CONNECTICUT DEPARTMENT OF TRANSPORTATION

POLICY STATEMENT

POLICY NO. EX.O.-30
April 19, 2018

SUBJECT: Disadvantaged Business Enterprise Program

The Connecticut Department of Transportation (CTDOT) has established a Disadvantaged Business Enterprise (DBE) Program in accordance with the regulations of the United States Department of Transportation (USDOT), 49 CFR Part 26. CTDOT has received Federal financial assistance from the USDOT, and as a condition of receiving this assistance, CTDOT has signed an assurance that it will comply with 49 CFR Part 26.

It is the policy of CTDOT to ensure that DBEs as defined in Part 26, have an equal opportunity to receive and participate in USDOT assisted contracts.

It is the policy of CTDOT to:

a) Ensure nondiscrimination in the award and administration of USDOT-assisted contracts in the CTDOT's highway and transit financial assistance programs;

b) Create a level playing field on which DBEs can compete fairly for USDOT-assisted contracts;

c) To ensure that CTDOT's DBE Program is narrowly tailored in accordance with applicable law;

d) Ensure that only firms which fully meet this part's eligibility standards are permitted to participate as DBEs;

e) Help remove barriers to the participation of DBEs in USDOT-assisted contracts; and

f) Assist with the development of firms that can compete successfully in the marketplace outside the DBE Program.

CTDOT will not exclude any person from participation in, deny any person the benefits of, or otherwise discriminate against anyone in connection with the award and performance of any contract. CTDOT shall not discriminate on the basis of race, color, national origin, or sex in the award and performance of any USDOT-assisted contract, or in the administration of its DBE Program, or the requirements of 49 CFR Part 26. CTDOT shall take all necessary and reasonable steps under 49 CFR Part 26 to ensure nondiscrimination in the award and administration of USDOT-assisted contracts.

In administering the DBE Program, CTDOT will not directly or through contractual or other arrangements, use criteria or methods of administration that have the effect of defeating or substantially impairing the

accomplishment of the objectives of the DBE Program with respect to individuals of a particular race, color, sex, or national origin.

No contractor, subrecipient, or subcontractor shall discriminate on the basis of race, color, national origin, or sex in the performance on any USDOT-assisted contract. Contractors shall carry out the applicable requirements of 49 CFR Part 26 in the award and administration of USDOT-assisted contracts. Failure by the contractor to carry out these requirements will result in a material breach of the contract, which may result in the termination of the contract or such other remedy, as the recipient deems appropriate.

Implementation of this program is a legal obligation, and failure to carry out its terms shall be treated as a violation of the agreement. The USDOT may take enforcement action under 49 CFR Part 31, Program Fraud and Civil Remedies, against any participant in the DBE Program whose conduct is subject to such action. The USDOT may refer to the United States Department of Justice, for prosecution under 18 United States Code (USC) 1001 or other applicable provisions of law, any person who makes a false or fraudulent statement in connection with participation of a DBE in any USDOT-assisted program or otherwise violates applicable Federal statutes.

Ms. Debra Goss, the Manager of the Office Contract Compliance has been designated as CTDOT's DBE Liaison Officer. In that capacity, Ms. Goss is responsible for implementing all aspects of the DBE Program. Implementation of the DBE Program is accorded the same priority as compliance with all other legal obligations incurred by the CTDOT in its financial agreements with the USDOT.

This DBE policy statement is distributed to all CTDOT managers and is posted on the CTDOT website. CTDOT has distributed this statement by email to the DBE and non-DBE business communities that perform work for CTDOT on USDOT-assisted contracts.

(This Policy Statement supersedes Policy Statement No. EX.O.-30 dated May 11, 2016).

James Redeker
Commissioner