STATE OF CONNECTICUT
STATE ELECTIONS ENFORCEMENT COMMISSION
20 Trinity Street Hartford, Connecticut 06106—1628

2013 Filing Calendar

Candidate Committees
Organized for the June 11, 2013 Special Election
State Representative in the 53rd District

General Statutes § 9-608

IMPORTANT NOTE: Effective January 1, 2012, filings sent by mail are no longer considered timely if postmarked by the filing deadline date – they must actually be *received* by the Commission's offices by 5:00 p.m. on the filing deadline date in order to be deemed timely.

Type of Report	Period Covered	Filing Deadline
7th Day Preceding Election Filing	creation of committee through 06/02/13	06/04/13
Deficit Filing	06/03/13 through 09/02/13	09/09/13[1]
Termination Filing Candidate committees that did not receive notice of a SEEC Audit	06/03/13 through 09/09/13	09/16/13[2]
Termination Filing Candidate committees that received notice of a SEEC Audit	06/03/13 through 10/09/13	10/16/13[2]

In light of Public Act 13-180, candidate committees in a special election are no longer required to file the quarterly statements due in January, April, July, and October. The calendar has been adjusted accordingly.

Which Form to File: Committees may use the "Short Form Campaign Finance Disclosure Statement" (SEEC Form 21) when they have not received funds or made or incurred expenditures in excess of $1,000 from their inception through the close of the reporting period covered by the statement and have not previously filed an "Itemized Campaign Finance Disclosure Statement" (SEEC Form 30). Otherwise, they must use the SEEC Form 30.

Committees should keep in mind that if they opt to file Form 21s, they will still be required to report *all* activity since inception if and when they file their first Form 30. In the case of eCRIS users filing a SEEC Form 30 after having filed one or more SEEC Form 21s, the committee will be required to: (1) amend the first SEEC Form 21 submitted in which there was activity, if any, and report that activity; (2) amend all subsequent SEEC Form 21s submitted after that initial Form 21, regardless of whether activity occurred in that particular period, so that the summary totals are properly reflected; and (3) submit the itemized statement (SEEC Form 30), covering the period laid out in the above calendar.

If the committee is not filing by eCRIS, then its first filed itemized statement (SEEC Form 30) must cover a period that begins on the date the committee first received funds, the date the committee first made or incurred expenditures, or the date the committee registered (whichever is earliest).

Candidates participating in the Citizens' Election Program must use the SEEC Form 30 when applying for a grant and therefore are recommended to use SEEC Form 30 from the outset of their committee, even if they have not reached the $1,000 threshold at the time of filing.

Type of Report: The "Type of Report" corresponds to the financial disclosure reports required by General Statutes § 9-608 (a).

Period Covered: The "Period Covered" means the dates for which the committee must report all of its financial activity. The period covered must include the financial activity of the committee beginning the first day not included on the last filed financial disclosure statement and must be complete through 11:59 p.m. of the date of the last day covered. For example, the seventh day preceding the election filing covers all activity starting at 12:00 a.m. on the date the committee first received funds, the date the committee first made or incurred expenditures, or the date the committee registered (whichever is earliest) and ending at 11:59 p.m. on June 2. Also, if the "Short Form Campaign Finance Disclosure Statement" (SEEC Form 21) was previously used, please see above discussion in "Which Form to File."

Filing Deadline: The "Filing Deadline" is the last date on which the committee treasurer may submit his or her disclosure filing. In addition, the disclosure filing *may not be submitted* on or before the last day in the period covered. For example, the seventh day preceding the election filing may not be submitted on June 2 or earlier, since it must cover the period ending on June 2. The earliest this report may be submitted is June 3. Statements filed after the applicable deadline of the filing period will be subject to a mandatory $100 late filing fee.

When and Where to File: Statements are to be filed with the State Elections Enforcement Commission only. Statements are considered timely filed if they are filed electronically via eCRIS before midnight on or before the filing deadline date or if they are ***received*** by the Commission's offices by 5:00 p.m. on or before the filing deadline date if delivered by the United States Postal Service, courier service, parcel service or hand delivery. ***NOTE: Effective January 1, 2012, filings sent by mail are no longer considered timely if postmarked by the filing deadline date – they must actually be received by the Commission's offices by 5:00 p.m. on the filing deadline date in order to be deemed timely. Committees seeking confirmation of receipt should check their committee's filing status on eCRIS's Document/Filing Search*** **on the Commission's website.**

NOTE: Self-funded candidates who have filed a 1B exemption (and therefore do not have a candidate committee) and have made or incurred expenditures over $1,000 in the aggregate file the SEEC Form 23 in accordance with the same schedule as that of a candidate committee.

Footnotes:

1. A candidate committee of a candidate on the ballot for the June special election which still has a deficit as of September 2, 2013 must file this report on September 9, 2013 (the 90th day following the election) and must thereafter file a Deficit Report on the 7th day of each month in which there was either an increase or decrease of more than $500 as of the last day of the month preceding the filing measured against the amount of the deficit reported on the last Deficit Report. A Termination Report may be filed in lieu of a Deficit Report if the deficit has been erased and any surplus has been distributed by the last day of the month preceding the filing of a Termination Report.
2. **Note: There are now two different termination deadlines which apply to committees of candidates on the June special election ballot depending on whether the committee has been notified of a SEEC Audit.** A candidate committee which has **not** been notified that it will be audited by Commission staff must distribute or expend its surplus by September 9, 2013 and file a Termination Report within seven days of its surplus distribution, no later than September 16, 2013. A committee which has been notified of a Commission audit must distribute or expend its surplus by October 9, 2013 and file a Termination Report within seven days of its surplus distribution, no later than October 16, 2013. Since such committees are open through September, they must also file the October quarterly filing as well (they may choose to submit one filing on October 10, 2013 covering through October 9, 2013, which would cover the filing periods of both filings). Keep in mind that any candidate committee which has distributed its surplus before these surplus deadlines must terminate within seven days of such distribution. Accordingly, such committee would have already filed its Termination Report.

If you have any questions about filing requirements, or need additional forms, have questions about how to report certain contributions and expenditures, or have questions about other campaign finance laws, please call the State Elections Enforcement Commission at (860) 256-2940 or (866) SEEC-INFO.

PLEASE NOTE: Every effort has been made to ensure that the information contained in this calendar is accurate. If any information in this calendar conflicts with the provisions of the Statutes, the Statutes shall prevail.