



STATE OF CONNECTICUT
STATE ELECTIONS ENFORCEMENT COMMISSION

**MINUTES OF THE
STATE ELECTIONS ENFORCEMENT COMMISSION'S
REGULAR TELEPHONIC MEETING
20 Trinity Street, 5th Floor Conference Room
August 13, 2008**

I. Call to Order

Chairman Cashman called the meeting to order at 9:07 A.M. Commissioners Gerratana, Jenkins, Dolan and Bozzuto were present via telephone conference. Agency staff was present.

II. Approval of the Minutes

Approval of the Minutes of the August 6, 2008 Regular Telephonic Meeting

It was moved by Commissioner Gerratana and seconded by Commissioner Bozzuto to approve the minutes of the August 6, 2008 regular meeting. So voted.

III. Consideration of Applications for a Public Grant from the Citizens' Election Fund

Dianna Kulmacz, Director of Campaign Disclosure and Audit, summarized each application and results of the staff review.

1. "Mike Alberts for State Rep." Mike Alberts
It was moved by Commissioner Bozzuto and seconded by Commissioner Jenkins to approve the grant application for "Mike Alberts for State Rep." So voted.
2. "Friends of Peggy Reaves" Peggy Reaves
It was moved by Commissioner Dolan and seconded by Commissioner Jenkins to approve the grant application for "Friends of Peggy Reaves." So voted.
3. "Committee to Re-elect Mike Lawlor" Mike Lawlor
It was moved by Commissioner Jenkins and seconded by Commissioner Gerratana to approve the grant application for "Committee to Re-elect Mike Lawlor." So voted.

4. "Davis for State Rep. 2008" Christopher Davis
It was moved by Commissioner Bozzuto and seconded by Commissioner Jenkins to approve the grant application for "Davis for State Rep. 2008." So voted.
5. "Friends of Paul Davis" Paul Davis
It was moved by Commissioner Jenkins and seconded by Commissioner Bozzuto to approve the grant application for "Friends of Paul Davis." So voted.
6. "Veronica 2008" Veronica Kivela
It was moved by Commissioner Jenkins and seconded by Commissioner Dolan to approve the grant application for "Veronica 2008." So voted.
7. "Mount for State Representative" Michele Mount
It was moved by Commissioner Jenkins and seconded by Commissioner Bozzuto to continue without prejudice the grant application for "Mount for State Representative." So voted.
8. "Creed for State Rep." Nicholas Creed
It was moved by Commissioner Jenkins and seconded by Commissioner Bozzuto to continue without prejudice the grant application for "Creed for State Rep." So voted.
9. "Jutila for State Representative" Edwin Jutila
It was moved by Commissioner Dolan and seconded by Commissioner Bozzuto to approve the grant application for Jutila for State Representative." So voted.
10. "Vitali for State Rep." Michael Vitali
It was moved by Commissioner Jenkins and seconded by Commissioner Dolan to approve the grant application for "Vitali for State Rep." So voted.
11. "O'Brien 2008" Melanie O'Brien
It was moved by Commissioner Bozzuto and seconded by Commissioner Gerratana to approve the grant application for "O'Brien 2008." So voted.

IV. Pending Complaints and Investigations

A. Public Session

1. In the Matter of a Complaint by Shirley Surgeon, Hartford
File No. 2007-336
Proposed Consent Agreement and Order
Respondent: Steven Harris
Investigator: Attorney Marc Crayton
Managing Attorney: Joan M. Andrews

Attorney Andrews summarized the above referenced complaint and the proposed Consent Agreement and Order. She stated that Respondent Steven Harris has signed the proposed agreement and paid a civil penalty of \$200.00. She recommended adoption of the proposed agreement.

It was moved by Commissioner Jenkins and seconded by Commissioner Gerratana to adopt the proposed Consent Agreement and Order in File No. 2007-336. So voted. A copy of the Consent Agreement and Order is attached and incorporated herein as part of these minutes.

2. In the Matter of a Complaint by Myrna E. Watanabe, Harwinton
File No. 2007-386

Reason to Believe Finding and Designation of Hearing Officer

Respondent: Terrance Ferraroti
Investigator: Attorney Kevin Ahern
Managing Attorney: Joan M. Andrews

Recommendation: Find Reason to Believe that Respondent Terrance Ferraroti violated Connecticut General Statutes 9-608.

It was moved by Commissioner Bozzuto and seconded by Commissioner Dolan that the Commission find reason to believe that the Respondent in the above captioned case violated the statute indicated and that a hearing officer be designated for this matter.

Attorney Albert Lenge was designated as Hearing Officer for this matter.

3. In the Matter of a Complaint by William A. Michael, Bethel
File No. 2008-069

Proposed Findings and Conclusions

Investigator: Attorney William B. Smith
Managing Attorney: Joan M. Andrews

Attorney Andrews summarized the above referenced complaint and the proposed Findings and Conclusions recommending that the matter be dismissed.

It was moved by Commissioner Gerratana and seconded by Commissioner Dolan to adopt the proposed Findings and Conclusions in File No. 2008-069. So voted. The Complaint is dismissed for the reasons stated. A copy of the Findings and Conclusions is attached and incorporated herein as part of these minutes.

B. Executive Session per C.G.S. 1-200(6)(B) and (E), and 1-210(b)(3) and (4)

It was moved by Commissioner Jenkins and seconded by Commissioner Dolan at 9:23 A.M. to proceed into Executive Session pursuant to C.G.S. 1-200(6) (B) and (E), and 1-210(b)(3) and (4) to discuss strategy and negotiations with respect to pending claims and exempt records relating thereto, listed as Items 4 through 5 on the agenda. So voted. Commissioner Cashman was present; Commissioners

Jenkins, Gerratana, Dolan and Bozzuto were present via teleconference. Staff members present were: Mr. Garfield, Mr. Lenge, Ms. Andrews, Mr. Smith, Mr. Crayton, Ms. Bergquist, Ms. Blackburn, Ms. Rotman, Mr. Urso, Ms. Adams, Ms. Kulmacz, Ms. Stratton and Ms. Staniewicz.

It was moved by Commissioner Jenkins and seconded by Commissioner Bozzuto at 9:33 a.m. to return to public session. So voted.

Returning to Public Session the Commission took the following action:

4. In the Matter of a Complaint by Daphne Vayos, NE Utilities System, Hartford
File No. 2007-381
Investigator: Attorney Marc W. Crayton and John Neumon, Lead Legal Investigator
Managing Attorney: Joan M Andrews

This matter was continued.

5. In the Matter of a Complaint by Jason A. Carlascio, Waterbury
File No. 2008-076
Investigator: Attorney William B. Smith
Managing Attorney: Joan M Andrews

This matter was continued.

V. A. *Non-Filer Compliance*

6. File No. 2008-043MC
Respondent: Anthony Ford
Investigator: John Neumon, Lead Legal Investigator
Managing Attorney: Joan M. Andrews

Compliance with Connecticut General Statutes §9-605(e)(1).

Recommendation: Commission takes administrative notice of compliance with the statute indicated, and accepts as full settlement the payment of \$200.00 to the State of Connecticut and will take no further action with respect to these matters.

It was moved by Commissioner Bozzuto and seconded by Commissioner Dolan that the Commission take administrative notice of compliance with the statute indicated and accept, as full settlement the payment indicated and will take no further action with respect to the matter in File No. 2008-043MC. So voted.

VI. *Administrative Reports*

Mr. Garfield stated he is happy to report that all of the winners in the recent primaries were participants in the Citizens' Election Program for the four Senate nominees. All four primary winners for the Senate seats are grant recipients and will receive general election grants. The fourteen House winners in those primaries were all grant recipients and they will also receive general election grants subject to the statutory formula that exists. The staff has met and letters will be going out to the official winners asking them to submit their campaign finance disclosure statements along with additional documents so that we can properly determine what amount a candidate will receive for the general election. Certain reductions will apply and specific guidelines will be used by the Director of Disclosure and Audit to determine the amount the winning candidate is eligible for. Participants that were unsuccessful in the primaries will be notified that they must return any unused funds back to the Citizens' Election Program.

In the upcoming month, the process to address the General Assembly will begin concerning recommendations for 2009.

Mr. Garfield requested the Commission hold one or two public hearings after the November elections so that they may gather input from the participating candidates and the general public on their experiences with the program. This input would be helpful in determining the Legislative recommendations the Commission will put before the General Assembly.

Ms. Andrews stated that there has been a substantial improvement in the reduction of non-filers being referred to the Law Enforcement Unit, and that she is conducting a training in an association of non-profits on the state contractor ban next week.

Ms. Kulmacz informed the Commission on future training dates set in August or September for the eCRIS program. Dates will be posted online shortly.

Ms. Rotman stated we presently have two hundred and thirty seven (237) candidates participating in the Citizens' Election Program. One hundred and eighty five (185) in the House and twelve (12) nonparticipating candidates. September 25, 2008 is the deadline to join the program. There are fifty two (52) participating candidates in the Senate and the deadlines are also September 25, 2008 to join the program and October 10, 2008 to apply for a grant. Upcoming, there will be additional training options available both online and in house.

VII. *Adjournment*

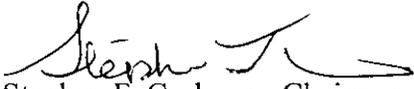
It was moved by Commissioner Jenkins and seconded by Commissioner Bozzuto at 9:53 P.M. to adjourn the meeting. So voted. Unless otherwise indicated, all votes of the Commission were unanimous.

The next meeting will be a Regular Telephonic Meeting held on Wednesday, August 20, 2008 at 4:00 P.M., 5th Floor Conference Room.

Respectfully submitted,

MaryAnn Stratton
Acting Clerk of the Commission

Adopted this 20th day of August, 2008, at Hartford, Connecticut.


Stephen F. Cashman, Chairman
By Order of the Commission