STATE ELECTIONS ENFORCEMENT COMMISSION REVISED CONTRIBUTION LIMITS & RESTRICTIONS

CHART 1 - INDIVIDUALS AND COMMITTEES
April 2017

| | | Contributor Sources | | | | | | | | | |
|------------------------------------|---|-------------------------|-------------------------------------|-------------------|--|---|--|--|---|-------------------------------|--------------------------------------|
| | | Continuator Sources | | | | | | | | | |
| | Committee Type (received by) | Individual ¹ | State Central Party Committee | Town Committee | Political Committee 2 or more Individuals | Political Committee Business Entity ¹ | Political Committee Labor Organization ¹ | Political Committee Established for single election ¹ | Legislative Leadership or Caucus Committee | Business Entity | Referendum Political Committee |
| NON PARTICIPATING CANDIDATES | Candidate (Governor) ² | 3,500 | 50,000 | 7,500 | 5,000 | 5,000 | 5,000 | 5,000 | PROHIBITED | PROHIBITED | PROHIBITED |
| | Candidate (Lt. Gov., SOTS, Treasurer, Comptroller, AG) ² | 2,000 | 35,000 | 5,000 | 3,000 | 3,000 | 3,000 | 3,000 | PROHIBITED | PROHIBITED | PROHIBITED |
| | Candidate (State Senator) ² | 1,000 | 10,000 | 5,000 | 1,500 | 1,500 | 1,500 | 1,500 | 10,000 | PROHIBITED | PROHIBITED |
| | Candidate (State Representative) ² | 250 | 5,000 | 3,000 | 750 | 750 | 750 | 750 | 5,000 | PROHIBITED | PROHIBITED |
| PARTICIPATING | Candidate (Governor) 3 | 100 | PROHIBITED | PROHIBITED | PROHIBITED | PROHIBITED | PROHIBITED | PROHIBITED | PROHIBITED | PROHIBITED | PROHIBITED |
| | Candidate (Lt. Gov., SOTS, Treasurer, Comptroller, AG) 3 | 100 | PROHIBITED | PROHIBITED | PROHIBITED | PROHIBITED | PROHIBITED | PROHIBITED | PROHIBITED | PROHIBITED | PROHIBITED |
| CANDIDATES | Candidate (State Senator) 3 | 100 | PROHIBITED | PROHIBITED | PROHIBITED | PROHIBITED | PROHIBITED | PROHIBITED | PROHIBITED | PROHIBITED | PROHIBITED |
| | Candidate (State Representative) 3 | 100 | PROHIBITED | PROHIBITED | PROHIBITED | PROHIBITED | PROHIBITED | PROHIBITED | PROHIBITED | PROHIBITED | PROHIBITED |
| | Exploratory Committee (Statewide Office and/or General | | | | | | | | | | |
| | Assembly - not including State Rep.) 4 | 375 | 375 | 375 | 375 | 375 | 375 | 375 | PROHIBITED | PROHIBITED | PROHIBITED |
| | Exploratory Committee (Statewide Office and/or General | | | | | | | | | | |
| | Assembly - including State Rep.) 4 | 250 | 375 | 375 | 375 | 375 | 375 | 375 | PROHIBITED | PROHIBITED | PROHIBITED |
| | Candidate (Probate Judge) ² | 1,000 | 10,000 | 3,000 | 1,500 | 1,500 | 1,500 | 1,500 | PROHIBITED | PROHIBITED | PROHIBITED |
| | Candidate (Chief Executive Officer of Town) ² | 1,000 | 10,000 | 3,000 | 1,500 | 1,500 | 1,500 | 1,500 | PROHIBITED | PROHIBITED | PROHIBITED |
| | Candidate (All other Municipal Offices) 2 | 250 | 5,000 | 1,500 | 375 | 375 | 375 | 375 | PROHIBITED | PROHIBITED | PROHIBITED |
| | Candidate (Town Committee Primary) ⁵ | UNLIMITED | UNLIMITED | UNLIMITED | UNLIMITED | UNLIMITED | UNLIMITED | UNLIMITED | PROHIBITED | UNLIMITED | PROHIBITED |
| | Exploratory committee (Municipal Office Only) | 375 | 375 | 375 | 375 | 375 | 375 | 375 | PROHIBITED | PROHIBITED | PROHIBITED |
| | Political Slate Committee (Municipal Office Only) | 1,000/yr | 2,500/yr | 1,500/yr | 2,000/yr | 2,000/yr | 2,000/yr | 2,000 | PROHIBITED | PROHIBITED | PROHIBITED |
| | Political Slate Committee (Town Committee Primary) 5 | UNLIMITED | UNLIMITED | UNLIMITED | UNLIMITED | UNLIMITED | UNLIMITED | UNLIMITED | PROHIBITED | UNLIMITED | PROHIBITED |
| | Party Committee (Town) | 2,000/yr | UNLIMITED | UNLIMITED | 1,500/yr | 1,500/yr | 1,500/yr | 1,500/yr | PROHIBITED | PROHIBITED | PROHIBITED |
| | Party Committee (State Central) | 10,000/yr | UNLIMITED | UNLIMITED | 7,500/yr | 7,500/yr | 7,500/yr | 7,500/yr | 10,000/yr | PROHIBITED | PROHIBITED |
| | Legislative Caucus or Leadership Committee | 2,000/yr | 10,000/yr | 2,000/yr | 2,000/yr | 2,000/yr | 2,000/yr | 2,000 | PROHIBITED | PROHIBITED | PROHIBITED |
| | Traditional Political Committee (2 or more Individuals) | 1,000/yr | 2,500/yr | 1,500/yr | 2,000/yr | 2,000/yr | 2,000/yr | 2,000 | PROHIBITED | PROHIBITED | PROHIBITED |
| | Traditional Political Committee (Business Entity) | 1,000/yr | 2,500/yr | 1,500/yr | 2,000/yr | 2,000/yr | 2,000/yr | 2,000 | PROHIBITED | PROHIBITED | PROHIBITED |
| | Traditional Political Committee (Organization) | 750/yr ⁶ | PROHIBITED | PROHIBITED | 2,000/yr | 2,000/yr | 2,000/yr | 2,000 | PROHIBITED | PROHIBITED | PROHIBITED |
| | Referendum Committee | UNLIMITED | UNLIMITED | UNLIMITED | 2,000/yr | 2,000/yr | UNLIMITED | 2,000 | PROHIBITED | \$.10 / Resident ⁷ | PROHIBITED |
| | National Committee of a Political Party 8 | SEE NOTE 8 | UNLIMITED | UNLIMITED | UNLIMITED | UNLIMITED | UNLIMITED | PROHIBITED | PROHIBITED | SEE NOTE 8 | PROHIBITED |
| | Federal or Out of State Candidate Committee 8 | SEE NOTE 8 | UNLIMITED | UNLIMITED | UNLIMITED | UNLIMITED | UNLIMITED | PROHIBITED | PROHIBITED | SEE NOTE 8 | PROHIBITED |

STATE ELECTIONS ENFORCEMENT COMMISSION REVISED CONTRIBUTION LIMITS & RESTRICTIONS

CHART 2 - SPECIAL DONOR RESTRICTIONS
April 2017

| | | Contributor Sources | | | | | | | | | |
|------------------------------------|---|---|--|---|--|---|--|---------------------------------|--|--|--|
| | | Contributor Sources | | | | | | | | | |
| | Committee Type (received by) | Communicator Lobbyist (Individual) ⁹ | State Contractor, Prospective State Contractor, Principal of State Contractor, Principal of Prospective State Contractor (Individual) 12 | Principal of Prequalified State Contractor (Individual) | Certain Executive Branch Agency Officials and Employees | Certain Constitutional Officer Agency Officials and Employees | Certain Legislative Branch Caucus Staff | Minor Children ²⁷ | Communicator Lobbyist (Political Committee) | Principal of State Contractor or Prospective State Contractor (Political Committee) | |
| NON PARTICIPATING CANDIDATES | Candidate (Governor) ² | 100 ¹⁰ | PROHIBITED 13 | PROHIBITED | 100 ¹⁶ | 3,500 | 3,500 | 30 | 100 ¹⁰ | PROHIBITED 24 | |
| | Candidate (Lt. Governor) 2 | 100 ¹⁰ | PROHIBITED 13 | PROHIBITED | 100 ¹⁶ | 2,000 | 2,000 | 30 | 100 ¹⁰ | PROHIBITED 24 | |
| | Candidate (SOTS, Treasurer, Comptroller, AG) ² | 100 ¹⁰ | PROHIBITED 13 | PROHIBITED | 2,000 | 100 ¹⁸ | 2,000 | 30 | 100 ¹⁰ | PROHIBITED 24 | |
| | Candidate (State Senator) ² | 100 ¹⁰ | PROHIBITED 14 | PROHIBITED | 1,000 | 1,000 | 100 ²⁰ | 30 | 100 ¹⁰ | PROHIBITED 25 | |
| | Candidate (State Representative) ² | 100 ¹⁰ | PROHIBITED 14 | PROHIBITED | 250 | 250 | 100 ²⁰ | 30 | 100 ¹⁰ | PROHIBITED ²⁵ | |
| PARTICIPATING CANDIDATES | Candidate (Governor) 3 | 100 ¹⁰ | PROHIBITED 15 | PROHIBITED | 100 | 100 | 100 | 30 ²⁷ | PROHIBITED | PROHIBITED 24 | |
| | Candidate (Lt. Governor) 3 | 100 ¹⁰ | PROHIBITED 15 | PROHIBITED | 100 | 100 | 100 | 30 ²⁷ | PROHIBITED | PROHIBITED 24 | |
| | Candidate (SOTS, Treasurer, Comptroller, AG) 3 | 100 ¹⁰ | PROHIBITED 15 | PROHIBITED | 100 | 100 | 100 | 30 ²⁷ | PROHIBITED | PROHIBITED 24 | |
| | Candidate (State Senator) 3 | 100 ¹⁰ | PROHIBITED 15 | PROHIBITED | 100 | 100 | 100 | 30 ²⁷ | PROHIBITED | PROHIBITED 25 | |
| | Candidate (State Representative) ³ | 100 ¹⁰ | PROHIBITED 15 | PROHIBITED | 100 | 100 | 100 | 30 ²⁷ | PROHIBITED | PROHIBITED 25 | |
| | Exploratory Committee (Statewide Office and/or General Assembly - not including State Rep.) 4 | 100 10, 11 | PROHIBITED ^{13, 14} | PROHIBITED | 375 | 375 | 375 | 30 | 100 10, 11 | PROHIBITED ^{24, 25} | |
| | Exploratory Committee (Statewide Office and/or General Assembly - including State Rep.) 4 | 100 10, 11 | PROHIBITED 13, 14 | PROHIBITED | 250 | 250 | 250 | 30 | 100 10, 11 | PROHIBITED ^{24, 25} | |
| | Candidate (Probate Judge) ² | 1,000 | 1,000 | 1,000 | 1,000 | 1,000 | 1,000 | 30 | 1,500 | 1,500 | |
| | Candidate (Probate Judge) Candidate (Chief Executive Officer of Town) ² | 1,000 | 1,000 | 1,000 | 1,000 | 1,000 | 1,000 | 30 | 1,500 | 1,500 | |
| | Candidate (Chief Executive Officer of Town) Candidate (All other Municipal Offices) ² | 250 | 250 | 250 | 250 | 250 | 250 | 30 | 375 | 375 | |
| | Candidate (Town Committee Primary) 5 | UNLIMITED | UNLIMITED | UNLIMITED | UNLIMITED | UNLIMITED | UNLIMITED | UNLIMITED | UNLIMITED | UNLIMITED | |
| | Exploratory committee (Municipal Office Only) | 375 | 375 | 375 | 375 | 375 | 375 | 30 | 375 | 375 | |
| | Political Slate Committee (Municipal Office Only) | 1,000/yr | 1,000/yr | 1,000/yr | 1,000/yr | 1,000/yr | 1,000/yr | 30 | 2,000/yr | 2,000/yr | |
| | Political Slate Committee (Town Committee Primary) ² | UNLIMITED | UNLIMITED | UNLIMITED | UNLIMITED | UNLIMITED | UNLIMITED | UNLIMITED | UNLIMITED | UNLIMITED | |
| | Party Committee (Town) | 100 | PROHIBITED | PROHIBITED | 2,000/yr | 2,000/yr | 2,000/yr | 30/yr | 100 ⁹ | PROHIBITED | |
| | Party Committee (State Central) | 100 | PROHIBITED | PROHIBITED | 10,000/yr | 10,000/yr | 10,000/yr | 30/yr | 100 ⁹ | PROHIBITED | |
| | Legislative Caucus or Leadership Committee | 100 ¹⁰ | PROHIBITED 14 | PROHIBITED ²³ | 2,000/yr | 2,000/yr | 100/yr | 30/yr | 100 ⁹ | PROHIBITED ²⁵ | |
| | Traditional Political Committee (2 or more Individuals) | 100 22, 28 | PROHIBITED ^{13, 14} | PROHIBITED ²³ | 100/yr ^{16, 17} | 100/yr ^{18, 19} | 100/yr ^{20, 21} | 30/yr | 100 ^{26, 28} | PROHIBITED 24, 25 | |
| | Traditional Political Committee (Business Entity) | 1,000/yr ²⁸ | PROHIBITED 13, 14 | PROHIBITED 23 | 1,000/yr | 1,000/yr | 1,000/yr | 30/yr | 2,000/yr ²⁸ | PROHIBITED 24, 25 | |
| | Traditional Political Committee (Organization) | 750/yr ^{6, 28} | PROHIBITED 6, 13, 14 | PROHIBITED 6, 23 | 750/yr ⁶ | 750/yr ⁶ | 750/yr ⁶ | 30/yr ⁶ | 2,000/yr ²⁸ | PROHIBITED ^{24, 25} | |
| | Referendum Committee | UNLIMITED | UNLIMITED | UNLIMITED | UNLIMITED | UNLIMITED | UNLIMITED | 30/yr | UNLIMITED | UNLIMITED | |

STATE ELECTIONS ENFORCEMENT COMMISSION REVISED CONTRIBUTION LIMITS & RESTRICTIONS FOOTNOTES

¹ For special donor restrictions, see Chart 2.

² Contribution limits to nonparticipating statewide office and General Assembly, judge of probate and municipal candidate committees apply separately to primaries and elections. Contributions received on or before day of primary are counted toward primary; contributions received after primary are counted toward election. Candidate must compete in primary for separate primary limit to apply and in election for separate election limit to apply.

³ Statewide office and General Assembly candidates participating in the voluntary Citizens' Election Program, which provides public financing to qualified candidates, agree to receive contributions from individual human beings between \$5 and \$100 and not contributions from party committees or political committees.

⁴ Exploratory candidates who are considering participating in the CEP may begin raising potential qualifying contributions (which must be from individuals and no more than \$100) while in exploratory committee.

⁵ Candidates in a primary for town committee member are not subject to contribution limits or restrictions set forth in Sections 9-611 to 9-620 of the Connecticut General Statutes, unless they have designated a town committee as their sole funding source (in which case, the contribution limits to a town committee apply).

⁶ Individual contributions may only be made by members of the organization provided the organization has elected to fund its political committee from voluntary member contributions. If the organization elects to fund its political committee through the organization's treasury, then it may not receive contributions from individuals.

⁷ Business entities, trade and professional associations, labor unions, and other organizations may contribute up to 10 cents per individual residing in the state or political subdivision in which such referendum question is to be voted upon.

⁸ Limitations are subject to federal law.

⁹ A communicator lobbyist is an individual who receives or agrees to receive \$3,000 or more in a calendar year for lobbying. The limits also apply to the spouse and dependent children of a communicator lobbyist.

¹⁰ During any regular legislative session or during special sessions or vote-override sessions in odd-numbered years, such contributions are prohibited.

¹¹ Reduced limit applies to exploratory committee of a candidate considering Governor, Lt. Governor, Secretary of the State, Comptroller, Treasurer, Attorney General, State Senator and/or State Representative. For other offices, \$375 limitation applies.

¹² If state contractor has a contract valued at \$50,000 or more with the state or a single state or quasi-public agency in the **executive** branch, or separate contracts valued at \$100,000 or more in a calendar year with multiple state or quasi-public agencies in the executive branch, then its principals are prohibited from contributing to candidate or exploratory committees for the offices of Governor, Lt. Governor, Secretary of the State, Treasurer, Comptroller, or Attorney General and any political committee authorized to make contributions to such candidates. The prohibition also applies to the principals of any prospective contractor which submits a bid or proposal in response to an invitation or request by a state or quasi-public agency that is valued at \$50,000 or more while the bid or proposal is under consideration by the agency. If a state contractor has a contract valued at \$50,000 or more with the state or a single state or quasi-public agency in the legislative branch, or separate contracts valued together at \$100,000 or more in a calendar year with multiple state or quasi-public agency in the legislative branch, then its principals are prohibited from contributions to candidate or exploratory committees for the offices of State Senator or State Representative or any political committee authorized to make contributions to any such candidates. Principals of state contractors are defined in Section 9-612(f)(1)(F) of the General Statutes and include spouse and dependent children eighteen years of age or older. **Candidates participating in the CEP cannot accept contributions from state contractors of either branch**.

¹³ Prohibition applies if contract, bid or proposal is with **executive** branch agency and meets financial threshold. If contract, bid or proposal is with **legislative** branch, then principal may contribute to nonparticipating statewide office candidate and exploratory committees and political committees not authorized to spend on General Assembly candidates, subject to the same limits as any other individual.

¹⁴ Prohibition applies if contract, bid or proposal is with **legislative** branch agency and meets financial threshold. If contract, bid or proposal is with **executive** branch agency or quasi-public agency, then principal may contribute to nonparticipating state senate or state representative candidate and exploratory committees and political committees not authorized to spend on statewide office candidates, subject to the same limits as any other individual.

¹⁵ Candidates participating in the CEP may not receive contributions from either executive or legislative branch state contractors.

¹⁶ Reduced limits apply to executive heads of executive branch and quasi-public agencies, their deputies, and other full-time officials and employees appointed by the Governor and/or in the unclassified sevice, as well as their spouse and dependent children.

¹⁷ Reduced limit applies to a political committee established by a candidate for Governor or Lt. Governor; otherwise, the limit is \$1,000/year.

¹⁸ Reduced limits apply to officials or employees of constitutional offices giving to a candidate running for the office in which such official or employee serves, as well as their spouse and dependent children.

¹⁹ Reduced limit applies to a political committee which is established by a candidate for the office in which such official serves; otherwise, the limit is \$1,000/year.

²⁰ Reduced limits apply to members of a caucus staff for a major party in the General Assembly, as well as their spouse and dependent children.

²¹ Reduced limit applies to a political committee which is established by a General Assembly candidate; otherwise, the limit is \$1,000/year.

²² Reduced limit applies to a political committee established or controlled by a candidate for statewide office or General Assembly, and incumbents in such offices; otherwise, the limit is \$1,000/year.

²³ Prohibition applies to all political committees authorized to spend on statewide office and/or General Assembly candidates.

²⁴ Prohibition applies if contract, bid or proposal is with executive branch agency and meets financial threshold or if affiliated principal is a holder of a valid prequalification certificate from the Commissioner of Administrative Services and the recipient political committee is authorized to contribute to executive branch candidates. If contract, bid or proposal is with legislative branch, then principal (political committee) may contribute to candidate or exploratory committee of a statewide office candidate who is not participating, or to a political committee authorized to contribute to statewide office candidates subject to the same limits as any other political committee (\$375 for exploratory committee). Participating candidates may not accept contributions from any political committees. regardless of whether it is a state contractor and with which branch.

²⁵ Prohibition applies if contract, bid or proposal is with legislative branch agency and meets financial threshold or if affiliated principal is a holder of a valid prequalification certificate from the Commissioner of Administrative Services and the recipient political committee is authorized to contribute to General Assembly candidates. If contract, bid or proposal is with executive branch agency or quasi-public agency, then principal (political committee) may contribute to candidate or exploratory committee of a General Assembly candidate who is not participating or to a political committee authorized to contribute to such candidates, subject to the same limits as any other political committee (\$375 for exploratory committee); \$2,000 for political committee). Participating candidates may not accept contributions from any political committees, regardless of whether it is a state contractor and with which branch.

²⁶ Reduced limit applies to political committee established or controlled by a candidate for Governor, Lt. Governor, Secretary of the State, Treasurer, Comptroller, Attorney General, State Senator or Representative; otherwise, the limit is \$2,000/year.

²⁷ Minor Child is defined as less than 18 years of age. For participating candidates, contributions from children under 12 are prohibited.

²⁸ During any regular legislature session or during special sessions or vote-override sessions in odd-numbered years, such contributions are prohibited if the recipient political committee is: (1) established for an assembly or senatorial district; (2) established by a member of the General Assembly or a State Officer or their agent, or in consultation with any member, officer or agent; or (3) controlled by such member, officer or agent to promote a General Assembly or Statewide Office candidate.