford, Bristol, Burlington, Canton, Chester, Clinton, Cromwell, Deep River, Durham, East Granby, East Hartford, East Haddam, East Hampton, East Windsor, Enfield, Essex Farmington, Glastonbury, Granby, Guilford, Haddam, Hartford, Killingworth, Madison, Manchester, Marlborough, Middlefield, Middletown, New Britain, Newington, North Branford, Old Saybrook, Plainville, Plymouth, Portland, Rocky Hill, Simsbury, South Windsor, Suffield, West Hartford, Wethersfield, Westbrook, Windsor and Windsor Locks.

New Opportunities, Inc. (NO), 232 North Elm Street, Waterbury, CT 06702

NO serves the towns of: Barkhamstead, Berlin, Bethel, Bethlehem, Bridgewater, Brookfield, Canaan, Cheshire, Colebrook, Cornwall, Danbury, Goshen, Hartland, Harwinton, Kent, Litchfield, Meriden, Middlebury, Morris, Naugatuck, New Fairfield, New Hartford, New Milford, Newtown, Norfolk, North Canaan, Prospect, Redding, Ridgefield, Roxbury, Salisbury, Sharon, Sherman, Southbury, Southington, Thomaston, Torrington, Wallingford, Warren, Washington, Waterbury, Watertown, Winchester, Wolcott and Woodbury.

The ACCESS Agency, Inc. (ACCESS), 1315 Main Street, Willimantic, CT 06226

ACCESS serves the towns, of: Andover, Ashford, Bolton, Bozrah, Brooklyn, Canterbury, Chaplin, Colchester, Columbia, Coventry, Eastford, East Lyme, Ellington, Franklin, Griswold, Groton, Hampton, Hebron, Killingly, Lebanon, Ledyard, Lisbon, Lyme, Mansfield, Montville, New London, North Stonington, Norwich, Old Lyme, Plainfield, Pomfret, Preston, Putnam, Salem, Scotland, Somers, Sprague, Stafford, Sterling, Stonington, Tolland, Thompson, Union, Vernon, Voluntown, Waterford, Willington, Windham and Woodstock.

The Greater New Haven Area by New Opportunities, Inc. (NO), 232 North Elm St, Waterbury, CT 06702

NO to serve the towns of: Ansonia, Beacon Falls, Bethany, Derby, East Haven, Hamden, Milford, New Haven, North Haven, Orange, Oxford, Seymour, Shelton, West Haven and Woodbridge.

Connecticut Department of Economic and Community Development, 505 Hudson St., Hartford, CT 06106

DECD will provide ARRA Weatherization services to state public rental housing units throughout the state.

VII. ARRA WEATHERIZATION SUBGRANTEE DATA

Community Action Agency	Allocation	Total Units to be Weatherized	Units to be Weatherized by Service Area		Units to be Re-Weatherized	Rental Units to be Weatherized	Sources of Labor	Congressional District					% Of District Served					
								1	2	3	4	5	1	2	3	4	5	
Action for Bridgeport Community Development, Inc.	\$ 5,900,899	770	Bridgeport Area	525	20	270	crew/ contractor											
			Norwalk Area	99						X	X				5	90		
			Stamford Area	146														
Community Renewal Team, Inc.	\$ 5,378,787	695	Hartford	541	20	243	crew/ contractor	X	X	X		X	75	15	10			10
			Bristol Area	71														
			New Britain	83														
New Opportunities, Inc. New Haven Area	\$ 4,217,099	528	New Haven Area	408	20	185	contractor			X	X				75	5		
			Derby Area	120														
New Opportunities, Inc.	\$ 6,981,930*	717	Waterbury Area	449	20	251	crew/ contractor	X		X	X	X	25		10	5	90	
			Danbury Area	128														
			Meriden Area	140														
The ACCESS Agency, Inc.	\$ 6,021,285	787	Danielson Area	353	20	275	contractor		X					85				
			Norwich Area	434														
CAAs TOTAL	\$28,500,000	3,497			100	1,148												
DECD	\$20,000,000	2,850	Statewide		0	2,850	contractor	Statewide										
SPECIAL PROJECTS	\$ 7,100,000	1,153	Statewide		0	1,153	contractor	Statewide										
TOTAL	\$55,600,000	7,500			100	5,151	crew/ contractor											

* Includes \$1,000,000 for statewide Training and Technical Assistance activities and \$450,000 for statewide Leveraging activities.
The estimated number of eligible dwelling units statewide with elderly is 30,563 and persons with disabilities are 14,739.

VII. ARRA WEATHERIZATION SUBGRANTEE DATA (Continued)

DECD (Service Area)	Allocation	Total Units to be Weatherized	Units to be Weatherized by Service Area	Units to be Re- Weatherized	Rental Units to be Weatherized	Sources of Labor	Congressional District					% Of District Served					
							1	2	3	4	5	1	2	3	4	5	
DECD (Bridgeport, Norwalk, Stamford Areas)	\$ 3,200,000	456	Bridgeport Area Norwalk Area Stamford Area	0	456	contractor			X	X				5	90		
DECD (Hartford, Bristol, New Britain Areas)	\$ 6,000,000	855	Hartford Area Bristol Area New Britain Area	0	855	contractor	X	X	X		X	75	15	10		10	
DECD (New Haven, Derby Areas)	\$ 2,000,000	285	New Haven Area Derby Area	0	285	contractor			X	X				75	5		
DECD (Waterbury, Danbury, Meriden Areas)	\$ 4,000,000	570	Waterbury Area Danbury Area Meriden Area	0	570	contractor	X		X	X	X	25		10	5	90	
DECD (Danielson, Norwich Areas)	\$ 4,800,000	684	Danielson Area Norwich Area	0	684	contractor		X					85				
DECD TOTAL	\$20,000,000	2,850		0	2,850												
CAA TOTAL	\$28,000,000	3,497	Statewide	100	1,148	crew/ contractor	Statewide										
SPECIAL PROJECTS	\$ 7,100,000	1,153	Statewide	0	1,153	contractor	Statewide										
TOTAL	\$55,600,000	7,500		100	5,151	crew/ contractor											

VIII. PRODUCTION SCHEDULE

**U.S. DEPARTMENT OF ENERGY
American Recovery and Reinvestment Act (ARRA)
Production Schedule**

Application Period: 04/01/2009 - 03/31/2012

	DSS Total
TOTAL UNITS (excluding reweatherized)	3397
UNITS BY TYPE	
Owner-Occupied Single-Family	
Single-Family Rental	
Multi-Family Rental (2 or more units per building)	
Owner-Occupied Mobile Home	
Renter-Occupied Mobile Home	
Shelter	
UNITS BY OCCUPANCY	
Elderly-Occupied	
Persons with Disabilities- Occupied	
Native American-Occupied	
Children-Occupied	
High Residential Energy User-Occupied	
Household with a High Energy Burden	
OTHER UNIT TYPES	
Rewatherized Units	100
Low-Cost/No-Cost	
TOTAL PEOPLE ASSISTED	
Elderly	
Persons with Disabilities	
Native Americans	
Children	

1. Planned production does not need to be broken out by unit occupancy, or people assisted. Specific unit types, units by occupancy, and people are listed simply to remind grantees that production by category must be reported quarterly.
2. Due to overlap, the sum of "Elderly Occupied," "Persons with Disabilities-Occupied," "Native American-Occupied," "Children -Occupied," "High Residential Energy User-Occupied," "Household with a High Energy Burden" does not need to equal "WEATHERIZATION UNITS (TOTAL)."
3. Due to overlap, the sum of "Elderly," "Persons with Disabilities," "Native Americans," and "Children" does not need to equal "TOTAL PEOPLE ASSISTED."

Gross Average Cost per Dwelling Unit (all funding sources)

A.	Total funds, Federal and Non-Federal, from Supplemental Schedule #1	<u>\$ 32,200,000</u>
B.	Total Units to be Weatherized, from side of Production Schedule	<u>3397</u>
C.	Total Units to be Reweatherized, from front side of Production Schedule	<u>100</u>
D.	Grand Total Units Weatherized (B plus C)	<u>3497</u>
E.	Gross Average Cost per Dwelling Unit (A divided by D)	<u>\$ 9,208</u>

Vehicles and Equipment \$5,000 or more Average Cost per Dwelling Unit (DOE Rules)

F.	Total Vehicles and Equipment Budget	<u>\$ -</u>
G.	Total Units to be Weatherized, plus Planned Reweatherized Units from front side of Production Schedule (total from D)	<u>\$ -</u>
H.	Average Vehicles and Equipment Cost per Dwelling Unit (F divided by G)	<u>\$ -</u>

Average Cost per Dwelling Unit (DOE Rules)

I.	Total of Funds for Program Operations	<u>\$ 23,416,875</u>
J.	Total Units to be Weatherized, from front side of Production Schedule	<u>3397</u>
K.	Units to be Reweatherized, from front side of Production Schedule	<u>100</u>
L.	Total Units (J plus K)	<u>3497</u>
M.	Average Cost per Dwelling Unit, less Vehicles and Equipment (I divided by L)	<u>\$ 6,697</u>
N.	Average Cost per Dwelling Unit for Vehicles and Equipment (total from H)	<u>\$ -</u>
O.	Total Average Cost per Dwelling Unit (M plus N)	<u>\$ 6,697</u>

*** Includes \$3,000,000 for Health and Safety.**

\$6,697 - The average cost per dwelling includes the 3% increase for PY 2010 and PY 2011.

The average cost per dwelling unit of \$6,500 will be maintained in the first year.

**U.S. DEPARTMENT OF ENERGY
American Recovery and Reinvestment Act (ARRA)
Production Schedule**

Application Period: 04/01/2009 - 03/31/2012

	DECD Total
TOTAL UNITS (excluding reweatherized)	2850
UNITS BY TYPE	
Owner-Occupied Single-Family	
Single-Family Rental	
Multi-Family Rental (2 or more units per building)	
Owner-Occupied Mobile Home	
Renter-Occupied Mobile Home	
Shelter	
UNITS BY OCCUPANCY	
Elderly-Occupied	
Persons with Disabilities- Occupied	
Native American-Occupied	
Children-Occupied	
High Residential Energy User-Occupied	
Household with a High Energy Burden	
OTHER UNIT TYPES	
Rewatherized Units	0
Low-Cost/No-Cost	
TOTAL PEOPLE ASSISTED	
Elderly	
Persons with Disabilities	
Native Americans	
Children	

- Planned production does not need to be broken out by unit occupancy, or people assisted. Specific unit types, units by occupancy, and people are listed simply to remind grantees that production by category must be reported quarterly.
- Due to overlap, the sum of "Elderly Occupied," "Persons with Disabilities-Occupied," "Native American-Occupied," "Children -Occupied," "High Residential Energy User-Occupied," "Household with a High Energy Burden" does not need to equal "WEATHERIZATION UNITS (TOTAL)."
- Due to overlap, the sum of "Elderly," "Persons with Disabilities," "Native Americans," and "Children" does not need to equal "TOTAL PEOPLE ASSISTED."

Gross Average Cost per Dwelling Unit (all funding sources)

Total funds, Federal and Non-Federal, from Supplemental Schedule #1		\$ 20,000,000
A. Total Units to be Weatherized, from side of Production Schedule	2850
B. Total Units to be Reweatherized, from front side of Production Schedule	0
C. Grand Total Units Weatherized (B plus C)	2850
D. Gross Average Cost per Dwelling Unit (A divided by D)	\$ 7,018
E.	

Vehicles and Equipment \$5,000 or more Average Cost per Dwelling Unit (DOE Rules)

Total Vehicles and Equipment Budget		\$ -
F. Total Units to be Weatherized, plus Planned Reweatherized Units from front side of Production Schedule (total from D)	\$ -
G. Average Vehicles and Equipment Cost per Dwelling Unit (F divided by G).....	\$ -
H.		

Average Cost per Dwelling Unit (DOE Rules)

Total of Funds for Program Operations		\$ 18,500,000
I. Total Units to be Weatherized, from front side of Production Schedule	2850
J. Units to be Reweatherized, from front side of Production Schedule	0
K. Total Units (J plus K)	2850
L. Average Cost per Dwelling Unit, less Vehicles and Equipment (I divided by L)	\$ 6,491
M. Average Cost per Dwelling Unit for Vehicles and Equipment (total from H)	\$ -
N. Total Average Cost per Dwelling Unit (M plus N)	\$ 6,491
O.	

IX. ENERGY SAVINGS

Energy savings for homes weatherized in Connecticut this program year are estimated to be **218,250**. This is based on the optional DOE formula of **29.1** MBTUs times the total number of homes (7,500) to be weatherized.

X. TRAINING AND TECHNICAL ASSISTANCE

Training and Technical Assistance will be used for the following activities:

- Statewide Lead Safe Training on new DOE minimum standards
- Energy Auditor Certification Training Class (CSG)
- On-site training for crews, energy auditors and subcontractors.
- Mold and moisture detection training
- Annual combustion safety refresher training
- Client education training
- OSHA training for new staff and subcontractors

The core competency training is designed as a two-week training and includes courses in basic competencies, safe work practices, building evaluation, measure installation, final inspection, consumer education, monitoring, program management and training. In addition Crew Worker, Crew Chief, Inspector, Monitor and Auditor certifications and requirements are designed as on-the-job training as well as one- to two-day courses. A component of the training program may be to develop links between persons trained in the above-described coursework to subgrantees and their contractors, as a potential source of hire, similar to Jobs Funnel. It is anticipated that all person working in jobs retained or created by the ARRA WAP will receive some level of training and technical assistance.

In response to the expected demand for more trained auditors to handle the increased number of units that will be weatherized, DSS has already scheduled two auditor certification classes for June and September 2009 on the Home Check Energy Audit (the only audit currently approved by DOE for use in Connecticut.) DSS will also work with other expected program partners to ensure that their staff will be trained to perform these services.

Subgrantees are encouraged to assess training needs and explore T & TA opportunities that would specifically benefit their own staff and/or contractors. Training may also be provided on sustainable energy and “green” technologies and techniques. Requests for these activities require prior approval by DSS.

Ongoing communication and networking among these partners are essential components of the recruitment, training and employment for weatherization work. Monthly Weatherization Directors’ meetings are planned. These meetings will be held in partnership with the Weatherization Residential Assistance Partnership (WRAP) and UI Helps, which administer utility-funded programs operated by the subgrantees. Monitoring visits to subgrantees provide additional opportunities for on-site training and technical assistance to ensure health and safety of clients and workers; maximize energy savings; improve program management; and ensure uniform implementation of program policies and procedures. Ongoing on-site training activities will continue at the state and subgrantee program levels.

XI. GRANTEE PROGRAM OVERSIGHT

DOE has informed states that they and their subgrantees must ensure the transparency and accountability of the funds available in the ARRA. DSS' current oversight activities, therefore, will need to be increased for purposes of the ARRA.

Subgrantees are required to inspect the weatherization work performed on a dwelling before it may be submitted to DSS for approval and reimbursement. States are required to perform file reviews on 10% of units weatherized and conduct inspections on 5% of completed units or units being weatherized. DOE strongly encourages a higher percentage of the units to be inspected under ARRA funding and DSS intends to perform file reviews on at least 20% of the total number of units weatherized. DSS will complete on-site inspections of at least 12% of the total units weatherized. In addition, comprehensive monitoring will be conducted at a minimum of annually at each subgrantee and its partner agencies. DSS will also provide the same oversight to any partner agency that it contracts with to perform weatherization work.

Entities	Minimum Goals	File Reviews (20%)	On-site Inspections (12%)
CAAs	3,497	699	420
DECD	2,850	570	342
Shelters	1,153	230	138
Total	7,500	1,499	900

XII. LEVERAGING

The WAP in Connecticut has significant leveraging benefits. In partnership with the WAP, existing subgrantees also operate the utility-funded WRAP and the UI Helps Program. Funding for energy audits also comes from the major utility companies - Connecticut Light and Power, United Illuminating, Yankee Gas Services, Connecticut Natural Gas, and Southern Connecticut Gas Company. WRAP and UI Helps funds may be used for a variety of different activities, ranging from installing additional measures on WAP jobs to serving other low-income clients that do not receive services under the WAP. Additional leveraging of non-federal resources may include labor costs for the replacement of heating systems for WAP-eligible homeowners whose present heating systems need to be replaced.

The ARRA WAP funding provides additional opportunities that will be explored for leveraging resources from other state and local partner programs for weatherization services, employment and training activities, energy conservation and savings. Additional financial and resource leveraging is inherent in the economic stimulus from monies made available to households through energy conservation savings, as well as earnings from jobs created and retained and then spent in the marketplace.

Coordination with other Low-Income Weatherization Programs

DSS proposes to continue to coordinate ARRA WAP activity with other low-income programs to maximize services to eligible households. These other programs are listed below:

- Weatherization Assistance Program
- FOCB Low-Income Heating & Hot Water System Program
- Connecticut Light and Power (CL&P) Weatherization Residential Assistance Partnership (WRAP) Program
- United Illuminating (UI) Helps Program
- Municipal Utility Conservation Programs

XIII. POLICY ADVISORY COUNCIL

440.17 MINIMUM PROGRAM REQUIREMENTS SECTION H

Appointed members of the Council are:

As representative of Group 1, special qualification and sensitivity to solving weatherization and energy conservation problems of Low Income Persons:

- Claudette J. Beaulieu
Deputy Commissioner of the State Department of Social Services
- James H. Gatling
Chairman of the Energy Committee of the Community Action Agency
Executive Directors Organization [Connecticut Association for Community Action, Inc.
(CAFCA)]
- Cathy Lezon
Project Manager of the Weatherization Residential Assistance Partnership (WRAP)
- Lindsay Parke
Project Manager, Community Relations and Programs, Northeast Utilities
- Christopher Elhert
Manager - Energy Services (Residential), United Illuminating Company

As representative of Group 2, a broad representation of organization/agencies that represent Low Income Persons, particularly the elderly, handicapped and Native Americans:

- Shirley Bergert
Staff Attorney, Connecticut Legal Services
- Marla Hinz
2-1-1 United Way
- Erin Clark

Director of Community Programs, Operation Fuel

As representative of Group 3, responsibility for advising the State with respect to the development and implementation of the Program:

- Ray Wilson
Director, Energy Division
State Office of Policy and Management

XIII. WX. STATE POLICY ADVISORY COUNCIL

CONNECTICUT

Claudette J. Beaulieu
Deputy Commissioner
Department of Social Services
25 Sigourney Street
Hartford, Connecticut 06106

Shirley Bergert, Staff Attorney
Connecticut Legal Services
872 Main Street
Willimantic, Connecticut 06226

Lindsay Parke
Community Relations and Programs
Northeast Utilities
107 Selden Street
Berlin, Connecticut 06037

Erin Clark
Director of Community Programs
Operation Fuel, Inc.
One Regency Place, Suite 311
Bloomfield, Connecticut 06002

James H. Gatling, President/CEO
New Opportunities, Inc.
232 North Elm Street
Waterbury, Connecticut 06702

Ray Wilson,
Energy Director
Office of Policy and Management
450 Capitol Avenue, MS#52ENR
Hartford, Connecticut 06134

Cathy Lezon
Manager
WRAP Unit
66 Curtis Street
New Britain, Connecticut 06052

Marla Hinz
Regional Director
2-1-1
P.O Box 290147
Wethersfield, Connecticut 06129-0147

Christopher Elhert
Manager - Energy Services (Residential)
The United Illuminating Company
157 Church Street, MS 1-6B
New Haven Connecticut 06510

Members of the Policy Advisory Council were provided the draft ARRA Plan electronically for review. In addition they are all members or participants of the Low Income Energy Advisory Board (LIEAB) which meets on a monthly basis to discuss energy and weatherization issues pertaining to the low-income population.

In the fall of 2005, the Connecticut legislature enacted Public Act 05-204 establishing a Low-Income Energy Advisory Board (LIEAB). The charge of the Board is to advise and assist the Office of Policy and Management and the Department of Social Services in the planning, development, implementation and coordination of energy assistance and weatherization assistance programs and policies.

The membership of the LIEAB includes representatives from the Office of Policy and Management, the Department of Social Services, the Commission on Aging, the Department of Public Utility Control, the Office of Consumer Counsel, Operation Fuel, 2-1-1, Connecticut Local Administrators of Social Services, Legal Assistance Resource Center of Connecticut, Connecticut AARP, Norwich Public Utility, Connecticut Petroleum Dealers Association, Northeast Utilities Service Company, Yankee Gas Company, Connecticut Natural Gas Company, Southern Gas Company, United Illuminating and the Connecticut Association for Community Action Agencies.

The next LIEAB meeting will be held on Monday, May 4, 2009, with the ARRA Plan as one of the topics on the agenda. In addition, the state legislatures Energy and Technology, Human Services and Appropriations committees will be holding an informational hearing on the plan.

XIV. PROGRAM PLANNING AND DEVELOPMENT WORKGROUPS

DSS has also held meetings with the subgrantees, proposed partnerships and various entities to discuss the ARRA plan and the importance of the roles they might play to ensure full compliance, weatherization of units in a timely manner and that transparency and accountability are maintained.

XV.

STATE OF CONNECTICUT
DEPARTMENT OF SOCIAL SERVICES

NOTICE OF PUBLIC HEARING

THE DEPARTMENT OF SOCIAL SERVICES WILL HOLD A PUBLIC HEARING AT THE TIME AND LOCATIONS SPECIFIED BELOW TO PROVIDE AN OPPORTUNITY FOR CONNECTICUT CITIZENS TO COMMENT ON THE PROPOSED WEATHERIZATION ASSISTANCE FOR LOW INCOME PERSONS PROGRAM STATE PLAN, IN THE AMOUNT OF \$64,310,502 MADE AVAILABLE THROUGH THE AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA) OF 2009, PUBLIC LAW 111-5. THE PLAN SHALL COMPLY WITH APPLICABLE LAW INCLUDING REGULATIONS OF THE UNITED STATES DEPARTMENT OF ENERGY, 10 CFR 440, FINAL RULE, ESPECIALLY SECTIONS 440.12 AND 440.14.

THE PUBLIC HEARING WILL ALSO COVER AMENDMENTS TO THE CURRENT STATE PLAN IN THE AMOUNT OF \$5,315,348 IN ORDER TO PARALLEL THE SERVICES OFFERED IN THE PROPOSED ARRA WEATHERIZATION PLAN.

DATE: TUESDAY, MAY 5, 2009
TIME: 10:00 A.M. - 1:30 P.M.

LOCATION #1: DEPARTMENT OF SOCIAL SERVICES, MEZZANINE ROOM 1
25 SIGOURNEY STREET
HARTFORD, CT

THE HEARING SITE IS ACCESSIBLE TO PEOPLE WITH DISABILITIES AND PARKING FOR PERSONS WITH DISABILITIES IS AVAILABLE. PARKING IS ALSO AVAILABLE AT THE STATE LOT ON FOREST STREET (BESIDE HARTFORD PUBLIC HIGH SCHOOL) WITH SHUTTLE BUSES AVAILABLE.

LOCATION #2: THE WATERMARK AT 3030 PARK, 2ND FLOOR LOUNGE
3030 PARK AVENUE
BRIDGEPORT, CT

THE SITE IS ACCESSIBLE TO PERSONS WITH DISABILITIES AND PARKING IS AVAILABLE.

COPIES OF THE "PROPOSED WEATHERIZATION PLAN" WILL BE AVAILABLE AND CAN BE OBTAINED BY WRITING TO THE ADDRESS BELOW. THE PLAN WILL ALSO BE POSTED AT THE FOLLOWING WEBSITE WWW.CT.GOV/DSS

SHOULD ATTENDANCE NOT BE POSSIBLE AT THE HEARING, WRITTEN COMMENTS MAY BE SUBMITTED BY EMAIL TO brenda.geltzer@ct.gov OR MAILED TO THE DEPARTMENT OF SOCIAL SERVICES NO LATER THAN 4:30 P.M. ON WEDNESDAY, MAY 6, 2009, ATTENTION:

CARLENE TAYLOR
PROGRAM MANAGER
WEATHERIZATION ASSISTANCE PROGRAM
COMMUNITY, ENERGY AND REFUGEE SERVICES
DEPARTMENT OF SOCIAL SERVICES
25 SIGOURNEY STREET
HARTFORD, CONNECTICUT 06106

XVI. PUBLIC HEARING TRANSCRIPT

Transcripts of the Public Hearings held on May 5, 2009 in Hartford and Bridgeport regarding the Connecticut's Weatherization Assistance Program will be included in the plan and application submitted to the U.S. Department of Energy, and submitted as soon as they are available. The written comments from both hearings are attached.

XVII. MISCELLANEOUS

The Commissioner of the Department of Social Services, grantee for the WAP Program, has designated Lee Voghel, Director of Financial Management and Analysis, to sign all Fiscal Reports; and Carlene Taylor, Program Administration Manager of the Community, Energy and Refugee Services, to sign all Program Reports due to the Department of Energy on a quarterly, semi-annual or on an annual basis.

Additional administrative funds are not allocated to the agencies because each agency's grant is more than \$350,000.

Pending the availability of funds and to meet the requirements of ARRA, the department may approve the purchase of up to five vehicles by the community action agencies under contract to operate the program. The purchase of these vehicle(s) would be covered within their program support budget.

In response to comments made at the Public Hearing on May 5th, the department is eliminating the requirement that only cellulose will be the accepted type of insulation that can be used in the program. DOE has acknowledged that there are other forms of insulation that are acceptable to be used in the weatherization program