STATE OF CONNECTICUT
STATE ELECTIONS ENFORCEMENT COMMISSION
20 Trinity Street Hartford, Connecticut 06106—1628

2013 Filing Calendar

November Town Committees
November 5, 2013 Election; September 10, 2013 Primary

General Statutes § 9-608

IMPORTANT NOTE: Effective January 1, 2012, filings sent by mail are no longer considered timely if postmarked by the filing deadline date – they must actually be received by the Commission's offices by 5:00 p.m. on the filing deadline date in order to be deemed timely.

Type of Report	Period Covered	Filing Deadline
January 10 Filing ***SEEC Form 20 REQUIRED***	**10/29/12 through 12/31/12**	**01/10/13**[1]
April 10 Filing	**01/01/13 through 03/31/13**	**04/10/13**
July 10 Filing	**04/01/13 through 06/30/13**	**07/10/13**
7th Day Preceding Primary Filing September 10, 2013 Primary	**07/01/13 through 09/01/13**	**09/03/13**[2]
October 10 Filing	**09/02/13 through 09/30/13** Committees that filed 7th Day Preceding Primary filing ---------- **07/01/13 through 09/30/13** Committees that did not file 7th Day Preceding Primary filing	**10/10/13**
7th Day Preceding Election Filing November 5, 2013 Election ***SEEC Form 20 REQUIRED***	**10/01/13 though 10/27/13**	**10/29/13**[1,3]
January 10 Filing ***SEEC Form 20 REQUIRED***	**10/28/13 through 12/31/13**	**01/10/14**[1]

Additional Filing Requirements: If your committee receives a contribution or makes an expenditure in connection with a local or regional referendum/ballot question, any other primary not listed above, or any other election not listed above (**e.g., a special election**), you are required to file a financial statement on the 7th day preceding the referendum/ballot question, primary, or election. The period covered will be the first day not covered on the last filed campaign finance disclosure statement through the 9th day preceding the referendum, primary, or election.

Which Form to File: With the exception of the filings due January 10 and the 7th day preceding the election, a town committee may use the "Short Form Campaign Finance Disclosure Statement" (SEEC Form 21) when it has not made or received contributions or expenditures in excess of $1,000 from January 1 of the calendar year through the close of the reporting period covered by the statement and has not previously filed an "Itemized Campaign Finance Disclosure Statement" (SEEC Form 20) for a period covered in the 2013 calendar year. Otherwise, they must file the SEEC Form 20.

Committees should keep in mind that if they opt to file Form 21's, they will still be required to report *all* activity since the beginning of the year when they file their first Form 20. In the case of eCRIS users filing a SEEC Form 20 after having filed one or more SEEC Form 21's, the committee will be required to: (1) amend the first SEEC Form 21 submitted in which there was activity, if any, and report that activity; (2) amend all subsequent SEEC Form 21's submitted after that initial Form 21, regardless of whether activity occurred in that particular period, so that the summary totals are properly reflected; and (3) submit the itemized statement (SEEC Form 20), covering the period laid out in the above calendar.

If the committee is not filing by eCRIS, then its first filed itemized statement (SEEC Form 20) must cover a period that begins with January 1 as the start date.

Type of Report: The "Type of Report" corresponds to the financial disclosure reports required by General Statutes § 9-608 (a).

Period Covered: The "Period Covered" means the dates for which the committee must report all of its financial activity. The period covered must include the financial activity of the committee beginning the first day not included on the last filed financial disclosure statement and must be complete through 11:59 p.m. of the date of the last day covered. For example, the April 10 filing covers all activity starting at 12:00 a.m. on January 1 and ending at 11:59 p.m. on March 31 (assuming there is no special election during this time).

Filing Deadline: The "Filing Deadline" is the last date on which the committee treasurer may submit its disclosure filing. In addition, the disclosure filing *may not be submitted* on or before the last day in the period covered. For example, the April 10 filing may not be submitted on March 31 or earlier, since it must cover the period ending on March 31. The earliest this report may be submitted is April 1. Statements filed after the applicable deadline of the filing period will be subject to a mandatory $100 civil penalty.

When and Where to File: Statements are to be filed with the State Elections Enforcement Commission only. Town committees are no longer required to file copies with their town clerks. Statements are considered timely filed if they are filed electronically via eCRIS before midnight on or before the filing deadline date or if they are ***received*** by the Commission's offices by 5:00 p.m. on or before the filing deadline date if delivered by the United States Postal Service, courier service, parcel service or hand delivery. ***NOTE: Effective January 1, 2012, filings sent by mail are no longer considered timely if postmarked by the filing deadline date – they must actually be received by the Commission's offices by 5:00 p.m. on the filing deadline date in order to be deemed timely. Committees seeking confirmation of receipt should check their committee's filing status on eCRIS's Document/Filing Search.***

Footnotes:

1. Town committees are required to use the Itemized Campaign Finance Disclosure Statement (SEEC Form 20) for the January 10 filing and the 7th Day Preceding Election filing. The Short Form Campaign Finance Disclosure (SEEC Form 21) may not be used for these filings, even if the $1,000 threshold has not been exceeded for the year. Once a committee files a Form 20, all subsequent filings must be Form 20's for the remainder of the year.

2. The 7th Day Preceding Primary filing is required to be filed by town committees that have made or received ***any*** contributions or expended ***any*** funds or incurred expenditures in connection with a primary, in any amount. The period covered ends ***two days*** before the filing deadline.

3. The 7th Day Preceding Election filing is required to be filed by ***all*** November town committees, regardless of whether or not they made contribution or expenditures in connection with an election. The period covered ends ***two days*** before the filing deadline.

If you have any questions about filing requirements, or need additional forms, have questions about how to report certain contributions and expenditures, or have questions about other campaign finance laws, please call the State Elections Enforcement Commission at (860) 256-2940 or (866) SEEC-INFO.

PLEASE NOTE: Every effort has been made to ensure that the information contained in this calendar is accurate. If any information in this calendar conflicts with the provisions of the Statutes, the Statutes shall prevail.