

*STATE ELECTIONS ENFORCEMENT  
COMMISSION*

*REPORT OF COMPLAINTS INVESTIGATED  
BY THE  
STATE ELECTIONS ENFORCEMENT  
COMMISSION*

*COMPLETED FROM: JULY 1, 2006  
TO: JUNE 30, 2007*

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## FY 2006-2007 Report of Complaints Investigated by the State Elections Enforcement Commission

File Number	Date Filed	Date Closed	
2005 - 146 -	5/18/2005	8/16/2006	
<b>Complainant</b>			
In Re: Duplicate Voters; Commission Initiated			
<b>Complainant's Town</b>			
Hartford			
<b>Respondents</b>			
<b>Disposition</b>			
closed			
<b>Headnotes</b>			
<p>Commission initiated this investigation concerning presidential ballots and the duplicate voter list. It was determined that the Office of the Secretary of the State had not queried the statewide system for voters that may have voted twice. A request was made to run the query of the statewide central voter registry for persons that may have voted more than once. It was determined that many of the voters that appeared on the SOTS duplicate voter list appeared as the result of clerical errors and as the result of system errors. None of the alleged duplicated voters voted more than once. The matter was fully investigated, and the staff will instruct the Registrars of Voters in the affected towns concerning their findings.</p>			

File Number	Date Filed	Date Closed	
2005 - 279 -	10/18/2005	7/19/2006	
<b>Complainant</b>			
Dana D'Angelo Moreira			
<b>Complainant's Town</b>			
Middlebury			
<b>Respondents</b>			
Mary Jane Bernabe, Treas of Bollard-Carpinella 2005; Ralph Carpinella; Frank Davino			
<b>Disposition</b>			
Consent Agreements and Orders			
<b>Headnotes</b>			
<p>Consent Agreements adopted at the Commission meeting held on 7/19/06 (1) Respondent M.J. Bernabe, for violations of 9-333j(c) for failure to report occupation , secondary payee and expense incurred but not paid and 9-333x; Forfeit balance of Bollard Carpinella 2005 (\$4,100) to State of CT and receipt of an excessive contribution paid civil penalty of \$1000; (2) Respondent Davino; Henceforth comply CGS 9-333n, committee worker who has reached contribution limit must be reimbursed for any expenditures made within 45 days to avoid an excessive contribution (3) Ralph Carpinella \$2,000 fine for excessive contribution in violation of CGS 9-333n by in-kind contribution food and beverage with (\$4,200) plus \$10,000 loan.</p>			

File Number	Date Filed	Date Closed
2005 - 291 - A	10/31/2005	7/19/2006
<b>Complainant</b>		
Karen Mulcahy		
<b>Complainant's Town</b>		
Waterbury		
<b>Respondents</b>		
Tamara Zappone, Treasurer of the Committee to Re-Elect Michael Jarjura for Mayor Candidate Committee		
<b>Disposition</b>		
Consent Agreement and Order		
<b>Headnotes</b>		
Consent Agreement and Order adopted by the Commission at its meeting held on July 19, 2006. No violation of CGS 9-140(l), mailing with unsolicited absentee ballots included statement of eligibility requirements and warning of penalties. Civil penalty of \$300 paid for three mailing that did not include attribution indicating "paid for by"		

File Number	Date Filed	Date Closed
2005 - 291 - B	10/31/2005	7/19/2006
<b>Complainant</b>		
Karen Mulcahy		
<b>Complainant's Town</b>		
Waterbury		
<b>Respondents</b>		
<b>Disposition</b>		
<b>Headnotes</b>		
See 2005-291 A		

File Number	Date Filed	Date Closed
2005 - 291 - C	10/31/2005	7/19/2006
<b>Complainant</b>		
Karen Mulcahy		
<b>Complainant's Town</b>		
Waterbury		
<b>Respondents</b>		
<b>Disposition</b>		
<b>Headnotes</b>		
See 2005-291 A		

File Number	Date Filed	Date Closed
2005 - 291 - D	10/31/2005	7/19/2006
<b>Complainant</b>		
Karen Mulcahy		
<b>Complainant's Town</b>		
Waterbury		
<b>Respondents</b>		
<b>Disposition</b>		
<b>Headnotes</b>		
See 2005-291A		

File Number	Date Filed	Date Closed
2005 - 300 -	11/15/2005	7/19/2006
<b>Complainant</b>		
Louis A. DeCilio, Republican Registrar of Voters		
<b>Complainant's Town</b>		
Stratford		
<b>Respondents</b>		
Roandl Landon		
<b>Disposition</b>		
Consent Agreement and Order		
<b>Headnotes</b>		
<p>Consent Agreement and Order adopted by the Commission at it's meeting held on July 19, 2006, No violation of 9-140(1)- Respondent included warning of eligibility requirements &amp; statement of penalties with unsolicited absentee ballot mailing. However, Respondent hired helpers who completed a portion of absentee ballot application but did not sign their own names. Consent agreement shall henceforth ensure that if he has helpers, they will sign their own name on applications they work on.</p>		

File Number	Date Filed	Date Closed
2005 - 301 -	11/9/2005	7/19/2006
<b>Complainant</b>		
Thomas M. Bennett		
<b>Complainant's Town</b>		
Middletown		
<b>Respondents</b>		
Sandra L. Faraci, Democratic Registrar of Voters		
<b>Disposition</b>		
No Action		
<b>Headnotes</b>		
<p>Voter removed from voter list by canvas in 1994 but investigation revealed that he continuously resided there. 1994 ROVs no longer in office, one deceased and one elderly. Mr. Bennett was permitted to vote under daughter's name from 2000-2002. Improper checking of identification, but records destroyed, . Commission decided to take no further action at this time.</p>		

File Number	Date Filed	Date Closed
2005 - 304 -	11/23/2005	9/20/2006
<b>Complainant</b>		
Susan Kniep		
<b>Complainant's Town</b>		
East Hartford		
<b>Respondents</b>		
<b>Disposition</b>		
Findings and Conclusions; Dismissed		
<b>Headnotes</b>		
<p>It was found that State Representative running for Mayor did not use political committee that she chairs to advance or support her mayoral campaign. Use would be barred pursuant to Sec 9-333j(e) because committees she had distributed surplus from prior candidate committees to this political committee. Findings and Conclusions dismissing the complaint adopted by the Commission at it's meeting held on September 20, 2006.</p>		

File Number	Date Filed	Date Closed
2005 - 308 -	12/6/2005	8/16/2006
<b>Complainant</b>		
Laurence P. Czajkowski		
<b>Complainant's Town</b>		
West Haven		
<b>Respondents</b>		
<b>Disposition</b>		
Findings and Conclusions		
<b>Headnotes</b>		
<p>Candidates enrolled in one party (Dem) but elected as petitioning candidates under another party designation (A Better Future) are members of the party they were elected under (ABF) for purposes of minority representation statute, CGS 9-167a. 9-167a not applicable to single office holders or multi-member boards based on geographical divisions. Registrar has discretion to initiate disaffiliation proceedings under CGS 9-60 and 9-61 and complainant could not force her to initiate such proceedings. Parallel Superior Court case concluded that plaintiff had no standing to force Registrar to initiate proceedings. Findings and Conclusions adopted at the Commission's meeting held on August 16, 2006. The matter was dismissed.</p>		

File Number	Date Filed	Date Closed
2005 - 312 - CNF	12/19/2005	11/17/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Michael Singh, Candidate for office of State Senator of the 23rd District		
<b>Disposition</b>		
Final Decision		
<b>Headnotes</b>		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file a candidate registration (Form ED-49) or exemption from registration form (Form B-4), prior to receiving any funds or making any expenditures for his candidacy for State Senate, District #23 (Special Election held November 14, 2005), as required by Connecticut General Statutes, Sec. 9-333f. Commission found reason to believe that Mr. Singh violated CGS 9-333f and 9-333y at its meeting held on 4/19/06 and Chairman Cashman designated Mr. Garfield Hearing Officer. Hearing was held on June 1, 2006 at 10:00 a.m. The Hearing Officer's Report adopted as a Final Decision by the Commission at its meeting on September 20, 2006. The Respondent was order to pay a civil penalty of \$750 and comply with the reporting requirements in Sec. 9-333f. At the</p>		

File Number	Date Filed	Date Closed
2006 - 101 -	1/12/2006	12/20/2006
<b>Complainant</b>		
Paul F. Roy		
<b>Complainant's Town</b>		
Seymour		
<b>Respondents</b>		
Dianne Lombardi, Treasurer of Koskelowski 2005 Candidate Committee		
<b>Disposition</b>		
Agreement Containing Consent Order		
<b>Headnotes</b>		
<p>Treasurer of First Selectman candidate committee fined \$1500 and Consent Agreement; Order to amend reports for numerous violations of 9-333j(c). Treasurer had been subject of 3 prior audit reports with similar findings including failure to report occupation and employers complete address, improper use and reporting of ad books, anonymous ad reporting, candidate committee expenditures as independent. Also improperly deposited contributions over \$400 without obtaining information on whether donor had contract with municipality.</p>		

File Number	Date Filed	Date Closed
2006 - 105 - NF	1/18/2006	2/2/2007
<b>Complainant</b>		
Referral by Sally Brown, New Haven City Clerk		
<b>Complainant's Town</b>		
New Haven		
<b>Respondents</b>		
Friends of Jose Candelario, Alexandria Givan, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Termination statement of Receipts and Expenditures for Friends of Jose Candelario, filed with SEEC on 2/2/07. Period cover 9-6-05 to 7-17-06, when committee terminated after expending its surplus. Civil penalties of \$400 were paid and this matter is closed.		

File Number	Date Filed	Date Closed
2006 - 109 -	1/19/2006	1/24/2007
<b>Complainant</b>		
Nancy Rossi		
<b>Complainant's Town</b>		
West Haven		
<b>Respondents</b>		
Laurence P. Czajkowski		
<b>Disposition</b>		
Consent Agreement and Order		
<b>Headnotes</b>		
Consent Agreement and Order adopted by Commission at its meeting held on January 17, 2007, contingent upon receipt of the signed original agreement and the payment of \$1500 civil penalty within seven days from the day of adoption. Alleged violations of CGS Sec. 9-12, 9-23g, 9-171, 9-172 and 9-360 by Respondent for completing a voter registration application indicating that he was a bona fide resident at a warehouse in West Haven, when he was actually a bona fide resident of Orange, CT and voting in 2003, 2004 and 2005 elections. Civil Penalty received on Jan. 23 and signed agreement received on Jan. 24.		

File Number	Date Filed	Date Closed
2006 - 111 -	1/26/2006	9/20/2006
<b>Complainant</b>		
Shirley A. Surgeon, Democratic Registrar of Voters		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Jane Russell		
<b>Disposition</b>		
Consent Agreement and Order		
<b>Headnotes</b>		
<p>Following the investigation, it was found that Respondent altered party designation of individuals on voter registration applications without their knowledge. CGS 9-361 provides that any person who falsely represents the contents of any application for party enrollment with the intent to secure the application of an elector for enrollment upon a list other than that of his true political preference shall be guilty of enrolment violation. Consent Agreement and Order to pay a civil penalty of \$500 remitted to \$250 due to financial circumstances. Respondent severely reprimanded and warned that if she is ever again found to be involved in marking voter registration or party enrollment applications without an elector's consent, the Commission will seek criminal prosecution. Consent Agreement adopted at the special meeting of the Commission held on September 20, 2006</p>		

File Number	Date Filed	Date Closed
2006 - 112 -	1/27/2006	9/20/2006
<b>Complainant</b>		
Joseph W. DeLorenzo, Republican Registrar of Voters		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
<b>Disposition</b>		
Consent Agreement and Order		
<b>Headnotes</b>		
SEE File No. 2006-111		

File Number	Date Filed	Date Closed
2006 - 128 -	2/10/2006	10/25/2006
<b>Complainant</b>		
Lois Clough		
<b>Complainant's Town</b>		
Winsted		
<b>Respondents</b>		
Carol Lapoint, Treasurer of the Winsted Independent Party & Pamela Peresada, Candidate for Town Clerk		
<b>Disposition</b>		
Consent Agreements and Orders		
<b>Headnotes</b>		
<p>Agreement containing consent order with Respondent Carol LaPointe, she unintentionally failed to disclose in-kind contribution in violation of 9-333j. Consent Agreement required her to amend appropriate statements of disclosure. Agreement containing consent order and payment of a civil penalty with Respondent Pamela Peresade, she unintentionally violated 9-333w(a) by providing incorrect disclosures on flyers personally created by her. She was ordered to pay Civil penalty of \$100. Both agreements adopted by Commission at its meeting held on October 25, 2006.</p>		

File Number	Date Filed	Date Closed
2006 - 129 -	2/14/2006	8/16/2006
<b>Complainant</b>		
Dorothy A. DeBisschop		
<b>Complainant's Town</b>		
Oxford		
<b>Respondents</b>		
Jill Karpovich, Rep. Deputy Registrar of Voters		
<b>Disposition</b>		
Agreement Containing Consent Order to Pay a Civil Penalty		
<b>Headnotes</b>		
<p>CGS 9-409 requires that any person requesting issuance of primary petitions on behalf of a candidate or a slate of candidates must first file a statement signed by each such candidate that he consents to be a candidate for such office or position and CGS 9-410 prohibits the circulation of petition pages when the name of a candidate or candidates on the petition is already a candidate for nomination for the same office. Commission adopted the Consent Agreement and Order at its meeting held on 8-16-06. Respondent paid civil penalty of \$1,000 and reprimanded to henceforth comply.</p>		

File Number	Date Filed	Date Closed
2006 - 136 -	2/21/2006	12/20/2006
<b>Complainant</b>		
Andres Ayala		
<b>Complainant's Town</b>		
Bridgeport		
<b>Respondents</b>		
<b>Disposition</b>		
Findings and Conclusions		
<b>Headnotes</b>		
Findings and Conclusions dismissing the complaint for alleged violations of CGS 9-410 and 9-412 in connection with primary petitions circulated for the Bridgeport Democratic Town Committee Primary held March 7, 2006 in the 137th District.		

File Number	Date Filed	Date Closed
2006 - 137 -	2/22/2006	7/19/2006
<b>Complainant</b>		
Paul M. Carver		
<b>Complainant's Town</b>		
New Britain		
<b>Respondents</b>		
Diane DeFronzo, Treasurer of Jakubowski for Mayor Candidate Committee		
<b>Disposition</b>		
Consent Agreement and Order		
<b>Headnotes</b>		
Commission adopted the Consent Agreement and Order at its meeting held on July 19, 2006. Pursuant to a 45 day rule established by this agreement, Diane DeFronzo shall henceforth comply with CGS Sec. 9-333m(a) and 9-333i(j), by ensuring that any expenses made from personal funds on behalf of a committee are repaid by the committee within 45 days of the date of the expenditures.		

File Number	Date Filed	Date Closed
2006 - 138 -	3/8/2006	8/16/2006
<b>Complainant</b>		
Cynthia A. Burno, Democratic Registrar of Voters		
<b>Complainant's Town</b>		
Ridgefield		
<b>Respondents</b>		
Rocco Valeri		
<b>Disposition</b>		
Consent Agreement and Order to Pay a Civil Penalty		
<b>Headnotes</b>		
<p>Agreement adopted by the Commission at its special meeting held on August 16, 2006. Civil penalty of \$350 paid and Respondent shall henceforth comply with Sec. 9-12 and 9-170. Respondent registered to vote in two towns. Respondent was not a bona fide resident of Ridgefield and agreed to make application for erasure of his name from Ridgefield voter registry list.</p>		

File Number	Date Filed	Date Closed
2006 - 139 -	3/9/2006	1/17/2007
<b>Complainant</b>		
A. Gary Stewart		
<b>Complainant's Town</b>		
New Haven		
<b>Respondents</b>		
Elizabeth McCormack & Joseph McCormack, Jr.		
<b>Disposition</b>		
Consent Agreements and Orders		
<b>Headnotes</b>		
<p>Two Consent Agreements and Orders adopted by the Commission at its meeting held on January 17, 2007. Elizabeth McCormack paid \$200 for violation of CGS 9-140(a) by not signing an application for absentee ballots where she assisted two voters with their applications, insufficient evidence to prove she misrepresented reasons to vote by absentee ballot, in violation of CGS 9-135(b). Joseph McCormack voted by absentee ballot even though he was observed at the location of his polling place on the day of the primary. Failure to withdraw absentee ballot violation of 9-159o and voting by absentee ballot when not eligible violation of 9-135.</p>		

File Number	Date Filed	Date Closed
2006 - 140 -	3/3/2006	5/9/2007
<b>Complainant</b>		
Robert Melvin		
<b>Complainant's Town</b>		
New Haven		
<b>Respondents</b>		
Cordelia Thorpe		
<b>Disposition</b>		
Final Decision		
<b>Headnotes</b>		
<p>Hearing Officer's Report adopted as amended as a Final Decision at the Commission's meeting held on May 9, 2007. The matter was heard as a contested case at hearing. It was found the the State has offered insufficient evidence to prove that Respondent committed three different absentee ballot violations of CGS Sec. 9-140b(d) (possession) and three different absentee ballot violation of 9-140b(e) (presence during completion), as alleged. Matter was dismissed.</p>		

File Number	Date Filed	Date Closed
2006 - 141 -	3/6/2006	12/20/2006
<b>Complainant</b>		
Stephen Simoncini		
<b>Complainant's Town</b>		
Clinton		
<b>Respondents</b>		
Gary Bousquet, Sabrina Earp, Wayne Church, Barbara Cerruto, Lisa Aitro-Harden, Thomas Riccio, Salvatore Cerruto, Elizabeth Isaacson, Kristina Miller, Donna Chrostowski, Edward Miller III, Garth Colegrove and Church Church		
<b>Disposition</b>		
Consent Agreement and Order		
<b>Headnotes</b>		
<p>Consent Agreement and Order adopt by the Commission at its meeting held on December 20, 2006, Henceforth order and Reprimand with 13 Respondents, who joined to make an expenditure for their candidacy for Rep. Town Committee, but failed to file as a political committee and did not include an appropriate attribution on campaign literature.</p>		

<b>File Number</b>	<b>Date Filed</b>	<b>Date Closed</b>	
2006 - 146 -	3/14/2006	3/14/2007	
<b>Complainant</b>			
Willie D. Greene			
<b>Complainant's Town</b>			
New Haven			
<b>Respondents</b>			
Anthony Michael Juliano & Gaylord Gourne			
<b>Disposition</b>			
Consent Agreement and Order to Pay a Civil Penalty			
<b>Headnotes</b>			
<p>At its meeting held on October 25th, the Commission adopted the Consent Agreement and Order to pay a civil penalty of \$1500 for violations of CGS 9-333m(a) by making a contribution in excess of the permissible contribution limit for a candidate for the office of Governor and further by making a second contribution in a name other than his own in violation of CGS 9-333x(7). Respondent agreed to pay the civil penalty by November 15. A payment of \$500 was received on November 28, 2006. A balance remains of \$1,000 to date. On December 20, 2006 the Commission voted to refer Anthony Michael Juliano to the Office of the Attorney General for enforcement of the Commission's order. At its meeting held on March 14, 2007, the Commission adopted the Consent Order in connection with excessive contribution made to "DeStefano for Connecticut"</p>			

<b>File Number</b>	<b>Date Filed</b>	<b>Date Closed</b>	
2006 - 147 -	3/17/2006	12/20/2006	
<b>Complainant</b>			
Lillian Marquez and Joseph Rodriguez			
<b>Complainant's Town</b>			
New Haven			
<b>Respondents</b>			
<b>Disposition</b>			
Findings and Conclusions			
<b>Headnotes</b>			
<p>Findings and Conclusions dismissing the complaint in connection with alleged absentee ballot violations for the March 7, 2006 15th Ward co-chair New Haven Democratic Town Committee Primary adopted by Commissioner at its meeting held on Dec. 20, 2006.</p>			

File Number	Date Filed	Date Closed
2006 - 148 -	3/23/2006	7/19/2006
<b>Complainant</b>		
Gary Stewart		
<b>Complainant's Town</b>		
New Haven		
<b>Respondents</b>		
<b>Disposition</b>		
Findings and Conclusions		
<b>Headnotes</b>		
<p>Commission adopted the Findings and Conclusions at its meeting held on July 19, 2006 dismissing the complaint. The allegation that an Alderwoman misrepresented absentee ballot eligibility requirements to two electors as prohibited by CGS. 9-135(b). Allegation that elector violated CGS 9-135(a) by voting absentee ballot although she was available during voting hours to do so in person. Conclusion that neither violated the law.</p>		

File Number	Date Filed	Date Closed
2006 - 150 -	3/24/2006	11/1/2006
<b>Complainant</b>		
John F. Scott IV		
<b>Complainant's Town</b>		
Groton		
<b>Respondents</b>		
Michael Gothie, Treasurer of the Groton Good Government PAC & Wil Langdon, Member of the Groton Good Government Pac		
<b>Disposition</b>		
Consent Agreements and Orders		
<b>Headnotes</b>		
<p>Commission, at its meeting held on October 25, 2006, adopted two Consent Agreements and Orders with two respondents. Agreement Containing Consent Order for violations of CGS 9-333n and CGS 9-333x for accepting excessive contribution and CGS 9-333j for failing to disclose an expense incurred but not paid as to Respondent Mike Gothie, civil penalty of \$250 paid. Agreement Containing Consent Order for violations of CGS 9-333n and 9-333x for making an excessive contribution to a Political committee, Civil Penalty of \$500 paid. Mr. Gothie also returned a check for \$326 on the account of Groton Good Government PAC to Mr. Langdon and amended the treasurers report for compliance</p>		

File Number	Date Filed	Date Closed
2006 - 157 - NF	4/4/2006	10/11/2006
<b>Complainant</b>		
Referral by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Coalition of Concerned Citizens for Local Control Schools, Jo Reiner Gallo, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure form which was due on January 10, 2006 in violation of CGS 9-333j. Reason to believe findings adopted at the SEEC meeting held on 9/20/06. Hearing scheduled for 10/26/06 with Hearing Officer Jeffrey Garfield. On 10/11/06 Respondent filed ED-45 and \$400 civil penalty for this matter and for File No. 2006-176NF. Both matters are closed due to compliance.</p>		

File Number	Date Filed	Date Closed
2006 - 166 -	4/19/2006	7/18/2006
<b>Complainant</b>		
Jennifer Iannucci		
<b>Complainant's Town</b>		
Bridgewater		
<b>Respondents</b>		
Barbara Brown, John Boyer, John Field, Michael Jackson, Craig Schoon and Rexford Swain, Board of Finance		
<b>Disposition</b>		
Agreement Containing Consent Order		
<b>Headnotes</b>		
<p>Consent Agreement where each member of the town of Washington Board of Finance paid \$200 penalty to state and reimbursed the Town of Washington \$59.50 for public expenditure to disseminate mailer that advocated position on an April 25, 21006 Regional School District #12 budget referendum. This expenditure violated 9-369b. Agreement adopted at the Commission meeting held on August 16, 2006.</p>		

File Number	Date Filed	Date Closed
2006 - 167 -	5/8/2006	9/20/2006
<b>Complainant</b>		
Victoria S. Harlow		
<b>Complainant's Town</b>		
Haddam		
<b>Respondents</b>		
Gary S. Mala, Superintendent of Schools, Regional District #17		
<b>Disposition</b>		
Findings and Conclusions and Consent Agreement and Order		
<b>Headnotes</b>		
<p>At the Commission meeting held on August 16, 2006 the Commission adopted Findings and Conclusions dismissing the part of the complaint with regard to the allegation that there was a violation of CGS 9-333x(3), potential vote buying. At the Commission's meeting on September 20, 2006, the Consent Agreement and Order was adopted with regard to the allegation that Mr. Gary Mala violated CGSs 9-236 by entering the polling place for an unauthorized purpose. He was reprimanded for that violation.</p>		

File Number	Date Filed	Date Closed
2006 - 168 -	5/9/2006	3/14/2007
<b>Complainant</b>		
Pamela Lang		
<b>Complainant's Town</b>		
Middlefield		
<b>Respondents</b>		
<b>Disposition</b>		
Findings and Conclusions		
<b>Headnotes</b>		
<p>At the Commission meeting held on 3/14/07, the Findings and Conclusions were adopted dismissing the matter. It was found that the individual acted alone (9-333d), was not required to file statements since his expenses were under \$1000 (9-333n) and subsequently was not required to include an attribution on the flyer (9-333w). The matter is dismissed.</p>		

File Number	Date Filed	Date Closed
2006 - 169 -	5/16/2006	8/16/2006
<b>Complainant</b>		
Joan Coe		
<b>Complainant's Town</b>		
Simsbury		
<b>Respondents</b>		
<b>Disposition</b>		
Findings and Conclusions		
<b>Headnotes</b>		
Findings and Conclusions adopted at the Commission's meeting held on August 16, 2006 dismissing the complaint. Allegations regarding public expenditures were not substantiated by available evidence or were not supported by evidence. Use of web address alone on public website is not enough to trigger public expenditure in the context of CGS 9-369b. Dismissed		

File Number	Date Filed	Date Closed
2006 - 170 -	5/11/2006	7/19/2006
<b>Complainant</b>		
Paul R. Doyle, State Representative, 28th Assembly District		
<b>Complainant's Town</b>		
Wethersfield		
<b>Respondents</b>		
<b>Disposition</b>		
Findings and Conclusions		
<b>Headnotes</b>		
Commission adopted the Findings and Conclusions at its meeting held on July 19, 2006. An anonymous flyer was mailed that did not include the attribution as required by 9-333w. There is insufficient evidence to determine who disseminated the flyer. The Commission will take no further action at this time but reserves the right to reopen the investigation in the event other evidence is obtained.		

File Number	Date Filed	Date Closed	
2006 - 171 -	5/15/2006	6/13/2007	
<b>Complainant</b>			
Steven L. Smock			
<b>Complainant's Town</b>			
Baltic			
<b>Respondents</b>			
Baltic Fire Department			
<b>Disposition</b>			
Agreement Containing Consent Order			
<b>Headnotes</b>			
<p>On June 13, 2007, the Commission adopted a signed Consent Agreement where the Baltic Fire Department was reprimanded for its unintentional violation of CGS 9-639b. Respondent was ordered to henceforth strictly comply with the requirements of CGS 9-369b and ensure that no town owned facilities, vehicles, equipment and supplies are used in support of, or in opposition to, a referendum question. With respect to the permanent, backlit sign, the Commission concluded that the sign was in compliance with the requirements of sec. 9-621 and 9-236, GS. The Commission also found that the purchasing of paper and production of the flyer was a de minimis and independent expenditure which did not require an amended attributions and, therefore it was in compliance with 9-333w.</p>			

File Number	Date Filed	Date Closed	
2006 - 172 -	5/18/2006	6/13/2007	
<b>Complainant</b>			
Donald W. Groff, PhD.			
<b>Complainant's Town</b>			
Hartford			
<b>Respondents</b>			
<b>Disposition</b>			
Findings and Conclusions			
<b>Headnotes</b>			
<p>At it's meeting held on June 13, 2007 the Commission voted to adopt the Findings and Conclusions dismissing the complaint after determining that no public funds were used for any of the PTO's activities or functions, flyers advertising the events were neutral in their content and did not advocate a position at the referendum, there was no indication that ice cream was provided as a quid pro quo for voting, that the allegation that ice cream socials and the mailers were only directed to those wishing a successful passing of the referendum question does not implicate any election law, and concluding that Respondents did not violate CGS 9-236, 9-333. and 9-369b, in this case as alleged by the complainant.</p>			

File Number	Date Filed	Date Closed
2006 - 173 -	5/25/2006	7/19/2006
<b>Complainant</b>		
Florence Stahl, President of Avon Taxpayer's Association		
<b>Complainant's Town</b>		
Avon		
<b>Respondents</b>		
<b>Disposition</b>		
Findings and Conclusions		
<b>Headnotes</b>		
Commission adopted the Findings and Conclusions dismissing the complaint at its meeting held on July 19, 2006. Complainant alleged the use of automated telephone system by Avon Public Schools to give notice of referendum time, date and place in violation of 9-369b. Complaint dismissed, no advocacy		

File Number	Date Filed	Date Closed
2006 - 176 - NF	6/12/2006	10/11/2006
<b>Complainant</b>		
Referral by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Coalition of Concerned Citizens for Local Control of Schools, Jo Reiner Gallo, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure form which was due on April 10, 2006 in violation of CGS 9-333j. Reason to believe findings adopted at the SEEC meeting held on 9/20/06. Hearing scheduled for 10/26/06 with Hearing Officer Jeffrey Garfield. On 10/11/06 Respondent filed 4-10-06 and 1-10-06 (2006-157NF) reports and \$400 civil penalty for this matter and for File No. 2006-157NF. Both matters are closed due to compliance.		

File Number	Date Filed	Date Closed
2006 - 177 - NF	6/12/2006	12/18/2006
<b>Complainant</b>		
Referral by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Concerned Parents of Clinton, Mark Barillaro, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure form which was due on April 10, 2006 in violation of CGS 9-333j. Mr. Barillaro filed ED 45 with the Office of the Secretary of the State on 7/15/06. Paid \$100 toward \$200 civil penalty to SEEC on October 4, 2006. Paid the final \$100 for compliance on 12/14/06. Closed		

File Number	Date Filed	Date Closed
2006 - 178 - NF	6/12/2006	10/25/2006
<b>Complainant</b>		
Referral by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
CT Young Republicans 4th Congressional District, Robert Leiphalt, III, Treasurer		
<b>Disposition</b>		
No further action		
<b>Headnotes</b>		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure form which was due on April 10, 2006 in violation of CGS 9-333j. At its meeting held on 9/20/06 the Commission found reason to believe that Alexis Harrison, Treasurer violated CGS 9-333j and 9-333j. Ms. Harrison did not become treasurer until 8/21/06 and was not legally responsible for the missing report. The treasurer in April was Mr. Leiphalt who paid civil penalty of \$200 on 8/10. He filed his report on the CFIS system but the system did not accept it. At the Commission meeting held on 10-25-06, The Commission moved to rescind its finding of reason to believe and voted to take no further action, due to the fact that he already paid the mandatory minimum fine and the subsequent report indicating no activity that encompasses the		

File Number	Date Filed	Date Closed
2006 - 179 - NF	6/12/2006	7/29/2006
<b>Complainant</b>		
Referral by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
District B Democratic Committee, George Fludd, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure form which was due on April 10, 2006 in violation of CGS 9-333j. New Treasurer Mary O. Mann filed the 4-10-06 Exemption from Itemized Reporting (ED-46) with the Office of the Secretary of the State on 7/12/06. Former Treasurer George Fludd paid \$200 civil penalty with the Office of the Secretary of the State. Compliance.</p>		

File Number	Date Filed	Date Closed
2006 - 180 - NF	6/12/2006	8/15/2006
<b>Complainant</b>		
Referral by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Effective Leadership for Ridgefield, Peggy Marconi, Treasurer		
<b>Disposition</b>		
No further action		
<b>Headnotes</b>		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure form which was due on April 10, 2006 in violation of CGS 9-333j. Chairman Mark Robinson wrote letter verifying he erroneously filed ED-47 with the Secretary of the State in February 2006. This PAC is a municipal entity and files with Ridgefield Town Clerk. Referred in error. Compliance with Town Clerk. Commission will take no further action</p>		

File Number	Date Filed	Date Closed
2006 - 181 - NF	6/12/2006	9/12/2006
<b>Complainant</b>		
Referral by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
First District Republicans, John Florek, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure form which was due on April 10, 2006 in violation of CGS 9-333j. Treasurer John A. Florek filed an ED-46 delinquent report on September 12, 2006 along with civil penalty of \$300 for compliance. The matter is closed.		

File Number	Date Filed	Date Closed
2006 - 182 - NF	6/12/2006	3/10/2007
<b>Complainant</b>		
Referral by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Waterbury Firefighters PAC, Michael Bergin, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure form which was due on April 10, 2006 in violation of CGS 9-333j. The April 10, 2006 report was filed, discharge of funds to union treasurer		

File Number	Date Filed	Date Closed
2006 - 184 - NF	6/12/2006	8/15/2006
<b>Complainant</b>		
Referral by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Independent Party of Norwalk		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure form which was due on April 10, 2006 in violation of CGS 9-333j. Because of information received that this party committee no longer is functioning, and former treasurer's resignation on 7-16, Edna Brooks acting as treasurer in order to terminate the party filed an ED-46 for April 10, 2006 as well as an amended ED-48 showing her temporarily as Chairperson and treasurer. She sent check from party treasury for the late filing fee of \$200. Closed, Compliance.</p>		

File Number	Date Filed	Date Closed
2006 - 186 - NF	6/12/2006	7/25/2006
<b>Complainant</b>		
Referral by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Trumbull Democratic Town Committee, Thomas Ragonese, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure form which was due on April 10, 2006 in violation of CGS 9-333j. On 7/25/06 respondent filed Ed-45 for April 10, 2006 along with \$200 civil penalty for compliance.</p>		

File Number	Date Filed	Date Closed
2006 - 187 -	6/9/2006	8/16/2006
<b>Complainant</b>		
Troy Foreman		
<b>Complainant's Town</b>		
Westbrook		
<b>Respondents</b>		
<b>Disposition</b>		
Findings and Conclusions		
<b>Headnotes</b>		
Findings and Conclusions adopted by the Commission at its special meeting held on August 16, 2006. The matter was dismissed. E-mails sent did not constitute an expenditure.		

File Number	Date Filed	Date Closed
2006 - 188 -	6/19/2006	8/16/2006
<b>Complainant</b>		
Richard Rogers		
<b>Complainant's Town</b>		
Lisbon		
<b>Respondents</b>		
<b>Disposition</b>		
Findings and Conclusions		
<b>Headnotes</b>		
Commission adopted the Findings and Conclusions at its meeting held on August 16, 2006. The complaint is dismissed. Based on the Commission's consistent application of its standard for advocacy which measures the style, tenor, and timing of literature, the flyer subject to the complaint did not advocate. Further, the Commission has consistently held that merely indicating the time, place, date and question on ballot is not advocacy as prohibited by CGS 9-369b.		

File Number	Date Filed	Date Closed
2006 - 189 -	6/19/2006	7/19/2006
<b>Complainant</b>		
Rep. Robert M. Ward, House Minority Leader & Robert Farr, State Representative		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
<b>Disposition</b>		
Findings and Conclusions		
<b>Headnotes</b>		
Findings and Conclusions adoted by the Commission at its meeting held on July 19, 2006 to take no further action on the complaint. The Commission disagrees with Secretary of the State and Attorney General that Secretary of the State has discretion to accept late filed certificates of endorsement, but declines to pursue injunctive relief to remove candidates from ballot.		

File Number	Date Filed	Date Closed
2006 - 190 -	6/21/2006	4/11/2007
<b>Complainant</b>		
Joseph F. Pinto, III, Derby Republican Town Chairman		
<b>Complainant's Town</b>		
Derby		
<b>Respondents</b>		
Kevin Blake, Deputy Treasurer of Gentile for State Representative		
<b>Disposition</b>		
Consent Agreement and Order		
<b>Headnotes</b>		
Commission, at its meeting held on April 11, 2007, adopted Consent Agreement and Order with Respondent for numerous reporting violations, inappropriate contributions, failure to properly itemize contributions and expenditures and prior audit reports advising compliance. Civil penalty of \$1,500 and order to amend relevant statement of receipts and expenditures to correct errors identified in agreement and properly terminate the committee.		

File Number	Date Filed	Date Closed
2006 - 191 -	6/23/2006	8/16/2006
<b>Complainant</b>		
Mary Foley and Mary Eleanor Kimball, Registrars of Voters		
<b>Complainant's Town</b>		
Glastonbury		
<b>Respondents</b>		
<b>Disposition</b>		
No Further Action		
<b>Headnotes</b>		
Commission, with assistance of Federal authorities, confirmed the fact that the individual in question is a United States Citizen, the Commission, at its meeting held on 8/16/06, voted to take no further action and the matter is closed.		

File Number	Date Filed	Date Closed
2006 - 192 -	6/28/2006	4/26/2007
<b>Complainant</b>		
Representative Minnie Gonzalez		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Francisco DeJesus		
<b>Disposition</b>		
Consent Agreement		
<b>Headnotes</b>		
Agreement Containing Consent Order for violations of C.G.S. Sec. 9-410 adopted by the Commission at April 11, 2007 Commission Meeting. Respondent paid a \$2,000 civil penalty for petitions circulated by Respondent, where the Commission maintains he did not witness each signature On 10/27/06 Judge Susco granted Mr. DeJesus application for accelerated rehabilitation after this matter was referred to the Chief State's Attorney's Office. Terms of A/R 1.) \$2,000 civil penalty 2) not circulate petitions until 10/27/13. Resitution to city of Hartford.		

File Number	Date Filed	Date Closed
2006 - 193 -	6/29/2006	1/17/2007
<b>Complainant</b>		
Frank DeJesus		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Minnie Gonzalez		
<b>Disposition</b>		
Final Decision		
<b>Headnotes</b>		
<p>Hearing Officers Report adopted as a Final Decision at the Commission's meeting held on Jan. 17, 2007. Incumbent candidate for re-election created and published a website that promoted her candidacy, but website did not contain attribution as required by CGS 9-333w. It was found that because website expressly promoted candidate's re-election. Respondents' purchase and payment of web hosting services were campaign expenditures, failure to report these expenditures was a violation of 9-333i(k). Civil penalty of \$200 paid henceforth comply.</p>		

File Number	Date Filed	Date Closed
2006 - 195 -	6/30/2006	9/20/2006
<b>Complainant</b>		
Stacey Oliveiri		
<b>Complainant's Town</b>		
Colchester		
<b>Respondents</b>		
<b>Disposition</b>		
Findings and Conclusions, Dismissed		
<b>Headnotes</b>		
<p>Commission adopted the Findings and Conclusions at its meeting held on September 20, 2006 dismissing the complaint. Preparation and distribution of a "list" to Board of Education members and to members of the public to facilitate discussions regarding the budget do not constitute a violation of CGS 9-369b.</p>		

File Number	Date Filed	Date Closed
2006 - 196 -	7/12/2006	9/20/2006
<b>Complainant</b>		
Irving N. Steiner		
<b>Complainant's Town</b>		
Shelton		
<b>Respondents</b>		
<b>Disposition</b>		
Findings and Conclusions, Dismissed		
<b>Headnotes</b>		
Findings and Conclusions adopted by the Commission at it's meeting held on September 20, 2006 dismissing the matter. Investigation found that there were no "clearly indentified candidates' contained in complained of literature and therefore no "expenditure" under C.G.S. Sec. 9-333c and no attribution requirement pursuant to Sec. 9-333w.		

File Number	Date Filed	Date Closed
2006 - 197 -	7/14/2006	9/20/2006
<b>Complainant</b>		
Gaelen W. Wells		
<b>Complainant's Town</b>		
Norwalk		
<b>Respondents</b>		
<b>Disposition</b>		
Findings and Conclusions, Dismissed		
<b>Headnotes</b>		
Findings and Conclusions adopted at special meeting held on September 20, 2006 dismissing the matter. Following investigation, no evidence was found to support the allegation that two possible respondents produced and distributed the flyer nor is there any evidence to suggest that they violated the campaign finance laws of the State of Connecticut.		

<b>File Number</b>	<b>Date Filed</b>	<b>Date Closed</b>
2006 - 198 -	7/19/2006	9/20/2006
<b>Complainant</b>		
Douglas Hageman		
<b>Complainant's Town</b>		
Southington		
<b>Respondents</b>		
<b>Disposition</b>		
Findings and Conclusions; Dismissed		
<b>Headnotes</b>		
<p>No violation of 9-400, 9-12 and 9-923g. Candidate was a bona fide resident of Hartland and entitled to be an elector on registry list. The municipality changed street addresses years ago. Respondent reasonably believed address on voter registration form accurate when completed, there was no intent to deceive and no effect. The Findings and Conclusions were adopted at the Commission meeting held on September 20, 2006. The matter is dismissed.</p>		

<b>File Number</b>	<b>Date Filed</b>	<b>Date Closed</b>
2006 - 199 -	7/27/2006	10/25/2006
<b>Complainant</b>		
Frank B. Hall		
<b>Complainant's Town</b>		
Essex		
<b>Respondents</b>		
Deborah M. Pearl		
<b>Disposition</b>		
Agreement Containing Consent Order		
<b>Headnotes</b>		
<p>Commission adopted the Agreement Containing Consent Order at its meeting held on October 25, 2006. Respondent Deborah Pearl ordered to henceforth comply with CGS 9-333w by including the words "paid for by" and "approved by" with her name as candidate on solicitations that require an attribution.</p>		

File Number	Date Filed	Date Closed
2006 - 200 -	8/2/2006	9/20/2006
<b>Complainant</b>		
Christopher Cooney		
<b>Complainant's Town</b>		
Stamford		
<b>Respondents</b>		
<b>Disposition</b>		
Withdrawn		
<b>Headnotes</b>		
At its special meeting held on September 20, 2006, the Commission granted the request by Mr. Cooney to withdraw this complaint as well as Complaint No. 2006-201 pursuant to Regulations of Connecticut State Agencies Sec. 9-7b-26(c).		

File Number	Date Filed	Date Closed
2006 - 201 -	8/2/2006	9/20/2006
<b>Complainant</b>		
Christopher Cooney		
<b>Complainant's Town</b>		
Stamford		
<b>Respondents</b>		
<b>Disposition</b>		
Withdrawn		
<b>Headnotes</b>		
At its special meeting held on September 20, 2006, the Commission granted the request by Mr. Cooney to withdraw this complaint as well as Complaint No. 2006-200 pursuant to Regulations of Connecticut State Agencies Sec. 9-7b-26(c).		

File Number	Date Filed	Date Closed
2006 - 202 -	8/16/2006	12/29/2006
<b>Complainant</b>		
Frances O. Knipple, Democratic Registrar of Voters and Janis K. Murtha, Republican Registrar of Voters		
<b>Complainant's Town</b>		
South Windsor		
<b>Respondents</b>		
Nicole Bourgelas and Paulina Borkowski		
<b>Disposition</b>		
Consent Agreements and Orders		
<b>Headnotes</b>		
<p>Two Consent Agreements and Orders to Pay a Civil Penalty for Violation of CGS 9-453j adopted by the Commission at its meeting held on November 15, 2006. Both Respondents were circulators of nominating petitions for Joseph Lieberman's candidacy for US Senator. Respondent Bourgelas did not witness every petition signor sign in her presence and paid a civil penalty of \$1,000 and she shall not serve as a circulator of any petition for a period two years from the date of the adopting of the agreement. Respondents Borkowski the same violations and paid civil penalty of \$1000 and also not serve as a circulator for two years. This complaint is closed.</p>		

File Number	Date Filed	Date Closed
2006 - 203 - C	8/22/2006	12/18/2006
<b>Complainant</b>		
Referral by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Ellen Burchill, Candidate for State Representative, District #20		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
<p>Referred by the Office of the Secretary of the State for failure to establish a candidate committee, or file an exemption from forming a candidate committee, as required by Sec. 9-333f. Ellen Burchill filed a Certification of Exemption from Forming a Candidate Committee (B-4 form). She withdrew her candidacy. The civil penalty of \$200 was paid and the Commission will take no further action.</p>		

File Number	Date Filed	Date Closed
2006 - 204 - CNF	8/22/2006	10/11/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Enrico Constantini, Candidate for State Representative, District #127		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Referred by the Office of the Secretary of the State for failure to establish a candidate committee, or file an exemption from forming a candidate committee, as required by Sec. 9-333f. Mr. Constantini withdrew his candidacy in writing with Secretary of the State on 10-11-06, filed Form B-4 and civil penalty of \$200 for compliance.		

File Number	Date Filed	Date Closed
2006 - 205 - CNF	8/22/2006	10/6/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Robert Keeley, Candidate for State Representative, District 129		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Referred by the Office of the Secretary of the State for failure to establish a candidate committee, or file an exemption from forming a candidate committee, as required by Sec. 9-333f. Mr. Keeley did not receive Secretary of the State's notification letter of 9/21 and contacted this office. He filed a B-4 form with the Secretary of the State on 9/22 and paid \$100 civil penalty to SEEC on 10-06-06.		

File Number	Date Filed	Date Closed
2006 - 206 - C	8/22/2006	10/11/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Marty Landgrebe, Candidate Judge of Probate-New Milford		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Referred by the Office of the Secretary of the State for failure to establish a candidate committee, or file an exemption from forming a candidate committee, as required by Sec. 9-333f. Judge Landgrebe filed Form B-4 and paid the civil penalty of \$200 on 10-11-06 for compliance.		

File Number	Date Filed	Date Closed
2006 - 207 - C	8/22/2006	10/10/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Daniel Moura, Candidate for State Representative, District #9		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Referred by the Office of the Secretary of the State for failure to establish a candidate committee, or file an exemption from forming a candidate committee, as required by Sec. 9-333f. Mr. Moura filed candidate committee registration form ED-49 with the Office of the Secretary of the State on 9-6-06. He paid civil penalty of \$200 to SEEC on 10/10/06 for compliance.		

File Number	Date Filed	Date Closed
2006 - 208 - C	8/22/2006	1/3/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Kevin O'Grady, Candidate for Judge of Probate-Westport		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
<p>Referred by the Office of the Secretary of the State for failure to establish a candidate committee, or file an exemption from forming a candidate committee, as required by Sec. 9-333f. Respondent filed a B-4 an Exemption from Forming a Candidate Committee and paid a civil penalty of \$100 with the Secretary of the State's Office on September 25, 2006 and an additional \$100 to SEEC on 1/3/07 for compliance.</p>		

File Number	Date Filed	Date Closed
2006 - 209 - L	8/22/2006	10/10/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Steven Anderson, Lobbyist		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
<p>Pursuant to Sec. 9-333y, CGS, Referred by the Office of the Secretary of the State for failure to file disclosure forms due on July 13, 2006. Mr. Anderson filed a lobbyist disclosure form with Secretary of the State on 8-30-06 and his late fee of \$200 was paid to SEEC on 10-05-06 for compliance.</p>		

File Number	Date Filed	Date Closed
2006 - 210 - L	8/22/2006	10/10/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Dana Berliner, Lobbyist		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Sec. 9-333y, CGS, Referred by the Office of the Secretary of the State for failure to file disclosure forms due on July 13, 2006. Ms. Berliner filed a lobbyist disclosure form with the Office of the Secretary of the State on 8-30-06 and paid a late filing fee of \$200 to SEEC on 10-5-06 for compliance.		

File Number	Date Filed	Date Closed
2006 - 211 - L	8/22/2006	10/18/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Calvin Bunnell, Lobbyist		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Sec. 9-333y, CGS, Referred by the Office of the Secretary of the State for failure to file disclosure forms due on July 13, 2006. Mr. Bunnell filed the late lobbyist disclosure form with the Secretary of the State on 9-15-06. The civil penalty of \$200 was paid to SEEC on 10-16-06 for compliance.		

File Number	Date Filed	Date Closed
2006 - 212 - L	8/22/2006	11/13/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Christopher Carter, Lobbyist		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Sec. 9-333y, CGS, Referred by the Office of the Secretary of the State for failure to file disclosure forms due on July 13, 2006. Certification of Exemption from Filing Itemized Disclosure of Contributions and Purchases by a Lobbyist (Form ED-51) filed by Mr. Carter representing CT Assisted Living Assoc along with civil penalty of \$200 for compliance.		

File Number	Date Filed	Date Closed
2006 - 213 - L	8/22/2006	10/5/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
David Chess, Lobbyist		
<b>Disposition</b>		
Withdrawn/ no further action		
<b>Headnotes</b>		
Pursuant to Sec. 9-333y, CGS, Referred by the Office of the Secretary of the State for failure to file disclosure form due on July 13, 2006. Secretary of the State withdrew the referral on 10-05 as they had received late filing fee in August. Mr. Chess was in compliance.		

File Number	Date Filed	Date Closed
2006 - 214 - L	8/22/2006	10/24/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the state		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Ted Diesel, Lobbyist		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Sec. 9-333y, CGS, Referred by the Office of the Secretary of the State for failure to file disclosure forms due on July 13, 2006. Certification of Exemption from Filing Itemized Disclosure of Contributions and Purchases by a Lobbyist (Form ED-51) Filed by Ted Diesel, formerly representing Cablevision of Connecticut, on October 20 along with a late penalty payment of \$100. Due to the fact that Mr. Deisel did not receive the letter from the Secretary of the State, the civil penalty was reduced from the orginial \$200. This matter is closed		

File Number	Date Filed	Date Closed
2006 - 215 - L	8/22/2006	9/6/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the state		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
James Duffy, Lobbyist		
<b>Disposition</b>		
Referral withdrawn by Secretary of the State due to compliance.		
<b>Headnotes</b>		
Pursuant to Sec. 9-333y, CGS, Referred by the Office of the Secretary of the State for failure to file disclosure forms due on July 13, 2006. Secretary of the State withdrew its referral of Mr. Duffy after receiving a lobbyist's disclosure report due on 7/13/06 and the late filing fee of \$100 for compliance.		

File Number	Date Filed	Date Closed
2006 - 216 - L	8/22/2006	12/18/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Richard Foley, Lobbyist		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Sec. 9-333y, CGS, Referred by the Office of the Secretary of the State for failure to file disclosure forms due on July 13, 2006. Mr. Foley filed the Itemized Disclosure of Contributions form by a Lobbyist (Form Ed-50) along with the civil penalty of \$200 for compliance		

File Number	Date Filed	Date Closed
2006 - 217 - L	8/22/2006	10/12/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Steven Godfrey, Lobbyist		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Sec. 9-333y, CGS, Referred by the Office of the Secretary of the State for failure to file disclosure forms due on July 13, 2006 in violation of CGS 9-333l(g). Certification of Exemption from Filing Itemized Disclosure of Contributions and Purchases by a Lobbyist (ED-51) was filed by Mr. Godfrey on October 12, 2006 along with a civil penalty of \$200 for compliance.		

File Number	Date Filed	Date Closed
2006 - 218 - L	8/22/2006	10/18/2006
<b>Complainant</b>		
Referral by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Paul Hongo, Lobbyist		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Sec. 9-333y, CGS, Referred by the Office of the Secretary of the State for failure to file disclosure forms due on July 13, 2006. Certification of Exemption from Filing Itemized Disclosure of Contributions and Purchases by a Lobbyist (form ED-51) filed by Mr. Hongo formerly representing CWA Local 1298, which was due on July 13, 2006. Civil penalty of \$100 paid, reduced from \$200 because Mr. Hongo did not receive the SOTS letter that was mailed after he ceased lobbying in 2005. Compliance		

File Number	Date Filed	Date Closed
2006 - 219 - L	8/22/2006	10/24/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Cindy Karlic, Lobbyist		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Sec. 9-333y, CGS, Referred by the Office of the Secretary of the State for failure to file disclosure forms due on July 13, 2006. Certification of Exemption from Filing Itemized Disclosure of Contributions and Purchases by a Lobbyist (form ED-51) filed by Ms. Karlic representing NRG Energy, Inc. on October 24, 2006 along with the civil penalty of \$200 for compliance.		

File Number	Date Filed	Date Closed
2006 - 220 - L	8/22/2006	10/24/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Ed Keith, Lobbyist		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Sec. 9-333y, CGS, Referred by the Office of the Secretary of the State for failure to file disclosure forms due on July 13, 2006. Certification of Exemption from Filing Itemized Disclosure of Contributions and Purchases by a Lobbyist (Form ED-51) was filed by Mr. Keith, representing NRG Energy, Inc., on October 19 along with the civil penalty of \$200 for compliance.		

File Number	Date Filed	Date Closed
2006 - 221 - L	8/22/2006	10/6/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Emily Landers		
<b>Disposition</b>		
No further action/closed		
<b>Headnotes</b>		
Pursuant to Sec. 9-333y, CGS, Referred by the Office of the Secretary of the State for failure to file disclosure forms due on July 13, 2006. Ms. Landers was formerly employed by CT Sexual Assault Crisis Services. They provided proof that she left their employment on 6/10/05 and was not a lobbyist during the pertinent reporting period of July 1, 2005 to June 30, 2006. SEEC will take no further action, the matter is closed.		

File Number	Date Filed	Date Closed
2006 - 222 - L	8/22/2006	12/18/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Raymond Long, Lobbyist		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Sec. 9-333y, CGS, Referred by the Office of the Secretary of the State for failure to file disclosure forms due on July 13, 2006. Mr. Long filed the Certification of Exemption from Filing Itemized Disclosure of Contributions and Purchases by a Lobbyist (ED)51) along with the civil penalty of \$200 for compliance.		

File Number	Date Filed	Date Closed
2006 - 223 - L	8/22/2006	12/11/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Milton Makoski, Lobbyist		
<b>Disposition</b>		
Closed		
<b>Headnotes</b>		
Pursuant to Sec. 9-333y, CGS, Referred by the Office of the Secretary of the State for failure to file disclosure forms due on July 13, 2006. No further action due to the death of Mr. Makoski. Closed		

File Number	Date Filed	Date Closed
2006 - 224 - L	8/22/2006	8/24/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Francis McCarthy, Lobbyist		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Sec. 9-333y, CGS, Referred by the Office of the Secretary of the State for failure to file disclosure forms due on July 13, 2006. On August 24, 2006, Secretary of the State notified the Commission that they received the 7/13/06 report from Mr. McCarthy. This was received before the demand letter was sent. Closed		

File Number	Date Filed	Date Closed
2006 - 225 - L	8/25/2006	10/5/2006
<b>Complainant</b>		
Referral by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
William Mellor, Lobbyist		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Sec. 9-333y, CGS, Referred by the Office of the Secretary of the State for failure to file disclosure forms due on July 13, 2006. Mr. Mellor filed a lobbyist disclosure statement with Office of the Secretary of the State on 8/30/06 and paid the civil penalty of \$200 with SEEC on 10/05/06 for compliance.		

File Number	Date Filed	Date Closed
2006 - 226 - L	8/22/2006	10/11/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Anthony Moffett, Lobbyist		
<b>Disposition</b>		
No further action		
<b>Headnotes</b>		
Pursuant to Sec. 9-333y, CGS, Referred by the Office of the Secretary of the State for failure to file disclosure forms due on July 13, 2006. Anthony Moffett's firm, Private Public Solutions, attempted to terminate his CT lobbyist registration in June, 2005 when he ceased lobbying in CT. Mr. Moffett was not Lobbying during the pertinent reporting period of July 1, 2005 thru June 30, 2006. It was referred in error and SEEC will take no further action.		

File Number	Date Filed	Date Closed
2006 - 227 - L	8/22/2006	10/6/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Adam Ney, Lobbyist		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Sec. 9-333y, CGS, Referred by the Office of the Secretary of the State for failure to file disclosure forms due on July 13, 2006. Mr. Ney filed an ED-51 on 10/4/06 and paid a \$200 civil penalty on 10/6/06 for compliance.		

File Number	Date Filed	Date Closed
2006 - 228 - L	8/22/2006	10/6/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Paul Nunez, Lobbyist		
<b>Disposition</b>		
No further action, closed		
<b>Headnotes</b>		
Pursuant to Sec. 9-333y, CGS, Referred by the Office of the Secretary of the State for failure to file disclosure forms due on July 13, 2006. SEEC received proof that Mr. Nunez ceased lobbying and left the employment of CT Conference Municipalities on 1-17-05. They attempted to terminate his registration with Office of State Ethics. This matter was referred in error, not further action.		

File Number	Date Filed	Date Closed
2006 - 229 - L	8/22/2006	9/18/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Deborah Osborn, Lobbyist		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Sec. 9-333y, CGS, Referred by the Office of the Secretary of the State for failure to file disclosure forms due on July 13, 2006. \$320 civil penalty paid for failure to file annual lobbyist filing and report filed for compliance.		

File Number	Date Filed	Date Closed
2006 - 230 - L	8/22/2006	10/24/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Gregory Peicuch, Lobbyist		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Sec. 9-333y, CGS, Referred by the Office of the Secretary of the State for failure to file disclosure forms due on July 13, 2006. Certification of Exemption from Filing Itemized Disclosure of Contributions and Purchases by a Lobbyist (Form ED-51) was filed by Mr. Keith, representing NRG Energy, Inc., on October 23 with the Office of the Secretary of the State along with the civil penalty of \$200. The civil penalty was forwarded to this office. Mr. Peicuch is now in compliance.		

File Number	Date Filed	Date Closed
2006 - 231 - L	8/22/2006	1/17/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Cheryl Quickmire, Lobbyist		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Sec. 9-333y, CGS, Referred by the Office of the Secretary of the State for failure to file disclosure forms due on July 13, 2006. Respondent filed Lobbyist Campaign Finance Disclosure Statement and paid \$200 civil penalty. SEEC took administrative notice of compliance on 1/17/07 Commission meeting and will take no further action.		

File Number	Date Filed	Date Closed
2006 - 232 - L	8/22/2006	12/21/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the state		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Jack Ricchiuto, Lobbyist		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Sec. 9-333y, CGS, Referred by the Office of the Secretary of the State for failure to file disclosure forms due on July 13, 2006. Respondent filed the ED-51, Exemption from Filing Itemized Disclosure of Contributions and Purchases by a Lobbyist representing Standard Parking Corp. along with civil penalty of \$200 for compliance.		

File Number	Date Filed	Date Closed
2006 - 233 - L	8/22/2006	10/24/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Theodore Sergi		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Sec. 9-333y, CGS, Referred by the Office of the Secretary of the State for failure to file disclosure forms due on July 13, 2006. Certification of Exemption from Filing Itemized Disclosure of Contributions and Purchases by a Lobbyist (Form ED-51) was filed by Mr. Sergi, representing Connecticut Science Center, on October 18 along with the civil penalty of \$200 for compliance.		

File Number	Date Filed	Date Closed
2006 - 234 - L	8/22/2006	8/23/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Fred Stainken, Lobbyist		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Sec. 9-333y, CGS, Referred by the Office of the Secretary of the State for failure to file disclosure forms due on July 13, 2006. On August 23, 2006 Secretary of the State notified the Commission that they received the 7/13/06 report from Mr. Stainken. This was received before the demand letter was sent. Closed		

File Number	Date Filed	Date Closed
2006 - 235 - L	8/22/2006	8/24/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Susan Tully Abdo, Lobbyist		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Sec. 9-333y, CGS, Referred by the Office of the Secretary of the State for failure to file disclosure forms due on July 13, 2006. On August 23, 2006, Secretary of the State notified the Commission that they received the 7/13/06 report from Ms. Abdo. This was received before the demand letter was sent. Closed		

File Number	Date Filed	Date Closed	
2006 - 236 - L	8/22/2006	10/13/2006	
<b>Complainant</b>			
Referred by the Office of the Secretary of the State			
<b>Complainant's Town</b>			
Hartford			
<b>Respondents</b>			
Sherbie Worthen, Lobbyist			
<b>Disposition</b>			
Compliance			
<b>Headnotes</b>			
Pursuant to Sec. 9-333y, CGS, Referred by the Office of the Secretary of the State for failure to file disclosure forms due on July 13, 2006. Certification Exemption from Filing Itemized Disclosure of Contributions and Purchases by a Lobbyist (ED-51) filed by Mr. Worthen, who formerly represented United Way of CT. SEECs letter dated 10-4-6 was the first notice as the Secretary of the States letter was not forwarded to him. For those reasons, the civil penalty of \$200 was reduced to \$100 . Compliance			

File Number	Date Filed	Date Closed	
2006 - 237 - NF	8/22/2006	2/14/2007	
<b>Complainant</b>			
Referred by the Office of the Secretary of the State			
<b>Complainant's Town</b>			
Hartford			
<b>Respondents</b>			
35 is Alive			
<b>Disposition</b>			
Compliance			
<b>Headnotes</b>			
Referred by the Office of the Secretary of the State pursuant to CGS 9-333y for failure to file campaign finance disclosure forms which were due on July 10, 2006 pursuant to CGS 9-333j. A reason to believe finding and Hearing Officer designated at the Commission's meeting held on October 2006. On October 2, 2006, an amended campaign finance disclosure registration statement was filed with the Office of the Secretary of the State. William A. Falletti Sr. was listed as the Chariperson and William Jenkins was listed as the treasurer. Mr. Jenkins filed an ED-46, Exemption from Itemized Reporting, with SOTS on 10-2-06. Mr. Jenkins filed an Ed-45 on Jan. 10, 2007. The Commission will take no further action in this matter.			

File Number	Date Filed	Date Closed
2006 - 238 - NF	8/22/2006	11/21/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Bloomfield Black Dem. Club, Quanica Willis, Treasurer; Reginald Smith Chairman		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Referred by the Office of the Secretary of the State pursuant to CGS 9-333y for failure to file campaign finance disclosure forms which were due on July 10, 2006 pursuant to CGS 9-333j. Campaign Finance Disclosure Statement filed and civil penalty of \$200 paid on November 20, 2006 for compliance.		

File Number	Date Filed	Date Closed
2006 - 239 - NF	8/22/2006	11/22/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Coalition of Concerned Citizens for Local Control schools, Jo Reiner Gallo, Treas.		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Referred by the Office of the Secretary of the State pursuant to CGS 9-333y for failure to file campaign finance disclosure forms which were due on July 10, 2006 pursuant to CGS 9-333j. Campaign finance statetment filed along with the civil penalty of \$400 for compliance.		

File Number	Date Filed	Date Closed
2006 - 240 - NF	8/22/2006	9/15/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
CT Derm Pac, Deborah Osborn, Treas.		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Referred by the Office of the Secretary of the State pursuant to CGS 9-333y for failure to file campaign finance disclosure forms which were due on July 10, 2006 pursuant to CGS 9-333j. Partial payment of \$110 and report filed on 9-8-06. Ms. Osborn forwarded a check for \$740 on 9-14-06 which represents file Nos. 2006-240NF, 241NF and 229NF. Compliance		

File Number	Date Filed	Date Closed
2006 - 241 - NF	8/22/2006	9/15/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the state		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
CT Ophthalmologists Committee, Deborah Osborn, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Referred by the Office of the Secretary of the State pursuant to CGS 9-333y for failure to file campaign finance disclosure forms which were due on July 10, 2006 pursuant to CGS 9-333j. Partial payment of \$110 and report filed with Secretary of the State. On 9/4 \$740 balance received that represents 3 files. Civil penalty of \$320 each.		

File Number	Date Filed	Date Closed
2006 - 242 - NF	8/22/2006	3/14/2007
<b>Complainant</b>		
Referral by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Democrats for Justice, Lisa Martin, Treasurer		
<b>Disposition</b>		
Compliance.		
<b>Headnotes</b>		
<p>Referred by the Office of the Secretary of the State pursuant to CGS 9-333y for failure to file campaign finance disclosure forms which were due on July 10, 2006 pursuant to CGS 9-333j. This matter was joined with File No. 2006-300NF. A reason to believe finding and hearing officer Albert Lenge designated. The hearing was continued in agreement by both parties. A settlement was reached. Payment of a civil penalty was paid and all delinquent statements were filed. The Commission will take no further action with respect to this matter. A civil penalty of \$200 was paid for this file and \$200 civil penalty was paid for File No. 2006-300NF and 2007-110. All files are now closed due to compliance.</p>		

File Number	Date Filed	Date Closed
2006 - 243 - NF	8/22/2006	8/30/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Effective Leadership for Ridgefield, Peggy Marconi, Treas.		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
<p>Referred by the Office of the Secretary of the State pursuant to CGS 9-333y for failure to file campaign finance disclosure forms which were due on July 10, 2006 pursuant to CGS 9-333j. Per notice from Office of the Secretary of the State, this committee is involved only in local matters and was erroneously filed with both SOTS and Town Clerk. They are required to file only with Town Clerk. Closed</p>		

File Number	Date Filed	Date Closed
2006 - 244 - NF	8/22/2006	10/24/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
First District Republicans, John Florek, Treas.		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
<p>Referred by the Office of the Secretary of the State pursuant to CGS 9-333y for failure to file campaign finance disclosure forms which were due on July 10, 2006 pursuant to CGS 9-333j. A finding of reason to believe was adopted at the Commission's meeting held on September 20 and a hearing notice was mailed. The hearing was cancelled upon compliance. On October 12, 2006 the Form Ed-46 was filed with the Office of the Secretary of the State.. Mr. Florek paid a civil penalty of \$300 with this office on October 24, 2006 for compliance.</p>		

File Number	Date Filed	Date Closed
2006 - 245 - NF	8/22/2006	11/1/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Freedom PAC, James Conroy, Treas.		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
<p>Referred by the Office of the Secretary of the State pursuant to CGS 9-333y for failure to file campaign finance disclosure forms which were due on July 10, 2006 pursuant to CGS 9-333j. A finding of reason to believe was adopted at the Commission's meeting held on September 20 and a hearing notice was mailed. The hearing was cancelled upon compliance by the respondent. On October 19, 2006 the Form ED-46 was filed at the Office of the Secretary of the State. Treasurer James Conroy paid a civil penalty of \$300 to SEEC on October 24, 2006 for compliance.</p>		

File Number	Date Filed	Date Closed
2006 - 246 - NF	8/22/2006	10/20/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Friends of Lew Wallace, Michael Seri, treas.		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Referred by the Office of the Secretary of the State pursuant to CGS 9-333y for failure to file campaign finance disclosure forms which were due on July 10, 2006 pursuant to CGS 9-333j. Campaign finance disclosure statement filed and civil penalty of \$200 paid on October 5 for compliance.		

File Number	Date Filed	Date Closed
2006 - 247 - NF	8/22/2006	9/8/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Hartford Neighborhood Democrats, Nicole Drakes, Treas.		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Referred by the Office of the Secretary of the State pursuant to CGS 9-333y for failure to file campaign finance disclosure forms which were due on July 10, 2006 pursuant to CGS 9-333j. Per notice from the Office of the Secretary of the State, Campaign finance disclosure statement was filed with the Secretary of the State on 8/25/06; The Commission will take no further action.		

File Number	Date Filed	Date Closed
2006 - 248 - NF	8/22/2006	12/7/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Hartford Principals & Supervisors Assn. PAC, Raul Montanez-Pitre, Treas.		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Referred by the Office of the Secretary of the State pursuant to CGS 9-333y for failure to file campaign finance disclosure forms which were due on July 10, 2006 pursuant to CGS 9-333j. Disclosure statement filed, Respondent paid \$750 civil penalty on 12-7-06, Business office must refund Respondent \$50 overpayment.		

File Number	Date Filed	Date Closed
2006 - 249 - NF	8/22/2006	11/8/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
IBEW Local #420, Edward Humel, Treas.		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Referred by the Office of the Secretary of the State pursuant to CGS 9-333y for failure to file campaign finance disclosure forms which were due on July 10, 2006 pursuant to CGS 9-333j. Statement of Receipts and Expenditures (ED-45) was filed by Mr. Hummel on September 12, 2006 and forwarded to the Office of the Secretary of the State. On November 8, 2006, Mr. Humel paid the civil penalty of \$200 for compliance. Closed		

File Number	Date Filed	Date Closed
2006 - 250 - NF	8/22/2006	9/14/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Juntos for Bridgeport, Christopyer Rosarios, Treas.		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Referred by the Office of the Secretary of the State pursuant to CGS 9-333y for failure to file campaign finance disclosure forms which were due on July 10, 2006 pursuant to CGS 9-333j. ED-45, Statement of Expenditures filed with Office of the Secretary of the State on 9-14-06. Civil penalty of \$200 paid to SEEC for compliance. Closed		

File Number	Date Filed	Date Closed
2006 - 251 - NF	8/22/2006	2/21/2007
<b>Complainant</b>		
Referred by the Office of the secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Making CT #1, Kenneth Karlan, Treas.		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Referred by the Office of the Secretary of the State pursuant to CGS 9-333y for failure to file campaign finance disclosure forms which were due on July 10, 2006 pursuant to CGS 9-333j. Commission voted at its 1-17-07 meeting to find reason to believe and a hearing date was set for March 1. Before notice sent, an error was noticed that indicated the Committee filed on CFIS with the Secretary of the State. Due to a glitch in the CFIS system, the committee appeared to be active, when in fact it was not. The reason to believe finding was rescinded by Commission, the referral was made based upon an system error. This matter is closed.		

File Number	Date Filed	Date Closed	
2006 - 252 - NF	8/22/2006	11/17/2006	
<b>Complainant</b>			
Referred by the Office of the Secretary of the State			
<b>Complainant's Town</b>			
Hartford			
<b>Respondents</b>			
New Beginnings II, Shawn Holloway, Treas.			
<b>Disposition</b>			
Compliance			
<b>Headnotes</b>			
Referred by the Office of the Secretary of the State pursuant to CGS 9-333y for failure to file campaign finance disclosure forms which were due on July 10, 2006 pursuant to CGS 9-333j. Shawn Holloway filed the Committee's termination report and paid civil penalty of \$100 to the Office of Secretary of the State which was forwarded to this office. The financial statements indicated that this committee did not have any fundraising and there is no record of previous violations of Election Laws by Mr. Holloway. Closed			

File Number	Date Filed	Date Closed	
2006 - 253 - NF	8/22/2006	9/19/2006	
<b>Complainant</b>			
Referred by the Office of the Secretary of the State			
<b>Complainant's Town</b>			
Hartford			
<b>Respondents</b>			
Republican National Hispanic Assembly, Juan Montalvo, Treas.			
<b>Disposition</b>			
Compliance			
<b>Headnotes</b>			
Referred by the Office of the Secretary of the State pursuant to CGS 9-333y for failure to file campaign finance disclosure forms which were due on July 10, 2006 pursuant to CGS 9-333j. ED-46 form, Exemption from Itemized Reporting filed on 9-19-06 and the civil penalty of \$200 paid for compliance.			

File Number	Date Filed	Date Closed
2006 - 254 - NF	8/22/2006	3/10/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Waterbury Firefighters PAC, Michael Bergin, Treas		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Referred by the Office of the Secretary of the State pursuant to CGS 9-333y for failure to file campaign finance disclosure forms which were due on July 10, 2006 pursuant to CGS 9-333j. The July 10, 2006 report was filed as a termination report, surplus reimbursed to union treasurer. Compliance		

File Number	Date Filed	Date Closed
2006 - 255 - NF	8/22/2006	11/14/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Backer 2006, Christian Miron, Treas		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Referred by the Office of the Secretary of the State pursuant to CGS 9-333y for failure to file campaign finance disclosure forms which were due on July 10, 2006 pursuant to CGS 9-333j. Campaign Finance Disclosure statement filed electronically; Statement was filed by first treasurer, second treasurer created new electronic account and statement was filed electronically in first treasurer's electronic account. Secretary of the State notified Sec of the mix-up. The matter is closed due to compliance.		

File Number	Date Filed	Date Closed
2006 - 256 - NF	8/22/2006	9/15/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Committee for Political Parity		
<b>Disposition</b>		
Closed		
<b>Headnotes</b>		
Referred by the Office of the Secretary of the State pursuant to CGS 9-333y for failure to file campaign finance disclosure forms which were due on July 10, 2006 pursuant to CGS 9-333j. There was no treasurer for this committee on July 10, 2006. Termination report was filed by Steve Naraine for the Committee for Political Parity. The committee is closed and since no treasurer at time of missed filing, no automatic fine. Closed		

File Number	Date Filed	Date Closed
2006 - 257 - NF	8/22/2006	9/29/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Committee to Elect Linda Grace, Theresa Pavia, Treas.		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Referred by the Office of the Secretary of the State pursuant to CGS 9-333y for failure to file campaign finance disclosure forms which were due on July 10, 2006 pursuant to CGS 9-333j. ED-45, Statement of Receipts and Expenditures received and civil penalty of \$200 paid on 9/14/06 for compliance.		

File Number	Date Filed	Date Closed
2006 - 258 - NF	8/22/2006	5/30/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Independent Party of Norwalk, Edna Brooks, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Referred by the Office of the Secretary of the State pursuant to CGS 9-333y for failure to file campaign finance disclosure forms which were due on July 10, 2006 pursuant to CGS 9-333j. Campaign Finance Statement filed terminating the committee and civil penalty of \$400 paid. The committee is in compliance and the Commission will take no further action in connection with this file		

File Number	Date Filed	Date Closed
2006 - 259 - NF	8/22/2006	1/10/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Litchfield Democratic Town Committee		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Referred by the Office of the Secretary of the State pursuant to CGS 9-333y for failure to file campaign finance disclosure form which was due on July 10, 2006 pursuant to CGS 9-333j. At Commission meeting held on 12-20-06 reason to believe finding adopted and hearing scheduled for January 12, 2007 at 2:00 p.m. New registration was filed on 1-10-07 and the 1-10-07 report was filed along with a \$200 civil penalty for compliance with matter. Kevin Creed paid one check of \$600 which was a global settlement for Files 2007-140NF and 2007-153 as well as this matter. Compliance.		

File Number	Date Filed	Date Closed
2006 - 260 - NF	8/22/2006	9/14/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Meriden Republican Town Committee, Mark Mnich, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Referred by the Office of the Secretary of the State pursuant to CGS 9-333y for failure to file campaign finance disclosure forms which were due on July 10, 2006 pursuant to CGS 9-333j. Respondent filed delinquent financial statement along with \$200 civil penalty on 9-14-07 for compliance.		

File Number	Date Filed	Date Closed
2006 - 261 - NF	8/22/2006	11/1/2006
<b>Complainant</b>		
Referral by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Working Families Campaign Committee, Steve Burdo, Treas.		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Referred by the Office of the Secretary of the State pursuant to CGS 9-333y for failure to file campaign finance disclosure forms which were due on July 10, 2006 pursuant to CGS 9-333j. A reason to believe finding was adopted at the Commission's meeting held on September 20 and a hearing notice was mailed. The hearing was cancelled upon compliance. On October 11 the ED-45 form was filed with the Office of the Secretary of the State. Deputy Treasurer Jon Green paid a civil penalty on behalf of the committee in the amount of \$200 on October 26, 2006 for compliance.		

File Number	Date Filed	Date Closed
2006 - 262 - C	8/24/2006	10/10/2006
<b>Complainant</b>		
Referral by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Mark Mnich, Candidate for State Representative, District #82		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Referred by the Office of the Secretary of the State pursuant to CGS 9-333y for failure to file campaign finance reports as required by CGS 9-333f. Mr. Mnich filed a candidate committee registration Form ED-49 with the Office of the Secretary of the State on 9-21-06 and paid \$200 civil penalty on 10-10-06 for compliance.		

File Number	Date Filed	Date Closed
2006 - 264 -	8/25/2006	11/15/2006
<b>Complainant</b>		
Karen Sulich		
<b>Complainant's Town</b>		
Canterbury		
<b>Respondents</b>		
Joann Brustolon, Treasurer for Canterbury First Party Committee		
<b>Disposition</b>		
Consent Agreement and Order		
<b>Headnotes</b>		
Dismissed as to failure to itemize expenditures for signs. Consent Agreement and Henceforth Order adopted by Commission at its meeting held on November 15, 2006. Henceforth Order to comply with CGS 9-333j by Canterbury First treasurer to in the future properly report all expenditures pursuant to CGS. 9-333j(c)(1)(c).		

File Number	Date Filed	Date Closed
2006 - 265 -	9/6/2006	11/15/2006
<b>Complainant</b>		
Migdalia Rivas		
<b>Complainant's Town</b>		
Norwalk		
<b>Respondents</b>		
<b>Disposition</b>		
Findings and Conclusions		
<b>Headnotes</b>		
Findings and Conclusions adopted by the Commission at it's meeting held on November 15,2006. The matter was dismissed without prejudice. Investigation found no evidence to support the allegation that a flyer was produced and disseminated by the Hilliard campaign. The flyer did not have an attribution indicating who paid for it.		

File Number	Date Filed	Date Closed
2006 - 266 -	9/5/2006	11/15/2006
<b>Complainant</b>		
Robert J. Symmes		
<b>Complainant's Town</b>		
West Haven		
<b>Respondents</b>		
<b>Disposition</b>		
Findings and Conclusions		
<b>Headnotes</b>		
Findings and Conclusions dismissing the complaint adopted by the Commission at its meeting held on November 15, 2006. Registrar of Voters did not remove the voters in error.		

File Number	Date Filed	Date Closed
2006 - 267 -	9/5/2006	11/15/2006
<b>Complainant</b>		
Charles A. Marino, Democratic Registrar of Voters		
<b>Complainant's Town</b>		
West Haven		
<b>Respondents</b>		
<b>Disposition</b>		
Findings and Conclusions		
<b>Headnotes</b>		
Findings and Conclusions dismissing the complaint adopted by the Commission at its meeting held on November 15, 2006. Voters were not registered in state of Florida at the time they voted in the August 8, 2006 primary in West Haven.		

File Number	Date Filed	Date Closed
2006 - 268 -	9/6/2006	10/25/2006
<b>Complainant</b>		
Gail M. Suzik		
<b>Complainant's Town</b>		
Plainfield		
<b>Respondents</b>		
<b>Disposition</b>		
Findings and Conclusions; Dismissed		
<b>Headnotes</b>		
Complainant contacted Plainfield Town Clerk to obtain an Absentee ballot and was directed to the ROV. Complainant provided ROV with her name and mailing address for the application. The ROV did not forward the Complainant's name and address to the Town Clerk, the result was complainant did not receive an absentee ballot application which resulted in her not being able to vote in the primary. While neither office violated any specific election law, both offices failed in their responsibilities to assist an elector trying to obtain and cast a ballot. They accepted the responsibility for their conduct and offered their sincere apologies to complainant as well as the assurance that they will take steps to prevent this from happening again. The Commission at its meeting held on October 25, 2006, voted to adopt the Findings and Conclusions dismissing this complaint.		

File Number	Date Filed	Date Closed
2006 - 269 -	8/28/2006	10/25/2006
<b>Complainant</b>		
Therese Pac, City Clerk		
<b>Complainant's Town</b>		
Bristol		
<b>Respondents</b>		
<b>Disposition</b>		
Findings and Conclusions		
<b>Headnotes</b>		
Findings and Conclusions adopted at the Commission's meeting held on October 25, 2006 dismissing complaint regarding CGS 9-140 and the failure by individual to return absentee ballot log to town clerk, where individual did not distribute absentee ballot applications. Where no evidence of distribution, the recipient of more than 5 absentee ballot applications is not required to return log pursuant to CGS 9-140(k).		

File Number	Date Filed	Date Closed
2006 - 270 -	9/8/2006	11/15/2006
<b>Complainant</b>		
Bill Cunningham		
<b>Complainant's Town</b>		
Plainville		
<b>Respondents</b>		
Christine Roy		
<b>Disposition</b>		
Consent Agreement and Order		
<b>Headnotes</b>		
Henceforth order adopted by the Commission at its meeting held on November 15, 2006. Respondent shall henceforth comply with CGS 9-333w. Flyer that was disseminated by a political committee was without the proper attribution .		

File Number	Date Filed	Date Closed
2006 - 272 -	9/26/2006	1/17/2007
<b>Complainant</b>		
Scott Minnick and Kyle Dostaler		
<b>Complainant's Town</b>		
East Hampton		
<b>Respondents</b>		
Melissa Engel and Dale Ursin; Concerned Taxpayers of East Hampton		
<b>Disposition</b>		
Consent Agreements and Orders		
<b>Headnotes</b>		
<p>Commission adopted, at its meeting held on 1-17-07, two consent agreements and orders with two respondents. Consent Agreement and payment of \$150 civil penalty by Respondent Melissa Engel for failure to comply with 9-333d, 9-333g and 9-333w. Consent Agreement and payment of \$100 civil penalty for failure to comply by Respondent Ursan with 9-333d and 9-333g and 9-333w. Henceforth orders with both respondents to comply.</p>		

File Number	Date Filed	Date Closed
2006 - 273 -	9/26/2006	2/21/2007
<b>Complainant</b>		
Gloria L. Gifford		
<b>Complainant's Town</b>		
Bridgeport		
<b>Respondents</b>		
Mayor John M. Fabrizi		
<b>Disposition</b>		
Consent Agreement and Order		
<b>Headnotes</b>		
<p>Consent Agreement Containing Consent Order adopted by the the Commission at its meeting held on February 21, 2007. Agreement containing consent order for violations of CGS 9-286(c) when the Mayor entered a polling place that was not his and he did not enter for the purpose of voting.</p>		

File Number	Date Filed	Date Closed
2006 - 274 -	10/12/2006	3/14/2007
<b>Complainant</b>		
Robert Smuts		
<b>Complainant's Town</b>		
New Haven		
<b>Respondents</b>		
Luigi G. Vasquez, Campaign Treasurer for "Jodi Rell '06" Candidate Committee		
<b>Disposition</b>		
Consent Agreement and Order		
<b>Headnotes</b>		
<p>At its Commission meeting held on March 14, 2007, the Commission adopted the Consent Agreement and Order with Mr. Vasquez. The investigation revealed that in five instances, individuals had completed their contributor cards and disclosed to the campaign that they, or a business with which they are associated, had a contract with the state valued over \$5,000. The Respondent acknowledges that the filing of an inaccurate financial statement, even if the error is unintentional, violates the disclosure requirement of CGS Sec. 9-333j(c)(1)(H). The Respondent paid a civil penalty of \$1,000 and shall henceforth comply.</p>		

File Number	Date Filed	Date Closed
2006 - 275 -	10/17/2006	12/20/2006
<b>Complainant</b>		
Karl R. Dudek		
<b>Complainant's Town</b>		
Enfield		
<b>Respondents</b>		
David Wawer, Republican Party candidate for Enfield Judge of Probate		
<b>Disposition</b>		
Consent Agreement and Order		
<b>Headnotes</b>		
<p>Agreement Containing Consent Order and payment of a civil penalty of \$200 for violation of CGS 9-333f; where candidate did not file a campaign finance candidate registration disclosure statement adopted by the Commission at its meeting held on Dec. 20, 2006.</p>		

File Number	Date Filed	Date Closed
2006 - 278 -	10/26/2006	1/22/2007
<b>Complainant</b>		
Patrick O'Sullivan, Town Clerk		
<b>Complainant's Town</b>		
Orange		
<b>Respondents</b>		
Kevin Houlihan		
<b>Disposition</b>		
Consent Agreement and Order		
<b>Headnotes</b>		
Consent agreement and order adopt by the Commission at its meeting held on January 17, 2007. Respondent paid \$100 civil penalty for failure to provide complete attribution on political advertisements and agreed to henceforth comply with CGS 9-333w		

File Number	Date Filed	Date Closed
2006 - 279 -	10/26/2006	12/20/2006
<b>Complainant</b>		
Christopher J. Rossetti		
<b>Complainant's Town</b>		
Avon		
<b>Respondents</b>		
Alan Rothstein, Treasurer of The People for Brett F. Eisenlohr, Judge of Probate, candate Committee		
<b>Disposition</b>		
Consent Agreement and Order		
<b>Headnotes</b>		
Agreement containing henceforth order for violations of CGS 9-333w in connection with flyers disseminated without an attribution and a candidate website without an attribution adopted by the Commission at its meeting held on 12-20-06. Respondent was ordered to henceforth comply with CGSS 9-333w.		

File Number	Date Filed	Date Closed
2006 - 280 -	10/26/2006	1/17/2007
<b>Complainant</b>		
Daniel P. Russo, Chairman, Middletown Democratic Town Committee		
<b>Complainant's Town</b>		
Middletown		
<b>Respondents</b>		
Theodore Hintz, Candidate Committee Treasurer		
<b>Disposition</b>		
Consent Agreement and Order		
<b>Headnotes</b>		
Consent Agreement and Order adopted by the Commission at its meeting held on January 17, 2007. Candidate committee treasurer agreed to henceforth comply with CGS 9-333w and a payment of \$300 civil penalty for failure to include complete attribution on six newspaper advertisements.		

File Number	Date Filed	Date Closed
2006 - 282 -	10/27/2006	2/21/2007
<b>Complainant</b>		
Clifford S. Fritzell III, Esquire		
<b>Complainant's Town</b>		
New Haven		
<b>Respondents</b>		
<b>Disposition</b>		
Findings and Conclusions		
<b>Headnotes</b>		
Findings and Conclusions adopted by the Commission at its meeting held on February 21, 2007. Complaint dismissed, Complaint alleged that CGS 9-391(a) was violated in connection with the Ward 14 endorsement of an individual by the New Haven Democratic Town Committee. It was found that 9-391(a) is not applicable to the allegations made by the complaint and Commission concludes that the endorsement of the individual was made in compliance with 9-391(c). The Commission does not have jurisdiction to enforce or interpret party rules. This endorsement dispute was submitted to and resolved by the State Democratic Party		

File Number	Date Filed	Date Closed
2006 - 283 - CNF	10/27/2006	3/21/2007
<b>Complainant</b>		
Referral by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Richard T. Rhatigan, Candidate for State Representative District #121		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
<p>Referred by the Office of the Secretary of the State for failure to establish a candidate committee or file an exemption from forming a candidate committee, as required by Sec. 9-333f, CGS. A reason to believe findings was adopted by Commission and a hearing was scheduled for March 22, 2007. The hearing was cancelled due to compliance. The delinquent campaign finance disclosure candidate registration statement was received along with \$200 civil penalty.. The committee is in full compliance with the Commission's directives and will take no further action.</p>		

File Number	Date Filed	Date Closed
2006 - 284 - CNF	10/27/2006	2/2/2007
<b>Complainant</b>		
Referral by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Brandi Marie Vigue, Candidate for state Representative District #29		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
<p>Referred by the Office of the Secretary of the State for failure to establish a candidate committee or file an exemption from forming a candidate committee, as required by Sec. 9-333f, CGS. Payment of \$200 civil penalty and filing of SEEC Form 1 just prior to hearing of 1/31/07. Hearing cancelled when settlement accepted.</p>		

File Number	Date Filed	Date Closed
2006 - 285 -	11/1/2006	2/21/2007
<b>Complainant</b>		
Thomas M. Carroll, Jr.		
<b>Complainant's Town</b>		
Stratford		
<b>Respondents</b>		
<b>Disposition</b>		
Findings and Conclusions		
<b>Headnotes</b>		
Findings and Conclusions adopted by Commission at its meeting held on February 21, 2007 dismissing the complaint. It was concluded that Complainant failed to submit his application for nominating petition along with the nominating petitions themselves to the Secretary of the State by the statutory deadline thereby making it untimely and resulting in its rejection by that office.		

File Number	Date Filed	Date Closed
2006 - 287 -	11/2/2006	4/11/2007
<b>Complainant</b>		
Ralph Ferrucci, Candidate for U.S. Senate, CT Green Party		
<b>Complainant's Town</b>		
New Haven		
<b>Respondents</b>		
<b>Disposition</b>		
Findings and Conclusions		
<b>Headnotes</b>		
At the meeting held on March 14, 2007, the Commission adopted Findings and Conclusions at its meeting held on March 14, dismissing this matter for insufficient evidence to support the allegations pertaining to alleged dissemination of absentee ballot applications. At the Commission's meeting held on April 11, 2007, the Commission voted to reconsider the previous decision. The Commission then adopted Revised Findings and Conclusions which included factual corrections and changes.		

File Number	Date Filed	Date Closed
2006 - 288 -	11/3/2006	2/21/2007
<b>Complainant</b>		
Joseph V. Camposeo, Manchester Town Clerk		
<b>Complainant's Town</b>		
Manchester		
<b>Respondents</b>		
None Identified		
<b>Disposition</b>		
Findings and Conclusions		
<b>Headnotes</b>		
Findings and Conclusions adopted by the Commission at its meeting held on February 21, 2007 dismissing the complaint due to insufficient proof of any violation of election laws. The investigation found insufficient evidence to determine who requested, completed and signed an application using anothers name and mailing address.		

File Number	Date Filed	Date Closed
2006 - 289 -	11/6/2006	12/20/2006
<b>Complainant</b>		
Marcia Geller		
<b>Complainant's Town</b>		
Stamford		
<b>Respondents</b>		
<b>Disposition</b>		
Findings and Conclusions		
<b>Headnotes</b>		
Registrars did not commit any violations of election laws in connection with the allegations set forth in the complaint. The complaint was dismissed by Commission at the meeting held on Dec. 20, 2006. Found that registrars did not erroneously fail to remove elector's name from registry list.		

File Number	Date Filed	Date Closed
2006 - 290 -	11/13/2006	1/17/2007
<b>Complainant</b>		
Robert Kalechman		
<b>Complainant's Town</b>		
Simsbury		
<b>Respondents</b>		
<b>Disposition</b>		
Findings and Conclusions		
<b>Headnotes</b>		
<p>Findings and Conclusions adopted by the Commission at its meeting held on Jan. 17, 2007 dismissing the complaint. Allegations that voters were turned away due to long lines, voting machines were taken out of service in order to lower the voting pluralities of majority party, complainant ordered by moderator to move so that supporters of Complainant's opponent could stand in his place, Republican Town Chairman was allowed to stand within 75 foot marker and Complainant was not able to get the vote count for his candidacy from Town Clerk in a timely matter. All allegations dismissed for the reasons stated in the Findings and Conclusions.</p>		

File Number	Date Filed	Date Closed
2006 - 292 -	11/15/2006	6/13/2007
<b>Complainant</b>		
Commission Initiated: In Re: West Hartford Voting Machines.		
<b>Complainant's Town</b>		
West Hartford		
<b>Respondents</b>		
Rricahrd Thomsen, Chief Voting Machine Mechanic; Eleanor Brazell, Rep. Registrar of Voters & Marcia Woolsey, Dem. Registrar of Voters; Donald Gillette, Joan Gillette, Mary Schierberl, Rita Egan and Constance		
<b>Disposition</b>		
Consent Agreements and Orders		
<b>Headnotes</b>		
<p>Commission, at it's meeting held on June 13, 2007 adopted three Consent Agreements and Orders as a result from a ballot mislabeling error: 1) Respondent Chief Voting Machine Mechanic failed to file reports required by CGS Sec. 9-246 certifying that all the ballot labels were properly placed on each voting machine. Paid civil penalty of \$500 and henceforth order. 2) Registrars of Voters did not certify that the ballot labels were properly placed on each voting machine set up for use on election day as required by CGS Sec. 9-244. Respondents violated CGS Sec. 9-247 by virtue of the improper set up of the voting machines. Each paid civil penalty of \$1,000 and henceforth comply with CGS Secs. 9-244 and 9-247. 3) Respondents moderator, Assistant Rov and Checkers admitted that their certification was incorrect as they did not actually compare ballot labels on the</p>		

File Number	Date Filed	Date Closed
2006 - 293 -	11/15/2006	3/14/2007
<b>Complainant</b>		
Commission Initiated: In Re: Manchester Voting Machines		
<b>Complainant's Town</b>		
Manchester		
<b>Respondents</b>		
Jim Stevenson, Deputy Registrar of Voters and Kenneth Hagenow, Assistant Registrar of Voters; Barbara King and Frank Maffee, Registrars of Voters and Andrew Vincens, voting machine mechanic		
<b>Disposition</b>		
Three Consent Agreements and Orders		
<b>Headnotes</b>		
Ballot labels were improperly placed on a voting machine in the Town of Manchester on election day. The ROVs consented to violations of CGS 9-243, 9-244, 9-247 & 9-263 and were ordered to pay \$500 each (Barbara King & Frank A. Maffe). Deputy and Assistant ROV also consent to violations of CGS 9-244 and were ordered to each pay \$200 (Ken Hagenow & Jim Stevenson) mechanic (Andrew Vincens) consented to a violation of 9-246 and was ordered to pay \$100. Agreements adopted by the Commission on March 14, 2007		

File Number	Date Filed	Date Closed
2006 - 294 -	11/15/2006	2/21/2007
<b>Complainant</b>		
Commission Initiated		
<b>Complainant's Town</b>		
Windham		
<b>Respondents</b>		
Frank Wilson, Moderator		
<b>Disposition</b>		
Consent Agreement and Order		
<b>Headnotes</b>		
Commission adopted the Consent Agreement and Order at its meeting held on February 21, 2007. Respondent failed to open the polls on time in violation of CGS 9-174 and violated CGS 9-259 by not meeting by at least 5:15 a.m. at polling place and having the machines ready for the commencement of voting at the hour of the opening of the polls		

File Number	Date Filed	Date Closed
2006 - 295 -	11/20/2006	12/20/2006
<b>Complainant</b>		
Steven Mark Skolnick		
<b>Complainant's Town</b>		
Simsbury		
<b>Respondents</b>		
<b>Disposition</b>		
Findings and Conclusions		
<b>Headnotes</b>		
<p>There is no specific prohibition against the method or manner in which the polling place at Eno Hall was set up and administered, the set up which involved 3 lines and yellow check-in receipts, was not in error. The Complaint was dismissed at the Commission's meeting held on Dec. 20, 2006</p>		

File Number	Date Filed	Date Closed
2006 - 296 - CNF	11/20/2006	2/14/2007
<b>Complainant</b>		
Referral by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Jason Catala, Candidate State Representative, House District #39		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
<p>Secretary of the State referred the above named candidate for failure to establish a candidate committee or file an exemption from forming a candidate committee, as required by CGS Sec. 9-333f. Certification of Exemption from Forming a Candidate Committee filed on December 29, 2007 and civil penalty of \$ 200 paid on February 14, 2007 for compliance.</p>		

File Number	Date Filed	Date Closed
2006 - 297 - CNF	11/20/2006	12/20/2006
<b>Complainant</b>		
Referral by the Office of the Secretary of the state		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
James Capinera, Candidate for Judge of Probate, Shelton		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Secretary of the State referred the above named candidate for failure to establish a candidate committee or file an exemption from forming a candidate committee, as required by CGS Sec. 9-333f. Candidate filed a certification of exemption from forming a candidate committee with the Commission on or about 12/19/06 in connection with his candidacy for Judge of Probate in the November 7, 2006 election in Shelton. Candidate also paid a \$200 civil penalty for compliance.		

File Number	Date Filed	Date Closed
2006 - 298 -	11/29/2006	1/17/2007
<b>Complainant</b>		
Office of the Secretary of State Referred complaint received from George LaMarsh		
<b>Complainant's Town</b>		
Hartford/No Branford		
<b>Respondents</b>		
<b>Disposition</b>		
Findings and Conclusions		
<b>Headnotes</b>		
This matter was referred to the Commission by the Office of the Secretary of the State. Mr. George LaMarsh alleged that his name was removed from the official voter registry list. Complaint dismissed; Respondent did not commit violations of CGS 9-32, 9-35 or 9-42 as complainant's name was not intentionally removed from the voting registry due to his liberal beliefs but rather, his unnotified change of address caused his name to be moved to the inactive list. Findings and Conclusions adopted by the Commission at its meeting held on January 17, 2007 dismissing the complaint		

File Number	Date Filed	Date Closed
2006 - 299 - NF	12/8/2006	4/10/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
CT Podiatry PAC		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y CGS, this matter was referred by the Office of the Secretary of the State for the failure to file the campaign finance disclosure forms which were due on October 10, 2006, in violation of CGS 9-333j. Campaign finance disclosure statement filed. Darren Courtright, Treasurer resigned in July 2006, Secretary of the State didn't receive notice. Secretary of the State's Office made Mark Letteran Treasurer of record. PAC is in compliance and the Commission will take no further action.		

File Number	Date Filed	Date Closed
2006 - 300 - NF	12/8/2006	3/14/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Democrats for Justice		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y CGS, this matter was referred by the Office of the Secretary of the State for the failure to file the campaign finance disclosure forms which were due on October 10, 2006, in violation of CGS 9-333j. A request to continue the March 1, 2007 hearing by both parties, granted by Hearing officer. Settlement was reached for a payment of \$200 per file, filing of late statements and closure with no further action of File No. 2007-110NF		

File Number	Date Filed	Date Closed
2006 - 303 - NF	12/8/2006	2/22/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
New Britian Police Union PAC, Stanley Masternak, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y CGS, this matter was referred by the Office of the Secretary of the State for the failure to file the campaign finance disclosure forms which were due on October 10, 2006, in violation of CGS 9-333j. Stanley Masterack paid \$600 civil penalty for File Nos. 2006-301NF and 2006-303 NF and delinquent reports have been filed.		

File Number	Date Filed	Date Closed
2006 - 304 - NF	12/8/2006	1/5/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Southeastern CT Democrats, Rita Hogan, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y CGS, this matter was referred by the Office of the Secretary of the State for the failure to file the campaign finance disclosure forms which were due on October 10, 2006, in violation of CGS 9-333j. Chairman Beth Hogan paid \$500 civil penalty and filed a termination report for compliance		

File Number	Date Filed	Date Closed
2006 - 305 - NF	12/8/2006	3/10/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Waterbury Firefighters PAC		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y CGS, this matter was referred by the Office of the Secretary of the State for the failure to file the campaign finance disclosure forms which were due on October 10, 2006, in violation of CGS 9-333j. This committee was terminated July 10, 2006.		

File Number	Date Filed	Date Closed
2006 - 306 - NF	12/8/2006	5/30/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Young Grand Old Party		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Sec. 9-333y CGS, this matter was referred by the Office of the Secretary of the State for the failure to file the campaign finance disclosure forms which were due on October 10, 2006, in violation of CGS 9-333j. Elizabeth Barhyot, Chair filed campaign finance disclosure statement along with civil penalty of \$200. Former treasurer, Joan Wallace, was replaced by Heather Smeriglio. Committee is in compliance and will take no further action connection with this file. See also File Nos. 2007-133NF and 2007-182NF. All are closed and no further action will be taken.		

File Number	Date Filed	Date Closed
2006 - 307 - NF	12/8/2006	6/22/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Hartford Democratic Town Committee, Paul Ritter, Treasurer and Noel McGreger, Chairperson		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y CGS, this matter was referred by the Office of the Secretary of the State for the failure to file the campaign finance disclosure forms which were due on October 10, 2006, in violation of CGS 9-333j. Respondent filed all delinquent reports and paid \$200 for each file for a total of \$600 for compliance. Hearing that was scheduled was canceled due to compliance.		

File Number	Date Filed	Date Closed
2006 - 308 - NF	12/8/2006	5/30/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Independent Party of Norwalk, Edna Brooks, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y CGS, this matter was referred by the Office of the Secretary of the State for the failure to file the campaign finance disclosure forms which were due on October 10, 2006, in violation of CGS 9-333j. Campaign Finance Disclosure Statement (7-10-06) terminating the committee effective 7/10/06 filed with the Commission on 5/30/07. The Commission will take no further action in this file.		

File Number	Date Filed	Date Closed
2006 - 309 - NF	12/8/2006	5/2/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Meriden Republican Town Committee, Mark Mnich, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y CGS, this matter was referred by the Office of the Secretary of the State for their failure to file the campaign finance disclosure forms which were due on October 10, 2006, in violation of CGS 9-333j. Delinquent report filed along with Civil Penalty of \$400 on April 30, 2007. Penalty of \$200 for this delinquent report and another \$200 paid for to close File No. 2007-141NF.		

File Number	Date Filed	Date Closed
2006 - 310 - NF	12/8/2006	4/23/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
New Canaan Republican Town Committee		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y CGS, this matter was referred by the Office of the Secretary of the State for the failure to file the campaign finance disclosure forms which were due on October 10, 2006, in violation of CGS 9-333j. Filings made, registration statement filed: No civil penalty as no treasurer designated until 4/4/07		

File Number	Date Filed	Date Closed
2006 - 311 - NF	12/8/2006	5/8/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Trumbull Democratic Town Committee, Thomas Ragonese, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y CGS, this matter was referred by the Office of the Secretary of the State for the failure to file the campaign finance disclosure forms which were due on October 10, 2006, in violation of CGS 9-333j. Case closed, requisite filing made on March 8, 2007 and \$200 civil penalty paid on May 8, 2007 for compliance.		

File Number	Date Filed	Date Closed
2006 - 312 - NF	12/8/2006	3/26/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Committee to Re-Elect Matt Greene, Ronald J. Baude, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y CGS, this matter was referred by the Office of the Secretary of the State for the failure to file the campaign finance disclosure forms which were due on October 10, 2006, in violation of CGS 9-333j. Committee terminated prior to payment of \$600. The Commission, at it's meeting held on March 14, 2007, found reason to believe that Respondent Ronald Baude, Treasurer violated CGS 9-333j and 9-333y. Prior to hearing notice mailed, the committee terminated and paid civil penalty of \$600 for compliance.		

File Number	Date Filed	Date Closed
2006 - 313 - NF	12/8/2006	12/12/2006
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Gomes for Senate		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y CGS, this matter was referred by the Office of the Secretary of the State for the failure to file the campaign finance disclosure forms which were due on October 10, 2006, in violation of CGS 9-333j. Notified by Nancy Staniewicz that the report which was due on 10-10-06 was filed with the Office of the Secretary of the State before the SEEC demand letter was sent. This matter is closed.		

File Number	Date Filed	Date Closed
2007 - 102 - NF	1/3/2007	1/12/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the state		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Coalition for a Better New Britain, Suzanne Myer, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333j.. Compliance with the Secretary of the State's Directive, campaign finance disclosure statement and \$100 civil penalty paid. No further action on the part of SEEC.		

File Number	Date Filed	Date Closed
2007 - 103 - NF	1/3/2007	3/8/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
CT Jobs Watch, Chelsea Haftelberg, Treasurer and Jon Green, Deputy Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333j. Campaign finance disclosure statement which was due on Oct. 31, 2006 along with the \$200 civil penalty for compliance.		

File Number	Date Filed	Date Closed
2007 - 104 - NF	1/3/2007	2/1/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
CT Laborers League, Charles LeConche, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333j. Delinquent campaign finance disclosure statement filed by treasurer and \$200 mandatory minimum civil penalty paid by the treasurer. The respondent is in compliance, The commission will take no further action.		

File Number	Date Filed	Date Closed
2007 - 105 - NF	1/3/2007	3/5/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
CT Longshoremen ILA Local 139 PAC, James Piner, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333j.. Treasurer paid the \$200 civil penalty along with the delinquent 10-31-06 report and the committee is terminated.		

File Number	Date Filed	Date Closed
2007 - 106 - NF	1/3/2007	4/3/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
CT Podiatry PAC		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333j. Campaign finance disclosure statements filed, treasurer resigned in July 2006. (paperwork not received by SOTS); new treasurer assumed treasurer duties, didn't file treas. paperwork until January , 2007. Commission considers the PAC in compliance and will take no further action.		

File Number	Date Filed	Date Closed
2007 - 107 - NF	1/3/2007	1/5/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
CT State Prison Employees Local 391		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333j. Notified by Election Officer that compliance was achieved prior to issuing demand letter. Closed		

File Number	Date Filed	Date Closed
2007 - 108 - NF	1/3/2007	1/18/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
CT Young Republicans 4th District, Alexis Harrison, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333j. Compliance with the Secretary of the State's directive, delinquent disclosure statement filed along with \$100 civil penalty, SEEC will take no further action.		

File Number	Date Filed	Date Closed
2007 - 109 - NF	1/3/2007	1/12/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Democratic Fund, Joseph Jolly, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333j. Compliance with the Secretary of the State's directive, Campaign finance disclosure statement filed along with \$100 civil penalty, SEEC will take no further action.		

File Number	Date Filed	Date Closed
2007 - 110 - NF	1/3/2007	3/14/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Democrats for Justice		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333j. Following settlement of File Nos. 2006-242NF and 2006-300NF, this matter was closed with the Commission taking no further action.		

File Number	Date Filed	Date Closed
2007 - 111 - NF	1/3/2007	1/30/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Democrats for 3rd District, James Quint, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333j. Statement of Receipts and Expenditures (ED-45) filed with this office on 1-30-07 along with the civil penalty of \$200 for compliance.		

File Number	Date Filed	Date Closed
2007 - 112 - NF	1/3/2007	1/5/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Democrats in Action, George Phelan, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333j. Notified by Election's Officer that compliance was achieved prior to issuance of SEEC demand letter. Closed		

File Number	Date Filed	Date Closed
2007 - 113 - NF	1/3/2007	2/22/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Freedom PAC, James Conroy, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333j. Delinquent Statement of Receipts and Expenditures filed along with \$500 civil penalty for compliance		

File Number	Date Filed	Date Closed
2007 - 114 - NF	1/3/2007	1/4/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the state		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Greater Hartford Republican Club, Harry Hartie, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333j. Received notification that late report was filed for compliance before SEEC demand letter sent. Closed		

File Number	Date Filed	Date Closed
2007 - 115 - NF	1/3/2007	1/18/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Hartford Federation of Teachers PAC, Jean F. McDermott, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333j. Compliance with the Secretary of the State's directive, delinquent disclosure statement filed and \$100 civil penalty paid, SEEC will take not further action.		

File Number	Date Filed	Date Closed
2007 - 117 - NF	1/3/2007	6/12/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
IBEW Local 420 PAC, Robert Lanese, Jr., Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333j. Campaign Finance Disclosure Statement filed along with Civil Penalty of \$300 received on 6/12/07. The Commission will take no further action.		

File Number	Date Filed	Date Closed
2007 - 118 - NF	1/3/2007	1/5/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the state		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Italian American Democratic Club, Francis T. Calabro, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333j. Received notification that report was filed for compliance before SEEC demand letter sent. Closed.		

File Number	Date Filed	Date Closed
2007 - 119 - NF	1/3/2007	2/1/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the state		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Juntos for Bridgeport, Christopher Rosarios, Treasurer, Carmen I. Colon, Chairman		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333j. Delinquent campaign finance disclosure statement filed by treasurer and \$300 civil penalty paid by the treasurer for compliance, The commission will take no further action.		

File Number	Date Filed	Date Closed
2007 - 120 - NF	1/3/2007	1/25/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Latino Vision PAC		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333j. Statement of receipts and Expenditures (ED-45) filed on 1-25-07 along with civil penalty of \$200 for compliance.		

File Number	Date Filed	Date Closed
2007 - 121 - NF	1/3/2007	1/30/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
New Direction PAC, Joseph Jolly, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333j. Payment of \$100 late filing fee paid on January 17, 2007 prior to the SEEC demand letter issued. Administratively closed due to compliance		

File Number	Date Filed	Date Closed
2007 - 122 - NF	1/3/2007	3/26/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
New Haven Action Fund, Edward Fertik, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333j. Delinquent report received on March 20, 2007 along with civil penalty of \$200 for compliance.		

File Number	Date Filed	Date Closed
2007 - 123 - NF	1/3/2007	2/22/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
New Haven Green Party, Maryanne Davis, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333j. Respondent Maryanne Davis paid a civil penalty of \$200 and filed the delinquent Statement of Receipts and Expenditures for compliance on February 15, 2007.		

File Number	Date Filed	Date Closed
2007 - 124 - NF	1/3/2007	1/30/2007
<b>Complainant</b>		
Referred by the Office of the Secretary		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
People for a Change, John Murphy, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333j. Statement of Receipts and Expenditures (ED-45) received on Jan. 29, 2007 along with a civil penalty of \$400 for compliance.		

File Number	Date Filed	Date Closed
2007 - 125 - NF	1/3/2007	1/24/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Progress & Promise, Jacqueline Fordiani, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333j. Statement of receipts and Expenditures filed on 1/24/07 along with civil penalty of \$200 for compliance		

File Number	Date Filed	Date Closed
2007 - 126 - NF	1/3/2007	5/15/2007
<b>Complainant</b>		
Referral by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Republican National Hispanic Assembly of CT PAC, Rev. . Edgar Trinidad, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333. Reason to believe finding by Commission and hearing scheduled for 5/24/07. Delinquent report was filed on March 26 and civil penalty of \$200 received on May 15 for compliance.		

File Number	Date Filed	Date Closed
2007 - 127 - NF	1/3/2007	1/4/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Southeastern CT Democrats, Rita Hogan, Treasurer and Beth Hogan, Chairman		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333, SEE also File NO. 2006-304NF. Termination report and civil penalty of \$500 paid and notice of 10-31 report received by Commission on 1-4-07 for compliance.		

File Number	Date Filed	Date Closed
2007 - 128 - NF	1/3/2007	3/2/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Southington First, Autum Scola acting treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333. Autum Scollo acting treasurer, paid \$200 civil penalty and delinquent report was filed for compliance.		

File Number	Date Filed	Date Closed
2007 - 129 - NF	1/3/2007	1/4/2007
<b>Complainant</b>		
Referral by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Torrington Firefighters PAC, Marc Garrison, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333. Notification of compliance before SEEC demand letter sent. Closed		

File Number	Date Filed	Date Closed
2007 - 130 - NF	1/3/2007	1/4/2007
<b>Complainant</b>		
Referral by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
V PAC, Anthony Varricchio Jr., Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333. Notification of compliance before SEEC demand letter sent. Closed		

File Number	Date Filed	Date Closed
2007 - 131 - NF	1/3/2007	3/10/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Waterbury Firefighters PAC		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333. This committee was terminated after the July 10, 2006 report.		

File Number	Date Filed	Date Closed
2007 - 132 - NF	1/3/2007	2/1/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Willow Cedar PAC, Rick Johnson, Treasurer; Fernando Ramirez, Chairperson		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333. Delinquent campaign finance disclosure statement filed by treasurer on 2/1/07 along with \$200 mandatory minimum civil penalty paid by the treasurer in compliance, The commission will take no further action.		

File Number	Date Filed	Date Closed
2007 - 133 - NF	1/3/2007	5/30/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Young Grand Old Party		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333. Campaign finance disclosure statement filed by new treasurer, Heather Smeriglio, and committee is in compliance, Commission will take no further action in connection with this file. See also File Nos. 2006-306NF and 2007-182NF.		

File Number	Date Filed	Date Closed
2007 - 134 - NF	1/3/2007	1/31/2007
<b>Complainant</b>		
Referral by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Andover Democratic Town Committee		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333. Statement of Receipts and Expenditures received on January 23, 2007 along with Civil penalty of \$200 total was paid for compliance.		

File Number	Date Filed	Date Closed
2007 - 135 - NF	1/3/2007	1/23/2007
<b>Complainant</b>		
Referral by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Bridgewater Democratic Town Committee		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333j. Received Statement of Receipts and Expenditures and civil penalty of \$200 for compliance		

File Number	Date Filed	Date Closed
2007 - 138 - NF	1/3/2007	6/22/2007
<b>Complainant</b>		
Referral by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Hartford Democratic Town Committee, Paul Ritter Treasurer and Noel McGreger, Chairperson		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333. Respondent filed all delinquent reports and paid \$200 for each file for a total of \$600 for compliance. Hearing that was scheduled was canceled due to compliance.		

File Number	Date Filed	Date Closed
2007 - 139 - NF	1/3/2007	5/30/2007
<b>Complainant</b>		
Referral by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Independent Party of Norwalk, Edna Brooks, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333j. Campaign Finance Disclosure Statement (7-10-06) terminating the committee effective 7/10/06 filed with the Commission on 5/30/07. The Commission will take no further action in this file.		

File Number	Date Filed	Date Closed
2007 - 140 - NF	1/3/2007	1/10/2007
<b>Complainant</b>		
Referral by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Litchfield Democratic Town Committee		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333. \$200 civil penalty was paid and the Statement of Receipts and Expenditures (ED-45 form) filed on 1-10-07 prior to demand letter issued. The matter is closed in connection with File 2006-259NF which was scheduled for a hearing on 1-12-07		

File Number	Date Filed	Date Closed
2007 - 141 - NF	1/3/2007	5/2/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Meriden Republican Town Committee< Mark Mnich, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333j. This file closed along with File No. 2006-309NF. Mr. Mnich filed all delinquent reports and paid civil penalty of \$200 for this file along with File No. 2006-309NF.		

File Number	Date Filed	Date Closed
2007 - 142 - NF	1/3/2007	4/23/2007
<b>Complainant</b>		
Referred by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
New Canaan Republican Town Committee		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333j. Requisite filing made. Stephen Karl designated as treasurer on 4/4/07.		

File Number	Date Filed	Date Closed
2007 - 144 - NF	1/3/2007	5/31/2007
<b>Complainant</b>		
Referral by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Trumbull Democratic Town Committee, Thomas Ragonese, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333j. Commission adopted a reason to believe finding on May 6 and hearing Officer designated. Before notice mailed, Delinquent report filed along with civil penalty of \$300 for compliance.		

File Number	Date Filed	Date Closed
2007 - 145 - NF	1/3/2007	3/20/2007
<b>Complainant</b>		
Referral by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Asim A. Hanif		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333. Delinquent filing made and committee terminated. \$200 civil penalty paid in two \$100 payments, on Mar. 12 and Mar 19, 2007		

File Number	Date Filed	Date Closed
2007 - 148 - NF	1/3/2007	4/13/2007
<b>Complainant</b>		
Referral by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Committee to Re-Elect Mike Christ		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333. Delinquent statement filed along with civil penalty of \$200 paid for compliance.		

File Number	Date Filed	Date Closed
2007 - 149 - NF	1/3/2007	3/26/2007
<b>Complainant</b>		
Referral by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Dr. Phil Brewer for State Representative, Kathleen Held, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333. Delinquent report along with civil penalty of \$200 received on March 20, 2007 for compliance		

File Number	Date Filed	Date Closed
2007 - 150 - NF	1/3/2007	3/26/2007
<b>Complainant</b>		
Referral by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Ed For Representative, Peter E. Berg, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333j. The Commission, at it's meeting of March 14, 2007, found reason to believe that Respondent violated CGS 9-333j and 9-333y and designated a hearing office to hear the matter. Before hearing notice mailed, Respondent filed the delinquent report for this file and File No. 2007-171NF along with the civil penalty of \$400 for both files. Compliance.		

File Number	Date Filed	Date Closed
2007 - 151 - NF	1/3/2007	1/18/2007
<b>Complainant</b>		
Referral by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Erickson 2006, Matthew Erickson, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Commission initiated investigation to determine whether Chairman violated CGS 9-602, 9-603 and 9-605 for failure to properly file a statement of organization with SEEC, which is the new filing repository. Disclosure statement filed along with civil penalty of \$100 paid. SEEC will take no further action.		

File Number	Date Filed	Date Closed
2007 - 152 - NF	1/3/2007	3/12/2007
<b>Complainant</b>		
Referral by the Office of the Secretary of the State		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
People for Re-electing Buhl '06		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-333y, CGS, this matter was referred by the Office of the Secretary of State for the treasurer's failure to file campaign finance disclosure forms which were due on October 31, 2006 in violation of CGS 9-333j. Respondent filed delinquent report on Jan. 10, 2007 and paid the civil penalty of \$200 on March 12, 2007 for compliance.		

File Number	Date Filed	Date Closed
2007 - 153 -	1/4/2007	1/10/2007
<b>Complainant</b>		
Referral by Litchfield Town Clerk		
<b>Complainant's Town</b>		
Litchfield		
<b>Respondents</b>		
Litchfield Democratic Town Committee, Kevin Creed, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
This matter was referred by the Litchfield Town Clerk for failure to comply to 9-333j which requires the filing of campaign finance statement on April 10, July 10, Oct. 10 and October 31, 2007. Compliance achieved, all files were submitted along with civil penalty of \$600 for File Nos. 2006-259, 2007-140NF and 2007-153NF.		

File Number	Date Filed	Date Closed
2007 - 154 -	1/17/2007	4/11/2007
<b>Complainant</b>		
In the Matter of an Appeal of Gerald J. Porricelli and Marianne Porcelli		
<b>Complainant's Town</b>		
Greenwich		
<b>Respondents</b>		
The Board of Admission of Electors and Registrars of Town of Greenwich		
<b>Disposition</b>		
Final Decision		
<b>Headnotes</b>		
The Apellants (Porricelli) determined not to be bonafide residents of Greenwich when their dwelling unit located entirely within the City of Stamford on a parcel of property split between the two municipalities, with driveway and mail box in Greenwich.		

<b>File Number</b>	<b>Date Filed</b>	<b>Date Closed</b>	
2007 - 155 -	1/29/2007	3/14/2007	
<b>Complainant</b>			
Betsy Adams, Republican Registrar of Voters & Aleta Looker, Democratic Registrar of Voters			
<b>Complainant's Town</b>			
Cheshire			
<b>Respondents</b>			
<b>Disposition</b>			
Withdrawn			
<b>Headnotes</b>			
Complainants filed a written request with the Commission to withdraw the complaint because the Respondent came to the complainant's office and completed a voter registration card and as such, the complaint is withdrawn.			

<b>File Number</b>	<b>Date Filed</b>	<b>Date Closed</b>	
2007 - 156 -	1/29/2007	4/11/2007	
<b>Complainant</b>			
Roanld W. Cowles			
<b>Complainant's Town</b>			
Lebanon			
<b>Respondents</b>			
<b>Disposition</b>			
Findings and Conclusions			
<b>Headnotes</b>			
Commission, at it's meeting held on April 11, 2007, voted to adopt Findings and Conclusions dismissing the complaint. Allegations of violations of CGS 9-309 in connection with the vote count at Fire Safety Complex in Town of Lebanon during Nov. Election. It was alleged that moderator and checkers failed to properly tally the vote after the close of polls. It was found that a inadvertant error in transcription does not rise to level of a statutory violation.			

<b>File Number</b>	<b>Date Filed</b>	<b>Date Closed</b>	
2007 - 158 -	2/6/2007	5/9/2007	
<b>Complainant</b>			
Michael J. Milici			
<b>Complainant's Town</b>			
Branford			
<b>Respondents</b>			
<b>Disposition</b>			
Findings and Conclusions			
<b>Headnotes</b>			
The Commission, at its meeting held on May 9, 2007, adopted Findings and Conclusions dismissing the complaint, Respondent continued to be a bona fide resident, accordingly did not violation CGS Sec. 9-170 and 9-630			

<b>File Number</b>	<b>Date Filed</b>	<b>Date Closed</b>	
2007 - 160 -	2/23/2007	4/11/2007	
<b>Complainant</b>			
Ron Ward			
<b>Complainant's Town</b>			
Jewett City			
<b>Respondents</b>			
<b>Disposition</b>			
Findings and Conclusions			
<b>Headnotes</b>			
The Commission, at its meeting held on April 11, 2007, adopted the Findings and Conclusions. Based on the facts and circumstances of the case, the matter is dismissed. The Commission lacked jurisdiction over the alleged misuse of town seals and copyright infringements and no public funds were utilized or expended in the creation of website.			

<b>File Number</b>	<b>Date Filed</b>	<b>Date Closed</b>	
2007 - 161 -	2/3/2007	5/9/2007	
<b>Complainant</b>			
Edward Avila			
<b>Complainant's Town</b>			
Canterbury			
<b>Respondents</b>			
Christopher Pitts, Jeanette Kildea, Kimberly Kelly & Donna Green			
<b>Disposition</b>			
Consent Agreements and Orders and Findings and Conclusions			
<b>Headnotes</b>			
Commission determined whether 4 petition circulators made false statements in violations of 7-9 or whether they violated 7-9 by making knowingly incorrect statements. With respect to Chris Pitts and Jeanette Kildea, the Commission found that their statements were knowingly incorrect (Kildea-signor not in her presence) and (Chris-wife signed for husband who's not in Pitts' presence). Kilea signed a Consent Agreement and was given a henceforth order. Pitts signed a consent agreement and fined \$200 civil penalty. Kelly and Green, matter dismissed.			

<b>File Number</b>	<b>Date Filed</b>	<b>Date Closed</b>	
2007 - 162 - NF	3/12/2007	3/15/2007	
<b>Complainant</b>			
Referred by SEEC Elections Officer			
<b>Complainant's Town</b>			
Hartford			
<b>Respondents</b>			
19th Republican Senatorial District Organization , Michael O'Connor, Treasurer			
<b>Disposition</b>			
Compliance			
<b>Headnotes</b>			
Pursuant to Section 9-623, CGS, this matter was referred for failure to file campaign finance disclosure forms due on January 10, 2007. Compliance made prior to referral. Requisite filing made and \$100 late fee paid.			

File Number	Date Filed	Date Closed
2007 - 163 - NF	3/12/2007	5/7/2007
<b>Complainant</b>		
Referred by SEEC Elections Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Bloomfield Black Democratic Club		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
<p>Pursuant to Section 9-623, CGS, this matter was referred for failure to file campaign finance disclosure forms due on January 10, 2007. Campaign Finance Disclosure Statement received from Chairperson, has not named treasurer as of yet, committee is in compliance in connection with January 10, 2007 campaign finance disclosure statement and Commission will take no further enforcement action, General Statutes Sec. 9-608 applicable to treasurer, not Chairperson, no civil penalty enforcement.</p>		

File Number	Date Filed	Date Closed
2007 - 164 - NF	3/12/2007	5/9/2007
<b>Complainant</b>		
Referred by SEEC Elections Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Bozrah Republican Town Committee, Mark Rollinson, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
<p>Pursuant to Section 9-623, CGS, this matter was referred for failure to file campaign finance disclosure forms due on January 10, 2007. Respondent filed the delinquent report along with the civil penalty of \$200 for compliance. Respondent also filed the April 10, 2007 late report and a \$100 civil penalty following notice from Nancy Staniewicz about its delinquency.</p>		

File Number	Date Filed	Date Closed
2007 - 165 - NF	3/12/2007	4/16/2007
<b>Complainant</b>		
Referred by SEEC Elections Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Butler 2006, Frank Locke, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-623, CGS, this matter was referred for failure to file campaign finance disclosure forms due on January 10, 2007. Representative Larry Butler filed delinquent report along with the civil penalty of \$200 from Mr. Locke for compliance.		

File Number	Date Filed	Date Closed
2007 - 167 - NF	3/12/2007	4/13/2007
<b>Complainant</b>		
Referred by SEEC Elections Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Committee to Re-Elect Mike Christ, Luke Bacewicz, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-623, CGS, this matter was referred for failure to file campaign finance disclosure forms due on January 10, 2007. Delinquent report filed along with civil penalty of \$300 for compliance.		

File Number	Date Filed	Date Closed
2007 - 168 - NF	3/12/2007	4/20/2007
<b>Complainant</b>		
Referred by SEEC Elections Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
CT Associated Builders & Contractors PAC, Lelah Campo, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-623, CGS, this matter was referred for failure to file campaign finance disclosure forms due on January 10, 2007. Delinquent report filed along with \$200 civil penalty for compliance.		

File Number	Date Filed	Date Closed
2007 - 169 - NF	3/12/2007	5/7/2007
<b>Complainant</b>		
Referred by SEEC Elections Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
CT Jobs Watch, Chelsea Heftelberg, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-623, CGS, this matter was referred for failure to file campaign finance disclosure forms due on January 10, 2007. Compliance, civil penalty of \$200 paid along with delinquent report. Deputy Treasurer, John Green, closed the committee with the May 4th, 2007 filing.		

File Number	Date Filed	Date Closed	
2007 - 170 - NF	3/12/2007	3/26/2007	
<b>Complainant</b>			
Referred by SEEC Elections Officer			
<b>Complainant's Town</b>			
Hartford			
<b>Respondents</b>			
CT Society of Mortgage Brokers, Peter Spalthoff, Treasurer			
<b>Disposition</b>			
Compliance			
<b>Headnotes</b>			
Pursuant to Section 9-623, CGS, this matter was referred for failure to file campaign finance disclosure forms due on January 10, 2007. Delinquent report filled along with civil penalty of \$200 for compliance.			

File Number	Date Filed	Date Closed	
2007 - 171 - NF	3/12/2007	3/26/2007	
<b>Complainant</b>			
Referred by SEEC Elections Officer			
<b>Complainant's Town</b>			
Hartford			
<b>Respondents</b>			
ED for Rep., Peter Berg, Treasurer			
<b>Disposition</b>			
Compliance			
<b>Headnotes</b>			
Pursuant to Section 9-623, CGS, this matter was referred for failure to file campaign finance disclosure forms due on January 10, 2007. This matter was combined with File No. 2007-150NF. Delinquent reports were filed and \$200 for this file and \$200 for File No. 2007-150NF was paid for compliance.			

File Number	Date Filed	Date Closed
2007 - 172 - NF	3/12/2007	5/8/2007
<b>Complainant</b>		
Referred by SEEC Elections Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Friends of the 8th Ward Christopher Randall, Chairman		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-623, CGS, this matter was referred for failure to file campaign finance disclosure forms due on January 10, 2007. Compliance, report filed as a termination report, late filing fee was rescinded due to inactivity, unnamed treasurer and chairman claimed indigence. Committee is terminated.		

File Number	Date Filed	Date Closed
2007 - 173 - NF	3/12/2007	5/22/2007
<b>Complainant</b>		
Referred by SEEC Elections Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Hartford Democratic Town Committee, Paul Ritter, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-623, CGS, this matter was referred for failure to file campaign finance disclosure forms due on January 10, 2007. Respondent filed all delinquent reports and paid \$200 for each file for a total of \$600 for compliance. Hearing that was scheduled was canceled due to compliance.		

File Number	Date Filed	Date Closed
2007 - 175 - NF	3/12/2007	5/30/2007
<b>Complainant</b>		
Referred by SEEC Election Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Independent Party of Norwalk, Edna Brooks		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-623, CGS, this matter was referred for failure to file campaign finance disclosure forms due on January 10, 2007. Campaign Finance Disclosure Statement (7-10-06) terminating the committee effective 7/10/06 filed with the Commission on 5/30/07. The Commission will take no further action in this file.		

File Number	Date Filed	Date Closed
2007 - 176 - NF	3/12/2007	6/7/2007
<b>Complainant</b>		
Referred by SEEC Election Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
New Britain Republican Town Committee, Harry Plaut, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-623, CGS, this matter was referred for failure to file campaign finance disclosure forms due on January 10, 2007. Delinquent report filed along with civil penalty of \$200 for compliance		

File Number	Date Filed	Date Closed
2007 - 177 - NF	3/12/2007	3/29/2007
<b>Complainant</b>		
Referred by SEEC Election Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
North Stonington Democratic Town Committee, Paul Woodward, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-623, CGS, this matter was referred for failure to file campaign finance disclosure forms due on January 10, 2007. Statement of Receipts and Expenditures filed on March 21, 2007 and civil penalty of \$200 received on March 29, 2007 for compliance.		

File Number	Date Filed	Date Closed
2007 - 178 - NF	3/12/2007	3/15/2007
<b>Complainant</b>		
Referred by SEEC Election Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
OB/GYN Legislative Affairs Committee, Steven Fleischman, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-623, CGS, this matter was referred for failure to file campaign finance disclosure forms due on January 10, 2007. Campaign finance disclosure statement filed and penalty fo \$100 paid to Commission Election Officer prior to Commission legal staff commencing enforcement action. Commission finds committee in compliance and will take no further action.		

File Number	Date Filed	Date Closed
2007 - 179 - NF	3/12/2007	4/12/2007
<b>Complainant</b>		
Referred by SEEC Elections Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Politically Active Citizens Stamford, Beverly King, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-623, CGS, this matter was referred for failure to file campaign finance disclosure forms due on January 10, 2007. Delinquent report filed on March 30th and civil penalty of \$300 received April 4 for compliance.		

File Number	Date Filed	Date Closed
2007 - 181 - NF	3/12/2007	3/12/2007
<b>Complainant</b>		
Referred by SEEC Elections Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Waterbury Firefighters PAC		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-623, CGS, this matter was referred for failure to file campaign finance disclosure forms due on January 10, 2007. This committee was terminated following the July 10, 2006 report.		

File Number	Date Filed	Date Closed
2007 - 182 - NF	3/12/2007	5/30/2007
<b>Complainant</b>		
Referred by SEEC Elections Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Young Grand Old Party, Joan Wallace, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Section 9-623, CGS, this matter was referred for failure to file campaign finance disclosure forms due on January 10, 2007. Campaign finance disclosure statement filed by new treasurer and committee is in compliance, commission will take no further action in connection with this file. See also File Nos. 2006-306NF and 2007133NF		

File Number	Date Filed	Date Closed
2007 - 186 -	4/2/2007	5/9/2007
<b>Complainant</b>		
David W. Bolton		
<b>Complainant's Town</b>		
Union		
<b>Respondents</b>		
<b>Disposition</b>		
Findings and Conclusions		
<b>Headnotes</b>		
The Commission, at its meeting held on May 9, 2007, dismissed the matter after finding that a referendum was not pending as of 1-29-07 when the flyer was mailed and determined that the prohibition on the expenditure of public funds contained in CGS 9-369b did not apply to the flyer subject to the complaint since the ban was not yet in effect. The referendum date was set at the Feb. 5th Selectmen meeting.		

File Number	Date Filed	Date Closed
2007 - 191 - NF	4/26/2007	5/15/2007
<b>Complainant</b>		
Referred by Nancy Staniewicz, Election Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Butler 2006, Frank Locke, Treasruer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
<p>Pursuant to Sec. 9-623, CGS, Elections Officer referred this matter for their failure to file campaign finance disclosure forms that were due on February 7, 2007. Delinquent report filed along with \$300 civil penalty. The committee could not be terminated while there was a balance. The report was amended and balance of committee's account was donated to the Citizen's Election fund bringing the committee's account to a zero balance. The committee was then terminated.</p>		

File Number	Date Filed	Date Closed
2007 - 193 - NF	4/26/2007	6/15/2007
<b>Complainant</b>		
Referred by Nancy Staniewicz, Election Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Committee to Re-Elect Mike Christ, Luke Bacewicz, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
<p>Pursuant to Sec. 9-623, CGS, Elections Officer referred this matter for their failure to file campaign finance disclosure forms that were due on February 7, 2007. Delinquent Campaign Finance Disclosure Statement filed on 6/15/07 by respondent along with \$400 civil penalty for compliance with the Commission's directive. No further action due to compliance.</p>		

File Number	Date Filed	Date Closed
2007 - 194 - NF	4/26/2007	4/30/2007
<b>Complainant</b>		
Referred by Nancy Staniewicz, Election Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Ed for Rep, Peter Berg, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Sec. 9-623, CGS, Elections Officer referred this matter for their failure to file campaign finance disclosure forms that were due on February 7, 2007. This matter was administratively closed. The committee terminated on March 19, 2007.		

File Number	Date Filed	Date Closed
2007 - 195 - NF	4/26/2007	6/4/2007
<b>Complainant</b>		
Referred by Nancy Staniewicz, Election Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Gomes for Senate 2006, Hector Rodriguez, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Sec. 9-623, CGS, Elections Officer referred this matter for their failure to file campaign finance disclosure forms that were due on February 7, 2007 in violation of CGS 9-608. Campaign Finance Statement filed and civil penalty of \$200 paid for compliance.		

File Number	Date Filed	Date Closed
2007 - 196 - NF	4/26/2007	5/21/2007
<b>Complainant</b>		
Referred by Nancy Staniewicz, Election Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Royston 2006, Amy Tria, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Pursuant to Sec. 9-623, CGS, Elections Officer referred this matter for their failure to file campaign finance disclosure forms that were due on February 7, 2007 in violation of CGS 9-608. On May 21, 2007 a termination report was filed along with the civil penalty of \$200 for compliance.		

File Number	Date Filed	Date Closed
2007 - 197 -	4/9/2007	5/9/2007
<b>Complainant</b>		
William W. Folland		
<b>Complainant's Town</b>		
Old Lyme		
<b>Respondents</b>		
<b>Disposition</b>		
No Action		
<b>Headnotes</b>		
Mr. Folland requested that his complaint be withdrawn. At its meeting of May 9, 2007, the Commission granted the complainant's request to withdraw his complaint. This matter is closed.		

<b>File Number</b>	<b>Date Filed</b>	<b>Date Closed</b>	
2007 - 203 -	5/15/2007	6/13/2007	
<b>Complainant</b>			
Christopher Healy			
<b>Complainant's Town</b>			
Wethersfield			
<b>Respondents</b>			
Edward Jason, Treasurer for Perez for Mayor 2007			
<b>Disposition</b>			
Findings and Conclusions			
<b>Headnotes</b>			
<p>Allegations that Perez for Mayor 2007 candidate committee violated CGS 9-604 by receiving contributions in 2003 for the 2007 municipal election prior to the committee's filing of the campaign finance disclosure registration statement. Findings and Conclusions adopted by the Commission at its meeting held on June 13, 2007. The complaint was dismissed. It was concluded that the contributions called in question was a computer error, respondent provided copies of the checks in question that reflectes contributions were made in 2007.</p>			

<b>File Number</b>	<b>Date Filed</b>	<b>Date Closed</b>	
2007 - 204 - NF	5/22/2007	6/14/2007	
<b>Complainant</b>			
Referred by Nancy Staniewicz, Elections Officer			
<b>Complainant's Town</b>			
Hartford			
<b>Respondents</b>			
Derby Democratic Town Committee, Loretta Sexton, Treasurer			
<b>Disposition</b>			
Compliance			
<b>Headnotes</b>			
<p>This matter was referred by elections officer for failure to file campaign finance disclosure statement due on April 10, 2007 as required by CGS Sec. 9-608 and failure to respond to prior notices issued regarding the delinquency as perscribed by CGS Sec. 9-623. Delinquent report filed along with civil penalty of \$200 for compliance</p>			

File Number	Date Filed	Date Closed
2007 - 205 - NF	5/22/2007	5/30/2007
<b>Complainant</b>		
Referred by Nancy Staniewicz		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Independent Party of Norwalk, Edna Brooks, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
<p>This matter was referred by elections officer for failure to file campaign finance disclosure statement due on April 10, 2007 as required by CGS Sec. 9-608 and failure to respond to prior notices issued regarding the delinquency as prescribed by CGS Sec. 9-623. Campaign Finance Disclosure Statement (7-10-06) terminating the committee effective 7/10/06 filed with the Commission on 5/30/07. The Commission will take no further action in this file.</p>		

File Number	Date Filed	Date Closed
2007 - 206 - NF	5/22/2007	5/29/2007
<b>Complainant</b>		
Referred by Nancy Staniewicz, Elections Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Oxford Republican Town Committee, Richard Burke		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
<p>This matter was referred by elections officer for failure to file campaign finance disclosure statement due on April 10, 2007 as required by CGS Sec. 9-608 and failure to respond to prior notices issued regarding the delinquency as prescribed by CGS Sec. 9-623. Mr. Burke resigned as treasurer on April 1, 2007. Filing was timely made, no further action.</p>		

File Number	Date Filed	Date Closed
2007 - 207 - NF	5/22/2007	6/4/2007
<b>Complainant</b>		
Referred by Nancy Staniewicz		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Voluntown Democratic Town Committee, Kenneth Weseman, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
<p>This matter was referred by elections officer for failure to file campaign finance disclosure statement due on April 10, 2007 as required by CGS Sec. 9-608 and failure to respond to prior notices issued regarding the delinquency as perscribed by CGS Sec. 9-623. Delinquent report filed on June 4, 2007 along with civil penalty of \$200 for compliance.</p>		

File Number	Date Filed	Date Closed
2007 - 208 - NF	5/22/2007	6/14/2007
<b>Complainant</b>		
Referred by Nancy Staniewicz, Elections Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
AFSME Local 478 PAC, China Byrd, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
<p>This matter was referred by elections officer for failure to file campaign finance disclosure statement due on April 10, 2007 as required by CGS Sec. 9-608 and failure to respond to prior notices issued regarding the delinquency as perscribed by CGS Sec. 9-623. Itemized Campaign Finance Disclosure Statement filed on June 12, 2007 along with civil penalty of \$200 for compliance.</p>		

File Number	Date Filed	Date Closed
2007 - 211 - NF	5/22/2007	6/1/2007
<b>Complainant</b>		
Referred by Nancy Staniewicz, Elections Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Blue State PAC, Kimberly O'Rourke, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
This matter was referred by elections officer for failure to file campaign finance disclosure statement due on April 10, 2007 as required by CGS Sec. 9-608 and failure to respond to prior notices issued regarding the delinquency as perscribed by CGS Sec. 9-623. Compliance, report filed and civil penalty of \$200 paid on June 1, 2007.		

File Number	Date Filed	Date Closed
2007 - 212 - NF	5/22/2007	6/4/2007
<b>Complainant</b>		
Referred by Nancy Staniewicz, Elections Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
BRBI PAC, Mark Baldwin, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
This matter was referred by elections officer for failure to file campaign finance disclosure statement due on April 10, 2007 as required by CGS Sec. 9-608 and failure to respond to prior notices issued regarding the delinquency as perscribed by CGS Sec. 9-623. Delinquent report filed on June 4, 2007 along with civil penalty of \$200 for compliance.		

File Number	Date Filed	Date Closed
2007 - 213 - NF	5/22/2007	6/7/2007
<b>Complainant</b>		
Referred by Nancy Staniewicz, Elections Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Cablevision Systems CT PAC, Deborah Hutton, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
<p>This matter was referred by elections officer for failure to file campaign finance disclosure statement due on April 10, 2007 as required by CGS Sec. 9-608 and failure to respond to prior notices issued regarding the delinquency as perscribed by CGS Sec. 9-623. Campaign Finance Disclosure statement filed with the Commission on June 7, 2007 along with civil penalty of \$200 for compliance. The statement was also a termination report.</p>		

File Number	Date Filed	Date Closed
2007 - 215 - NF	5/22/2007	6/13/2007
<b>Complainant</b>		
Referred by Nancy Staniewicz, Elections Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Connecituct Film PAC, Linda Aloe-Sobin, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
<p>This matter was referred by elections officer for failure to file campaign finance disclosure statement due on April 10, 2007 as required by CGS Sec. 9-608 and failure to respond to prior notices issued regarding the delinquency as perscribed by CGS Sec. 9-623. Delinquent report filed and civil penalty of \$200 paid for compliance. Report is a termination report.</p>		

File Number	Date Filed	Date Closed
2007 - 216 - NF	5/22/2007	6/25/2007
<b>Complainant</b>		
Referred by Nancy Staniewicz, Elections Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Connecticut NARAL PAC, Lucy Nolan		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
This matter was referred by elections officer for failure to file campaign finance disclosure statement due on April 10, 2007 as required by CGS Sec. 9-608 and failure to respond to prior notices issued regarding the delinquency as perscribed by CGS Sec. 9-623. Delinquent report filed along with civil penalty of \$200 paid for compliance.		

File Number	Date Filed	Date Closed
2007 - 217 - NF	5/22/2007	5/29/2007
<b>Complainant</b>		
Referred by Nancy Staniewicz, Elections Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Democrats for Windham County, Constance LaRoche, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
This matter was referred by elections officer for failure to file campaign finance disclosure statement due on April 10, 2007 as required by CGS Sec. 9-608 and failure to respond to prior notices issued regarding the delinquency as perscribed by CGS Sec. 9-623. No further action, filing was timely made.		

File Number	Date Filed	Date Closed
2007 - 219 - NF	5/22/2007	6/20/2007
<b>Complainant</b>		
Referred by Nancy Staniewicz, Elections Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Greater Hartford Chamber of Commerce PAC, Natine Francis West, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
<p>This matter was referred by elections officer for failure to file campaign finance disclosure statement due on April 10, 2007 as required by CGS Sec. 9-608 and failure to respond to prior notices issued regarding the delinquency as perscribed by CGS Sec. 9-623. Delinquent report filed on June 7, 2007 along with civil penalty of \$200 paid for compliance.</p>		

File Number	Date Filed	Date Closed
2007 - 223 - NF	5/22/2007	6/6/2007
<b>Complainant</b>		
Referred by Nancy Staniewicz, Elections Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Love Makes a Family PAC, Adam Nicholson, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
<p>This matter was referred by elections officer for failure to file campaign finance disclosure statement due on April 10, 2007 as required by CGS Sec. 9-608 and failure to respond to prior notices issued regarding the delinquency as perscribed by CGS Sec. 9-623. Campaign Finance Statement received on April 10, 2007 via fax; Commission will take no further action as a result of statement being filed in a timely manner, and misplaced, giving the appearance that it wasn't filed.</p>		

File Number	Date Filed	Date Closed
2007 - 224 - NF	5/22/2007	6/7/2007
<b>Complainant</b>		
Referred by Nancy Staniewicz, Elections Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
VEND PAC, Michael Pohorylo, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
This matter was referred by elections officer for failure to file campaign finance disclosure statement due on April 10, 2007 as required by CGS Sec. 9-608 and failure to respond to prior notices issued regarding the delinquency as perscribed by CGS Sec. 9-623. Report filed along with civil penalty of \$200 for compliance.		

File Number	Date Filed	Date Closed
2007 - 231 - PNF	6/15/2007	6/15/2007
<b>Complainant</b>		
Referred by SEEC Elections Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
ACCION PAC, Carlow M. Lopez, Chairman		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Commission initiated investigation to determine whether Chairman violated CGS 9-602, 603 and 605 for failure to properly file a statement of organization with SEEC, which is the new filing repository. Compliance before SEEC action.		

File Number	Date Filed	Date Closed
2007 - 233 - PNF	6/15/2007	6/20/2007
<b>Complainant</b>		
Referred by SEEC Elections Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Cablevision Systems Connecticut PAC, Thomas M. Rutledge, Chairman, Elizabeth Losinski, Treasurer		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Commission initiated investigation to determine whether Chairman violated CGS 9-602, 9-603 and 9-605 for failure to properly file a statement of organization with SEEC, which is the new filing repository. SEEC Form 21 terminating the committee filed with the Commission on 06/07/07 as part of a Commission enforcement action to File No. 2007-213NF. Commission will take no further action.		

File Number	Date Filed	Date Closed
2007 - 239 - PNF	6/15/2007	6/15/2007
<b>Complainant</b>		
Referred by SEEC Elections Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Connecticut Candidate Committee, Jack Sharwin, Chairman		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Commission initiated investigation to determine whether Chairman violated CGS 9-602, 9-603 and 9-605 for failure to properly file a statement of organization with SEEC, which is the new filing repository. Referral was withdrawn, the committee has terminated and no further action will be taken. Compliance before SEEC action		

File Number	Date Filed	Date Closed
2007 - 240 - PNF	6/15/2007	6/18/2007
<b>Complainant</b>		
Referred by SEEC Elections Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Connecticut Film PAC, Vans Stevenson, Chairman		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Commission initiated investigation to determine whether Chairman violated CGS 9-602, 9-603 and 9-605 for failure to properly file a statement of organization with SEEC, which is the new filing repository. Referral was withdrawn, the committee has terminated and no further action will be taken.		

File Number	Date Filed	Date Closed
2007 - 243 - PNF	6/15/2007	6/15/2007
<b>Complainant</b>		
Referred by SEEC Elections Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
CSMB PAC, Bebrah Bacchiochi, Chairman		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Commission initiated investigation to determine whether Chairman violated CGS 9-602, 9-603 and 9-605 for failure to properly file a statement of organization with SEEC, which is the new filing repository. Compliance before SEEC action		

File Number	Date Filed	Date Closed
2007 - 251 - PNF	6/15/2007	6/29/2007
<b>Complainant</b>		
Referred by SEEC Elections Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
Glastonbury kDemocratic Federated Women's Club, Doris S. O'Rourke, Chairman		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Commission initiated investigation to determine whether Chairman violated CGS 9-602, 9-603 and 9-605 by failing to properly file a statement of organization with SEEC, which is the new filing repository. Filing was received, no civil penalty levied.		

File Number	Date Filed	Date Closed
2007 - 257 - PNF	6/15/2007	6/18/2007
<b>Complainant</b>		
Referred by SEEC Elections Officer		
<b>Complainant's Town</b>		
Hartford		
<b>Respondents</b>		
J.P. Morgan Chase & Co. Connecticut PAC, James G. Martin, Chairman		
<b>Disposition</b>		
Compliance		
<b>Headnotes</b>		
Commission initiated investigation to determine whether Chairman violated CGS 9-602, 9-603 and 9-605 for failure to properly file a statement of organization with SEEC, which is the new filing repository. Notified that the committee terminated with the 4/10/07 report, the referral was withdrawn.		

File Number	Date Filed	Date Closed	
2007 - 259 - PNF	6/15/2007	6/15/2007	
<b>Complainant</b>			
Referred by SEEC Elections Officer			
<b>Complainant's Town</b>			
Hartford			
<b>Respondents</b>			
Leadership for the 21st Century, Todd M. Roberts, Chairperson			
<b>Disposition</b>			
Compliance			
<b>Headnotes</b>			
Commission initiated investigation to determine whether Chairman violated CGS 9-602,9- 603 and 9-605 for failure to properly file a statement of organization with SEEC, which is the new filing repository. Compliance before SEEC action			

File Number	Date Filed	Date Closed	
2007 - 268 - PNF	6/15/2007	6/22/2007	
<b>Complainant</b>			
Referred by SEEC Elections Officer			
<b>Complainant's Town</b>			
Hartford			
<b>Respondents</b>			
Greater Waterbury Young Democrats, Christian D'Orso, Chairman			
<b>Disposition</b>			
Compliance			
<b>Headnotes</b>			
Commission initiated investigation to determine whether Chairman violated CGS 9-602, 9-603 and 9-05 for failure to properly file a statement of organization with SEEC, which is the new filing repository. Compliance on June 22, 2007.			