DOCKET NUMBER 96-6

IN THE MATTER OF A

COMPLAINT AGAINST

DEAN E. MCLEAR

STATE ETHICS COMMISSION

20 TRINITY STREET

HARTFORD, CT 06106

MAY 2, 1997

STIPULATION AND ORDER

Pursuant to Connecticut General Statutes §4-177(c), the State Ethics Commission and the Respondent Dean McLear agree to settle this matter in the manner described below:

1. The Commission finds that the Respondent violated the Code of Ethics for Public Officials as alleged in the attached Complaint dated October 21, 1996.

2. The Respondent waives any rights he may have under Conn. Gen. Stat. §§1-82, 1-82a, 1-87 and 1-80, including the right to a hearing or appeal in this case, and agrees with the Commission to an informal disposition of this matter as authorized by Conn. Gen. Stat. §4-177(c).

WHEREFORE, the State Ethics Commission enters, and Dean E. McLear agrees to, the following orders in lieu of any other action it is authorized to take with regard to this Complaint:

1. The Respondent is ordered to pay $500.00, payable within thirty days of this Stipulation and Order and henceforth to comply with the State Code of Ethics for Public Officials.

Signed

Dean E. McLear
Dated

Maurice FitzMaurice
Chairperson, State Ethics Commission
Dated
STATE OF CONNECTICUT
STATE ETHICS COMMISSION

CONFIDENTIAL

DOCKET NUMBER 96-6 ) STATE ETHICS COMMISSION
IN THE MATTER OF A ) 20 TRINITY STREET
COMPLAINT AGAINST ) HARTFORD, CT 06106
DEAN E. MCLEAR ) OCTOBER 21, 1996

COMPLAINT

1. At all times relevant to this Complaint, Dean E. McLear ("the Respondent") was an employee of the Connecticut Department of Transportation, and therefore was a state employee as that term is used in the Code of Ethics for Public Officials and State Employees, Conn. Gen. Stat. §1-79 et seq.

2. Connecticut General Statutes §1-84(m) states in part that no state employee "shall knowingly accept, directly or indirectly, any gift or gifts known to amount to fifty dollars or more in value in any calendar year from any person the...employee knows or has reason to know: (1) is doing business with or seeking to do business with the department or agency in which the ...employee is employed, (2) is engaged in activities which are directly regulated by such department or agency or (3) has financial interests which may be substantially affected by the performance or nonperformance of official duties by the ...employee."

3. Connecticut General Statutes §1-84(j) prohibits a state employee from knowingly accepting any gift or gifts known to amount to fifty dollars or more in value in any calendar year from a person known to be a registered lobbyist, or anyone acting on behalf of a registered lobbyist.

4. In 1995, the Minnesota Mining and Manufacturing Company ("3-M") was a registered lobbyist doing business or attempting to do business with the Connecticut Department of Transportation.

5. In 1995, the Respondent accepted gifts totaling $105.00 from 3-M, in violation of both Conn. Gen. Stat. §1-84(m) and Conn. Gen. Stat. §1-84(j).

DATE 10/21/96  Brenda M. Bergeron
Brenda M. Bergeron
Ethics Commission Attorney