STIPULATION AND CONSENT ORDER

Pursuant to the Code of Ethics, General Statutes § 1-79, et seq., Thomas K. Jones, Ethics Enforcement Officer for the Office of State Ethics ("OSE"), issued a Complaint against the Respondent Anthony Lewis ("Mr. Lewis" or "Respondent") for violations of the Code of Ethics, Connecticut General Statutes § 1-84 (c). Based on the investigation by the Enforcement Division of the OSE, the Office of State Ethics finds there is probable cause to believe that the Respondent violated the Code of Ethics as set forth in the Complaint.

The Parties have entered into this Stipulation and Consent Order following the issuance of the Complaint, but without any adjudication of any issue of fact or law herein.

I. STIPULATION

The Ethics Enforcement Officer and the Respondent stipulate to the following facts:

1. At all times relevant hereto, the Respondent was employed as the Director of Human Resources at the Connecticut Military Department (hereinafter "Military Department").

2. At all times relevant hereto, the Respondent was a "State Employee" as that term is defined in General Statutes § 1-79 (m).

3. The Manchester Armory (hereinafter "Armory") is a property owned by the State of Connecticut and was maintained by the Military Department at all times relevant hereto.

4. Among the buildings in the Armory is a gymnasium.
5. Prior to October 1, 2009, the base rental fee for the gymnasium at the Armory was $200.00 per day.

6. From October 1, 2009 through the end of 2009, the base rental fee for the gymnasium was $250.00 per day.

7. In addition to the base rental fees in 2008 and 2009, renters of the gymnasium were charged utility fees and custodial fees.

8. On multiple occasions during 2008 and 2009, the Respondent gained access to the gymnasium and used it for his own personal benefit.

9. During 2008 and 2009, the Respondent did not pay any fees related to his use of the gymnasium at the Armory.

10. General Statutes §1-84 (c) states in pertinent part:

    No public official or state employee shall wilfully and knowingly disclose, for financial gain, to any other person, confidential information acquired by him in the course of and by reason of his official duties or employment and no public official or state employee shall use his public office or position or any confidential information received through his holding such public office or position to obtain financial gain for himself, his spouse, child, child's spouse, parent, brother or sister or a business with which he is associated.

11. The Respondent, by using the Armory gymnasium on multiple occasions without paying fees, used his public office or position to obtain financial gain for himself, in violation of General Statutes §1-84 (c).

II. RESPONDENT’S POSITION

1. The Respondent denies that his actions violated the Code of Ethics but, in order to avoid what he believes would be lengthy and costly legal proceedings, agrees to settle the matter.

2. The Respondent states that he did not willfully or knowingly use his public position to obtain financial gain for himself in violation of General Statutes §1-84 (c).
III. JURISDICTION

1. The Ethics Enforcement Officer is authorized to investigate the Respondent’s acts as set forth herein, to issue a Complaint against the Respondent, and to enter into this Stipulation and Consent Order.

2. The provisions of this Stipulation and Consent Order apply to and are binding upon the Respondent.

3. The Respondent hereby waives all objections and defenses to the jurisdiction of the Ethics Enforcement Officer over matters addressed in this Stipulation and Consent Order.

4. The Respondent waives any rights he may have under General Statutes §§ 1-80, 1-82, 1-82a, 1-87 and 1-88, including the right to a hearing or appeal in this case, and agrees with the Ethics Enforcement Officer to an informal disposition of this matter as authorized by General Statutes § 4-177 (c).

5. The Respondent consents to jurisdiction and venue in the Connecticut Superior Court, Judicial District of Hartford, in the event that the State of Connecticut seeks to enforce this Stipulation and Consent Order. The Respondent recognizes that the Connecticut Superior Court has the authority to specifically enforce the provisions of this Stipulation and Consent Order, including the authority to award equitable relief.

6. The terms set forth herein are in addition to, and not in lieu of, any other existing or future statutory, regulatory, or other legal obligation that may be applicable to the Respondent.

7. The Respondent understands that he has a right to counsel and has been represented by counsel during the OSE’s investigation and in connection with this Stipulation and Consent Order.
IV. ORDER

NOW THEREFORE, pursuant to General Statutes § 4-177 (c), the Office of State Ethics hereby ORDERS, and the Respondent agrees, that:

1. Pursuant to General Statutes § 1-88 (a) (1), the Respondent will heretofore cease and desist from any future violation of General Statutes § 1-84 (c).

2. Pursuant to General Statutes § 1-88 (a) (3), the Respondent will pay civil penalties to the State in the amount of Four Hundred Fifty Dollars ($450.00) for his violations of General Statutes § 1-84 (c) as set forth in the Complaint.

WHEREFORE, the Ethics Enforcement Officer and the Respondent hereby execute this Stipulation and Consent Order dated December 16, 2011.

Dated: 12/23/11

Anthony Lewis
16 Slater Road
Glastonbury, CT 06033-1802

Dated: 12/23/11

Thomas K. Jones
Ethics Enforcement Officer
Connecticut Office of State Ethics
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Hartford, CT 06106
(860) 263-2390