

Connecticut State Board of Accountancy
Meeting Agenda
Thursday, August 1, 2013 – 8:30 A.M.
Third Floor Conference Room
30 Trinity St., Hartford, CT 06106
860-509-6179
sboa@ct.gov

OFFICE AND BOARD OPERATIONS AND ADMINISTRATION

1. **Action required** – Vote to select Temporary Chairman
2. **Action required** - Approve Minutes of the June 24, 2013 Board Meeting
3. **Action required** – Approve Addendum to January 5, 2010 Board Meeting
4. Office Update - CPE Audit
Peer Review Conference - The AICPA Peer Review Board will hold an open session meeting on Wednesday, August 14, 2013 beginning at 10:30 AM and ending at approximately 12:00 PM (Eastern Time). The meeting will be held at the Omni Providence Hotel, One West Exchange Street, Providence, RI 02903.

GUEST SPEAKERS

5. Ethics Training – Office of State Ethics
The Connecticut Office of State Ethics practices and promotes the highest ethical standards and accountability in state government by providing education and legal advice, ensuring disclosure, and impartially enforcing the Codes of Ethics.
6. Edward Lowe, Legal Intern – 50 State Survey Statutory Findings Presentation

EXAM AND LICENSING

7. **Action required – Industry, Government, or Self Employed experience, and Other Applications**
Bradley T. McKay – application for Initial CPA Certificate & Initial CPA License; Industry Accounting experience (attending the meeting) submitting Non-conforming experience, petitioning the board pertaining to experience.
John Standyk, Jr. – application for Initial CPA Certificate & registration; Industry Accounting experience
Mitchell Goss – application for reciprocal CPA Certificate & Initial CPA License; Industry Accounting experience
Sabine Newmann – application for Initial CPA Certificate & Initial CPA License; Industry Accounting experience
Ren Wang – application for Initial CPA Certificate & Certificate Registration; Industry Accounting experience
8. **Review Firm permits for approval**
9. **EXAMINATION AND CPE EXTENSION**
Walter E. Watkins, Jr. CPA – waiver/extension of 11 hours CPE requirement - due to health condition
Robert E. Sweeney, Jr. CPA – requesting CPE extension to July 31, 2013 - due to death in the family
Theodore J. Thomas, CPA – requesting CPE extension to December 31, 2013 – due to health condition
Keith M. Crehan, CPA – requesting a 90 days extension to complete CPE – due to health condition
Edward S. Simonds, CPA – requesting 3 months CPE extension – due to health condition
Jeffrey S. Alfin – requesting 30 days extension to complete CPE – due to health condition
Rocco P. Chiappetta, CPA – requesting extension to complete CPE
Alisen Harrison, CPA – requesting extension until 9/30/13 to obtain additional 17 hours CPE
Lewis G. Pace, CPA – requesting for extension to complete CPE – note from doctor
Paul Conti, CPA- extension of time for 18 month window sitting for the CPA exam

OTHER BUSINESS

10. Enforcement Cases

- 2012-103764 Leonard Belzer**
- 20112014-3777 Robert Harris**
- 2012-103771 Speier Accounting and Tax Services**
- 2011078-3708 Stephen L. Walczak**
- 2011049-3669 Stanley Glick**
- 2012-103779 Financial Directions, Inc.**
- 2012-103768 Tracy Lane**
- 2010178-3531 Theodore Kreinik**
- 2012-103785 Mark Hull, Hull & Hull**
- 2012-103765 Ciampi Tax & Financial Services LLC**
- 2011 045-3665 John M. Brady**
- 2011050-3670 E. Reuel Stoltz**
- 2011030-3647 AJ Bafundo & Co. LLC**
- 2011 069-3695 Joseph R. Wolff, III**
- 2012019-3790 Paul J. Tannous**
- 2012-103784 Michael B. Maher**
- 2010180-3533 Elmer Laydon**
- 2011056-3676 R S McGladrey**
- 2012015-3780 Alan Jonas**
- 2011012-3560 Alan Jonas**
- 20100164-3514 Alan Jonas**

Administrative Closures Insufficient Filings: *The following files contain insufficient information to formally open a case.*

- 2010149-3499
- 2010172-3522
- 2009051-3225
- 2010009-3358
- 2010011-3360
- 2009086-3260
- 2009019-3190
- 2009006-3201
- 2009114-3114
- 2009056-3156
- 2010127-3477
- 2010132-3482
- 2010101-3451
- 2010129-3459
- 2010123-3473
- 2010126-3476
- 2010154-3504
- 2010166-3516
- 2011083-3713

Michael Weinschel to report on:

- UAA-Exposure Draft-Attest
- Response to Ethics Codification Exposure (Draft due August 15, 2013)
- NASBA-FRF for SMES.

PUBLIC COMMENT

Opportunity for members of the public to address the Board

Next scheduled meeting:

- Friday, September 13, 2013 -8:30 AM- 3rd Floor, 30 Trinity Street, Hartford, CT

Subcommittees will meet after the regular meeting.

Corporate Programs Qualifying for Non-Public Experience: - SBA-12

Dick Gesseck

Martha Triplett

Len Romaniello

Philip DeCaprio

Mike Weinshel