Governor Lamont’s Standard – Statements of Financial Interests

Governor Ned Lamont: Standard and Designation Guidelines

By January 15 annually, the agency head shall review and designate individuals specifically mandated to file SFIs pursuant to section 1-83(a)(1) of the General Statutes as well as those designated pursuant to the Governor’s Standard.

Governor Lamont has adopted the established standard which requires “filing of Annual Statements of Financial Interests by all persons in the Executive Branch and Quasi-Public Agencies who exercise (i) significant policy-making, regulatory or contractual authority; (ii) significant decision-making and/or supervisory responsibility for the review and/or award of State contracts; or (iii) significant decision-making and/or supervisory responsibility over staff that monitor State contracts.”

Documentation from Governor Lamont’s Office to Agency Ethics Liaisons

Before accepting employment with the state, individuals must be made aware of the Code of Ethics for Public Officials and State Employees, so that they will understand their general ethical duties as a state employee or public official. Therefore, during the interview process, each person must be given a summary of the State Code of Ethics and an ethics statement by the hiring agency. Furthermore, each new employee must also sign a statement acknowledging receipt of such summary, and agree to comply with the requirements of the state ethics laws. Provided below is the letter from Governor Lamont that should be provided to each potential employee, the Public Officials and State Employees Guide to the Code of Ethics and a receipt acknowledgement form.

Public Officials and State Employees Guide to the Code of Ethics
Letter from Governor Lamont
Receipt of Code of Ethics Acknowledgement Form

Ethics Liaison Action Items

REMINDER: Review Ethics Liaison List for Accuracy
Designation of Filers of Statements of Financial Interests

As we continue to prepare for the May 1, 2019 Statements of Financial Interests filing deadline; the recent elections, retirements, and employee hires and transfers may require changes to the list of required SFI filers.

Please take some time during the upcoming weeks to update the list of SFI filers using the online filing system. Please complete updates by Friday, March 1, 2019.

SFI Filing System Resource for Ethics Liaisons and Compliance Officers

Detailed instructions on how to access the filing system to maintain existing filers or to add new filers is available on our website. Please see the “Guide to the Statements of Financial Interests Filing System for Agency Ethics Liaisons.” The Office of State Ethics will also provide you with technical assistance by phone or e-mail.

Our Number One Rule: Always Get Advice!