



**STATE OF CONNECTICUT
STATE ELECTIONS ENFORCEMENT COMMISSION
20 Trinity Street Hartford, Connecticut 06106—1628**



2012 Filing Calendar

**Candidate Committees
Organized for the August 21, 2012 Special Election
Judge of Probate in the 4th District
(June 26, 2012 Primary)**

General Statutes § 9-608

IMPORTANT NOTE: Effective January 1, 2012, filings sent by mail are no longer considered timely if postmarked by the filing deadline date – they must actually be received by the Commission’s offices by 5:00 p.m. on the filing deadline date in order to be deemed timely.

Type of Report	Period Covered	Filing Deadline
April 10 Filing	Creation of committee through 03/31/12	04/10/12
7th Day Preceding Primary Only committees of candidates in a primary	04/01/12 through 06/17/12	06/19/12
July 10 Filing	04/01/12 through 06/30/12 Committees that did not file 7 th Day Preceding Primary filing ----- 06/18/12 through 06/30/12 Committees that filed 7 th Day Preceding Primary filing	07/10/12^{1,2}
7th Day Preceding Election All open committees (including committees of candidates unsuccessful in the primary that remain open)	07/01/12 through 08/12/12	08/14/12¹
Deficit Filing Only committees of candidates unsuccessful in primary	08/13/12 through 09/17/12	09/24/12³
Termination Filing Only committees of candidates unsuccessful in primary	08/13/12 through 09/24/12	10/01/12⁴
October 10 Filing	08/13/12 through 09/30/12	10/10/12⁵
Deficit Filing General election candidate committees	10/01/12 through 11/12/12	11/19/12⁶
Termination Filing General election candidate committees	10/01/12 through 11/19/12	11/26/12⁷

These filings cover candidate committees organized for the August 21, 2012 special election for Judge of Probate in the 4th District.

Committees must use “Itemized Campaign Finance Disclosure Statement” (SEEC Form 20) if they have received funds or made or incurred expenditures in excess of \$1,000. Committees may use the “Short Form Campaign Finance Disclosure Statement” (SEEC Form 21) if they have not received funds or made or incurred expenditures in excess of \$1,000 from their inception through the close of the reporting period covered by the statement and have not previously filed an “Itemized Campaign Finance Disclosure Statement” (SEEC Form 20).

NOTE: Self-funded candidates who have claimed an exemption from forming a candidate committee and have made or incurred expenditures over \$1,000 in the aggregate must file the SEEC Form 23 in accordance with the same schedule as that of a candidate committee.

The “Type of Report” corresponds to the financial disclosure reports required by General Statutes § 9-608 (a).

The “Period Covered” means the dates for which the committee must report all of its financial activity. The period covered must include the financial activity of the committee beginning the first day not included on the last filed financial disclosure statement and must be complete through 11:59 p.m. of the date of the last day covered. For example, the April 10th filing covers all activity starting at 12:00 a.m. on January 1 and ending at 11:59 p.m. on March 31. If the committee came into existence after the beginning of the period covered by the statement, then the period covered must begin on the date the committee first received funds, the date the committee first made or incurred expenditures, or the date the committee registered (whichever is earliest). Also, if the “Short Form Campaign Finance Disclosure Statement” (SEEC Form 21) was previously used, then the committee’s first filed itemized statement (SEEC Form 20) must cover a period that begins with the date the committee first received funds, the date the committee first made or incurred expenditures, or the date the committee registered (whichever is earliest).

The “Filing Deadline” is the last date on which the committee treasurer may submit his or her disclosure report. In addition, the disclosure report *may not be submitted* on or before the last day in the period covered. For example, the April 10th filing may not be submitted on March 31 or earlier, since it must cover the period ending on March 31. The earliest this report can be submitted is April 1. Statements filed after the applicable deadline for the filing period will be subject to a mandatory \$100 civil penalty.

Statements are considered timely filed if they are filed electronically via eCRIS by 11:59 p.m. on or before the filing deadline date or if they are **received** by the Commission’s offices by 5:00 p.m. on or before the filing deadline date if delivered by the United States Postal Service, courier service, parcel service or hand delivery. ***NOTE: Effective January 1, 2012, filings sent by mail are no longer considered timely if postmarked by the filing deadline date – they must actually be received by the Commission’s offices by 5:00 p.m. on the filing deadline date in order to be deemed timely. Committees seeking confirmation of receipt should check their committee’s filing status on eCRIS.**

Footnotes:

1. Any committee of a candidate who was not successful in the primary that has eliminated any deficit or distributed any surplus may terminate at or before this time by filing a Termination Report in lieu of this report. Keep in mind, however, that any committee which has distributed its surplus on or before the last day of the prior month would have already filed its Termination Report because it is required to terminate **within seven days of distribution**. Such a committee that has already filed a termination statement would therefore not need to file this quarterly report.
2. Treasurers may notice the 30 Days Following the Primary filing is not listed on this calendar. This is because the July 10 filing covers up through June 30, the period covered by the 30 Days Following the Primary filing.
3. The committee of a candidate who was not successful in the primary, which still has a deficit as of September 24, 2012 (the 90th day following the June 26, 2012 primary) must file this report and must thereafter file a Deficit Report on the 7th day of each month in which there was either an increase or decrease of more than \$500 as of the last day of the month preceding the filing measured against the amount of the deficit reported on the last Deficit Report.
4. The committee of a candidate who was not successful in the primary and which has a surplus must distribute or expend its surplus by September 24, 2012 (the 90th day following the June 26, 2012 primary) and must file a Termination Report within seven days of its surplus distribution, no later than October 1, 2012. **Any committee which has distributed its surplus before September 24, 2012 must terminate within seven days of such distribution.** Accordingly, such committee would have already filed its Termination Report and need not file at this time.

5. A candidate committee that is ready to terminate at this time may file a Termination Report in lieu of this report. Keep in mind, however, that any committee which has distributed its surplus on or before the last day of the prior month would have already filed its Termination Report because it is required to terminate **within seven days of distribution**. Such a committee that has already filed a termination statement would therefore not need to file this quarterly report.
 6. Any committee of a candidate on the ballot for August 21, 2012 special election which still has a deficit as of November 19, 2012 (the 90th day following the August 21, 2012 special election) must file this report and must thereafter file a Deficit Report on the 7th day of each month in which there was either an increase or decrease of more than \$500 as of the last day of the month preceding the filing measured against the amount of the deficit reported on the last Deficit Report.
 7. Any committee of a candidate on the ballot for the August 21, 2012 special election which has a surplus must distribute or expend its surplus by November 19, 2012 and must file a Termination Report within seven days of its surplus distribution, no later than November 26, 2012. ***Any committee which has distributed its surplus before November 19, 2012 must terminate within seven days of such distribution.*** Accordingly, such committee would have already filed its Termination Report and need not file at this time.
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If you have any questions about filing requirements, or need additional forms, have questions about how to report certain contributions and expenditures, or have questions about other campaign finance laws, please call the State Elections Enforcement Commission at (860) 256-2940 or (866) SEEC-INFO.

PLEASE NOTE: Every effort has been made to ensure that the information contained in this calendar is accurate. If any information in this calendar conflicts with the provisions of the Statutes, the Statutes shall prevail.