STATE OF CONNECTICUT
STATE ETHICS COMMISSION

DOCKET NUMBER 98-10
IN THE MATTER OF A
COMPLAINT AGAINST
ANDREW DE ROCCO

STATE ETHICS COMMISSION
20 TRINITY STREET
HARTFORD, CT 06106
AUGUST 27, 1998

STIPULATION AND ORDER

Pursuant to Connecticut General Statutes §4-177(c), the State Ethics Commission and the Respondent agree to settle this matter in the manner described below:


2. The Respondent and the Board of Governors of Higher Education state that they mistakenly believed that the Respondent could accept fees or honoraria for participation at the events in question and also use state time to attend these events.

3. The Respondent waives any rights he may have under Conn. Gen. Stat. §§1-82, 1-82a, 1-87 and 1-80, including any right to a hearing or appeal in this case, and agrees with the Commission to an informal disposition of this matter as authorized by Conn. Gen. Stat. §4-177(c).

WHEREFORE, the State Ethics Commission enters and the Respondent agrees to the following order in lieu of any other action regarding this matter:

For Count One of the Complaint, the Commission directs the Respondent to return the honoraria, described in the Complaint, to their source. For Count Two of the Complaint, the Commission assesses a penalty of $1000. The Respondent agrees to make these payments within thirty days of the signing of this Stipulation and Order, and henceforth to comply with the Code of the Ethics.

Andrew M. De Rocco
Respondent, Andrew De Roccol

11/18/98
Date

State Ethics Commission
By: Its Chairperson

8-24-98
Date