



**Citizens' Election Program
August 10, 2010 Gubernatorial Primary
Supplemental Campaign Finance Disclosure**

**Ninety Percent (90%) Receipt or Expenditure Trigger:
Initial Supplemental Statement**

If *any* candidate in a gubernatorial primary campaign with at least one participating candidate receives contributions, loans or other funds or makes or incurs expenditures exceeding 90% of the participant's applicable expenditure limit for that campaign (\$1,500,000), the campaign treasurer must file an initial supplemental campaign finance statement [SEEC Form 30] within **48 or 24 hours**, as explained below.

The 90% trigger amount for the August 10, 2010 gubernatorial primary is \$1,350,000.

Important Note: A participating candidate's *receipt of the required amount of qualifying contributions and any public grant* does **not** trigger the initial supplemental campaign finance statement. However, ***the participating candidate's aggregate expenditures made or obligated to be made exceeding 90% (\$1,350,000) will trigger the initial supplemental campaign finance statement.***

For "Type of Report" (#9 of the SEEC Form 30 Summary Page), the campaign treasurer should check the box for "Initial Supplemental Statement" and check the sub-box for "Primary." If filing using the Commission's electronic campaign finance reporting system [eCRIS], select the report titled "Initial Supplemental Statement Primary."

The period covered in the initial supplemental statement must include all financial activity of the candidate committee beginning the first day not covered in the last disclosure statement filed by the campaign, and ending as of midnight of the day that the 90% receipt is received or the 90% expenditures are made or incurred. Initial supplemental campaign finance statements must be ***filed with and received by*** the Commission no later than 48 hours (if before July 21) or 24 hours (on or after July 21) after the 90% triggering receipt or expenditure.

Important Note: If a candidate in a race including a participating candidate has received funds or made or incurred expenditures exceeding 90% of the applicable expenditure limit before it is known whether or not there will be a primary, the deadline to file the initial supplemental statement is 48 hours after midnight on the day that the Office of the Secretary of the State receives a filing establishing that such a primary will occur. If a candidate in a primary campaign has received funds or made or incurred expenditures exceeding 90% of the applicable expenditure limit after it has been determined that a primary will occur but before any opponent has filed a SEEC Form CEP 10, the deadline to file the initial supplemental statement is 48 or 24 hours after midnight of the day the SEEC receives a SEEC Form CEP 10 from a candidate in that race.

Important Note: ***Expenditures incurred*** must be reported as of the time they are incurred. The obligation to report expenses incurred arises when the committee enters into a written contract, promise or agreement to make an expenditure or when goods or services are delivered. If a committee incurs an expense but will not know the actual cost until it receives an invoice at a later date, it should still report the expenditure incurred in the period in which it was incurred and provide a good faith ***estimate*** of the amount.

Example: A committee's treasurer orders campaign stationary on September 30, 2010. Because September 30 is also the end date for the period covered in the committee's next quarterly disclosure statement, the treasurer reports in Section Q the amount of the stationary order as an expenditure incurred but not yet paid.



Bi-Weekly or Weekly Thursday Supplemental Statements

After any campaign treasurer files an initial 90% statement, all treasurers of ***all candidates in that race, regardless of the committee's own level of receipts or expenditures*** must file bi-weekly or weekly Thursday supplemental statements [SEEC Form 30, or SEEC Form 21 if applicable] with the Commission. The Commission will notify candidates in the race of the filing obligation and provide a filing calendar.

For "Type of Report" (#9 of the SEEC Form 30 Summary Page), the campaign treasurer should check the box for "Weekly Supplemental Statement" and check the sub-box for "Primary." If filing using the Commission's eCRIS, select the report titled "First Weekly Supplemental Statement Primary."

Each supplemental statement must include all financial activity of the candidate committee beginning the first day not covered by the prior disclosure statement, and ending as of midnight on the Wednesday preceding the Thursday deadline. The weekly or bi-weekly Thursday supplemental statements must be ***filed with and received by*** the Commission no later than 4:30 p.m. on business days if filed by hand delivery, or by midnight if filed by facsimile transmission to the Commission's dedicated fax number for supplemental campaign finance statements (860-256-2984), electronic mail transmission to the Commission's dedicated electronic mail address for supplemental campaign finance statements (SEEC.eCris.Info@ct.gov) or by using eCRIS.

If the Initial Supplemental Statement Is Filed before July 4, 2010:

- The first periodic supplemental statement is due the second Thursday after the filing date of the Initial Supplemental Statement ("90% statement").

Subsequent supplemental statements are due every other Thursday (bi-weekly), *until July 4, 2010*. Beginning the week of July 4, 2010, all candidates who have filed bi-weekly reports must begin to file ***weekly*** Thursday reports up to and including Thursday, August 5, 2010 (which is the final Thursday before the Primary).

If the Initial Supplemental Statement Is Filed on or after July 4, 2010

The first periodic supplemental statement is due the first Thursday following the *later* date described below:

- The first Thursday following the July 10 quarterly campaign disclosure filing date (July 15, 2010); or
- The first Thursday following the filing date of the initial 90% supplemental statement.

Subsequent ***weekly*** supplemental statements are due every Thursday, up to and including Thursday, August 5, 2010 (which is the final Thursday before the primary date).



Declaration of Excess Receipts or Expenditures

“Excess receipts or expenditures” are contributions, loans or other funds received, or an expenditure made, or obligated to be made, by any candidate in a primary or general election that includes a participating candidate, which in the aggregate exceeds 100%, 125%, 150% or 175% of the applicable expenditure limit for a participating major party candidate in that race.

In the August 10, 2010 gubernatorial primary, the applicable expenditure limit is **\$1,500,000** -- the sum of the amount of the full primary grant plus the amount of required qualifying contributions (\$1,250,000 + \$250,000 = \$1,500,000). If a candidate committee in this primary receives contributions, loans or other funds or makes or incurs an expenditure exceeding **\$1,500,000, \$1,875,000, \$2,250,000, or \$2,625,000**, the campaign treasurer of such candidate’s committee must file a “Declaration of Excess Receipts or Expenditures” [SEEC Form 30] with the Commission.

If a candidate committee receives excess funds or makes or incurs excess expenditures *before July 21, 2010*, the campaign treasurer must file a declaration of excess receipts or expenditures within **48 hours** of receiving the excess funds or making or incurring the obligation to make the expenditure. If a candidate committee receives excess funds or makes or incurs an excess expenditure *on or after July 21, 2010*, the campaign treasurer must file a declaration of excess receipts or expenditures within **24 hours** of receiving the excess funds or making or incurring the obligation to make the expenditures.

For “Type of Report” (#9 of the SEEC Form 30 Summary Page), the campaign treasurer should check the box for “Declaration of Excess Receipts or Expenditures” and check the sub-box for “Primary.” If filing using eCRIS, select the report titled “Declaration of Excess Expenditures Primary.”

Each declaration of excess receipts or expenditures must include all financial activity of the candidate committee beginning the first day not covered in the last-filed disclosure statement, and ending as of midnight of the day that the excess receipt is received or excess expenditure is made or incurred.

Important Note: Nonparticipating candidates are not required to follow the Program’s expenditure limits; however, *participating* candidates are required to follow the Program’s expenditure limits. Accordingly, participating candidates should not receive excess receipts or make excess expenditures. **A participating candidate and campaign treasurer of a candidate committee that received public funds are subject to various penalties if the participating candidate receives excess receipts or makes or incurs an obligation to make excess expenditures.**

Important Note: If a candidate receives funds or makes or incurs expenditures that exceed the 90% receipt or expenditure trigger and also exceed an excess receipt or expenditure triggers (100%, 125%, 150%, or 175%) at the same time, the committee should file a declaration of excess receipts or expenditures disclosure, which will fulfill the requirement to file an initial supplemental disclosure and will trigger the requirement for all other candidates in the race to file the bi-weekly or weekly Thursday statements.

If any campaign has questions about the supplemental disclosure requirements, please contact your Elections Officer for assistance.