DOCKET NUMBER 2009-70 ) OFFICE OF STATE ETHICS
) 18-20 TRINITY STREET
) HARTFORD, CT 06106
) AUGUST 18, 2010

STIPULATION AND CONSENT ORDER

Pursuant to the Code of Ethics, General Statutes sections 1-79, et seq., Thomas K. Jones, Ethics Enforcement Officer for the Office of State Ethics ("OSE"), issued a Complaint against the respondent Jurg Lanzrein ("Lanzrein" or "Respondent") for violations of the Code of Ethics, Connecticut General Statutes § 1-84 (c) and (i). Based on the findings of a preliminary investigation by the Enforcement Division of the OSE, the Ethics Enforcement Officer believes, and the Respondent admits, that the Respondent on two occasions, while a state employee, entered into two contracts with the state without an open and public process, in violation of § 1-84 (i) and that one of these contracts also resulted in the Respondent’s personal financial gain, in violation of § 1-84 (c).

The Parties have entered into this Stipulation and Consent Order following issuance of the Complaint, but without adjudication of any issue of fact or law herein.
I. STIPULATION

The Ethics Enforcement Officer and the Respondent stipulate to the following facts:

1. At all times relevant hereto, the Respondent was employed as an adjunct, part-time faculty member in the Art Department of Western Connecticut State University (hereinafter “WCSU”), a Connecticut state university.

2. At all times relevant hereto, the Respondent was a “State Employee” as that term is defined in General Statutes § 1-79 (m).

3. At all times relevant hereto, the Respondent was doing business as “Lanzrein Studio.”

4. On or about November 30, 2006 and November 15, 2007, the Respondent entered into contracts with WCSU whereby WCSU agreed to purchase art supplies from the Respondent doing business as Lanzrein Studio.

5. Each of the two contracts entered into by the Respondent and WCSU were valued at amounts over $100.

6. Neither of the contracts entered into between the Respondent and WCSU were awarded through an open and public process.

7. As a result of the two contracts, the Respondent knowingly acted in his own financial interest and/or knowingly received a financial advantage in the total amount of $165.00.

8. The Respondent admits all facts set forth above and admits that, by engaging in such conduct, he violated the Code of Ethics for Public Officials.
II. RESPONDENT’S POSITION

1. The Respondent, separate and apart from the contracts that are the subject of this stipulation, has voluntarily contributed art supplies, from his own expense, to WCSU of approximately $500 in value for each year he was employed at WCSU.

NOW THEREFORE, the Ethics Enforcement Officer of the Connecticut Office of State Ethics and the Respondent hereby enter into this Stipulation and Consent Order and hereby agree as follows:

III. JURISDICTION

1. The Ethics Enforcement Officer is authorized to investigate the Respondent’s conduct, to issue a Complaint against the Respondent, and to enter into this Stipulation and Consent Order.

2. The provisions of this Stipulation and Consent Order apply to and are binding upon the undersigned Parties.

3. The Respondent hereby waives all objections and defenses to the jurisdiction of the Ethics Enforcement Officer over matters addressed in this Stipulation and Consent Order.

4. The Respondent waives any rights he may have under Conn. Gen. Stat. §§ 1-80, 1-82, 1-82a, 1-87 and 1-88, including the right to a hearing or appeal in this case, and agrees with the Ethics Enforcement Officer to an informal disposition of this matter as authorized by General Statutes § 4-177 (c).

5. The Respondent consents to jurisdiction and venue in the Connecticut Superior Court, Judicial District of Hartford, in the event that the State of Connecticut
seeks to enforce this Stipulation and Consent Order. The Respondent recognizes that the Connecticut Superior Court has the authority to specifically enforce the provisions of this Stipulation and Consent Order, including the authority to award equitable relief.

6. The terms set forth herein are in addition to, and not in lieu of, any other existing or future statutory, regulatory, or other legal obligation that may be applicable to the Respondent.

7. The Respondent has been informed, and understands, that he has a right to counsel and has expressly waived such right.

IV. ORDER

NOW THEREFORE, pursuant to General Statutes § 4-177 (c), the Office of State Ethics ORDERS as follows:

1. Pursuant to General Statutes § 1-88 (a) (1), the Office of State Ethics orders, and the Respondent agrees, to cease and desist from any future violation of General Statutes § 1-84 (c).

2. Pursuant to General Statutes § 1-88 (a) (1), the Office of State Ethics orders, and the Respondent agrees, to cease and desist from any future violation of General Statutes § 1-84 (i).

3. Pursuant to General Statutes § 1-88 (a) (3), the Office of State Ethics orders, and the Respondent agrees, that the Respondent pay civil penalties to the State in the amount of five hundred dollars ($500.00) for his violations of General Statutes § 1-84 (c) and (i).

4. Pursuant to General Statutes § 1-88 (d), the Office of State Ethics orders, and the Respondent agrees, that the Respondent pay damages to the State in the amount
of one hundred and sixty five dollars ($165.00), which amount reflects the financial gain or advantage the Respondent knowingly received as a result of his violations of General Statutes § 1-84 (c) and (i).

WHEREFORE, the Ethics Enforcement Officer and the Respondent hereby execute this Stipulation and Consent Order dated August 18, 2010.

Dated: 

Jurg Lanzrein
Respondent
P.O. Box 421
High Falls, NY 12440

Dated:}

Thomas K. Jones
Ethics Enforcement Officer.
Enforcement Division,
State of Connecticut Office of State Ethics
18-20 Trinity Street
Hartford, CT 06106
(860) 860-263-2390