



**STATE OF CONNECTICUT
STATE ELECTIONS ENFORCEMENT COMMISSION
20 Trinity Street Hartford, Connecticut 06106—1628**



2013 Filing Calendar

**Candidate Committees
Organized for the May 6, 2013 Election
(March 11, 2013 Primary)**

General Statutes § 9-608

Type of Report	Period Covered	Filing Deadline
January 10 Filing	10/01/12 through 12/31/12	01/10/13
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7th Day Preceding Primary Filing March 11, 2013 Primary	01/01/13 through 03/02/13	03/04/13 ¹
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April 10 Filing	03/03/13 through 03/31/13 Committees that filed 7 th Day Preceding Primary filing ----- 01/01/13 through 03/31/13 Committees that did not file 7 th Day Preceding Primary filing	04/10/13 ^{2,3}
.....		
7th Day Preceding Election Filing May 6, 2013 Election	04/01/13 through 04/27/13	04/29/13 ⁴
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Deficit Filing Committees of candidates unsuccessful in a primary	04/28/13 through 06/02/13	06/10/13 ⁵
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Termination Filing Committees of candidates unsuccessful in a primary	04/28/13 through 06/09/13	06/17/13 ⁶
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July 10 Filing General election candidate committees	04/28/13 through 06/30/13	07/10/13 ⁷
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Deficit Filing General election candidate committees	07/01/13 through 07/28/13	08/05/13 ⁸
.....		
Termination Filing General election candidate committees	07/01/13 through 08/04/13	08/12/13 ⁹

Which Form to File: Committees may use the “Short Form Campaign Finance Disclosure Statement” (SEEC Form 21) when they have not received funds or made or incurred expenditures in excess of \$1,000 from their inception through the close of the reporting period covered by the statement and have not previously filed an “Itemized Campaign Finance Disclosure Statement” (SEEC Form 20). Otherwise, they must use the SEEC Form 20.

Type of Report: The “Type of Report” corresponds to the financial disclosure reports required by General Statutes § 9-608 (a).

Period Covered: The “Period Covered” means the dates for which the committee must report all of its financial activity. The period covered must include the financial activity of the committee beginning the first day not included on the last filed financial disclosure statement and must be complete through 11:59 p.m. of the date of the last day covered. For example, the April 10th filing for candidates not in a primary covers all activity starting at 12:00 a.m. on January 1 and ending at 11:59 p.m. on March 31. If the committee came into existence after the beginning of the period covered by the statement, then the period covered must begin on the date the committee first received funds, the date the committee first made or incurred expenditures, or the date the committee registered (whichever is earliest). Also, if the “Short Form Campaign Finance Disclosure Statement” (SEEC Form 21) was previously used, then the committee’s first filed itemized statement (SEEC Form 20) must cover a period that begins on the date the committee first received funds, the date the committee first made or incurred expenditures, or the date the committee registered (whichever is earliest).

Filing Deadline: The “Filing Deadline” is the last date on which the committee treasurer may submit his or her disclosure filing. In addition, the disclosure filing *may not be submitted* on or before the last day in the period covered. For example, the April 10th filing may not be submitted on March 31 or earlier, since it must cover the period ending on March 31. The earliest this report may be submitted is April 1. Statements filed after the applicable deadline of the filing period will be subject to a mandatory \$100 civil penalty.

When and Where to File: All candidate committees of candidates running for municipal office file disclosure statements with their local town clerk. Statements are considered timely filed if they are either postmarked by the United States Postal Service before midnight on or before the required filing deadline date or delivered by hand to the town clerk by the close of business on or before the required filing deadline date. You should contact the town clerk’s office to find out its business hours.

NOTE: Self-funded candidates who have filed a 1B exemption (and therefore do not have a candidate committee) and have made or incurred expenditures over \$1,000 in the aggregate file the SEEC Form 23 in accordance with the same schedule as that of a candidate committee.

Footnotes:

1. Only committees of candidates in a primary must file on this date.
2. Any committee of an unsuccessful candidate in the primary that has eliminated any deficit or distributed any surplus may terminate at or before this time by filing a Termination Report in lieu of this report.
3. Candidate committees of candidates in a primary are required to file a 30 days post-primary report. However, since it is due on the same day and covers the same period as the April 10 filing, such committees need only file one report and select “April 10” as the type of report.
4. This report is required of all candidate committees, including committees of candidates who were unsuccessful in the primary and have not yet terminated and those who were never on any ballot but remain open. Committees may continue to file the “Short Form Campaign Finance Disclosure Statement” (SEEC Form 21) on the 7th Day Preceding Election Filing if the \$1,000 threshold since the start of the committee has not been exceeded.
5. The committee of a candidate who was not successful in the primary, which still has a deficit as of June 9, 2013 (the 90th day following the March 11 primary) must file this report, and must thereafter file a Deficit Report on the 7th day of each month in which there was either an increase or decrease of more than \$500 as of the last day of the month preceding the filing measured against the amount of the deficit reported on the last Deficit Report. A Termination Report may be filed in lieu of a Deficit Report if the deficit has been erased and any surplus has been distributed by the last day of the month preceding the filing of a Termination Report.
6. The committee of a candidate who was not successful in the primary and which had a surplus that was not yet reported as distributed must distribute or expend its surplus by June 9, 2013 (the 90th day following the March 11th primary) and submit a Termination Filing by June 17, 2013.
7. A candidate committee that is ready to terminate at this time may file a Termination Report in lieu of this report. Keep in mind, however, that any candidate committee which has distributed its surplus by June 30, 2013 would have already filed its Termination Report because it is required to terminate within seven days of such distribution. In such instance, it would not need to submit the July 10 Filing.

8. A candidate committee of a candidate on the ballot for the May election which still has a deficit as of August 4, 2013 (the 90th day following the May 6 election) must file this report and must thereafter file a Deficit Report on the 7th day of each month in which there was either an increase or decrease of more than \$500 as of the last day of the month preceding the filing measured against the amount of the deficit reported on the last Deficit Report. A Termination Report may be filed in lieu of a Deficit Report if the deficit has been erased and any surplus has been distributed by the last day of the month preceding the filing of a Termination Report.
 9. A candidate committee of a candidate on the ballot for the May election must distribute or expend any surplus by August 4, 2013 and submit a Termination Filing by August 12, 2013. ***Keep in mind that any candidate committee which has distributed its surplus before August 4, 2013 must terminate within seven days of such distribution and would have already submitted its Termination Filing.***
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If you have any questions about filing requirements, or need additional forms, have questions about how to report certain contributions and expenditures, or have questions about other campaign finance laws, please call the State Elections Enforcement Commission at (860) 256-2940 or (866) SEEC-INFO.

PLEASE NOTE: Every effort has been made to ensure that the information contained in this calendar is accurate. If any information in this calendar conflicts with the provisions of the Statutes, the Statutes shall prevail.