



STATE OF CONNECTICUT
STATE ELECTIONS ENFORCEMENT COMMISSION
20 Trinity Street Hartford, Connecticut 06106—1628

MINUTES OF THE
STATE ELECTIONS ENFORCEMENT COMMISSION
REGULAR MEETING
February 13, 2008

I. Call to Order

Chairman Cashman called the meeting to order at 9:50 A.M. Commissioners Jenkins and Gerrantana were present. Agency staff was also present.

II. Approval of the Minutes

Approval of the Minutes of the January 16, 2008 Regular Meeting

It was moved by Commissioner Gerrantana and seconded by Commissioner Jenkins to approve the minutes of the January 16, 2008 regular meeting. So voted.

Ms. Andrews requested motions to add to today's agenda two items. She requested that File No. 2007-414 be added for the Commission's consideration in Public Session and File No. 2007-282NF be added in Section V of the agenda.

It was moved by Commissioner Jenkins and seconded by Commissioner Gerrantana to add File No. 2007-414 to Public Session as Item 9-a. So voted.

It was moved by Commissioner Jenkins and seconded by Commissioner Gerrantana to add File No. 2007-282NF as number 20 in Item V. So voted.

III. Pending Complaints and Investigations

A. Public Session

1. In the Matter of a Complaint by Nancy Rossi, West Haven
File No. 2007-339
Proposed Findings and Conclusions
Investigators: Attorney Shannon Bergquist & Attorney William B. Smith
Managing Attorney: Joan M. Andrews

Ms. Andrews summarized the above referenced complaint and the proposed Findings and Conclusions recommending the matter be dismissed.

It was moved by Commissioner Jenkins and seconded by Commissioner Gerrantana to adopt the proposed Findings and Conclusions in File No. 2007-339 dismissing the matter for the reasons stated. So voted. A copy of the Findings and Conclusions is attached and incorporated herein as part of these minutes.

2. In the Matter of a Complaint by Arthur W. Mocabee, Jr., Bristol
File No. 2007-347
Proposed Consent Agreement and Order
Respondent: Robert J. Dunlap
Investigator: Attorney William B. Smith
Managing Attorney: Joan M. Andrews

Ms. Andrews summarized the above referenced complaint and the proposed Consent Agreement and Order. She stated that Respondent Dunlap has signed the agreement, paid a civil penalty of \$1,500 and remitted a forfeiture from the Ward for Mayor 2007 committee in the amount of \$500 to the State of Connecticut. She recommended its adoption.

It was moved by Commissioner Gerrantana and seconded Commission Jenkins to adopt the proposed Consent Agreement and Order in File No. 2007-347. So voted. A copy of the Consent Agreement and Order is attached and incorporated herein as part of these minutes.

3. In the Matter of a Complaint by John Torrenti, Old Saybrook
File No. 2007-373
Proposed Consent Agreement and Order
Respondents: Donald Brodeur, Jr. & Matthew, Hadass, Nina and Robert Rubin
Investigator: Attorney Marc W. Crayton
Managing Attorney: Joan M. Andrews

Ms. Andrews summarized the above referenced complaint. She explained that there are three separate consent agreements in resolution to this matter. With respect to Respondents Matthew and Hadass Rubin, the agreement has been signed and the civil penalty of \$250 each has been received. With respect to Respondents Robert and Nina Rubin, the agreement has been signed and the civil penalty of \$250 each has been received. With respect to Respondent Brodeur, the parties are still negotiating.

It was moved by Commissioner Gerrantana and seconded by Commissioner Jenkins to adopt the two proposed Consent Agreements with respect to Respondents Matthew and Hadass Rubin and Robert and Nina Rubin. So voted. A copy of the Consent Agreements and Order are attached and incorporated herein as part of these minutes. With respect to Mr. Brodeur, the matter is continued.

4. In the Matter of a Complaint by Janet C. Robertson *et. al.*, Hampton
File No. 2007-376
Proposed Findings and Conclusions
Investigator: Attorney William B. Smith
Managing Attorney: Joan M. Andrews

Ms. Andrews summarized the above referenced complaint and the proposed Findings and Conclusions recommending the matter be dismissed.

It was moved by Commissioner Jenkins and seconded by Commissioner Gerratana to adopt the proposed Findings and Conclusions in File No. 2007-376 dismissing the matter for the reasons stated. So voted. A copy of the Findings and Conclusions is attached and incorporated herein as part of these minutes.

5. In the Matter of a Complaint by Kristen Griebel, Simsbury
File No. 2007-382
Proposed Findings and Conclusions
Investigator: Attorney William B. Smith
Managing Attorney: Joan M. Andrews

Ms. Andrews summarized the above referenced complaint and the proposed Findings and Conclusions recommending the matter be dismissed.

It was moved by Commissioner Jenkins and seconded by Commissioner Gerratana to adopt the proposed Findings and Conclusions in File No. 2007-382 dismissing the matter for the reasons stated. So voted. A copy of the Findings and Conclusions is attached and incorporated herein as part of these minutes.

6. In the Matter of a Complaint by Dennis Odle, Waterbury
File No. 2007-384
Proposed Consent Agreement and Order
Respondent: Tamera Zappone
Investigator: Attorney Kevin Ahern
Managing Attorney: Joan M. Andrews

Ms. Andrews summarized the above referenced complaint. Respondent Zappone has signed the proposed Consent Agreement and Order and paid the civil penalty of \$1,000. She recommended its adoption.

It was moved by Commissioner Jenkins and seconded by Commissioner Gerrantana to adopt the proposed Consent Agreement and Order in File No. 2007-384. So voted. A copy of the Consent Agreement and Order is attached and incorporated herein as part of these minutes.

7. In the Matter of a Complaint by Elizabeth Rhoades *et al*, Stafford Springs
File No. 2007-405
Proposed Consent Agreement and Order
Respondent: Michael Krol
Investigator: Attorney Kevin Ahern
Managing Attorney: Joan M. Andrews

Ms. Andrews summarized the above referenced complaint and Proposed Consent Agreement and Order. Respondent Krol has signed the agreement and paid the civil penalty of \$100. She recommended its adoption.

It was moved by Commissioner Jenkins and seconded by Commissioner Gerrantana to adopt the Proposed Consent Agreement and Order in File No. 2007-405. So voted. A copy of the Consent agreement and Order is attached and incorporated herein as part of these minutes.

8. In the Matter of a Complaint by Lynn Taborsak, Danbury
File No. 2007-406
Proposed Findings and Conclusions
Investigator: Attorney Marc W. Crayton
Managing Attorney: Joan M. Andrews

Ms. Andrews summarized the above referenced complaint and the proposed Findings and Conclusions recommending the matter be dismissed.

It was moved by Commissioner Jenkins and seconded by Commissioner Gerratana to adopt the proposed Findings and Conclusions in File No. 2007-406 dismissing the matter for the reasons stated. So voted. A copy of the Findings and Conclusions is attached and incorporated herein as part of these minutes.

9. In the Matter of a Complaint by Norman S. Douglas, Milford
File No. 2007-411
Proposed Consent Agreement and Order
Respondents: Stanley J. Mieczkowski & Michael J. Petrucelli
Investigator: Attorney William B. Smith
Managing Attorney: Joan M. Andrews

This matter was continued for further action.

- 9-a. In the Matter of a Complaint by Thomas Appleby, New Fairfield
File No. 2007-414
Proposed Consent Agreement and Order
Respondent: Carmen Franco
Investigator: Attorney Marc W. Crayton
Managing Attorney: Joan M. Andrews

Ms. Andrews summarized the above referenced complaint and Proposed Consent Agreement and Order. Respondent Franco has signed the proposed agreement and Ms. Andrews recommends its adoption.

It was moved by Commissioner Jenkins and seconded by Commissioner Gerrantana to adopt the Proposed Consent Agreement and Order in File No. 2007-414. So voted. A copy of the Consent agreement and Order is attached and incorporated herein as part of these minutes.

B. Executive Session per C.G.S. 1-200(6)(B) and (E), and 1-210(b)(3) and (4)

It was moved by Commissioner Gerrantana and seconded by Commissioner Jenkins at 10:22 A.M. to proceed into Executive Session pursuant to C.G.S. §§1-200(6)(B) and (E), and 1-210(b)(3) and (4) to discuss strategy and negotiations with respect to pending claims and exempt records relating thereto, listed as Items 10 through 14 on the agenda. So voted. Commissioners Cashman, Jenkins and Gerrantana were present. Staff Members present were: Mr. Garfield, Mr. Lenge, Ms. Andrews, Mr. Smith, Mr. Crayton, Ms. Bergquist, Mr. Ahern, Mr. Oyola, Ms. Blackburn, Ms. Stratton, Ms. Nicoleseu, Ms.

Love, Ms. Rotman, Ms. Sadowski, Mr. Foley, Mr. Talbert-Slagle, Ms. Rizzo, Mr. Urso, Ms. Adams, Ms. Zaccagnino, Mr. Cascudo, Mr. Neumon and Ms. Santiago.

It was moved by Commissioner Jenkins and seconded by Commissioner Gerrantana at 10:52 A.M. to return to public session. So voted.

Returning to Public Session, the Commission took the following action:

10. In the Matter of a Complaint by Paula B. Ray, Town Clerk, Farmington
File No. 2007-200
Investigator: Attorney Kevin Ahern
Managing Attorney: Joan M. Andrews

This matter was continued.

11. In the Matter of a Complaint by Joan B. Taf, Naugatuck
File No. 2007-226
Investigator: Shannon Bergquist
Managing Attorney: Joan M. Andrews

This matter was continued.

12. In the Matter of a Complaint by Rae Tramontano & Sharon Ferrucci
New Haven Registrars of Voters
File No. 2007-375
Investigator: Attorney William B. Smith
Managing Attorney: Joan M. Andrews

This matter was continued.

13. In the Matter of a Complaint by Andre Grandbois, Plainville
File No. 2007-377
Investigator: Gilberto Oyola, Lead Legal Investigator
Managing Attorney: Joan M. Andrews

This matter was continued.

14. In the Matter of a Complaint by Timothy H. Thompson, Lebanon
File No. 2007-389
Investigator: Gilberto Oyola, Lead Legal Investigator
Managing Attorney: Joan M. Andrews

This matter was continued.

***IV. Referrals by Elections Officer/Audit and Disclosure Unit for Failure to Re-Register PAC
Find Reason to Believe Recommendations & Designation of Hearing Officer***

15. File No. 2007-229PNF
19th District Ward Democratic Committee
Respondent: Matthew Nemerson, Chairman

Investigator: Attorney Marc W. Crayton
Managing Attorney: Joan M. Andrews

Recommendation: Find Reason to Believe that Respondent Matthew Nemerson
Violated Connecticut General Statutes §§9-602, 9-603 and 9-605.

16. File No. 2007-245PNF

District B Republican Committee

Respondent: Jon Nathanson, Chairman
Investigator: Attorney William B. Smith
Managing Attorney: Joan M. Andrews

Recommendation: Find Reason to Believe that Respondent Jon Nathanson
Violated Connecticut General Statutes §§9-602, 9-603 and 9-605.

17. File No. 2007-360PNF

First District Republicans

Respondent: Kevin C. Kelly, Chairman
Investigator: Attorney William B. Smith
Managing Attorney: Joan M. Andrews

Recommendation: Find Reason to Believe that Respondent Kevin C. Kelly
Violated Connecticut General Statutes §§9-602, 9-603 and 9-605

18. File No. 2007-362PNF

VEND PAC

Respondent: Lawrence Lathrop, Chairman
Investigator: Attorney Marc W. Crayton
Managing Attorney: Joan M. Andrews

Recommendation: Find Reason to Believe that Respondent Lawrence Lathrop
Violated Connecticut General Statutes §§9-602, 9-603 and 9-605

It was moved by Commissioner Jenkins and seconded by Commissioner Gerrantana that the Commission finds reason to believe that the Respondents in File Nos. 2007-229PNF, 2007-245PNF, 2007-360PNF and 2007-362PNF violated the statutes indicated and that a Hearing Officer be designated. So voted. Chairman Cashman designated Mr. Garfield as Hearing Officer for File Nos. 2007-229PNF and File No. 2007-360PNF. He designated Mr. Lenge as Hearing Officer for File Nos. 2007-245 and 2007-362PNF.

V. Referral by Elections Officer: Delinquent Filer

Find Reason to Believe Recommendation & Designation of Hearing Officer

19. File No. 2007-397NF

Juntos for Bridgeport

Respondent: Christopher Rosario, Treasurer
Investigator: Gilberto Oyola, Lead Legal Investigator
Managing Attorney: Joan M. Andrews

Recommendation: Find Reason to Believe that Respondent Christopher Rosario Violated Connecticut General Statutes §§9-608 and 9-623

20. File No. 2007-282NF

Windham Republican Town Committee

Respondent: Rosita Vining, Treasurer

Investigator: Attorney Shannon Bergquist

Managing Attorney: Joan M. Andrews

Recommendation: Find Reason to Believe that Respondent Rosita Vining Violated Connecticut General Statutes §§9-608 and 9-623

It was moved by Commissioner Jenkins and seconded by Commissioner Gerrantana that the Commission finds reason to believe that the Respondents in File Nos. 2007-397NF and 2007-282PNF violated the statutes indicated and that a Hearing Officer be designated. So voted. Chairman Cashman designated Mr. Garfield as Hearing Officer for File No. 2007-282NF. He designated Mr. Lenge as Hearing Officer for File No. 2007-282NF.

VI. Administrative Reports

Mr. Garfield summarized his written report. He stated that the relocation of the Public Finance Unit and the Fiscal, Administrative and Grant Payments Unit to the 5th floor is progressing and expect the move will take place in two weeks from tomorrow.

He introduced the newest members of the staff. Nancy Nicolescu has been hired as the agency's Director of Communications, Legislative Affairs and Candidate Services. He introduced Lynda Rizzo and James Talbert-Slagle who are the new staff attorneys in the Public Finance Unit and Yamilet Santiago, the Office Assistant for the Campaign Disclosure and Audit Unit. The Commission welcomed them. He announced the new Director of Legal Compliance, Shannon Clark Kief will be starting Friday. He said that forty-seven of the forty-nine authorized positions have been filled. He stated that he will be meeting with the Chairs and subcommittee Chairs of the Appropriations Committee to advocate for modest increases in the Governor's budget, asking for four additional positions, two in the Fiscal Grant Payments Unit and two support positions for the fifth floor.

Mr. Garfield stated that education and training sessions are continuing. They have been well attended and more have been scheduled on the use of eCRIS. Over 200 users have signed up for the eCRIS system. The publications for Participating and Non Participating Candidates guides are almost ready and soon will be released. He thanked Dianna, Nancy and Dianna's unit for completing the annual list of Lobbyists Pacs in time for the start of the legislative session. He has scheduled meeting with the House and Senate leaders to discuss the SEEC's proposals.

Ms. Rotman briefly summarized her memo. She stated that the CEP training sessions have been well attended and she is in process of finding locations around the state for more presentations. There is another special election scheduled for March 11. Two

candidates have registered their committees and are expected to apply for grants soon. Ms. Rotman thanked all of her staff for their incredible hard work.

In closing, Mr. Garfield stated that this has been a team effort on the part of the entire agency. He thanked everyone present and those not present for all for their hard work.

VII. Adjournment

It was moved by Commissioner Jenkins and seconded by Commissioner Gerratana to adjourn the meeting at 11:17 A.M. So voted.

Unless otherwise indicated, all votes of the Commission were unanimous.

The next regular meeting will be held on Wednesday, March 12, 2008 at 9:00 A.M., FIFTH Floor Conference Room.

Respectfully submitted,

Lois E. Blackburn
Clerk of the Commission

Adopted this 12th day of March, at Hartford, Connecticut.

Stephen F. Cashman, Chairman
By Order of the Commission