Connecticut Beverage Industries stipulates and the State Ethics Commission finds:

1. On March 13, 1979 a group of persons know as the Connecticut Beverage Industries agreed to conduct a media campaign concerning legislation pending in the 1979 session of the General Assembly.

2. On March 5, 1979 the American Can Company had incurred obligations in excess of three hundred dollars for production costs associated with a media campaign concerning legislation pending in the 1979 session of the General Assembly. Said production costs were included in American Can's report to the Commission for March, 1979. On March 13, 1979 it was agreed that the media campaign would be conducted in the name of the Connecticut Beverage Industries.

3. Subsequent to March 13, 1979 the Connecticut Beverage Industries entered into one or more agreements in connection with the airing of advertisements in the broadcast media in the name of the Connecticut Beverage Industries. These advertisements commenced on April 2, 1979.

4. By virtue of these events, the Connecticut Beverage Industries incurred financial obligations in excess of three hundred dollars, which obligations constitute expenditures under subsection 1-91(f), Connecticut General Statutes. By virtue of the Connecticut Beverage Industries expenditure, Connecticut Beverage Industries became a lobbyist as defined in subsection 1-91(1), Connecticut General Statutes. Under the provisions of subsections 1-94(b) and 1-95(a), Connecticut General Statutes, as interpreted by the State Ethics Commission, the Connecticut Beverage Industries became obligated to register as a lobbyist with the State Ethics Commission prior to May 10, 1979. However, Connecticut Beverage Industries did not register as a lobbyist with the State Ethics Commission until May 10, 1979.

5. Commencing May 10, 1979 the Connecticut Beverage Industries has complied in all respects with the requirements imposed upon it by the Code of Ethics for Lobbyists, Chapter 10, Part II, Connecticut General Statutes, and by Ethics Commission Regulations.

7. The Connecticut Beverage Industries waives any right it may have to a hearing to determine whether prior to May 10, 1979 the Connecticut Beverage Industries violated subsection 1-94(b) or 1-95(a), Connecticut General Statutes, as interpreted by the State Ethics Commission. The Connecticut Beverage Industries agrees with the Ethics Commission to an informal disposition of these matters as permitted by subsection 4-177(d), Connecticut General Statutes.

WHEREFORE, the State Ethics Commission enters, and the Connecticut Beverage Industries agrees to, these orders: In lieu of any other action it is authorized by law to take with respect to the Connecticut Beverage Industries' failure to register as a lobbyist when it became so obligated, the Commission orders the Connecticut Beverage Industries to pay a civil penalty of three hundred and forty dollars. The Commission also orders the Connecticut Beverage Industries, when it is a lobbyist as defined in Chapter 10, Part II, Connecticut General Statutes, or when it is registered as a lobbyist with the Ethics Commission, to comply with all provisions of said Part and with Ethics Commission Regulations.

__________________________________________
Dated________________________

By Order of the Commission

__________________________________________
Dated________________________
I wish to register with the State Ethics Commission a complaint of violation of:


Time and date: March and April 1979

Place: State of Connecticut through media

Persons involved: "Connecticut Beverage Industries"

Circumstances which indicate that the Public Act (or Acts) designated above was violated are as follows:

Although "Connecticut Beverage Industries" has sponsored a series of advertisements (radio and newspaper) across Connecticut during March and April, attempting to influence legislation (the Bottle Bill), and

Although "Connecticut Beverage Industries" has spent tens of thousands of dollars in such advertisements,
Connecticut Beverage Industries has not been registered as a lobbyist with the Ethics Commission and is in violation of the law.

RECEIVED

April 12, 1979
STATE ETHICS COMMISSION

I hereby certify under penalty of false statement that I believe that the foregoing statement describing a possible violation of the Public Act or Acts designated is true.

Date: April 12, 1979
Signature: Russell Lee Posi, Jr.

Name and address (printed or typewritten):
Russell Lee Posi, Jr.
State Capital
Hartford, Conn.

Mail or hand deliver this complaint to the State Ethics Commission
30 Trinity Street
Hartford, CT 06115