On April 3, 1989 Ethics Commission Staff Attorney Lisa Doyle Moran filed a complaint (Docket No. 89-4) against Faithful and True Roman Catholics. The complaint alleged that the organization failed to register as a lobbyist for both calendar years 1988 and 1989.

On April 24, 1989 the Ethics Commission and the Respondent settled this matter by entering into a Stipulation and Order (copies of the Complaint and Stipulation are attached). Under the Stipulation, the Respondent admitted violating the Code of Ethics for Lobbyists and will pay a civil penalty of $200.

In another matter, the Ethics Commission held a hearing on the claim that Friends of a Coliseum and its lobbyist, James Docker, had violated the Code of Ethics for Lobbyists in that they failed to file fourth quarter lobbyist financial reports for 1988. The Commission voted to impose a civil penalty of $700 plus $10 a day up to a maximum of $1,000 until the reports are filed by both parties. The Commission agreed to suspend the fine for the Friends of a Coliseum.

FOR FURTHER INFORMATION CALL:

Lisa Doyle Moran
State Ethics Commission
566-4472

Phone: (203) 566-4472
30 Trinity Street • Hartford, Connecticut 06115
An Equal Opportunity Employer
STATE OF CONNECTICUT
STATE ETHICS COMMISSION

CONFIDENTIAL

DOCKET NUMBER 89-4 ) STATE ETHICS COMMISSION
IN THE MATTER OF A ) 97 ELM STREET (REAR)
COMPLAINT AGAINST ) HARTFORD, CONN. 06106
FAITHFUL AND TRUE )
ROMAN CATHOLICS )

STIPULATION AND ORDER

1. The Commission finds that the Respondent violated Chapter 10, Part II, General Statutes, as alleged in the attached Complaint.

2. The Respondent admits violating Chapter 10, Part II, General Statutes.

3. The Respondent waives any rights it may have under sections 1-93 and 1-93a, General Statutes and agrees with the State Ethics Commission to an informal disposition of this matter as permitted by subsection 4-177(d), General Statutes.

WHEREFORE, the State Ethics Commission enters, and Faithful and True Roman Catholics agree to, these orders: In lieu of any other action it is authorized to take with respect to this matter, the Commission orders the Respondent within thirty days to (1) register as a lobbyist for calendar years 1988 and 1989; (2) file the client lobbyist financial reports required by section 1-96, General Statutes for 1988 and 1989; (3) pay a civil penalty of $200; and (4) henceforth, comply with the registration and reporting requirements of the Code of Ethics for Lobbyists, Chapter 10, Part II, General Statutes.

Mary Ann Rossaniotta
Respondent

Chairperson
State Ethics Commission

4/21/89
Dated

4/24/89
Dated

Phone: (203) 566-4472
97 Elm Street-Rear • Hartford, Connecticut 06106
An Equal Opportunity Employer
COMPLAINT

The State Ethics Commission issues a complaint alleging a violation of:

--- The Code of Ethics for Public Officials and State Employees, Chapter 10, Part I, General Statutes

XX The Code of Ethics for Lobbyists, Chapter 10, Part II, General Statutes

Time and date matters complained of occurred:

1988 and 1989

Place violation occurred:

Hartford, Connecticut

Persons involved:

Faithful and True Roman Catholics

Witnesses:

Mr. Bill MacDonald, The Hartford Courant
Clerk of the State Ethics Commission

Circumstances which indicate that the Code of Ethics designated above was violated are as follows (a short, plain statement alleging a violation of Chapter 10, General Statutes):

(see attached)

(over)
Complainant's Name and Address: State Ethics Commission
97 Elm Street (Rear)
Hartford, CT 06106

Complainant's Telephone Number: 566-4472
It is hereby alleged that:

1. During 1989, Faithful and True Roman Catholics (hereinafter the Respondent) engaged in "lobbying" as that term is defined in Conn. Gen. Stat. §1-91(k).

2. Respondent's expenditures on lobbying activities during 1989 exceeded five hundred dollars.

3. By virtue of this activity, the Respondent was required to register with the State Ethics Commission as a lobbyist for calendar year 1989 prior to commencing lobbying.

4. The Respondent did not so register before commencing lobbying, nor has it subsequently done so.


6. During 1988, Faithful and True Roman Catholics (hereinafter the Respondent) engaged in "lobbying" as that term is defined in Conn. Gen. Stat. §1-91(k).


8. By virtue of this activity, the Respondent was required to register with the State Ethics Commission as a lobbyist for calendar year 1988 prior to commencing lobbying.

9. The Respondent did not so register before commencing lobbying, nor has it subsequently done so.