

Connecticut State Board of Accountancy

Meeting Agenda

Thursday, October 4, 2012 – 8:30 A.M.

Second Floor Conference Room

30 Trinity St., Hartford, CT 06106

860-509-6179

sboa@ct.gov

OFFICE AND BOARD OPERATIONS AND ADMINISTRATION

1. Action required - Approve Minutes of the September 6, 2012 Board Meeting

EXAM AND LICENSING

2. Review Certificates, Registrations, Licenses and Permit applications approved by the Interim Manager between dates of September 6, 2012 – October 4, 2012.

3. Jeniece M. Garcia, request for extension of credit for the Regulation Section of CPA exam.

4. PCAOB Inspection Reports Received – member comment on review

- Review August 29, 2012 Report on **Marcum LLP**

KPMG LLP

September 7, 2012 Report on **KPMG LLP**

5. Action required – Industry, Government, or self employed experience, and other applications

- Kimberly Y. Ball(Sole Proprietor) – Question on acceptance of 3 letters preparation of income tax clients for experience to be accepted for Reciprocal Certificate & Initial License.
- Xiufen WU application for Initial Certificate & Certificate Registration non-public experience
- Xia Liu application for Initial Certificate and Initial License

REGULATORY ACTIVITY

OTHER BUSINESS

6. Old Business-

Penalty Fee Schedule Update

Enforcement Cases

- 2010173-3523 Robert J. Strada & Co. LLC
- 2009155-3331 Michael Totilo
- 2009035-3209 Mark Adiletta
- 2001006-1545 Edward Rosenthal
- 2009161-3336 Anthony Martini
- 2012003-3770 Alan Rothstein
- 2011032-3649 and 2010193-3548 Aubrey, Dixon & Turgeon, LLC
- 2010184-3537 Patrick Colandrea
- 2009047-3221 Michael Thibodeau
- 2012-3792 Michael Brooder
- 2010098-3448 Miller Moriaty & Co. LLC
- 2012011-3760 Dale K Ciccarelli
- 2012010-3758 Larry Lee Crawford

Other

New certificate production

PUBLIC COMMENT

7. Opportunity for members of the public to address the Board

EXECUTIVE SESSION

Personnel Matters

Next two scheduled meetings:

- Thursday, November 1, 2012, 2012 - 8:30 AM – 2nd floor conference Rm. – 30 Trinity St. Hartford, CT
- Thursday, December 6, 2012 – 8:30 AM – 2nd floor conference Rm. – 30 Trinity St. Hartford, CT