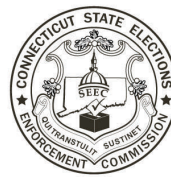


# SEEC FORM CEP 14

Citizens' Election Program-Replacement Participating Candidate  
CONNECTICUT STATE ELECTIONS ENFORCEMENT COMMISSION  
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## CITIZENS' ELECTION PROGRAM CERTIFICATION BY REPLACEMENT PARTICIPATING CANDIDATE

### *Statewide and General Assembly Candidates*

This form is for the use of a replacement candidate who intends to participate in the Citizens' Election Program and who is nominated by a party to fill a vacancy when a participating candidate who has qualified to receive public grant dollars dies, withdraws candidacy, or is disqualified from holding the office sought. The nominated replacement candidate, campaign treasurer, and deputy treasurer (if applicable) must review all information provided on this form, and sign or initial the designated lines requiring a signature or initials.

*The replacement candidate must also complete SEEC FORM 1/1A (Candidate Committee Registration Statement), SEEC FORM CEP 10 (Affidavit of Intent to Abide by Expenditure Limits and Other Citizens' Election Program Requirements) and SEEC FORM CEP 12 (Confidential Electronic Funds Transfer Fund), and the campaign treasurer and deputy treasurer (if applicable) must initial and sign those forms as indicated.*

### Section A. Identifying Information

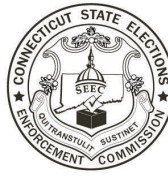
<b>1. ELECTION DATE</b> (mm/dd/yyyy)		<b>2. OFFICE SOUGHT</b>				<b>3. DISTRICT NUMBER</b>	
<b>4. CANDIDATE NAME</b>							
First		MI	Last		Suffix		
<b>5. CANDIDATE RESIDENCE ADDRESS</b>			<b>6. CANDIDATE MAILING ADDRESS (if different)</b>				
Street Address			Address				
City		State	Zip Code	City		State	Zip Code
<b>7. CANDIDATE TELEPHONE (Include Area Code)</b>			<b>8. CANDIDATE E-MAIL ADDRESS</b>				
( ) —							
<b>9. TREASURER NAME</b>							
First		MI	Last		Suffix		
<b>10. TREASURER RESIDENCE ADDRESS</b>			<b>11. TREASURER MAILING ADDRESS (if different)</b>				
Street Address			Address				
City		State	Zip Code	City		State	Zip Code
<b>12. TREASURER TELEPHONE (Include Area Code)</b>			<b>13. TREASURER E-MAIL ADDRESS</b>				
( ) —							
<b>14. DEPUTY TREASURER NAME (if applicable)</b>							
First		MI	Last		Suffix		
<b>15. DEPUTY TREASURER RESIDENCE ADDRESS</b>			<b>16. DEPUTY TREASURER MAILING ADDRESS (if different)</b>				
Street Address			Address				
City		State	Zip Code	City		State	Zip Code
<b>17. DEPUTY TREASURER TELEPHONE (Include Area Code)</b>			<b>18. DEPUTY TREASURER E-MAIL ADDRESS</b>				
( ) —							
<b>19. COMMITTEE NAME</b>					<b>20. PARTY NOMINATING THIS CANDIDATE</b>		
<b>21. NAME OF CANDIDATE BEING REPLACED</b>							
First		MI	Last		Suffix		

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### Section B. Candidate Certification

**The candidate must read each paragraph below, provide any and all information requested, and indicate agreement to each numbered paragraph by writing his/her initials at each number below. Certifications missing the initials for any item will not be deemed complete.**

- \_\_\_\_\_ 1. I certify that my certification as the nominee by the party named and for the office and election listed in Section A of this form has been filed with the Secretary of the State, pursuant to Conn. Gen. Stat. § 9-460.
- \_\_\_\_\_ 2. I certify that I have designated a campaign treasurer and depository institution and filed SEEC Form 1/1A to register my candidate committee.
- \_\_\_\_\_ 3. I certify that I have filed or am filing together with this form, an Affidavit of Intent to Abide by Expenditure Limits and Other Citizens' Election Program Requirements (CEP FORM 10).
- \_\_\_\_\_ 4. I certify that I am over 18 years of age and believe in and understand the obligation of an oath. I hereby affirm, certify, and state that as a candidate who has elected to participate in the Citizens' Election Program (the "Program"), I understand my obligations to abide by and will abide by the Program's requirements, including the Program's expenditure limits.
- \_\_\_\_\_ 5. I certify that I understand that I am required to read, understand, and comply with the requirements of the Program, including all applicable statutes, regulations, and declaratory rulings. I certify that I understand that my failure to abide by the requirements of all applicable statutes and regulations relating to the Program may result in the SEEC's imposition of penalties as provided in Chapters 155 and 157 of the Connecticut General Statutes. I certify that I understand that I shall be personally liable for penalties relating to violations of the Program requirements, by myself, my agents, and/or anyone acting under my explicit or implied direction.
- \_\_\_\_\_ 6. I further certify that my candidate committee will expend any moneys received from the Citizens' Election Fund in accordance with the provisions of Conn. Gen. Stat. § 9-607(g), as amended, together with any regulations adopted by the SEEC under Conn. Gen. Stat. § 9-706(e).
- \_\_\_\_\_ 7. I certify that my candidate committee has not borrowed any monies on behalf of the campaign.
- \_\_\_\_\_ 8. I certify that I agree to abide by all other applicable requirements relating to Chapters 155 and 157 of the Connecticut General Statutes and SEEC regulations, including requirements for campaign finance disclosure statements and recordkeeping. I certify that I agree to maintain and furnish all records required by the SEEC and to fully participate in the SEEC's audit process.
- \_\_\_\_\_ 9. I certify that the authorized candidate committee designated in Section A is my sole candidate committee for the election cycle designated in. The candidate committee (i) is the only committee authorized by me to aid or otherwise take part in the election covered by this Application; (ii) is not an authorized committee of any other candidate; and (iii) has not been, is not, and will not be, authorized or otherwise active for any election other than the election covered by this Application. I further certify that all moneys received from the Citizens' Election Fund will be deposited upon receipt into the sole authorized depository account of my sole candidate committee.
- \_\_\_\_\_ 10. I certify that I understand that my completing and filing this Certification is a condition for receiving public funds for the election cycle designated in Section A, and that other conditions specified in the applicable statutes and regulations relating to the Program must be satisfied before I may be eligible to receive public funds pursuant to Chapter 157 of the Connecticut General Statutes.

**Notice: Making a false statement on this form may subject you to criminal penalties, including, but not limited to, imprisonment, a fine, or both.**

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### Section B. Candidate Certification (continued)

**The candidate must read each paragraph below, provide any and all information requested, and indicate agreement to each numbered paragraph by writing his/her initials at each number below. Applications missing initials for any item will not be deemed complete.**

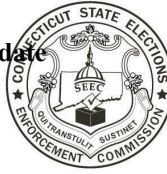
- \_\_\_\_\_ 11. I certify that I understand that by completing and filing this Certification, I am waiving my right to participate in the Citizens' Election Program as a traditional participating candidate, which means I am waiving my right to raise and spend contributions and/or to provide personal funds to my campaign and spend such funds. I certify that I agree to abide by an expenditure limit equal to the amount of monies received from the Citizens' Election Fund.
- \_\_\_\_\_ 12. I certify that I understand that my home address, the candidate committee's address, and the treasurer's home address, including the e-mail addresses of the candidate and treasurer, as indicated on the SEEC FORM 1/1A are the addresses to which legal notices and other communications, including correspondence and legal papers, will be sent. I further understand and agree that if any of these addresses, including telephone numbers and/or e-mail addresses, change in any way, I am responsible for promptly notifying the SEEC, in writing by filing an amended registration form, of any such changes to these addresses not later than ten calendar days of any such change.
- \_\_\_\_\_ 13. I certify that I understand that, if my candidate committee receives grant funds and exceeds any applicable expenditure limit, in addition to any penalties that may be assessed, the SEEC may require that all grant funds received be returned to the Citizens' Election Fund, and I may not be eligible to receive any additional public funds for the election.
- \_\_\_\_\_ 14. I certify that I understand that I am responsible to have general knowledge and oversight of the actions and conduct of my candidate committee, including knowledge and oversight of moneys deposited into my candidate committee's authorized depository account, expenditures made or incurred by or on behalf of my candidate committee, and disclosure obligations of my treasurer.
- \_\_\_\_\_ 15. I certify that I understand that intentionally making a false written statement pursuant to a form bearing notice, authorized by law, which I do not believe to be true and which is intended to mislead a public servant in the performance of his or her official function, is a class A misdemeanor pursuant to Conn. Gen. Stat. § 53a-157b(a), and may subject me to criminal penalties, including but not limited to, imprisonment for up to one year and/or a fine of up to \$2,000.

**I hereby swear, under penalty of false statement, that the above certifications are true and complete.**

\_\_\_\_\_  
CANDIDATE (SIGNATURE)

\_\_\_\_\_  
DATE (mm/dd/yyyy)

**Notice: Making a false statement on this form may subject you to criminal penalties, including, but not limited to, imprisonment, a fine, or both.**

**Section C. Treasurer Certification**

**The treasurer must read each paragraph below, provide any and all information requested, and indicate agreement to each numbered paragraph by writing his/her initials at each number below. Certifications missing initials for any item will not be deemed complete.**

- \_\_\_\_\_ 1. I certify that I am over 18 years of age and believe in and understand the obligation of an oath. I hereby affirm, certify, and state that as the treasurer for a candidate who has elected to participate in the Citizens' Election Program (the "Program"), I understand my obligations to abide by and will abide by the Program's requirements, including the Program's expenditure limits.
- \_\_\_\_\_ 2. I certify that I understand that I am required to read, understand, and comply with the requirements of the Program, including all applicable statutes, regulations, and declaratory rulings. I certify that I understand that my failure to abide by the requirements of all applicable statutes and regulations relating to the Program may result in the SEEC's imposition of penalties as provided in Chapters 155 and 157 of the Connecticut General Statutes. I certify that I understand that I shall be personally liable for penalties relating to violations of the Program requirements, by myself, my agents, and/or anyone acting under my explicit or implied direction.
- \_\_\_\_\_ 3. I further certify that the candidate committee will expend any moneys received from the Citizens' Election Fund in accordance with the provisions of Conn. Gen. Stat. § 9-607(g), as amended, together with any regulations adopted by the SEEC under Conn. Gen. Stat. § 9-706(e).
- \_\_\_\_\_ 4. I certify that the candidate committee has not borrowed any monies on behalf of the campaign.
- \_\_\_\_\_ 5. I certify that I agree to abide by all other applicable requirements relating to Chapters 155 and 157 of the Connecticut General Statutes and SEEC regulations, including requirements for campaign finance disclosure statements and recordkeeping. I certify that I agree to maintain and furnish all records required by the SEEC and to fully participate in the SEEC's audit process.
- \_\_\_\_\_ 6. I certify that all moneys received from the Citizens' Election Fund will be deposited upon receipt into the sole authorized depository account of the candidate committee.
- \_\_\_\_\_ 7. I certify that I understand that my completing and filing this Certification is a condition for receiving public funds for the election cycle, and that other conditions specified in the applicable statutes and regulations relating to the Program must be satisfied before the candidate committee may be eligible to receive public funds pursuant to Chapter 157 of the Connecticut General Statutes.

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## Section C. Treasurer Certification (*continued*)

**The treasurer must read each paragraph below, provide any and all information requested, and indicate agreement to each numbered paragraph by writing his/her initials at each number below. Applications missing initials for any item will not be deemed complete.**

- \_\_\_\_\_ 8. I certify that I understand that my home address, the candidate committee's address, and the candidate's home address, including the e-mail addresses of the candidate and treasurer, as indicated on SEEC FORM 1/1A are the addresses to which legal notices and other communications, including correspondence and legal papers, will be sent.
- \_\_\_\_\_ 9. I certify that I understand that if the candidate committee receives grant funds, and exceeds any applicable expenditure limit, in addition to any penalties that may be assessed, the SEEC may require that all grant funds received be returned to the Citizens' Election Fund, and the candidate may not be eligible to receive any additional public funds for the election.
- \_\_\_\_\_ 10. I certify that if the candidate withdraws from the campaign, becomes ineligible or dies during the campaign, the candidate committee will return to the SEEC, for deposit in the Citizens' Election Fund, all moneys the committee received from the Fund and which the candidate committee has not spent as of the date of such candidate withdrawal, ineligibility or death.
- \_\_\_\_\_ 11. I certify that I understand that intentionally making a false written statement pursuant to a form bearing notice, authorized by law, which I do not believe to be true and which is intended to mislead a public servant in the performance of his or her official function, is a class A misdemeanor pursuant to Conn. Gen. Stat. § 53a-157b(a), and may subject me to criminal penalties, including but not limited to, imprisonment for up to one year and/or a fine of up to \$2,000.

**I hereby swear, under penalty of false statement, that the above certifications are true and complete.**

\_\_\_\_\_  
TREASURER (SIGNATURE)

\_\_\_\_\_  
DATE (mm/dd/yyyy)

**Notice: Making a false statement on this form may subject you to criminal penalties, including, but not limited to, imprisonment, a fine, or both.**

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## Section D. Deputy Treasurer Certification (if applicable)

**The deputy treasurer must read each paragraph below, provide any and all information requested, and indicate agreement to each numbered paragraph by writing his/her initials at each number below. Certifications missing initials for any item will not be deemed complete.**

**In the event I become responsible for discharging any of the duties required of the treasurer:**

- \_\_\_\_\_ 1. I certify that I am over 18 years of age and believe in and understand the obligation of an oath. I hereby affirm, certify, and state that as the deputy treasurer for a candidate who has elected to participate in the Citizens' Election Program (the "Program"), I understand my obligations to abide by and will abide by the Program's requirements, including the Program's expenditure limits.
- \_\_\_\_\_ 2. I certify that I understand that I am required to read, understand, and comply with the requirements of the Program, including all applicable statutes, regulations, and/or declaratory rulings. I certify that I understand that my failure to abide by the requirements of all applicable statutes and regulations relating to the Program may result in the SEEC's imposition of penalties as provided in Chapters 155 and 157 of the Connecticut General Statutes. I certify that I understand that I shall be personally liable for penalties relating to violations of the Program requirements, by myself, my agents, and/or anyone acting under my explicit or implied direction.
- \_\_\_\_\_ 3. I certify that the candidate committee will expend any moneys received from the Citizens' Election Fund in accordance with the provisions of Conn. Gen. Stat. § 9-607(g), as amended, together with any regulations adopted by the SEEC under Conn. Gen. Stat. § 9-706(e).
- \_\_\_\_\_ 4. I certify that I agree to abide by all other applicable requirements relating to Chapters 155 and 157 of the Connecticut General Statutes and SEEC regulations, including requirements for campaign finance disclosure statements and recordkeeping. I certify that I agree to maintain and furnish all records required by the SEEC and to fully participate in the SEEC's post-election audit process.
- \_\_\_\_\_ 5. I certify that all moneys received from the Citizens' Election Fund will be deposited upon receipt into the sole authorized depository account of the candidate committee.
- \_\_\_\_\_ 6. I certify that I understand that my completing and filing this Certification is a condition for receiving public funds for the election cycle, and that other conditions specified in the applicable statutes and regulations relating to the Program must be satisfied before the candidate committee may be eligible to receive public funds pursuant to Chapter 157 of the Connecticut General Statutes.
- \_\_\_\_\_ 7. I certify that I understand that my home address, the candidate committee's address, and the candidate's home address, including the e-mail addresses, as indicated on SEEC FORM 1/1A, are the addresses to which legal notices and other communications, including correspondence and legal papers, will be sent.

**Notice: Making a false statement on this form may subject you to criminal penalties, including, but not limited to, imprisonment, a fine, or both.**

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## Section D. Deputy Treasurer Certification (continued)

The deputy treasurer must read each paragraph below, provide any and all information requested, and indicate agreement to each numbered paragraph by writing his/her initials at each number below. Applications missing initials for any item will not be deemed complete.

**In the event I become responsible for discharging any of the duties required of the treasurer:**

- \_\_\_\_\_ 8. I certify that I understand that if the candidate committee receives grant funds, and exceeds any applicable expenditure limit, in addition to any penalties that may be assessed, the SEEC may require that all grant funds received be returned to the Citizens' Election Fund, and the candidate may not be eligible to receive any additional public funds for the election.
- \_\_\_\_\_ 9. I certify that if the candidate withdraws from the campaign, becomes ineligible or dies during the campaign, the candidate committee will return to the SEEC, for deposit in the Citizens' Election Fund, all moneys the committee received from the Fund and which the candidate committee has not spent as of the date of such candidate withdrawal, ineligibility or death.
- \_\_\_\_\_ 10. I certify that I understand that intentionally making a false written statement pursuant to a form bearing notice, authorized by law, which I do not believe to be true and which is intended to mislead a public servant in the performance of his or her official function, is a class A misdemeanor pursuant to Conn. Gen. Stat. § 53a-157b(a), and may subject me to criminal penalties, including but not limited to, imprisonment for up to one year and/or a fine of up to \$2,000.

**I hereby swear, under penalty of false statement, that the above certifications are true and complete.**

\_\_\_\_\_  
DEPUTY TREASURER (SIGNATURE)

\_\_\_\_\_  
DATE (mm/dd/yyyy)

**Notice: Making a false statement on this form may subject you to criminal penalties, including, but not limited to, imprisonment, a fine, or both.**

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