

***STATE ELECTIONS ENFORCEMENT
COMMISSION***

**REPORT OF COMPLAINTS INVESTIGATED
BY THE
STATE ELECTIONS ENFORCEMENT COMMISSION**

COMPLETED FROM: July 1, 2005
TO: June 30, 2006

State Elections Enforcement Commission
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FY 2005-2006 Report of Complaints Investigated by the State Elections Enforcement Commission

File Number	Date Filed	Date Closed
2004 - 203 -	8/24/2004	7/20/2005
Complainant		
Olga Vazquez-Aviles		
Complainant's Town		
Hartford		
Respondents		
Prenzina Holloway		
Disposition		
Agreement Containing Consent Order, \$10,000 CP remitted to \$2000 due to hardship		
Headnotes		
<p>Commission concluded that Respondent was unlawfully in possession of absentee ballot sets in violation of CGS 9-140b(d); and was present for completion of absentee ballot sets in violation of 9-140b(e). Commission believes Respondent violated 9-359 and 9-359a by signing the name of another person to a absentee ballot application. Respondent should not have voted by absentee ballot because she was not qualified pursuant to CGS 9-135 and thus violated CGS 9-159o by not voting in person. Commission adopted decision at meeting of July 20, 2005. Respondent shall not distribute absentee ballot applications and shall not assist with absentee ballot applications for a period of two years. Respondent shall not witness or be present during the execution of absentee ballot sets or take possession of any such absentee ballot sets. Respondent shall pay a civil penalty of</p>		

File Number	Date Filed	Date Closed
2005 - 113 - NF	2/25/2005	8/17/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Second Senatorial Committee, Julie Mounds, Treasurer		
Disposition		
Compliance, \$55 civil penalty		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure statement due January 7, 2005, in violation of 9-333j. Report filed with Secretary of the State 4-11-2005 and civil penalty paid to SEEC 7-28-2005.</p>		

File Number	Date Filed	Date Closed
2005 - 117 - NF	2/25/2005	7/20/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Committee to Re-Elect State Senator Coleman, Ronald Simpson, Treasurer		
Disposition		
Compliance, \$55 civil penalty		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure statement due on January 7, 2005 in violation of CGS 9-333j. Following the designation of a hearing officer, the Respondent complied. At the meeting on July 20, 2005, the Commission took administrative notice of compliance with 9-333j and 9-333y and accepted as full settlement the payment by Respondent of \$55. to the State of Connecticut, and will take no further action with respect to this matter.</p>		

File Number	Date Filed	Date Closed
2005 - 123 - NF	2/25/2005	9/28/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Friends for Lew, Patricia Davidson, treasurer		
Disposition		
Final Decision, \$2000 civil penalty		
Headnotes		
<p>Failure to file Statement of receipts and expenditures terminating the exploratory committee due on January 7, 2005, in violation of 9-333j. March 16, 2005, Commission found reason to believe respondent violated 9-333j and 9-333y, and designated Albert P. Lenge as the hearing officer for this matter. Attorney Joan Andrews acting for the Commission as advisor, prepared a proposed Final Decision which was adopted by the Commission on July 20, 2005. Patricia Davidson severely reprimanded and shall pay civil penalty of \$2,000. Shall henceforth strictly comply with Sec. 9-333j(a) and immediately file delinquent reports and distribute surplus if any. Final Decision was mailed on 7/20 and received by Respondents on 7/21 postal confirmed. Following the deadline for a appeal by the Respondent, the Commission voted to refer the matter to the Attorney</p>		

File Number	Date Filed	Date Closed
2005 - 135 -	4/20/2005	10/12/2005
Complainant		
Susan Sinclair Wallace		
Complainant's Town		
Cheshire		
Respondents		
Terry Kelliher		
Disposition		
Agreement Containing Consent Order and Payment of a Civil Penalty. \$4000 civil penalty. Restitution: \$3145 to Caucus		
Headnotes		
Consent Order adopted 10/12/05. Restitution to Caucus of Connecticut Democrats PAC of \$3,145 to be paid within 30 days of the adoption of this decision. Civil penalty of \$4,000 to be paid on or before February 1, 2005. Respondent shall not serve as treasurer, solicitor or handle campaign funds for a period of four years. Reprimanded for his grossly negligent and possibly criminal reporting. Numerous reporting violations. Final payment received on 2/8/2006.		

File Number	Date Filed	Date Closed
2005 - 138 -	5/9/2005	8/17/2005
Complainant		
Joseph Valys		
Complainant's Town		
Dayville		
Respondents		
James Scott Johnson, Treasurer, Killingly Kids Count; United Natural Foods, Inc.		
Disposition		
Johnson: Consent Agreement & Order, \$200 civil penalty. United Natural Foods: Consent Agreement & Order \$250 civil penalty		
Headnotes		
Respondent Johnson unintentionally violated 9-333v(c) by accepting and depositing contributions from United Natural Foods in support of referenda in excess of ten cents per Killingly resident. Ordered to pay a \$200 civil penalty & henceforth comply. Respondent United Natural Foods, Inc. unintentionally violated 9-333v(c) by making contributions to Killingly Kids Count (KKC) in support of referenda questions and in excess of ten cents per resident. KKC refunded to UNF the excessive portions of UNF's contributions. Respondent UNF ordered to pay \$250 civil penalty and henceforth comply.		

File Number	Date Filed	Date Closed
2005 - 140 -	5/13/2005	7/20/2005
Complainant		
Glenn Fields		
Complainant's Town		
Windsor		
Respondents		
Disposition		
Dismissed by vote of Commission 7-20-2005; no further action		
Headnotes		
Also dismissed were 2005-141 and 2005-142. Members of a town party committee conducting get out the vote activities made only incidental use of business telephones for volunteer activity in a political campaign; a de minimus in-kind contribution of under \$1.00 occurred.		

File Number	Date Filed	Date Closed
2005 - 141 -	5/13/2005	7/20/2005
Complainant		
Glenn Fields		
Complainant's Town		
Windsor		
Respondents		
Disposition		
Dismissed		
Headnotes		
see 2005-140.		

File Number	Date Filed	Date Closed
2005 - 142 -	5/13/2005	7/20/2005
Complainant		
Glenn Fields		
Complainant's Town		
Windsor		
Respondents		
Disposition		
Dismissed		
Headnotes		
See 2005-140 and 2005-141.		

File Number	Date Filed	Date Closed
2005 - 143 -	5/13/2005	9/21/2005
Complainant		
William Tyszka		
Complainant's Town		
Simsbury		
Respondents		
William E. Zarbock, Treasurer, Danbury Republican Town Committee (DRTC)		
Disposition		
Agreement Containing Cosent Order and Forfeiture of Funds \$750		
Headnotes		
<p>Agreement containing consent order and forfeiture of funds adopted by Commission on September 21, 2005. Respondent claimed that a payment from Johnson Committee to DRTC was a reimbursement for services rendered by DRTC and the report disclosing the payment as a contribution was an error. Respondent asserts that DRTC accrued costs at its headquarters for installation of phone lines, rental costs and operational costs for phone bank for the benefit of Johnson committee. Commission concluded that the DRTC received an improper contribution from the Johnson committee as prohibited by CGS 9-333s. Commission finds that the failure to satisfactorily justify a reimbursement was a result of insufficient record keeping and that respondent's violation was unintentional. Respondent shall forfeit from the funds of DRTC the amount of \$750. Also henceforth fully</p>		

File Number	Date Filed	Date Closed
2005 - 145 -	5/18/2005	5/1/2006
Complainant		
In Re: Duplicate Voters by Presidential Ballot, Commission Initiated		
Complainant's Town		
Hartford		
Respondents		
Disposition		
Closed/No Further Action		
Headnotes		
<p>Commission voted to initiate an investigation into possible duplicate voting in the 2004 Presidential election at its meeting of May 18, 2005. Out of a computer run that SEEC requested of the Secretary of the State, SEEC investigated 157 names in 30 towns. After twins, triplets and other non-identical applications were eliminated, investigators found no elector voted more than once, but other procedural errors were detected resulting in letters to five town clerks.</p>		

File Number	Date Filed	Date Closed
2005 - 150 - NF	5/27/2005	7/6/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Independence for Montville, Victoria Spooner, Treasurer		
Disposition		
Compliance, \$110 civil penalty		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance report due on April 7, 2005 in violation of CGSs 9-333j. ED-46 Exemption from Itemized Reporting received on July 5, 2005 along with the late filing penalty of \$110 for compliance.</p>		

File Number	Date Filed	Date Closed
2005 - 154 - NF	5/27/2005	8/17/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Oxford Young Republicans		
Disposition		
Compliance, \$110 civil penalty		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure statement due 4-7-2005, in violation of 9-333j. Statement filed along with late filing penalty of \$110.		

File Number	Date Filed	Date Closed
2005 - 156 - NF	5/27/2005	7/12/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Sierra Club PAC, Marilyn Nowland, Treasurer		
Disposition		
Compliance, \$220 civil penalty		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file a campaign finance disclosure statement (Form ED-45) or an Exemption from Itemized Reporting (Form ED-46) due on April 7, 2005 in violation of CGS 9-333j . Exemption from Itemized Reporting form was received from treasurer along with the late penalty payment of \$220. for compliance.		

File Number	Date Filed	Date Closed
2005 - 157 - NF	5/27/2005	7/18/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Windsor Taxpayers Coalition, Adam Gutcheon, Treasurer		
Disposition		
Compliance, \$110 civil penalty		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure statement which was due on April 7,2005 in violation of 9-333j.. The delinquent report was filed electronically with the Secretary of the State after the filing deadline. A civil penalty of \$110 was paid for compliance.</p>		

File Number	Date Filed	Date Closed
2005 - 158 - NF	5/27/2005	8/10/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
20th District Council, Edward Jones, Treasurer		
Disposition		
Compliance, \$110 civil penalty		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to File Treasurer's Statement of Receipts and Expenditures or Exemption from Itemized Reporting due on April 7, 2005 in violation of CGS 9-333j. ED-45 was filed at the Secretary of the State in June 2005 but mismarked by the SOTS as the July 7, 2005 filing. Late fee only due. Late fee of \$110 hand delivered to SEEC on 8/10/05 by Treasurer Edward Jones for compliance.</p>		

File Number	Date Filed	Date Closed
2005 - 160 - NF	5/27/2005	8/8/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Working Families Campaign Committee, Dennis O'Neil, Treasurer		
Disposition		
Compliance, \$275 civil penalty		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file Campaign Finance Disclosure Statement (form ED-45) for the reporting date of April 11, 2005 in violation of CGS 9-333j. Deputy Treasurer Jon Green filed the ED-45 on August 8 along with the civil penalty of \$275 for compliance.</p>		

File Number	Date Filed	Date Closed
2005 - 161 -	5/26/2005	8/17/2005
Complainant		
Monica C. Swyden		
Complainant's Town		
Colchester		
Respondents		
Joyce A. Maine		
Disposition		
Agreement containing Henceforth Order		
Headnotes		
<p>Respondent is a member of Tax Payers Association of Colchester, a political committee formed in connection with a referendum question. The committee failed to file: a campaign finance disclosure statement after the 6-11-2004 referendum, and a campaign finance termination disclosure statement. The committee made no expenditures nor received contributions in connection with the 5-10-2005 referendum. Ordered to file an ED-46 termination statement in connection with the June 2004 referendum and to henceforth comply with 9-333v.</p>		

File Number	Date Filed	Date Closed
2005 - 162 -	5/26/2005	8/17/2005
Complainant		
Jeffrey T. Kriete		
Complainant's Town		
Westbrook		
Respondents		
Disposition		
Findings & Conclusions: Dismissed		
Headnotes		
After investigation, Commission finds that town of Westbrook officials followed the procedures for issuing absentee ballot materials for municipal referendums where a referendum is held with less than 3 weeks notice (per 9-369c); and finds no evidence that any officials attempted to influence a vote by force or threat in violation of 9-364a. Commission dismisses complaint, finding no violation.		

File Number	Date Filed	Date Closed
2005 - 163 -	5/27/2005	8/17/2005
Complainant		
Lorri Cavaliere		
Complainant's Town		
Bethany		
Respondents		
Disposition		
Findings & Conclusions: Dismissed		
Headnotes		
Regional school district did not violate 9-369b as materials posted on the district's website were not found to advocate a position on the pending referendum. Findings and Conclusions, Complaint dismissed.		

File Number	Date Filed	Date Closed
2005 - 164 -	6/3/2005	10/12/2005
Complainant		
Susan Alberty, Citizens for Limited Taxation		
Complainant's Town		
Danielson		
Respondents		
Disposition		
Findings and Conclusions:Dismissed		
Headnotes		
<p>Findings and Conclusions dismissing the matter adopted by the Commission at its meeting on October 12, 2005. Allegations that flyers were distributed without an attribution, that the flyers intimidated voters into voting a certain way in violation of CGS 9-333w, 9-364 and 9-364a. Complainant alleged that CGS 9-369b was violated by a member of the Schools staff when an individual contacted a local radio station and advocated a position on a referendum using public funds. It was found that in the instant case no public funds were used and that no school facilities, supplies or equipment were used by school admin staff; municipal employees may speak on their own (unpaid) time and use their personal resources to advocate a position on a referendum.</p>		

File Number	Date Filed	Date Closed
2005 - 165 -	6/8/2005	9/21/2005
Complainant		
Joseph Valys		
Complainant's Town		
Dayville		
Respondents		
Christian Sarantopoulos member of the Killingly Board of Education and Edward Allard, Chairperson		
Disposition		
Agreement Containing Consent Order/ Civil Penalty. Sarantopoulos: \$100 civil penalty. Allard: \$100 CP		
Headnotes		
<p>Respondent Board of Education members violated CGS 9-369b by urging voters to "Get out and Vote NO" in a live cable education meeting, filmed and broadcast using public funds. Respondents each paid a civil penalty of \$100 and shall henceforth strictly comply with CGS 9-369b.</p>		

File Number	Date Filed	Date Closed
2005 - 166 -	6/10/2005	8/17/2005
Complainant		
Jonathan Rosenthal		
Complainant's Town		
Easton		
Respondents		
Disposition		
Findings & Conclusions: No further action		
Headnotes		
Commission finds that political mailers contained "paid for by" attribution incorporating initials of a political committee which was widely known by those initials, and thus there was an unintentional and technical violation of 9-333w. Commission will take no further action on this matter.		

File Number	Date Filed	Date Closed
2005 - 167 -	6/13/2005	9/21/2005
Complainant		
Arthur Screen		
Complainant's Town		
Plainville		
Respondents		
Disposition		
Findings & Conclusions: Dismissed		
Headnotes		
Allegations that town Board of Education publicly funded a press conference to express views concerning proposed budget referendum and that members of Board of Ed violated CGS 9-369b by taping BOE special meeting using public funds where members expressed opinions on the budget. The ban on expenditures of public funds is only applicable when referendum is pending. Furthermore, the publicly financed regular taping and broadcasting of the meeting does not constitute a violation of 9-369b: as a matter of public policy, the Commission encourages regular taping and broadcasting of public meetings. Findings and Conclusions dismissing the matter adopted by Commission on September 21, 2005.		

File Number	Date Filed	Date Closed
2005 - 168 -	6/13/2005	10/12/2005
Complainant		
Arthur Screen		
Complainant's Town		
Plainville		
Respondents		
Disposition		
Findings and Conclusions:Dismissed		
Headnotes		
<p>Findings and Conclusions dismissing the complaint were adopted at the Commission's meeting of 10/12/05. Although content of website in question is of a political nature, Commission found that no expenditures were made or contributions received for the purpose of influencing the nomination, or election, of any person or on behalf of any political party. Based on these facts, there was no violation of any campaign finance law in connection with the operation of the website. Also found no violation of campaign finance laws by posting comments to the website that promoted the success or defeat of Plainville referenda.</p>		

File Number	Date Filed	Date Closed
2005 - 169 -	6/16/2005	7/20/2005
Complainant		
Berthtier Bosse		
Complainant's Town		
Lebanon		
Respondents		
Disposition		
Findings and Conclusions:Dismissed		
Headnotes		
<p>Complainant alleged that an article that appeared in the June 2005 edition of the "Lebanon Life" promoted the candidacy of Joyce Okonuk and John Bendoraitis and solicited contributions to their campaigns but lacked the required "paid for by" attribution. The Commission concluded that the questioned article entitled "Okonuk and Bendoraitis Announce Candidacy" was a news story, within the meaning of CGS 9-333c(b)(5) and 9-333w(c) and therefore it is not subject to the attribution requirements of Connecticut General Statutes 9-333w. The matter was dismissed.</p>		

File Number	Date Filed	Date Closed
2005 - 171 -	6/27/2005	9/21/2005
Complainant		
Tina La Porta		
Complainant's Town		
Broad Brook		
Respondents		
Joseph R. Gallucci, Superintendent of Schools & Lisa Lopuc, Co-President of East Windsor Parent Teacher Organization		
Disposition		
Agreements Containing Consent Orders. Gallucci: \$161 reimbursement to town. Lopuc: Henceforth order		
Headnotes		
With Respect to Lisa Lopuc, Agreement Containing Henceforth Order for violation of 9-333w. Literature distributed without proper attribution, although sponsorship by EWPTO was clear. With respect to Superintendent Gallucci, he violated 9-369b by distributing letter to parents with public funds describing cuts that would be made if a budget referendum failed. Consent Agreement to make reimbursement to East Windsor of \$161, the cost of the letter.		

File Number	Date Filed	Date Closed
2005 - 172 -	6/28/2005	8/17/2005
Complainant		
Arthur L. Perry		
Complainant's Town		
New Britain		
Respondents		
Peter J. Gostin, Treasurer, Salvio for State Rep; Dorothy Salvio		
Disposition		
Two consent agreements & orders: Gostin: Reprimand D. Salvio: \$200 forfeiture and henceforth order		
Headnotes		
Respondent Gostin unintentionally violated 9-333i(g)(2)(L) by making a payment of \$200 to candidate Louis G. Salvio's spouse. Consent Order to Insure Future Compliance, included Reprimand. Respondent Dorothy Salvio unintentionally violated 9-333i(g)(2)(L) by receiving \$200 in compensation from her husband's campaign committee. Ordered to forfeit \$200 to the State of Connecticut and henceforth fully comply with the above-named statute.		

File Number	Date Filed	Date Closed
2005 - 173 -	6/28/2005	9/2/2005
Complainant		
Dorothy R. Turnrose & Edward J. Dzwonkowski, Registrars of Voters		
Complainant's Town		
New Britain		
Respondents		
Disposition		
Dismissed without further action		
Headnotes		
Investigation completed and it was determined that the individual that was alleged to have voted twice in the 2004 presidential election only voted once. A clerical error made it appear as if the individual had voted in Milford, but the individual did not. The case was dismissed without further action.		

File Number	Date Filed	Date Closed
2005 - 174 -	7/5/2005	8/17/2005
Complainant		
Referral by the East Windsor Police Department		
Complainant's Town		
East Windsor		
Respondents		
Disposition		
Findings & Conclusions: Dismissed		
Headnotes		
Commission finds that individual acting alone paid for and distributed a flyer which opposed a pending referendum, and that even though individual took on the name of a taxpayer's association, that association existed in name only and the individual was the sole member. The individual spent under \$1000 on the flyers. No violation of 9-333d, 9-333n(d), 9-333w(b). Complaint is dismissed.		

File Number	Date Filed	Date Closed
2005 - 175 -	6/28/2005	11/16/2005
Complainant		
Referral by Jan Murtha and Frances Knipple, Registrars of Voters		
Complainant's Town		
South Windsor		
Respondents		
Ralph J. Gagliardo		
Disposition		
Closed; No further action		
Headnotes		
Respondent was removed from South Windsor Voter's list by South Windsor Registrars of Voters pursuant to P.A. 05-235 and CGS 9-7b(3)(E) for his lack of bona fide residence. The case is closed and the Commission will take no further action.		

File Number	Date Filed	Date Closed
2005 - 176 -	7/28/2005	11/16/2005
Complainant		
Arthur L. Perry		
Complainant's Town		
New Britain		
Respondents		
Vincent Nolan, Chairman & Jennie Rose Parker, Treasurer, GOP-5; Peter Mlynarczyk, Treasurer, Steward for Mayor		
Disposition		
Consent Agreements and Orders. Parker: \$1500 CP Nolan: forfeiture \$4825 Mlynarczyk: \$100 CP		
Headnotes		
1) Jennie Rose Parker, Treasurer of GOP-5, fined \$1500 for violations of 9-333j, 9-333n, 9-333r, 9-333w and 9-333x(10) for receiving excess contributions at an art auction fundraiser and misreporting them as \$1,000 contributions and transferring excess to an affiliated federal PAC. Bid forms solicited excessive contributions and failed to contain an attribution. 2) Vince Nolan, Chairman, Consent Order requiring forfeiture of \$4,825 in excessive contributions from federal GOP-5 PAC 3) CO with Peter Mlynarczyk, treasurer of Steward for Mayor. \$100 civil penalty for violation of 9-333r and 9-333b(b)(10) for accepting excessive ad book purchase which was a prohibited candidate committee contribution to a PAC. Also technical violation of 9-333j(c) concerning under-reporting of secondary payees; and violation of 9-333i(10) for failing to report expenditures		

File Number	Date Filed	Date Closed
2005 - 177 -	7/29/2005	11/16/2005
Complainant		
Gene F. Sullivan		
Complainant's Town		
West Haven		
Respondents		
Disposition		
Findings and Conclusions:Dismissed		
Headnotes		
<p>Commission adopted Findings and Conclusions dismissing the matter at its meeting of November 16, 2005. The Commission found that the 7/13/05 and August 3, 2005 editions of a newspaper did not promote the success or defeat of any candidate's campaign for nomination at an election, and did not expressly promote the Mayor's candidacy and did not solicit funds to benefit any political party or committee therefore did not require an attribution and as such there is no violation of CGS 9-333w.</p>		

File Number	Date Filed	Date Closed
2005 - 178 -	7/4/2005	9/21/2005
Complainant		
Joanne Cleary		
Complainant's Town		
Branford		
Respondents		
Disposition		
Findings and Conclusions:Dismissed		
Headnotes		
<p>Findings and Conclusions dismissing matter adopted by Commission on Sept. 21, 2005. It was concluded that the posting in the town hall of changes in mill rates alone would not reach the threshold of promotional materials for reelection of tax collector in determining whether a communication violates CGS 9-333l(d).</p>		

File Number	Date Filed	Date Closed
2005 - 179 -	8/12/2005	9/21/2005
Complainant		
Michael DeBenedett		
Complainant's Town		
East Haven		
Respondents		
Disposition		
Findings and Conclusions: Dismissed		
Headnotes		
Investigation found that public funds were not used to mail or print flyers or other promotional materials intended to bring about an incumbent's reelection. CGS 9-333l(d) was not violated by linking from a town website to a website that did not promote candidacy. Findings and Conclusions dismissed the complaint.		

File Number	Date Filed	Date Closed
2005 - 180 -	8/23/2005	10/12/2005
Complainant		
James Morrissey		
Complainant's Town		
West Haven		
Respondents		
Charles Marino and JoAnn Callegari, Registrars of Voters; Deborah Evangelista and JoAnne Mapes, Assist. Registrars of Voters		
Disposition		
Findings and Conclusions; Dismissed & Agreement Containing Henceforth Order		
Headnotes		
Nine separate allegations that there were several serious violations in connection with the nominating petitions submitted by the "A Better Future" slate and the individual petitioning council candidates that petitioned under the "A Better Future" party designation. Findings and Conclusions dismissing certain aspects of the complaint adopted by the Commission on 9/21/05. The act by the Assistant Registrar of Voters of signing the name of the Republican Registrar of Votes on the nominating petitions in question has no legal effect on the validity of certification required. Commission concludes that the nominating petitions called into question by this allegation are valid. Agreement containing henceforth order as to Registrars of Voters and Assistant Registrars of Voters regarding one aspect of the complaint is adopted by Commission on 10/12/05. Allegation that one individual's		

File Number	Date Filed	Date Closed
2005 - 181 - L	8/18/2005	9/20/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Jane Baird, Lobbyist		
Disposition		
Compliance, \$200 civil penalty		
Headnotes		
Referred by Office of the Secretary of the State in accordance with CGS 9-333y for failure to file Lobbyist Disclosure Statement of Contributions and Purchases ED(50) or Certification of Exemption Statement (ED-51) due on July 14, 2005 in violation of CGS 9-333l(g). ED-51 filed along with late penalty of \$200 for compliance on 9/16/05.		

File Number	Date Filed	Date Closed
2005 - 182 - L	8/18/2005	12/21/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Rodney Bownds, Lobbyist		
Disposition		
Compliance, \$100 civil penalty		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file Lobbyist Disclosure Statement of Contributions and Purchases (ED-50) or Certification of Exemption Statement (ED-51) for the period covering July 1, 2005 through February 9, 2005 in violation of CGS 9-333l(g). Hearing Notice mailed, Attorney Lenge designated as hearing officer. Respondent was located at a new address in Texas and notified of the hearing. He had not received previous notices re the filing requirement. Mr. Bownds filed a ED-51 along with a civil penalty of \$100 which was accepted by the Commission at its meeting on December 21, 2005. The matter is closed.		

File Number	Date Filed	Date Closed
2005 - 183 - L	8/18/2005	12/8/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Matthew Boyle, Lobbyist		
Disposition		
Compliance		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file a Lobbyist Disclosure Statement of Contributions and Purchases (ED-50) or Certification of Exemption Statement (ED-51) for the Period covering July 1, 2004 through June 30, 2005 in violation of CGS 9-333l(g). Mr. Boyle filed an ED-51 with SEEC on 9-15-05. The civil penalty was waived after Respondent filed an affidavit swearing that he did not lobby during the period 7-1-04 through 12-31-04. Compliance, case closed.</p>		

File Number	Date Filed	Date Closed
2005 - 184 - L	8/18/2005	8/25/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Alexandra Breslin, Lobbyist		
Disposition		
Compliance with Secretary of the State, no SEEC action		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file Lobbyist Disclosure Statement of Contributions and Purchases (ED-50) or Certification of Exemption Statement (ED-51) which was due on July 14, 2005 in violation of CGS 9-333l(g). Respondent filed ED-51 and \$55 late filing fee with Secretary of the State prior to any action by the SEEC.</p>		

File Number	Date Filed	Date Closed
2005 - 185 - L	8/18/2005	9/28/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Ember Brillhart, Lobbyist		
Disposition		
Compliance, \$200 civil penalty		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file Lobbyist Disclosure Statement of Contributions and Purchases (ED-50) or Certification of Exemption statement (ED-51) which was due on July 14, 2005 in violation of CGS 9-333l(g). Certification of Exemption (ED-51) filed along with civil penalty of \$200 on 9/27/05 for compliance.		

File Number	Date Filed	Date Closed
2005 - 186 - L	8/18/2005	9/28/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Mark Brownstein, Lobbyist		
Disposition		
No further action, lobbyist registration terminated prior to pertinent filing period		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y. Because Mr. Brownstein terminated his lobbyist registration with the State Ethics Commission prior to pertinent filing peiord, the Commission will take no further action.		

File Number	Date Filed	Date Closed
2005 - 187 - L	8/18/2005	11/10/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Richard Carmelich, Lobbyist		
Disposition		
No action, closed		
Headnotes		
Determined that Richard Carmelich did not fall under 9-333l(g). No action taken.		

File Number	Date Filed	Date Closed
2005 - 188 - L	8/18/2005	10/11/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Christopher Carter, Lobbyist		
Disposition		
Compliance, \$200 civil penalty		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file Lobbyist Disclosure Statement of Contributions and Purchases (ED-50) or Certification of Exemption Statement (ED-51) which was due on July 14, 2005 in violation of CGS 9-333l(g). Form ED-51 along with \$200 civil penalty received on 9/29/05 for compliance.		

File Number	Date Filed	Date Closed
2005 - 189 - L	8/18/2005	8/25/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
John Chapin, Lobbyist		
Disposition		
Compliance with Secretary of the State, no SEEC action		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file Lobbyist Disclosure Statement of Contributions and Purchases (ED-50) or Certification of Exemption Statement (ED-51) which was due on July 14, 2005 in violation of CGS 9-333(g). Compliance with Office of the Secretary of the State prior to SEEC action.		

File Number	Date Filed	Date Closed
2005 - 189 - L	8/25/2005	8/25/2005
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
John Chapin, Lobbyist		
Disposition		
Compliance with the Secretary of the State prior to action by SEEC		
Headnotes		
Failed to file annual itemized lobbyist's disclosure of contributions and purchases (ED-50) statement Secretary of the State received report and late filing fee before SEEC mailed its demand letter. Compliance. Closed.		

File Number	Date Filed	Date Closed
2005 - 190 - L	8/18/2005	9/26/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Tom Considine, Lobbyist		
Disposition		
Compliance, \$200 civil penalty		
Headnotes		
<p>Referred by Office of the Secretary of the State in accordance with CGS 9-333y for failure to file Lobbyist Disclosure Statement of Contributions and Purchases ED-50 or Certification of Exemption Statement ED-51 which was due on July 14, 2005 in violation of CGS 9-333l(g). ED-51 filed along with civil penalty of \$200 for compliance.</p>		

File Number	Date Filed	Date Closed
2005 - 191 - L	8/18/2005	10/28/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Robert Crane, Lobbyist		
Disposition		
Closed		
Headnotes		
<p>Mr. Crane, registered lobbyist for Pharmaceutical Research and Manufacturers of America, left the employment of PhRMA in June, 2004. Based upon this information, no further action will be taken as Mr. Crane was not required to report for the July 2004 through June 30, 2005 period. Mr. Crane's lobbyist registration terminated. This matter is closed.</p>		

File Number	Date Filed	Date Closed
2005 - 192 - L	8/18/2005	9/20/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
John D'Amato, Lobbyist		
Disposition		
Compliance with and late fee paid to Secretary of the State		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file Lobbyist Disclosure Statement of Contributions and Purchases (ED-50) or Certification of Exemption Statement (ED-51) due on July 14, 2005 in violation of CSG 9-333l)g. ED-51 filed along with the late filing fee of \$55 paid to the Secretary of the State for compliance.</p>		

File Number	Date Filed	Date Closed
2005 - 193 - L	8/18/2005	10/3/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
David Damer, Lobbyist		
Disposition		
Closed		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to terminate lobbyist registration or to file Lobbyist Disclosure of Contributions and Purchases (ED-50) or Certification of Exemption Statement (ED-51) in violation of CGS 9-333l)g). Following the investigation, no further action will be taken in this matter . Mr. Damer, former in-house communicator for PSEG Power,LLC, retired and no evidence was found that he engaged in lobbying in CT during the pertinent reporting period of July 1, 2004 to June 30, 2005. This matter is closed.</p>		

File Number	Date Filed	Date Closed
2005 - 194 - L	8/18/2005	9/9/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Thomas Dunn		
Disposition		
Compliance with and late fee paid to Secretary of the State		
Headnotes		
<p>Referred by the Office of Secretary of the State in accordance with CGS 9-333y for failure to file Lobbyist Disclosure Statement of Contributions and Purchases (ED-50 or Certification of Exemption Statement (ED-51) which was due on July 14, 2005 in violation of CGS 9-333l(g). CT Education Assoc provided faxed copy of the ED-51 along with \$55 late filing fee which was received by the Office of the Secretary of the State. This was accepted as proof and our file was closed.</p>		

File Number	Date Filed	Date Closed
2005 - 195 - L	8/18/2005	11/3/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Edwin Durso, Lobbyist		
Disposition		
Compliance, \$200 civil penalty		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file Lobbyist Disclosure Statement of Contributions and Purchases ED-50 or Certification of Exemption Statement ED-51 which was due on July 14, 2005 in violation of CGS 9-333l(g). Certification of Exemption ED-51 filed along with civil penalty of \$200 on 11/02/2005 for compliance.</p>		

File Number	Date Filed	Date Closed
2005 - 196 - L	8/25/2005	8/25/2005
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
James Finley, Lobbyist		
Disposition		
Compliance with Office of the Secretary of the State prior to SEEC action.		
Headnotes		
Respondent filed lobbyist disclosure of contributions and purchases with Office of the Secretary of the State prior to SEEC action.		

File Number	Date Filed	Date Closed
2005 - 197 - L	8/18/2005	10/10/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Patrick Fox, Lobbyist		
Disposition		
Compliance, \$200 civil penalty		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file a Lobbyist Disclosure Statement of Contributions and Purchases (ED-50) or Certification of Exemption Statement (ED-51) which was due on July 14, 2005 in violation of CGS 9-333l(g). Mr. Fox filed the required form with the Office of the Secretary of the State on 9-9-05. Connecticut Career Schools paid the civil penalty of \$200 on Mr. Fox's behalf for compliance.		

File Number	Date Filed	Date Closed
2005 - 198 - L	8/18/2005	9/13/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
William Franco, Lobbyist		
Disposition		
Compliance, \$200 civil penalty and Lobbyist termination report filed.		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file a Lobbyist Disclosure Statement of Contributions and Purchaes (ED-50) or Certification of Exemption Statement (ED-51) with the Secretary of the State in violation of CGS 9-333l(g). Mr. Franco filed an ED-51 and a \$200 civil penalty on 9/13/05 along with notice of his termination as a lobbyist.		

File Number	Date Filed	Date Closed
2005 - 199 - L	8/18/2005	9/16/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Steven Godfrey, Lobbyist		
Disposition		
Compliance, \$200 civil penalty		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file Lobbyist Disclosure Statement of Contributions and Purchases (ED-50) or Certification of Exemption Statement (ED-51) which was due on July 14, 2005 in violation of 9-333l(g). ED-51 along with \$200 civil penalty was received on 9/23/05 for compliance.		

File Number	Date Filed	Date Closed
2005 - 200 - L	8/25/2005	8/25/2005
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
Gary Hale, Lobbyist		
Disposition		
Compliance with Office of the Secretary of the State prior to SEEC action.		
Headnotes		
Lobbyist filed required disclosure of contributions and purchases, or exemption form, with Office of the Secretary of the State prior to SEEC action. Compliance.		

File Number	Date Filed	Date Closed
2005 - 201 - L	8/18/2005	9/11/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
John Hampton, Lobbyist		
Disposition		
Compliance, \$200 civil penalty		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file Lobbyist Disclosure Statement of Contributions and Purchases (ED-50) or Certification of Exemption Statement (ED-51) which was due on July 14, 2005 in violation of CGS 9-333l(g). ED-51 filed on 9/30/05 along with civil penalty of \$200 for compliance.		

File Number	Date Filed	Date Closed
2005 - 202 - L	8/18/2005	11/15/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Shawn Hanley, Lobbyist		
Disposition		
Closed; No further action		
Headnotes		
Results of investigation, Mr. Hanley did not lobby in the state of Connecticut and does not fall under the provisions in CGS 9-333l(g). No further action will be taken in this matter, the case is closed.		

File Number	Date Filed	Date Closed
2005 - 203 - L	8/18/2005	12/21/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Rachael Harasimowicz, Lobbyist		
Disposition		
Closed, no further action		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file lobbyist Disclosure Statement of Contributions and Purchases (ED-50) or Certification of Exemption Statement (ED-51) for the Period covering July 1, 2004 through December 31, 2004 in violation of CGS 9-333l(g). Commission will take no further action per Attorney Lenge as Commission was unable to locate Respondent, despite extensive efforts to do so.		

File Number	Date Filed	Date Closed
2005 - 204 - L	8/18/2005	11/16/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
John Hollis, Lobbyist		
Disposition		
Compliance, \$200 civil penalty		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file Lobbyist Disclosure Statement of Contributions and Purchases Form ED-50 or Certification of Exemption statement Form ED-51 which was due on July 14, 2005 in violation of CGS 9-333l(g). Mr. Hollis was in Louisiana from late August thru late October 2005 assisting with CT effort for relief of Hurrigan Katrina victims. He received his first notice from SEEC on 10-27-05. He filed the ED-51 on 11-9-05 and paid the late filing fee of \$200 on 11-16-05 for compliance.</p>		

File Number	Date Filed	Date Closed
2005 - 205 - L	8/18/2005	10/14/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
John Hughes, Lobbyist		
Disposition		
Compliance, \$100 civil penalty		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file Lobbyist Disclosure Statement of Contributions and Purchases (ED-50) or Certification of Exemption Statement (ED-51) which was due on July 14, 2005 in violation of CGS 9-333l(g). Late filing penalty reduced to \$100 as Mr. Hughes had no notice until SEEC contacted him 10-8-05 and responded very soon thereafter. The ED-51 and \$100 received on 11-10-05 for compliance.</p>		

File Number	Date Filed	Date Closed
2005 - 206 - L	8/18/2005	10/11/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
William Leahy, Lobbyist		
Disposition		
Compliance, \$200 civil penalty		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file Lobbyist Disclosure Statement of Contributions and Purchases (ED-50) or Certification of Exemption Statement (ED-51) which was due on July 14, 2005 in violation of CGS 9-333l(g). ED-51 was filed along with civil penalty of \$200 for compliance.		

File Number	Date Filed	Date Closed
2005 - 207 - L	8/18/2005	11/3/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
John Liantonio, Lobbyist		
Disposition		
Compliance, \$100 civil penalty		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file Lobbyist Disclosure Statement of Contributions and Purchases (ED-50) or Certification of Exemption Statement (ED-51) which was due on July 14, 2005 in violation of CGS 9-333l(g). Respondent filed ED-51 with the Secretary of the State on 10/27/05 and paid civil penalty of \$100. Penalty was reduced because Respondent had no notice until SEEC reached him on 10/14/05. Closed.		

File Number	Date Filed	Date Closed
2005 - 208 - L	8/18/2005	11/5/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Joseph Luppino, Lobbyist		
Disposition		
Compliance, \$200 civil penalty		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y, for failure to file a Lobbyist Disclosure Statement of Contributions and Purchases (ED-50) or Certification of Exemption Statement (ED-51) for the period covering July 1, 2004 through June 30, 2005 in violation of CGS 9-333l(g). Hearing Notice scheduled and mailed to Respondent. Respondent filed the ED-51 on December 1 along with the civil penalty of \$200 for compliance. Hearing cancelled.</p>		

File Number	Date Filed	Date Closed
2005 - 209 - L	8/18/2005	9/29/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Dean Markham, Lobbyist		
Disposition		
Compliance, \$200 civil penalty		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS9-333y for failure to file Lobbyist Disclosure Statement of Contributions and Purchases (ED-50) or Certification of Exemption Statement (ED-51) for the period covering 1/1/05 through 6/30/05 in violation of CGS 9-333l(g). ED-51 report filed on 9/29/05 along with civil penalty of \$200 for compliance.</p>		

File Number	Date Filed	Date Closed
2005 - 210 - L	8/18/2005	11/9/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Kara Masi, Lobbyist		
Disposition		
Compliance, \$100 civil penalty		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to File Lobbyist Disclosure Statement of Contributions and Purchases (ED-50) or Certification of Exemption statement (ED-51) which was due on July 14, 2005 in violation of CGS 9-333l(g). Because the organization paying respondent in-house did not forward SOTS notice letter, Ms. Masi did not receive notice until SEEC demand letter. Ms. Masi filed ED-50 along with civil penalty of \$100 for compliance.</p>		

File Number	Date Filed	Date Closed
2005 - 211 - L	8/18/2005	10/11/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Michael McCann, Lobbyist		
Disposition		
Compliance, \$100 civil penalty		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file Lobbyist Disclosure statement of Contributions and Purchases (ED-50) or Certification of Exemption Statement (ED-51) which was due on July 14, 2005 in violation of CGS 9-333l(g). Based on information and documents it appears that Mr. McCann attempted to file the ED-50 along with \$55 late fee on August 8, 2005 with Secretary of State. It was determined that he tried to file in a timely manner and so SEEC accepted ED-50 and late filing fee of \$100 on Oct. 11, 2005 for compliance.</p>		

File Number	Date Filed	Date Closed
2005 - 212 - L	8/18/2005	10/25/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Brian McDermott, Lobbyist		
Disposition		
Compliance, \$200 civil penalty		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file Lobbyist Disclosure Statement of Contributions and Purchases (ED-50) or Certification of Exemption Statement (ED-51) which was due on July 14, 2005 in violation of CGS 9-333l(g). ED-51 along with civil penalty of \$200 was received on 10/25/05 for compliance.		

File Number	Date Filed	Date Closed
2005 - 213 - L	8/18/2005	10/18/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
David Metzger, Lobbyist		
Disposition		
Compliance, \$200 civil penalty		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file Lobbyist Disclosure Statement of Contributions and Purchases (ED-50) or Certification of Exemption Statement (ED-51) which was due on July 14, 2005 in violation of CGS 9-333l(g). ED-51 filed and civil penalty of \$200 received on October 14, 2005 for compliance.		

File Number	Date Filed	Date Closed
2005 - 214 - L	8/18/2005	10/28/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Matt Morais, Lobbyist		
Disposition		
Compliance, \$55 late fee paid to Secretary of the State		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file Lobbyist Disclosure Statement of Contributions and Purchases (ED-50) or Certification of Exemption Statement (ED-51) which was due on July 14, 2005 in violation of CGS 9-333l(g). Strategic Energy LLC paid \$55 late filing fee to Secretary of the State on 8/24/05. Mr. Morais representing Strategic Energy LLC filed ED-51 with this office on 10/26/05 for compliance.</p>		

File Number	Date Filed	Date Closed
2005 - 215 - L	8/18/2005	12/15/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Deborah Noble, Lobbyist		
Disposition		
Compliance; \$200 civil penalty		
Headnotes		
<p>Referred by Secretary of the State in accordance with CGS 9-333y for failure to file Lobbyist Disclosure Statement of Contributions and Purchases (ED-50 or Certification of Exemption Statement (ED-51) for the period covering July 1, 2004 through June 30, 2005 in violation of CGS 9-333l(g). ED-51 filed 12-15-05. \$200 civil penalty paid on 3/27/06.</p>		

File Number	Date Filed	Date Closed
2005 - 216 - L	8/18/2005	11/28/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Paul Nunez, Lobbyist		
Disposition		
Compliance, \$100 civil penalty		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file a Lobbyist Disclosure Statement of Contributions and Purchases ED-50 or Certification of Exemption Statement ED-51 in violation of CGS 9-333l(g), for the period covering July 1, 2004 through June 30, 2005 which was due on July 14, 2005. Respondent complied by filing ED-51 and paying \$100 civil penalty for compliance.		

File Number	Date Filed	Date Closed
2005 - 217 - L	8/18/2005	11/7/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Armando Paolino, III, Lobbyist		
Disposition		
Compliance, \$200 civil penalty		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file Lobbyist Disclosure Statement of Contributions and Purchases (ED-50) or Certification of Exemption Statement (ED-51) which was due on July 14, 2005, in violation of CGS 9-333l(g). Respondent filed ED-50 along with civil penalty of \$200 for compliance.		

File Number	Date Filed	Date Closed
2005 - 218 - L	8/18/2005	12/8/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Cheryl Rivers, Lobbyist		
Disposition		
Closed; No further action		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file Lobbyist Disclosure Statement of Contributions and Purchases (ED-50) or Certification of Exemption Statement (ED-51) for the period covering July 1, 2004 through Dec. 31, 2004 in violation of CGS 9-333l(g). Based on Respondents' affidavit and supporting information from current Executive Director of NELE, Respondent does not fall under CGS 9-333l(g). No further action will be taken. The matter is closed.</p>		

File Number	Date Filed	Date Closed
2005 - 219 - L	8/18/2005	11/9/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Stanley Seligson, Lobbyist		
Disposition		
Compliance, \$100 civil penalty		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file Lobbyist Disclosure Statement of Contributions and Purchases (ED-50) or Certification of Exemption Statement (ED-51) which was due on July 14, 2005 in violation of CGS 9-333l(g) and further failed to respond to prior notice. Reduced penalty to \$100 as unclear that Respondent meets definition of lobbyist, may have been incorrectly registered as same without his knowledge. The ED-51 filed along with civil penalty of \$100 for compliance,.</p>		

File Number	Date Filed	Date Closed
2005 - 220 - L	8/18/2005	11/10/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
David Spigelmyer, Lobbyist		
Disposition		
No action, closed		
Headnotes		

File Number	Date Filed	Date Closed
2005 - 221 - L	8/18/2005	8/25/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Fred Stanken, Lobbyist		
Disposition		
Compliance with Secretary of the State prior to SEEC action		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file Lobbyist Disclosure Statement of Contributions and Purchases (ED-50) or Certification of Exemption Statement (ED-51) which was due on July 14, 2005 in violation of CGS 9-333l(g) and further failed to respond to prior notice. Filed late report for compliance with the Office of the Secretary of the State prior to processing by SEEC.		

File Number	Date Filed	Date Closed
2005 - 222 - L	8/18/2005	9/27/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Ned Stachen, Lobbyist		
Disposition		
Compliance, \$200 civil penalty		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file Lobbyist Disclosure Statement of Contributions and Purchases (ED-50) or Certification of Exemption Statement (ED-51) for period covering July 1, 2004 through June 30, 2005 which was due on July 14, 2005 in violation of CGS 9-333l(g). Respondent complied and filed ED-51 along with civil penalty of \$200 for compliance.		

File Number	Date Filed	Date Closed
2005 - 223 - L	8/18/2005	9/26/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Keith Stover, Lobbyist		
Disposition		
Compliance, \$400 civil penalty		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file Lobbyist Disclosure Statement of Contributions and Purchases (ED-50) or Certification of Exemption Statement (ED-51) due on July 14, 2005 in violation of CGS 9-333l(g). Mr. Stover filed the ED-50 along with civil penalty of \$400 with the Office of the Secretary of the State and it was forwarded to this office for compliance.		

File Number	Date Filed	Date Closed
2005 - 224 - L	8/18/2005	10/11/2005
Complainant		
Referral by the Office of Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
May Terry, Lobbyist		
Disposition		
Compliance, \$55 late filing fee		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file Itemized Disclosure of Contributions and Purchases by a Lobbyist (ED-50) or Certification of Exemption from Filing Itemized Disclosure of Contributions and Purchases (ED-51) which was due on July 14, 2005 in violation of CGS 9-333l(g). Upon receipt of 10/11/05 letter explaining Ms. Terry's brief lobbyist duration and diagnosis of serious medical condition during the same time period, penalty considered to be late filing fee. ED-51 filing and \$55 accepted for compliance.</p>		

File Number	Date Filed	Date Closed
2005 - 225 - L	8/18/2005	11/29/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Richard Velky, Lobbyist		
Disposition		
Compliance, \$200 civil penalty		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file a Lobbyist Disclosure Statement of Contributions and Purchases (ED-50) or Certification of Exemption Statement (ED-51) for the period covering January 1, 2005 through June 30, 2005 in violation of CGS 9-333l(g). Mr. Velky filed a ED-50 with the Secretary of the State along with a civil penalty check for \$200 which was forwarded to SEEC, for compliance.</p>		

File Number	Date Filed	Date Closed
2005 - 226 - L	8/18/2005	9/29/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Heather Weisser, Lobbyist		
Disposition		
No further action		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file Lobbyist's Disclosure Statement which was due on July 14, 2005 in violation of CGS 9-333l(g). SEEC declined to take further action because Ms. Weisser stopped lobbying as of May, 2004 and so did not fall under the statutory time period (July 1, 2004 through June 30, 2005) for filing an ED-50 and ED-51.</p>		

File Number	Date Filed	Date Closed
2005 - 227 - L	8/18/2005	9/13/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Wanda William McCormack, Lobbyist		
Disposition		
Compliance		
Headnotes		
<p>Referred by Office of the Secretary in accordance with CGS 9-333y for failure to file Lobbyist Disclosure Statement of Contributions and Purchases ED-50 or Certification of exemption Statement (ED-51) which was due on July 14, 2005. CT Education Association faxed completed ED-51 by Ms. McCormack dated 7/18/05 and provided copy of \$55 check date-stamped by SOTS 7-29-05. Per JBG, this was accepted for compliance.</p>		

File Number	Date Filed	Date Closed
2005 - 228 - L	8/18/2005	9/27/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Lisa Winjum, Lobbyist		
Disposition		
Compliance, \$200 civil penalty		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file Lobbyist Disclosure Statement of Contributions and Purchases (ED-50) or Certification of Exemption Statement (ED-51) for period covering July 1, 2004 to December 31, 2004 in violation of CGS 9-333l(g). Respondent complied by filing ED-51 along with civil penalty of \$200.		

File Number	Date Filed	Date Closed
2005 - 229 - L	8/18/2005	11/8/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Teresa Younger, Lobbyist		
Disposition		
Compliance, \$200 civil penalty		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file Lobbyist Disclosure Statement of Contributions and Purchases (ED-50) or Certification of Exemption Statement (ED-51) which was due on July 14, 2005 in violation of CGS 9-333l(g). Respondent Younger filed the ED-51 along with the civil penalty of \$200 for compliance.		

File Number	Date Filed	Date Closed
2005 - 230 -	8/15/2005	8/17/2005
Complainant		
Referral by Tanya Lane, Town Clerk, Newington		
Complainant's Town		
Newington		
Respondents		
Kevin Zigler		
Disposition		
Compliance; The B-4 form along with civil penalty paid to Town Clerk for compliance. SEEC will take no further action		
Headnotes		
<p>Referred by Newington Town Clerk . Respondent, Republican endorsed candidate for office of Board of Fire Commissioner, failed to file the paperwork necessary for the formation or exemption of a candidate committee. Received notification from Town Clerk of Newington in accordance with CGS 9-333y. On August 17, 2005 Respondent filed his B-4 exemption and paid \$110 civil penalty with the Town Clerk for compliance. No further action necessary and matter closed.</p>		

File Number	Date Filed	Date Closed
2005 - 231 - NF	8/17/2005	9/19/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
East Haddam Republican Town Committee, J. Todd Walters, Treasurer		
Disposition		
Compliance, \$200 civil penalty		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file the campaign finance disclosure report due on July 11, 2005 in violation of CGS 9-333j. Statement of Receipts and Expenditures (ED-45) was filed along with the civil penalty of \$200 for compliance</p>		

File Number	Date Filed	Date Closed
2005 - 232 - NF	8/17/2005	9/26/2005
Complainant		
Referral by the Office of the Secretary of the Sstate		
Complainant's Town		
Hartford		
Respondents		
Hartford Democratic Town Committee		
Disposition		
Compliance, \$100 civil penalty		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure statement due on July 11, 2005 In violation of CGS 9-333j. Pursuant to 9-333g(c) when treasurer resigned, deputy treasurer became treasurer. Because deputy treasurer received no prior notice of violation, the civil penalty was reduced to \$100. Report filed, penalty received for compliance.</p>		

File Number	Date Filed	Date Closed
2005 - 233 - NF	8/17/2005	10/27/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Litchfield Democratic Town Committee, Joseph Frascarelli, Treasurer		
Disposition		
Compliance with Secretary of the State and \$100 late fee paid to that office		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure statement which was due on July 11, 2005 in violation of CGS 9-333j and further failed to respond to prior notice of the delinquency. After demand letter sent to treasurer, the Commission found reason to believe Respondent violated CGS 9-333j and 9-333y and a hearing officer was designated. Kevin Creed, chairperson, filed an ED-48 and \$100 civil penalty at SOTS office along with an October 27, 2005 ED 46 for compliance.</p>		

File Number	Date Filed	Date Closed
2005 - 234 - NF	8/17/2005	10/12/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
New Canaan Republican Town Committee, Gordon Jones, Treasurer		
Disposition		
Compliance, \$500 civil penalty		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure report which was due on July 11, 2005 in violation of CGS 9-333j. Notified by the Secretary of the State that Respondent filed the report along with a partial payment of \$55 which did not meet the demand. The Commission found reason to believe violation at its meeting of October 12 and appointed a Hearing Officer. Same day the balance of \$445 was received for the total of \$500 in compliance with the demand. Matter closed before hearing notice sent.</p>		

File Number	Date Filed	Date Closed
2005 - 235 - NF	8/17/2005	9/21/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
North Branford Democratic Town Committee, Mary Hart, Treasurer		
Disposition		
Compliance, \$200 civil penalty		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure report which was due on July 11, 2005 in violation of CGS 9-333j. At its meeting on October 12, 2005, the Commission found reason to believe and designated a Hearing Officer. On October 21 the exemption from itemized reporting ED-46 form was received along with the civil penalty of \$200 for compliance. Hearing notice not sent due to compliance.</p>		

File Number	Date Filed	Date Closed
2005 - 236 - NF	8/17/2005	9/26/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Sharon Republican Town Committee, Neil McCarthy, Treasurer		
Disposition		
Compliance, \$200 civil penalty		
Headnotes		
Referred by Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure report due on July 11, 2005. Report filed along with civil penalty of \$200 for compliance.		

File Number	Date Filed	Date Closed
2005 - 237 - NF	8/17/2005	10/14/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Working Families Campaign Committee, Dennis O'Neil, Treasurer		
Disposition		
Compliance, \$375 civil penalty		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure report which was due on July 11, 2005 in violation of CGS 9-333j. Secretary of the State on September 30 received \$55 and disclosure statement. SEEC letter sent to Mr. O'Neil informing him that the demand was not satisfied and a balance of \$320 was outstanding. At its meeting of October 12, 2005, the Commission found reason to believe and designated at Hearing Officer for this matter. No hearing notice sent because on 10/14/05 Jonathan Green, Deputy Treasurer, paid the balance of \$320 for compliance.		

File Number	Date Filed	Date Closed
2005 - 238 - NF	8/17/2005	10/5/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
20th District Council, Edward Jones, Treasurer		
Disposition		
Compliance, \$200 civil penalty		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure statement which was due on July 11, 2005, in violation of 9-333j. Statement of receipts and Expenditures (ED-45) received along with civil penalty of \$200 on Sept. 20 for compliance.		

File Number	Date Filed	Date Closed
2005 - 239 - NF	8/17/2005	9/28/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Community Empowerment PAC, Tomas Reyes, Treasurer		
Disposition		
Compliance, \$400 civil penalty		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure statement which was due on 7/11/05, in violation of CGS 9-333j. Statement of Receipts and Expenditures filed and civil penalty of \$400 paid for compliance.		

File Number	Date Filed	Date Closed
2005 - 240 - NF	8/17/2005	10/27/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
CT Longshoremen's #1398 PAC, Paul A. Redman, Treasurer		
Disposition		
Compliance, \$200 civil penalty		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure statement which was due on July 11, 2005 in violation of CGS 9-333j. Report filed along with \$200 civil penalty for compliance.		

File Number	Date Filed	Date Closed
2005 - 241 - NF	8/17/2005	8/29/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Democrats for Justice, Christopher Cloud, Treasurer		
Disposition		
Compliance, \$200 civil penalty		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure report with was due on July 11, 2005 in violation of CGS 9-333j. Exemption from Itemized Reporting ED-46 was filed on Sept. 26, 2005 along with civil penalty of \$200 for compliance.		

File Number	Date Filed	Date Closed
2005 - 242 - NF	8/17/2005	9/23/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Education for Easton, Dori-Ellen Feltman, Treasurer		
Disposition		
Closed; No further action		
Headnotes		
Referred by Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign disclosure statement due on July 11, 2005, in violation of 9-333j. This matter was closed with no further action, as the committee properly registered with the Town of Easton. Closed.		

File Number	Date Filed	Date Closed
2005 - 243 - NF	8/17/2005	10/7/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Friends of Lew Wallace, Michael Seri, Treasurer		
Disposition		
Compliance, evidence produced that filing was made on time		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure statement which was due on July 11, 2005 in violation of CGS 9-333j. Mr. Victor Kenter provided a receipt and postal confirmation print out that evidences that he mailed the report on July 11, 2005. No further action will be taken due to evidence that the filing was made on time.		

File Number	Date Filed	Date Closed
2005 - 244 - NF	8/17/2005	8/22/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
K.O.P.S., Lauren Artioli-Harris, Treasurer		
Disposition		
Compliance, \$200 civil penalty		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure statement which was due on July 11, 2005 in violation of 9-333j. ED 46, Exemption From Itemized Reporting filed along with civil penalty of \$200 for compliance.		

File Number	Date Filed	Date Closed
2005 - 245 - NF	8/17/2005	10/13/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
NFIB CT PAC, Armando Paolino, Treasurer		
Disposition		
Compliance, \$275 civil penalty		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure statement which was due on July 11, 2005 in violation of CGS 9-333j. Campaign finance disclosure statement filed and civil penalty of \$275 received on 10/13/05 for compliance.		

File Number	Date Filed	Date Closed
2005 - 246 - NF	8/17/2005	9/6/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Nu-Vision PAC, Deborah Caviness, Treasurer		
Disposition		
Compliance, \$275 civil penalty		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure statement which was due on July 11, 2005 in violation of CGS 9-333j. Statement of Receipts and Expenditures (ED-45) filed along with civil penalty of \$275 for compliance.		

File Number	Date Filed	Date Closed
2005 - 247 - NF	8/17/2005	9/19/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Ob/Gyn Alliance Legislative Affairs Committee, Steven Fleischmann, Treasurer		
Disposition		
Compliance, \$200 civil penalty		
Headnotes		
Referred by the Secretary of the State's office in accordance with CGS 9-333y for failure to file campaign finance disclosure statement which was due on July 11, 2005 in violation of CGS 9-333j. Report filed along with civil penalty of \$200 on September 19, 2005 for compliance.		

File Number	Date Filed	Date Closed
2005 - 248 - NF	8/17/2005	9/19/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Oxford Young Republicans, Sarah Beauprey, Treasurer		
Disposition		
Compliance with Secretary of the State prior to SEEC action		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure statement which was due on July 11, 2005 in violation of CGS 9-333j. Report was filed with the Secretary of the State's office prior to SEEC action. Matter was closed.		

File Number	Date Filed	Date Closed
2005 - 249 - NF	8/17/2005	9/19/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Police Interest Group, Chris Mefferd, Treasurer		
Disposition		
Compliance, \$200 civil penalty		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure statement due on July 11, 2005 in violation of CGS 9-333j. Campaign finance disclosure statement filed and civil penalty of \$200 paid on September 14 for compliance.		

File Number	Date Filed	Date Closed
2005 - 250 - NF	8/17/2005	9/19/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Solidarity PAC, Petrel Maylor, Treasurer		
Disposition		
Compliance, \$200 civil penalty, committee termination		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure statement which was due on July 11, 2005 in violation of CGS 9-333j. Campaign finance disclosure statement terminating the committee filed and civil penalty of \$200 received on Sept. 14, 2005 for compliance.		

File Number	Date Filed	Date Closed
2005 - 251 - NF	8/17/2005	12/9/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Western Connecticut Democrats, Maureen Meany, Treasurer		
Disposition		
Compliance, \$200 civil penalty, termination of committee		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file the campaign finance disclosure statement due July 11, 2005, in violation of CGS 9-333j. On December 2, 2005, termination letter was filed by Chairman along with the missing campaign finance disclosure statement and a civil penalty of \$200 for compliance.		

File Number	Date Filed	Date Closed
2005 - 252 -	8/29/2005	10/12/2005
Complainant		
Francis Novachek		
Complainant's Town		
Bethel		
Respondents		
Disposition		
Findings and Conclusions:Dismissed		
Headnotes		
Findings and Conclusions adopted by the Commission at its meeting of October 12, 2005. Treasurer for Committee did in fact timely file Notice of Intent to dissolve exploratory committee with the Bethel town clerk and then did timely file Registration of Candidate Committee. No violation of CGS 9-333a and 9-333j.		

File Number	Date Filed	Date Closed
2005 - 253 -	8/29/2005	10/4/2005
Complainant		
Secretary of the State; Registrars of Voters, Lebanon		
Complainant's Town		
Hartford		
Respondents		
Disposition		
Closed; No further action		
Headnotes		
No further action		

File Number	Date Filed	Date Closed
2005 - 254 -	9/2/2005	12/21/2005
Complainant		
Eneida Martinez		
Complainant's Town		
Bridgeport		
Respondents		
Eva Christian		
Disposition		
Agreement Containing Consent Order		
Headnotes		
<p>Consent Agreement adopted by Commission at its meeting on December 21, 2005 with Respondent Eva Christian for violations of CGS sections 9-140(a) and 9-140(k), as amended by PA 05-235, for failing to register with Town Clerk although she distributed more than five absentee ballot applications, and to sign as an assister on an absentee ballot application. Henceforth Order: register with the Town Clerk before distributing five or more AB applications and sign as an assister on any application when assisting with or completing any portion of the AB application form. Candidate James Holloway was sent a warning letter advising him that it is a candidate's responsibility to provide all campaign employees and volunteers with the summary of requirements and prohibitions known as All You Need to Know About Absentee Ballots.</p>		

File Number	Date Filed	Date Closed
2005 - 255 -	9/2/2005	12/21/2005
Complainant		
Jaime Vargas		
Complainant's Town		
New Haven		
Respondents		
Sharon Ferrucci, Democratic Registrar of Voters, New Haven		
Disposition		
Agreement Containing Consent Order and Payment of a \$1000 Civil Penalty for Violation of CGS 9-159q(i)		
Headnotes		
<p>After investigation, Commission found that Respondent's failure to provide the two candidates in the 14th Ward's primary with advance notice of their right to submit the names of potential designees to serve as absentee ballot supervisors on the day designated by the Respondent for supervision violated the requirements of CGS 9-159q(i). Consent agreement adopted by the Commission at its meeting held on 12-21-05. Respondent paid civil penalty of \$1,000 and ordered to henceforth comply with the requirements of CGS 9-159q(i) as stated in the order.</p>		

File Number	Date Filed	Date Closed
2005 - 256 -	9/8/2005	12/27/2005
Complainant		
Hector Riollano		
Complainant's Town		
Waterbury		
Respondents		
Disposition		
Closed/ No further action		
Headnotes		
Commission, at its meeting of December 21, 2005 voted unanimously to instruct the Respondent by letter regarding procedures for circulating petitions pursuant to CGS 9-410. Letter also sent to Secretary of the State informing them of the investigation results.		

File Number	Date Filed	Date Closed
2005 - 257 -	9/9/2005	12/21/2005
Complainant		
Rose Santana		
Complainant's Town		
New Haven		
Respondents		
Five Star Quality Care Inc. and Sharon Ferrucci, Democratic ROV		
Disposition		
Agreements Containing Consent Orders and Payments of civil Penalties. Five Star: \$2000 CP Ferrucci: \$500 CP		
Headnotes		
Agreement with Five Star Quality Care, Inc. (corporate owner of Clifton Health Care Center nursing home), for making illegal business entity contribution by virtue of excluding one candidate from visiting with residents prior to balloting, but allowing opponent to remain in violation of 9-333o. Civil penalty of \$2000 paid. Commission adopted agreement at its meeting on Dec. 21, 2005. With regard to Sharon Ferrucci, agreement adopted contingent upon receipt of signed decision by 1/4/06 and the payment of the civil penalty of \$500 by 1/11/06. Ms. Ferrucci violated 9-159q(i) by not using proper procedure for appointment of designees in supervised absentee voting in a primary. Signed agreements and civil penalty received. Matter closed.		

File Number	Date Filed	Date Closed
2005 - 258 -	9/12/2005	11/16/2005
Complainant		
Daniel Parlato		
Complainant's Town		
Bethlehem		
Respondents		
Disposition		
Findings and Conclusions: Dismissed		
Headnotes		
Findings and Conclusions dismissing the matter adopted by the Commission at its meeting of November 16, 2005. All four candidates personally paid their pro rata share of the expenditures for the production of a flyer and the costs associated with the mailing of the flyer and accordingly did not violate campaign finance laws including 9-333l(d).		

File Number	Date Filed	Date Closed
2005 - 259 -	9/12/2005	12/21/2005
Complainant		
Kenny Curran		
Complainant's Town		
Bethlehem		
Respondents		
Alphonse Avitabile, candidate for First Selectman, Daniel Parlato, candidate for First Selectman, Marie Rosa, candidate for Selectman		
Disposition		
Agreements Containing Consent Orders: Henceforth Orders		
Headnotes		
At the Commission's meeting held on Dec. 21, 2005, agreements adopted with three respondents. Both Alphonse Avitabile and Daniel Parlato did not file Form B-4, Certificate of Exemption from Forming a Candidate Committee designating Friends of Parlato/Rosa 2005 as each's sole funding vehicle within ten days of expenditures being made in support of each's candidacy, in violation of CGS 9-333f. Henceforth orders. Parlato in addition exceeded his \$1,000 aggregate contribution limit to that committee in violation of 9-333n; Respondent Marie Rosa, Candidate for Selectman did not file Form B-4 in violation of 9-333f. It was concluded that Respondents' violations were committed unintentionally.		

File Number	Date Filed	Date Closed
2005 - 260 -	9/15/2005	4/19/2006
Complainant		
Kevin Gray		
Complainant's Town		
West Haven		
Respondents		
Robert Marazzi		
Disposition		
Agreement Containing Consent Order;\$750 Civil Penalty remitted to \$250.		
Headnotes		
Consent agreement adopted by the Commission at its meeting held on April 19, 2005. \$750 civil penalty remitted to \$250 because of financial circumstances. Respondent violated CGS 9-410(c) by swearing as circulator that he witnessed all signatures on the petition when he had not. In fact, one of the purported signators was hospitalized at the time. Penalty paid and matter closed.		

File Number	Date Filed	Date Closed
2005 - 261 -	10/3/2005	12/21/2005
Complainant		
Steve L. Smock		
Complainant's Town		
Baltic		
Respondents		
Barry Kolar		
Disposition		
Consent Agreement and Order; \$100 Civil Penalty		
Headnotes		
It was concluded that Respondent violated CGS 9-333h(d) by designating the Sprague Republican Town Committee as his sole funding vehicle for his candidacy while remaining its treasurer.Consent Agreement and Order adopted by the Commission at its December 21, 2005 meeting. Civil penalty of \$100 paid for compliance.		

File Number	Date Filed	Date Closed
2005 - 262 -	10/4/2005	2/8/2006
Complainant		
August A. Palmer III		
Complainant's Town		
Oxford		
Respondents		
Disposition		
Findings and Conclusions; Dismissed		
Headnotes		
<p>At its meeting held on Feb. 8, 2006, the Commission adopted Findings and Conclusions dismissing this complaint. It was alleged that several newspaper reporters had received e-mails sent prior to the Nov. 8, 2005 Oxford municipal election in which complainant was a candidate for re-election that insinuated official wrongdoing by him. It was concluded that the e-mail obtained by the Complainant and distributed to at least two newspaper reporters did not require an attribution pursuant to CGS 9-333w. The e-mail did not reference complainant's candidacy for First Selectman or the election itself. It was anonymous and did not bear any attribution. The complaint is dismissed.</p>		

File Number	Date Filed	Date Closed
2005 - 263 -	10/11/2005	1/11/2006
Complainant		
Linda Amerighi, Town Clerk		
Complainant's Town		
Sharon		
Respondents		
Ducky Bancroft		
Disposition		
Consent Agreement and Henceforth Order & Findings and Conclusions dismissing part of the complaint.		
Headnotes		
<p>Consent Agreement and Order adopted by the Commission at its meeting of 1/11/06. Respondent Ducky Bancroft violated 9-333w by producing and handing out flyer promoting her election which failed to contain an attribution. Consent order to Henceforth comply. Findings and Conclusions adopted at the same meeting dismissing the matter regarding a flyer forwarded without attribution. It was not distributed but only shown to town clerk for compliance, and final copy had appropriate attribution.</p>		

File Number	Date Filed	Date Closed
2005 - 264 -	10/11/2005	11/16/2005
Complainant		
Jesse Haskell		
Complainant's Town		
Coventry		
Respondents		
Disposition		
Findings and Conclusions:Dismissed		
Headnotes		
Findings and Conclusions dismissing the matter adopted by the Commission at its meeting on November 6, 2005. Commission found that the comments made by the Chairman of the Town Council did not constitute a violation of CGS 9-369b, the ban on the expenditure of public funds to influence a referendum is only applicable when the referendum is pending. Matter dismissed		

File Number	Date Filed	Date Closed
2005 - 265 -	9/12/2005	12/21/2005
Complainant		
Commission Initiated		
Complainant's Town		
Hartford		
Respondents		
In Re: DeStefano for Connecticut Gaylord Bourne, Treasurer		
Disposition		
Agreement Containing Consent Order: \$4000 CP and henceforth comply with 9-333j		
Headnotes		
Agreement Containing Order to Pay Civil Penalty for violations of CGS 9-333j(c) adopted by the Commission at its December 21, 2005 meeting. Commission initiated this investigation pursuant to CGS 9-7b on its motion at its Oct. 12, 2005 meeting. Respondent treasurer failed to disclose the occupation and employer of contributors who had donated at least \$1,000 to the campaign. Commission concludes that Respondent should not have deposited contributions until the informationn was obtained and should have issued certified letters to contributors for whom such information was not initially provided. By her failure to follow procedure, Respondent violated CGS 9-333j(c)(1)(2). Respondent's actions causing such violations were unintentional.		

File Number	Date Filed	Date Closed
2005 - 266 - CNF	10/12/2005	1/23/2006
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Scott P. Merrell, DVM		
Disposition		
Compliance/ Civil Penalty \$100		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file Candidate Committee Registration (ED-49) or Exemption Form (B-4) as required by CGS 9-333f and further failed to respond to prior notices of the delinquency. The Commission at its meeting held on December 21, 2005 found reason to believe that Mr. Merrell violated CGS 9-333f and 9-333y as amended by PA 05-235. Commissioner Mendoza designated as hearing officer. Prior to the hearing notice being sent, Respondent filed required reports and paid civil penalty of \$100 to SEEC and also paid late filing fee to SOTS for compliance.</p>		

File Number	Date Filed	Date Closed
2005 - 267 -	10/13/2005	12/21/2005
Complainant		
Michael J. Jarjura		
Complainant's Town		
Waterbury		
Respondents		
Disposition		
Findings and Conclusions; Dismissed		
Headnotes		
<p>Commission adopted Findings and Conclusions at its meeting on December 21, 2005. Matter Dismissed. No evidence of a conspiracy to infuse campaign of mayoral candidate with business entity funds disguised as settlement payment in litigation.</p>		

File Number	Date Filed	Date Closed
2005 - 268 -	10/13/2005	1/11/2006
Complainant		
Joseph A. Bajorski		
Complainant's Town		
Berlin		
Respondents		
Robert W. Smith, Treasurer, Berlin Republican Town Committee		
Disposition		
Agreement Containing Consent Order and Payment of a Civil Penalty of \$300 for Violation of CGS 9-333j		
Headnotes		
<p>Agreement adopted by the Commission at its meeting held on 1/11/06. Complaint alleged that campaign finance disclosure statements by Respondent Treasurer were false, incomplete and inaccurate and further that certain contributions exceeded permissible contribution limits. Respondent remitted civil penalty in the amount of \$300 and was ordered to henceforth comply with CGS 9-333j, and was further ordered to amend the Berlin Rep. Town Committee campaign finance disclosure statement to reflect the expenses incurred but not paid in connection with the campaign finance reporting period.</p>		

File Number	Date Filed	Date Closed
2005 - 269 -	10/13/2005	12/21/2005
Complainant		
Carole A. Dmytryshak		
Complainant's Town		
Salisbury		
Respondents		
Sandra Gomez		
Disposition		
Agreement Containing Consent Order; \$50 civil penalty and henceforth order		
Headnotes		
<p>Respondent was a member of the informal working group known as the campaign committee which was created by the Salisbury Rep. Town Committee. Advertisements paid for by Respondent on behalf of the SRTC do not contain the required "Paid for by" language or the name of the SRTC, in violation of CGS 9-333w(a). It was concluded that the violation was committed unintentionally, and that Respondent made every effort to identify herself as the source of this advertising by including her name and telephone number on each. Agreement Containing Consent Order adopted by the Commissioner at its meeting on December 21, 2005. Henceforth order and \$50 civil penalty.</p>		

File Number	Date Filed	Date Closed
2005 - 270 -	10/13/2005	12/21/2005
Complainant		
Daniel P. Pullium		
Complainant's Town		
Torrington		
Respondents		
Disposition		
Findings and Conclusions;Dismissed		
Headnotes		
<p>Findings and Conclusions dismissing the matter adopted by the Commission at its meeting of December 21, 2005. It was found that mayoral candidate committee did not pay for the campaign signs promoting other candidates and as such did not make contributions to Republican Town Committee; nor did mayoral candidate committee make contributions to the candidate committees of the candidates that appeared on the sign in question. Based on the facts in this case, no violations of campaign finance laws were made.</p>		

File Number	Date Filed	Date Closed
2005 - 271 -	10/13/2005	3/8/2006
Complainant		
Daniel P. Pullium		
Complainant's Town		
Torrington		
Respondents		
Maureen Lamanna, Treasurer for Sieller, Potter, Zordan for City Government		
Disposition		
Consent Agreement and Order; \$500 civil penalty and Henceforth Order		
Headnotes		
<p>Consent Agreement adopted by Commission at its meeting of March 8, 2006. Respondent held two fundraising events and listed the cost of tickets for each event but did not properly report the sale of individual tickets and also failed to keep internal records of the ticket sale. Respondent shall henceforth strictly comply with 9-333h(a), 9-333i(f) and 9-333j(c)(1) and had paid the civil penalty of \$500.</p>		

File Number	Date Filed	Date Closed
2005 - 272 -	10/13/2005	12/21/2005
Complainant		
Daniel P. Pullium		
Complainant's Town		
Torrington		
Respondents		
Disposition		
Findings and Conclusions; Dismissed		
Headnotes		
Findings and Conclusions dismissing matter adopted by the Commission on December 21, 2005. It was found the slate committee's multiple event fund-raising committee comprised of two or more candidate committees was properly formed for the purpose of sponsoring two fund-raising events and the multiple event fund-raising committee comprised of two or more candidate committees did not serve as a candidate committee as such so it is found that there is no election law violation.		

File Number	Date Filed	Date Closed
2005 - 273 -	10/13/2005	12/21/2005
Complainant		
Daniel P. Pullium		
Complainant's Town		
Torrington		
Respondents		
Elinor Matczak and Donna Marie Battistoni		
Disposition		
Agreements Containing Henceforth Orders and Reprimands		
Headnotes		
Commission adopted two agreements containing henceforth orders and reprimands at its meeting on December 21, 2005. Respondent Matczak reprimanded for making a contribution in excess of the permissible limit set forth in CGS 9-333m(a). Respondent Battistoni reprimanded for receiving and depositing a contribution in excess of the permissible limit set forth in CGS 9-333m and 9-333x(10).		

File Number	Date Filed	Date Closed
2005 - 274 -	10/17/2005	12/21/2005
Complainant		
Daniel M. McCabe, Chairman Stamford Republican Town Committee		
Complainant's Town		
Stamford		
Respondents		
Eileen M. Heaphy		
Disposition		
Agreement Containing Consent Order: Henceforth order		
Headnotes		
<p>Agreement Containing Consent Order adopted by Commission at its meeting on December 21, 2005. Respondent Eileen M. Heaphy was a candidate for Stamford Board of Representatives for the 8th District. Campaign materials produced by her on her home computer did not contain required "Paid for by" language or name of her candidate committee and its treasurer in violation of CGS 9-333w(a). It was concluded that Respondent violated 9-333w(a) with respect to her first 200 handouts and subsequent distributions were made in accordance with the statutorily required attribution. Ordered to henceforth comply with 9-333w(a).</p>		

File Number	Date Filed	Date Closed
2005 - 275 -	10/14/2005	11/16/2005
Complainant		
Michael Downes		
Complainant's Town		
North Branford		
Respondents		
Disposition		
Findings and Conclusions; Dismissed		
Headnotes		
<p>Findings and Conclusions adopted by the Commission at its meeting on 11/16/05. The investigation found that an advertisement that was submitted for publication contained an attribution that satisfied the requirements CGS 9-333w and that its omission in the publication itself was a publisher's error. The matter was dismissed.</p>		

File Number	Date Filed	Date Closed
2005 - 276 -	10/17/2005	12/21/2005
Complainant		
Susan Kniep		
Complainant's Town		
East Hartford		
Respondents		
Patricia L. Byrnes, Treasurer, Melody for Mayor		
Disposition		
Agreement Containing Consent Order To Pay a \$200 Civil Penalty and Henceforth Order		
Headnotes		
<p>Agreement Containing Consent Order adopted by the Commission at its meeting on December 21, 2005. Respondent is campaign treasurer for Melody for Mayor candidate committee. It was found that she dilligently maintained as an internal campaign record a card index system for recording the principal occupation and name of employer for contributors, she failed to disclose on reports filed with East Hartford Town Clerk the principal occupations and names for eighty three contributors who contributed in excess of \$100. This failure violated the disclosure requirements of CGS 9-333j(c)(1)(G). Respondent's failure to disclose was due to lack of oversight and not a willful violation. Henceforth order and civil penalty of \$200 paid.</p>		

File Number	Date Filed	Date Closed
2005 - 277 -	10/18/2005	2/14/2006
Complainant		
Vincent Lorusso		
Complainant's Town		
Waterbury		
Respondents		
1) Mary Jane Bernabe, Treasurer for Bollard-Carpinella 2005 Slate Committee. 2)Carpin Manufacturing,		
Disposition		
Two Consent Agreements and Orders; Bernabe:\$100 civil penalty and henceforth order; Carpin Mfg: \$200 Civil penalty and henceforth order		
Headnotes		
<p>Commission adopted at its meeting held on February 8, 2006 two Consent Agreements and Orders. Respondent Mary Jane Bernabe to pay a civil penalty of \$100 and henceforth comply with CGS 9-333w. Carpin Manufacturing, Inc. ordered to pay civil penalty of \$200 and henceforth comply with CGS 9-333o. Complaints alleged violation of CGS 9-333o (prohibition of business contributions) and 9-333w, because the solicitation to a home fundraiser did not have an attribution of who paid for it, and the solicitation was on Carpin Manufacturing stationary.</p>		

File Number	Date Filed	Date Closed
2005 - 278 -	10/18/2005	2/14/2006
Complainant		
James R. Smith and Dr. Ted Martland		
Complainant's Town		
Middlebury		
Respondents		
Disposition		
Consent Agreements and Orders		
Headnotes		
See 2005-277		

File Number	Date Filed	Date Closed
2005 - 280 -	10/21/2005	4/19/2006
Complainant		
Robert J. Koskelowski		
Complainant's Town		
Seymour		
Respondents		
Paul Roy		
Disposition		
Agreement Containing Consent Order; Henceforth order		
Headnotes		
Agreement Containing Consent Order adopted by the Commission at its meeting on April 19, 2006 Henceforth order with Paul Roy for violation of 9-333w by distribution of postcards without an attribution. SEEC also sent letter to treasurer of Jeanne Loda for First Selectman, advising that fundraising for a Democratic slate was not properly structured consistent with CGS 9-333f(b) and 9-333r. Closed		

File Number	Date Filed	Date Closed
2005 - 281 -	10/21/2005	2/8/2006
Complainant		
Judy W. Alberts, Town Clerk		
Complainant's Town		
Woodstock		
Respondents		
Phyllis Freeman, Treasurer of Woodstock 2005		
Disposition		
Agreement Containing Henceforth Order and Payment of a Civil Penalty, \$150		
Headnotes		
<p>Agreement adopted by the Commission at its meeting held on February 8, 2006. Respondent failed to report financial activity that occurred during the October 11, 2005 campaign finance disclosure statement reporting period. Respondent paid civil penalty of \$150 and shall henceforth comply. Respondent shall amend the campaign finance disclosure statements to reflect the correct information.</p>		

File Number	Date Filed	Date Closed
2005 - 282 -	10/26/2005	1/11/2006
Complainant		
Katherine G. Grace		
Complainant's Town		
Beacon Falls		
Respondents		
Marc Bronn		
Disposition		
Agreement Containing Consent Order and Payment of Civil Penalty, \$100 for Violation of CGS 9-333h(d)		
Headnotes		
<p>Consent Agreement adopted at the Commission meeting on 1/11/06. Respondent shall henceforth strictly comply and shall pay a civil penalty of \$100. Respondent's designation that the Beacon Falls Republican Town Committee would be his sole campaign funding vehicle, at the same time he was serving as treasurer resulted in the violation of CGS 9-333h(d)</p>		

File Number	Date Filed	Date Closed
2005 - 283 -	10/28/2005	12/21/2005
Complainant		
Dana P.R. Schultze		
Complainant's Town		
Prospect		
Respondents		
Disposition		
Findings and Conclusions: Dismissed		
Headnotes		
Findings and Conclusions adopted by the Commission at its meeting held on December 21, 2005. No violation of campaign finance statutes. Dismissed.		

File Number	Date Filed	Date Closed
2005 - 284 -	10/28/2005	12/21/2005
Complainant		
John P. Mooney		
Complainant's Town		
West Haven		
Respondents		
Disposition		
Findings and Conclusions; Dismissed		
Headnotes		
Findings and Conclusions dismissing the matter adopted by the Commission at its meeting of December 21, 2005. Allegation the city clerk failed to comply with CGS 9-333y in connection with a campaign finance disclosure statement that was not filed in a timely manner by a leadership committee. It was found that city clerk complied with CGS 9-333y(b)(3) in a timely manner and that there was no election law violation.		

File Number	Date Filed	Date Closed
2005 - 285 -	10/28/2005	2/8/2006
Complainant		
Michael P. Kot		
Complainant's Town		
Easton		
Respondents		
Marvin B. Gelfand, Treasurer of Easton Democratic Town Committee		
Disposition		
Agreement Containing Consent Order and Payment of a Civil Penalty, \$2000		
Headnotes		
Agreement adopted by the Commission at its meeting held on February 8, 2006. Respondent committed numerous violations of 9-333j(c) by failing to itemize and disclose each contribution for 2 1/2 years, including the full name and complete address of the contributor and amount of the contribution. Paid civil penalty of \$2000.		

File Number	Date Filed	Date Closed
2005 - 286 -	10/31/2005	4/19/2006
Complainant		
Toni Montano, Deputy Registrar of Voters		
Complainant's Town		
Newington		
Respondents		
Disposition		
Closed, No further action		
Headnotes		
Complainant alleged that eight individuals registered to vote were not U.S. citizens. Commission investigation with the assistance of Federal authorities confirmed that all eight individual were U.S. citizens. Investigation also confirmed that one individual is deceased and should be removed from voter list.		

File Number	Date Filed	Date Closed
2005 - 287 - CNF	10/31/2005	12/1/2005
Complainant		
Referral by the New Canaan Town Clerk, Claudia Weber		
Complainant's Town		
New Canaan		
Respondents		
Judy A. Neville, Candidate for First Selectman		
Disposition		
Compliance, \$200 civil penalty		
Headnotes		
<p>Referred by Town Clerk in accordance with CGS 9-333y for failure to register a candidate committee or file an Exemption Form in violation of CGS 9-333f(b). Commission found reason to believe at its meeting on November 16 and designated a hearing officer. Before the hearing date set and hearing notice mailed, Respondent filed the Certification of Exemption from Forming a Candidate Committee (B-4) along with the civil penalty of \$200 for compliance.</p>		

File Number	Date Filed	Date Closed
2005 - 288 - CNF	10/31/2005	11/28/2005
Complainant		
Referral by the New Canaan Town Clerk, Claudia Weber		
Complainant's Town		
New Canaan		
Respondents		
Frank J. Manzella, Candidate for the Board of Education		
Disposition		
Compliance, \$200 civil penalty		
Headnotes		
<p>Referred by Town Clerk in accordance with CGS 9-333y for failure to file a Registration of Candidate Committee or a Certification of Exemption from Forming a Candidate Committee (B-4) in violation of CGS 9-333f(b). Mr. Manzella filed a (B-4) form and paid the \$200 civil penalty for compliance.</p>		

File Number	Date Filed	Date Closed
2005 - 289 -	10/31/2005	1/11/2006
Complainant		
Kenneth S. Bickford		
Complainant's Town		
Stonington		
Respondents		
Don Maranell		
Disposition		
Agreement Containing Consent Order and Forfeiture of \$141 for a Violation of Section 9-333r, CGS; Henceforth order		
Headnotes		
<p>Agreement Containing Consent Order adopted by the Commission at its meeting on 1/11/06. Respondent was the Dem. Candidate for First Selectman and Peter Balestracci was Dem. Candidate for Board of Selectman. Respondent prepared campaign literature that advocated his election and that of Mr. Balestracci. The piece promoted each candidate equally. Respondent Maranell took sole responsibility for the preparation of the materials. Neither Mr. Balestracci nor his treasurer participated in the preparation or purchase of the materials and were unaware of them prior to their distribution. The expenditure was considered a contribution in violation of CGS 9-333r. Respondent shall henceforth comply and shall forfeit to the SEEC the amount of \$141.</p>		

File Number	Date Filed	Date Closed
2005 - 290 -	10/31/2005	3/8/2006
Complainant		
Troy Foreman		
Complainant's Town		
Westbrook		
Respondents		
Disposition		
Findings and Conclusions; Dismissed		
Headnotes		
<p>Commission adopted Findings and Conclusions at its meeting held on March 8, 2005 dismissing the complaint. No instances were found in which any applicant was denied voter registration against the applicant's will on the basis of erroneous application of a 6 month and a day residence requirement in instances where an applicant for voter registration had multiple residences.</p>		

File Number	Date Filed	Date Closed
2005 - 292 - A	11/7/2005	2/8/2006
Complainant		
Karen Mulcahy		
Complainant's Town		
Waterbury		
Respondents		
Disposition		
Findings and Conclusions:Dismissed		
Headnotes		
<p>Findings and Conclusions dismissing the complaint adopted by the Commission at its meeting held on 2/8/06. File Nos. 2005 292 A and B are dismissed. Each complaint inferred that there was a violation of CGS 9-333(d) which prohibits the use of public funds by an incumbent to bring about their election or reelection. It was found that public funds were used to produce documents in reply to a FOI request and were not used to mail or print flyers or other promotional materials intended to bring about a reelection. It was concluded that the release of documents based on FOI request did not violate CGS 9-333(d). In addition, a press release subject to complaint No 2005-292B fails to meet the Commission's two pronged test for determining advocacy in that it neither expressly advocated the Mayor's reelection, nor is it so laudatory of his record as incumbent to implicitly</p>		

File Number	Date Filed	Date Closed
2005 - 292 - B	11/7/2005	2/14/2006
Complainant		
Karen Mulcahy		
Complainant's Town		
Waterbury		
Respondents		
Disposition		
Findings and Conclusions:Dismissed		
Headnotes		
Dismissed See 2005-292 A		

File Number	Date Filed	Date Closed
2005 - 292 - C	11/7/2005	3/8/2006
Complainant		
Karen Mulcahy		
Complainant's Town		
Waterbury		
Respondents		
Tamara Zappone, Treasurer for Committee to Re-elect Michael J. Jarjura for Mayor		
Disposition		
Agreement Containing Consent Order to Pay \$400 Civil Penalty and henceforth order		
Headnotes		
<p>It was found that the Respondent failed to disclose the principal occupation and employer of multiple contributors in excess of \$100 in the aggregate where such information was available to the committee. Consent Agreement adopted by the Commission at its meeting held on March 8, 2005. Respondent paid a civil penalty of \$400. Respondent shall henceforth comply with the requirements of CGS 9-333j and amend the file with the Waterbury Town Clerk's Office for the 7/7/05 and 9/6/05 financial statements by providing the occupation and employer for those contributors who contributed \$100 or more in the aggregate consistent with the requirements of 9-333j.</p>		

File Number	Date Filed	Date Closed
2005 - 293 -	11/2/2005	1/11/2006
Complainant		
Bernard Gallo, Chairman, Danbury Democratic Town Committee		
Complainant's Town		
Danbury		
Respondents		
Wayne Baker		
Disposition		
Agreement Containing Consent Order; Henceforth Order		
Headnotes		
<p>Commission adopted the Consent Agreement and Order at its meeting held on 1/11/06. It was concluded that a letter disseminated by Respondent did not contain a written warning that voting or attempting to vote by Absentee ballot without meeting one or more statutory eligibility requirements subjects the elector or applicant to potential civil and criminal penalties. The failure to include a written warning constitutes a violation of CGS 9-140(1). Respondent signed Consent Agreement with henceforth order in connection with disseminating unsolicited absentee ballot applications.</p>		

File Number	Date Filed	Date Closed
2005 - 294 -	11/3/2005	6/14/2006
Complainant		
Gerald Zordan		
Complainant's Town		
Torrington		
Respondents		
Disposition		
Findings and Conclusions; Dismissed		
Headnotes		
<p>Mailer for incumbent Mayoral candidate was issued without attribution as required by 9-333w; however, investigation revealed that campaign had sent mailer to printer with appropriate attribution. Printer acknowledged that the attribution was unintentionally removed when adding union bug and accepted responsibility. No further action will be taken. Commission adopted Findings and Conclusions at its meeting held on 6/14/06. The matter is dismissed.</p>		

File Number	Date Filed	Date Closed
2005 - 295 -	11/4/2005	1/11/2006
Complainant		
Margaret Kent Feczko		
Complainant's Town		
Westport		
Respondents		
Rita Lener, Treasurer for the Izzo/Lasprogato 2005 Slate committee.		
Disposition		
Agreement Containing Henceforth Order		
Headnotes		
<p>Agreement Containing Henceforth Order adopted by the Commission at its meeting on 1/11/06. A postcard was disseminated that failed to include an attribution indicating who paid for the postcard in violation of CGS 9-333w. However it was clear that the postcard was sponsored by Izzo/Lasprogato 2005 and the ordinary reader would have concluded such. It was concluded that the Respondent unintentionally violated CGS 9-333w by her omission.</p>		

File Number	Date Filed	Date Closed
2005 - 296 -	11/4/2005	3/8/2006
Complainant		
Madeline Schmitt		
Complainant's Town		
Shelton		
Respondents		
Irving Steiner, Member and Leader of "We-RI"		
Disposition		
Agreement Containing Consent Order to Pay \$100 Civil Penalty and henceforth order		
Headnotes		
<p>It was found that Respondent did not file a Form ED-47 Political Committee Statement of Organization pursuant to CGS 9-333d, prior to disseminating literature in opposition to incumbent candidates on behalf of "WE-RI". It was concluded that Respondent unintentionally failed to comply with 9-333d. It was also concluded that Respondent unintentionally failed to comply with CGS 9-333w by failing to have the proper attribution on fliers that he caused to be disseminated. Commission at its meeting held on March 8, 2006, adopted the Consent agreement and order. Henceforth order and civil penalty of \$100 paid.</p>		

File Number	Date Filed	Date Closed
2005 - 297 -	11/4/2005	11/23/2005
Complainant		
William A. Marchand		
Complainant's Town		
New Hartford		
Respondents		
Disposition		
Closed		
Headnotes		
No jurisdiction, not within CGS 9-333(d)- the use of public vehicle for campaign purposes. The matter is closed.		

File Number	Date Filed	Date Closed
2005 - 298 -	11/14/2005	6/14/2006
Complainant		
Patrick B. O'Sullivan, Town Clerk		
Complainant's Town		
Orange		
Respondents		
William Cooper, Treas., Orange Tax Payers; George Finley, Save Our Neighborhood; Roy Smith; Duane Hipp		
Disposition		
Consent Agreements and Orders to Pay Civil Penalties; Smith, Cooper \$650 each; Hipp, Finley \$250 each		
Headnotes		
<p>Commission adopted Consent Agreements at meetings held on April 19 and June 14, 2006. Respondents Smith & Cooper paid civil penalty of \$650 each for violations of CGS 9-333d, 9-333g & 9-333w. Respondents Hipp and Finley paid civil penalty of \$350 each for violations of 9-333d, 9-333g and 9-333w. Expenditures were made in connection with municipal election without filing campaign finance disclosure information.</p>		

File Number	Date Filed	Date Closed
2005 - 299 -	11/14/2005	3/8/2006
Complainant		
Clementina L. Gardner and Margaret A. Reeves, Registrars of Voters		
Complainant's Town		
Wilton		
Respondents		
Michael Gould		
Disposition		
Agreement Containing Consent Order		
Headnotes		
<p>Respondent received an absentee ballot and executed and returned that ballot to the town Clerk's office. Respondent was checked off the official registry as having voted absentee ballot on 11/8/05. It is concluded that he was able to appear at his polling place and so was required to withdraw his absentee ballot pursuant to CGS 9-159o prior to 10: 00 a.m. on 11-8-05. In addition, Respondent entered the polling place on two occasions. Electioneering and loitering at a polling place is a violation of CGS 9-236. There was insufficient evidence to support that allegation. Henceforth Order. Commission adopted the Consent Agreement at its meeting held on March 8, 2005.</p>		

File Number	Date Filed	Date Closed
2005 - 302 -	11/18/2005	12/21/2005
Complainant		
Referral by Mary Lou Payette, Town Clerk		
Complainant's Town		
North Haven		
Respondents		
Disposition		
Findings and Conclusions:Dismissed		
Headnotes		
<p>Findings and Conclusions adopted by the Commission at its meeting held on December 21, 2005. The matter is dismissed. The complaint alleged that an individual requested in person and received more than five absentee ballot applications from the Town Clerk and did not return a list of individuals to whom he distributed the absentee ballot applications. He denies that he distributed any absentee ballot applications and claimed to have discarded the applications. No evidence has been established to refute these assertions. Subsection (k) of CSG 9-140 as amended by PA 2005-235, does not require a registered distributor to submit a blank list if such distributor did not actually distribute any of the applications.</p>		

File Number	Date Filed	Date Closed
2005 - 303 -	11/21/2005	3/8/2006
Complainant		
Daniel A. Craig		
Complainant's Town		
Deep River		
Respondents		
Disposition		
Findings and Conclusions; Dismissed		
Headnotes		
<p>Findings and Conclusions dismissing the matter adopted by the Commission at its meeting held on March 8, 2006. It was found that public funds were not used to mail or print flyers or other promotional materials intended to bring about the reelection of the First Selectman and Selectman: no violation of 9-333l(d).</p>		

File Number	Date Filed	Date Closed
2005 - 305 -	11/23/2005	4/19/2006
Complainant		
August A. Palmer, III		
Complainant's Town		
Oxford		
Respondents		
Ralph McKinney, Treasurer for OxFord Democrats 2005; Thomas W. Hickey, Treasurer for Dumphthelias PAC		
Disposition		
Consent Agreements and Orders; Henceforth		
Headnotes		
<p>Commissioner adopted consent agreements and Henceforth orders at its meeting held on April 19, 2006. With respect to Ralph McKinney, Henceforth order for violation of CGS 9-333w by failing to ensure that an attribution was included on a two-sided mailer. Thomas W. Hickey violated 9-333d when he failed to file a Form ED-47 Political Committee Statement of Organization with the Oxford Town Clerk after making PAC related expenditures in opposition to a candidate (purchase and dissemination of bumper stickers in opposition to the re-election of First Selectman August Palmer at the November 8, 2005 Oxford municipal election.)</p>		

File Number	Date Filed	Date Closed
2005 - 306 -	11/29/2005	2/8/2006
Complainant		
Nathan Karnes		
Complainant's Town		
New Haven		
Respondents		
Disposition		
Findings and Conclusions; Dismissed		
Headnotes		
<p>Findings and Conclusions dismissing the complaint adopted by the Commission at its special meeting on 2-8-06. Complainant alleged that write-in candidate's name appeared on the ballot. It was found that the voting machine did not contain the name of any write-in candidate on the ballots and that the machines were properly set-up and functioning. It was concluded that no violation of CGS 9-247 was committed. Dismissed</p>		

File Number	Date Filed	Date Closed
2005 - 307 -	11/30/2005	4/19/2006
Complainant		
Troy Foreman		
Complainant's Town		
Westbrook		
Respondents		
Disposition		
Findings and Conclusions; Dismissed		
Headnotes		
<p>Commission adopted Findings and Conclusions at its meeting held on April 19, 2006. After a review of the records of group formed to protect Westbrook, it is found that none of the expenditures for signs or flyers for the July 2004 referendum were made by the group. There is insufficient evidence to prove that any of the individuals alleged by the complainant were responsible for expenditures for signs or flyers advocating passage of the referendum. The matter is dismissed.</p>		

File Number	Date Filed	Date Closed
2005 - 309 -	11/30/2005	6/14/2006
Complainant		
Maria Lisa Gagliardi		
Complainant's Town		
Northford		
Respondents		
Ed Skerritt, Certified Machine Mechanic		
Disposition		
Consent Agreement and Order; Henceforth order		
Headnotes		
<p>Henceforth Order for violations of CGS 9-246(b) adopted by the Commission at its meeting held on 6/14/06. Respondent, after making two repairs to voting machines in North Branford's Voting District 2, failed to file a report as required by CGS 9-246(b). Respondent shall henceforth fully comply with the reporting requirements of CGS 9-246(b).</p>		

File Number	Date Filed	Date Closed
2005 - 310 -	12/2/2005	3/8/2006
Complainant		
Karen W. Gaudreau, Town Clerk		
Complainant's Town		
East Windsor		
Respondents		
Marilyn S. Rajala, East Windsor Democratic Registrar of Voters		
Disposition		
Agreement Containing Consent Order and Henceforth order for Violations of CGS 9-140		
Headnotes		
It was concluded the Respondent violated CGS 9-140 by completing the name, address, and date of election information on both applications for absentee ballots without signing such applications as an assister. Agreement adopted by Commission at its meeting held on March 8, 2006.		

File Number	Date Filed	Date Closed
2005 - 311 -	11/13/2005	3/8/2006
Complainant		
In The Matter of Invitations to a Fundraising event for the Governor's Campaign		
Complainant's Town		
Hartford		
Respondents		
James Abromaitis, Leonard Boyle, Ralph Carpenter, Susan Cogswell, Robert Galvin, Thomas Kirk, Stephen Korta, Pamela Law, Regina McCarthy, Peter O'Meara, Linda Schwartz, James Thomas, Linda Yelmini, Anne Greene, James Farrell, Michael Stanowski		
Disposition		
16 Consent Agreements; Each respondent paid civil penalty of \$500		
Headnotes		
16 Consent Agreements adopted by the Commission at its meeting of March 8, 2006. 16 Commissioners, Deputy Commissioners and department heads violated CGS 9-333x(11) by soliciting contributions to the Governor's campaign by distributing invitations to a fund raising event. Each was fined \$500. This was a first time consideration of the solicitation ban in 9-333x(11).		

File Number	Date Filed	Date Closed
2005 - 313 - NF	12/19/2005	2/15/2006
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Working Families Campaign Committee, Dennis O'Neil, Treasurer		
Disposition		
Compliance, \$475 civil penalty		
Headnotes		
Referred by the Office of the Secretary of the State for in accordance with CGS 9-333y for failure to file a Treasurer's Statement of Receipts and Expenditures which was due on 10-27-05, in violation of CGS 9-333j. Deputy Treasurer filed the ED-45 along with \$475 money order for compliance.		

File Number	Date Filed	Date Closed
2005 - 314 - NF	12/15/2005	12/21/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Borges for State Senator 2005, Theresa Pavia, Treasurer		
Disposition		
Compliance with Secretary of the State prior to SEEC action		
Headnotes		
Notice received from Secretary of the State's Office that the treasurer for the candidate committee filed the missing disclosure report which was due on 11/7/05 along with the late filing fee of \$100 before the SEEC demand letter sent. No further action will be taken.		

File Number	Date Filed	Date Closed
2005 - 315 - NF	12/19/2005	2/1/2006
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Committee to Elect Clemons State Senator, Gwendolyn Brantley, Treasurer		
Disposition		
Compliance, \$200 civil penalty		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file Treasurer's Statement of Receipts and Expenditures (ED-45) which was due on 11/7/06 in violation of CGS 9-333j. Gwendolyn Brantley, Treasurer, filed the ED-45 form along with the civil penalty of \$200 for compliance</p>		

File Number	Date Filed	Date Closed
2005 - 316 - NFA	12/19/2005	2/3/2006
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Litchfield Democratic Town Committee		
Disposition		
Compliance, \$300 civil penalty for 2005-316 A & B		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file Campaign Finance disclosure statements which were due on October 11, 2005 and November 1, 2005, in violation of CGS 9-333j. This matter was combined with File No. 2005-316NF-B. Chairman Kevin Creed on behalf of Treasurer Winifred Harrison, filed the October 10 and November 1, 2005 reports along with the January 10, 2006 report. The civil penalty of \$300 was paid by Mr. Creed for compliance regarding both files 2005-316 A and B.</p>		

File Number	Date Filed	Date Closed
2005 - 316 - NFB	12/19/2005	2/3/2006
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Litchfield Democratic Town Committee		
Disposition		
Compliance		
Headnotes		
See 2005-316A		

File Number	Date Filed	Date Closed
2005 - 317 - NFA	12/19/2005	1/24/2006
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
New Haven Republican Town Committee, Joseph Volano, Treasurer		
Disposition		
Compliance, \$300 civil penalty		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file treasurer's campaign finance disclosure statements which were due on October 11, 2005 and November 1, 2005 as required by by CGS 9-333j. The October and November 2005 campaign finance disclosure statements for File Nos. 2005-317NF A and B were filed by Richter Elser, Chairman, on January 24, 2006 along with a civil penalty of \$300 for compliance. Also filed was the Form ED-48 designating Nancy V. Ahern as treasurer of the New Haven Republican Town Committee.</p>		

File Number	Date Filed	Date Closed
2005 - 317 - NFB	12/19/2005	1/24/2006
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
New Haven Republican Town Committee		
Disposition		
Compliance		
Headnotes		
Compliance/see 2005-317NF A		

File Number	Date Filed	Date Closed
2005 - 318 - NF	12/19/2005	3/6/2006
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
20th District Council, Edward Jones, Treasurer		
Disposition		
Compliance; \$300 Civil Penalty		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file a Treasurer's Statement of Receipts and Expenditures which was due on 10-27-05, in violation of CGS 9-333j. Respondent filed the Statement of Receipts and Expenditures along with the civil penalty of \$300 for compliance.		

File Number	Date Filed	Date Closed
2005 - 319 - NF	2/26/2006	4/12/2006
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Alliance of Greater Hartford African Americans PAC, Steven Harris, Treasurer		
Disposition		
Compliance; \$300 civil penalty		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file the campaign finance disclosure statement which was due on October 11, 2005 in violation of CGS 9-333j. Respondent further failed to respond to prior notices issued by the Secretary of the State concerning the delinquency. Civil penalty of \$300 paid on 3/1/06. Delinquent October and January reports filed for compliance.</p>		

File Number	Date Filed	Date Closed
2005 - 320 - NF	12/19/2005	1/23/2006
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Citizens for a Better Orange, Kevin Houlihan, Treasurer		
Disposition		
Compliance, \$200 civil penalty		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file the campaign finance disclosure statement which was due on October 11, 2005 in violation of CGS 9-333j. Campaign finance Statement ED-45 filed on January 23, 2006 along with the civil penalty of \$200 for compliance</p>		

File Number	Date Filed	Date Closed
2005 - 321 - NF	12/19/2005	1/10/2006
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Coalition for Political Action, Robert Sandella, Treasurer		
Disposition		
Compliance, \$200 civil penalty		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file the campaign finance disclosure statement which was due on October 11, 2005 in violation of CGS 9-333j. On Jan. 10, 2006 the campaign finance statement was filed along with \$200 civil penalty for compliance and additional campaign finance disclosure statement and late fee was forwarded to SOTS.</p>		

File Number	Date Filed	Date Closed
2005 - 322 - NF	12/19/2005	2/28/2006
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
The Freedom PAC, James Conroy, Treasurer		
Disposition		
Compliance; \$200 Civil penalty		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure statement which was due on October 11, 2005 as required by CGS 9-333j and further failed to respond to prior notice of the delinquency. ED-45 Statement of Receipts and Expenditures and payment of \$200 civil penalty received on 2/28/06 for compliance.</p>		

File Number	Date Filed	Date Closed
2005 - 323 - NF	12/19/2005	12/20/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Greater New Haven Central Labor Council, Bill Carey, Treasurer		
Disposition		
Referred in error. Compliance with Secretary of the State. Closed.		
Headnotes		
Received notice from the Nancy Staniewicz, election officer of the Secretary of the State, that Greater New Haven Central Labor Council PAC filed their 10/1/05 report on 11/29/05. This was referred in error and is closed before SEEC's demand letter mailed.		

File Number	Date Filed	Date Closed
2005 - 324 - NF	12/19/2005	2/17/2006
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Kennedy-Harris PAC, Steven Harris, Treasurer		
Disposition		
Compliance, \$300 civil penalty, termination statement		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file Treasurer's campaign finance disclosure statement which was due on October 11, 2005 in violation of CGS 9-333j. Delinquent statement was filed along with a civil penalty of \$300. The committee also filed their January 10, 2006 campaign finance disclosure termination statement at the same time. Compliance.		

File Number	Date Filed	Date Closed
2005 - 325 - NF	12/19/2005	2/7/2006
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Police Interest Group, Christopher Mefferd, Treasurer		
Disposition		
Compliance, \$400 civil penalty paid		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file a campaign finance disclosure statement which was due on October 11, 2005, in violation of CGS 9-333j. On February 7, 2006, Respondent filed an Exemption from Itemized Reporting Form (ED-46) which was due on 10/11/05 and a Statement of Receipts and Expenditures (Form ED-45 that was due on 1/10/06 for compliance. Mr. Mefferd also paid the late filing fee of \$400 for compliance.</p>		

File Number	Date Filed	Date Closed
2005 - 326 - NF	12/19/2005	1/11/2006
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Rita K. Hogan, Treasurer, for Southeastern CT Democrats		
Disposition		
Compliance, \$200 civil penalty		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for violation of 9-333j-- failure to file the campaign finance disclosure statement which was due on October 11, 2005. Treasurer filed Exemption from Itemized Reporting Form ED-46 on 1/11/06 and paid the civil penalty of \$200 for compliance..</p>		

File Number	Date Filed	Date Closed
2005 - 327 - NF	12/19/2005	12/20/2005
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Western Connecticut Democrats, Maureen Meany, Treasurer		
Disposition		
Committee terminated. No further action.		
Headnotes		
Received notification from Nancy Staniewicz election officer of the Secretary of the State that Western CT Democrats filed their termination report on 12/14/05. This matter is closed before SEEC mailed demand letter.		

File Number	Date Filed	Date Closed
2005 - 328 - NF	12/19/2005	1/20/2006
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Hebron Democratic Town Committee, Carol Still, Treasurer		
Disposition		
Compliance with Secretary of the State prior to SEEC action		
Headnotes		
Compliance with Secretary of the State's directive prior to commencement of SEEC enforcement action. Campaign finance disclosure statement filed and \$100 civil penalty paid to Secretary of the State. SEEC will take nor further action.		

File Number	Date Filed	Date Closed
2005 - 329 -	12/23/2005	3/8/2006
Complainant		
Ron Haskins		
Complainant's Town		
North Branford		
Respondents		
Mukund Nori, Treasurer, Friends of Ashley Clow Joiner 'O4 and William Bloss, Treasurer, Ed Meyer for State Senate		
Disposition		
Two Consent Agreements and Orders; Bloss forfeited \$500 and Nori paid \$250 Civil penalty and henceforth order		
Headnotes		
Commission adopted two consent agreements at its meeting held on March 8, 2006. Respondent William Bloss received a prohibited contribution from the Friends of Ashley Clow Joiner '04 candidate committee, in violation of 9-333r. Respondent forfeited \$500 from the Ed Meyer for State Senate Committee. Respondent Mukund Nori violated 9-333r by making a contribution to the Ed Meyer for State Senate candidate committee. He paid a civil penalty of \$250 and shall henceforth comply.		

File Number	Date Filed	Date Closed
2005 - 330 -	12/19/2005	3/14/2006
Complainant		
Leslie Wertam, Town Clerk		
Complainant's Town		
Hampton		
Respondents		
Marti Squires		
Disposition		
Closed; No further action		
Headnotes		
Closed with no further action and a letter of instruction issued by Commission to Ms. Squires pertaining to CGS 9-333w. The Commission determined that a campaign flyer failed to contain complete attribution as required by Chapter 150, CGS 9-333w.		

File Number	Date Filed	Date Closed
2006 - 102 - NF	1/18/2006	3/27/2006
Complainant		
Referral by Sally Brown, New Haven City Clerk		
Complainant's Town		
New Haven		
Respondents		
Committee to Elect Clarissa Brown, Johnmark Brown, Treasurer		
Disposition		
Compliance; Civil penalty \$200		
Headnotes		
<p>Referred by the New Haven City Clerk for failure to file the campaign finance disclosure statement which was due on November 1, 2005 and further failed to respond to prior notices issued by the New Haven Town Clerk in violation of CGS 9-333j and 9-333y. The Commission found reason to believe at the meeting of March 8, that Respondent violated CGS 9-333j and 9-333y. Hearing Officer was designated. Before the notice was sent, the delinquent report was filed with the Town Clerk and the civil penalty of \$200 was received for compliance.</p>		

File Number	Date Filed	Date Closed
2006 - 103 - NF	1/18/2006	5/4/2006
Complainant		
Referral by Sally Brown, New Haven City Clerk		
Complainant's Town		
New Haven		
Respondents		
Committee to Elect Shirley Ellis-West, Beverly Richardson, Treasurer		
Disposition		
Compliance/ Civil Penalty \$200		
Headnotes		
<p>Referred by the New Haven Town Clerk pursuant to CGS 9-333y for failure to file campaign finance disclosure statement which was due on October 13, 2005 as required by CGS 9-333j and further failed to respond to prior notices issued by the Town Clerk. Respondent filed an ED-46, Exemption from Itemized Reporting, along with civil penalty of \$200 for compliance.</p>		

File Number	Date Filed	Date Closed
2006 - 104 - NF	1/18/2006	1/30/2006
Complainant		
Referral by Sally Brown, New Haven City Clerk		
Complainant's Town		
New Haven		
Respondents		
Re-Elect Robert Lee, Linda Lee, Treasurer		
Disposition		
Compliance with New Haven City Clerk. No further action will be taken by SEEC		
Headnotes		
Referred by the New Haven City Clerk in accordance with CGS 9-333y for failure to file a Statement of Receipts and Expenditures (ED-45) or Exemption from Itemized Reporting (ED-46) as required by CGS 9-333j. Notified by New Haven City Clerk that Respondent filled delinquent report with City Clerk and no further action by SEEC will be taken.		

File Number	Date Filed	Date Closed
2006 - 106 - NF	1/18/2006	4/17/2006
Complainant		
Referral by Sally Brown, New Haven City Clerk		
Complainant's Town		
New Haven		
Respondents		
Friends To Elect Al Ohmen, Mary F. Desmond, Treasurer		
Disposition		
Compliance/ Civil Penalty \$400		
Headnotes		
Referred by the New Haven City Clerk in accordance with CGS 9-333y for failure to file a Statement of Receipts and Expenditures (ED-45) or Exemption from Itemized Reporting (ED-46) as required by CGS 9-333j. Notice of Hearing sent to Respondent, hearing scheduled for April 10, 2006. Hearing cancelled when Respondent filed the delinquent ED-46 and paid \$400 civil penalty for compliance.		

File Number	Date Filed	Date Closed
2006 - 107 - NF	1/18/2006	1/26/2006
Complainant		
Referral by Sally Brown, New Haven City Clerk		
Complainant's Town		
New Haven		
Respondents		
Friends of Babz Rawls Ivy, Johnathan Kupson, Treasurer		
Disposition		
Compliance; Notified by the City Clerk that Respondent complied, SEEC will take no further action		
Headnotes		
<p>Referred by the New Haven City Clerk in accordance with CGS 9-333y for failing to file the campaign finance disclosure statement which was due on November 1, 2005 in violation of CGS 9-333j and further failed to respond to prior notice issued by the Town Clerk concerning the delinquency. Notified by Town Clerk that the late report was filed on 1/9/06 with her office. SEEC will take no further action, this matter is closed.</p>		

File Number	Date Filed	Date Closed
2006 - 108 - NF	1/18/2006	1/26/2006
Complainant		
Referral by Sally Brown, New Haven City Clerk		
Complainant's Town		
New Haven		
Respondents		
Committee to Re-Elect Katrina D. Jones, Sibyl Brooks, Treasurer		
Disposition		
Compliance; Notified by the City Clerk that Respondent complied, SEEC will take no further action		
Headnotes		
<p>Referred by the New Haven City Clerk in accordance with CGS 9-333y for failure to file campaign finance disclosure statement which was due on November 1, 2005 in violation of CGS 9-333j and further failed to respond to prior notification by the City Clerk of the delinquency. City Clerk informed SEEC that Respondent filed the necessary forms and paid a late filing fee on December 29, 2005 for compliance. SEEC will take no further action.</p>		

File Number	Date Filed	Date Closed
2006 - 110 -	1/23/2006	3/8/2006
Complainant		
Nancy J. DiNardo, Chairwoman of the Democratic State Central Committee		
Complainant's Town		
Hartford		
Respondents		
Disposition		
Findings and Conclusions; Dismissed		
Headnotes		
Findings and Conclusions adopted by the Commission at its meeting on March 8, 2006. The matter was dismissed. Alleged that an expenditure was made prior to filing a campaign statement of organization. It is found that the Governor's campaign filed a campaign finance registration statement within the ten day grace period permitted by CGS 9-333f(a).		

File Number	Date Filed	Date Closed
2006 - 113 -	2/3/2006	3/2/2006
Complainant		
In Re: Absentee Ballot Application Distributors		
Complainant's Town		
Avon		
Respondents		
Linda Merlin		
Disposition		
Compliance; No Absentee ballot applications were distributed		
Headnotes		
Under CGS 9-140(K)(1) individuals may distribute five or more absentee ballot applications if they register with the town clerk. They are then required by CGS 9-140(K)(2) to maintain a list of the names and addresses of prospective absentee ballot applicants to whom they distributed the applications and to file the list with the town clerk prior to the date of the election. Respondent did not distribute any absentee ballot applications and so is in compliance.		

File Number	Date Filed	Date Closed
2006 - 114 -	2/3/2006	3/2/2006
Complainant		
In Re: Absentee Ballot Application Distributors		
Complainant's Town		
Colchester		
Respondents		
Nicholas Norton		
Disposition		
Compliance; Respondent returned absentee ballot application distribution list to SEEC and is in compliance.		
Headnotes		
Under CGS 9-140(k)(1), individuals may distribute five or more absentee ballot applications if they register with the town clerk. They are then required by CGS 9-140(k)(2) to maintain a list of the names and addresses of prospective absentee ballot applicants to whom they distributed the application and to file the list with the town clerk prior to the date of the election. Respondent returned absentee ballot application distribution list to SEEC and is in compliance.		

File Number	Date Filed	Date Closed
2006 - 115 -	2/3/2006	3/2/2006
Complainant		
Absentee Ballot Application Distributors		
Complainant's Town		
Colchester		
Respondents		
Jenny Contois		
Disposition		
Compliance; Respondent submitted absentee ballot application distribution list to SEEC for compliance.		
Headnotes		
Under CGS 9-140(k)(1), individuals may distribute five or more absentee ballot applications if they register with the town clerk. They are then required by CGS 9-140(k)(2) to maintain a list of the names and addresses of prospective absentee ballot applicants to whom they distributed the application and to file the list with the town clerk prior to the date of the election. Respondent returned absentee ballot application distribution list to SEEC and is in compliance.		

File Number	Date Filed	Date Closed
2006 - 116 -	2/3/2006	3/2/2006
Complainant		
Absentee Ballot Application Distributors		
Complainant's Town		
Ellington		
Respondents		
William Hartford		
Disposition		
Compliance; No absentee ballot applications were distributed		
Headnotes		
Under CGS 9-140(K)(1) individuals may distribute five or more absentee ballot applications if they register with the town clerk. They are then required by CGS 9-140(K)(2) to maintain a list of the names and addresses of prospective absentee ballot applicants to whom they distributed the applications and to file the list with the town clerk prior to the date of the election. Respondent did not distribute any absentee ballot applications, and so is in compliance.		

File Number	Date Filed	Date Closed
2006 - 117 -	2/3/2006	3/2/2006
Complainant		
In Re: Absentee Ballot Application Distributors		
Complainant's Town		
Greenwich		
Respondents		
Fred Camillo		
Disposition		
Compliance; Absentee ballots applications distributors list submitted to Town Clerk for compliance		
Headnotes		
Under CGS 9-140(k)(1), individuals may distribute five or more absentee ballot applications if they register with the town clerk. They are then required by CGS 9-140(k)(2) to maintain a list of names and addresses of prospective absentee ballot applicants to whom they distributed the application and to file the list with the town clerk prior to the date of the election. Respondent handed in distribution list January 2006 to town clerk. He is now in compliance.		

File Number	Date Filed	Date Closed
2006 - 118 -	2/3/2006	3/2/2006
Complainant		
Absentee Ballot Application Distributors		
Complainant's Town		
Greenwich		
Respondents		
Susan Beis Robbins		
Disposition		
Compliance; Absentee ballot applications distributor's list submitted to Town Clerk for compliance		
Headnotes		
Under CGS 9-140(k) individuals must register with the Town Clerk when they take more than 5 AB applications and must keep list of those persons to whom they distributed applications and file the list with the town clerk prior to the date of the election. Respondent handed in distribution list to Greenwich town clerk in January 2006 for compliance.		

File Number	Date Filed	Date Closed
2006 - 119 -	2/3/2006	4/6/2006
Complainant		
In Re: Absentee Ballot Application Distributors		
Complainant's Town		
Hampton		
Respondents		
Gordon Hansen		
Disposition		
Compliance; Absentee ballot application distributor complied after receiving notification from SEEC		
Headnotes		
Commission conducted audits and compliance reviews of the implementation of the new absentee ballot application procedures. Distributor failed to file a list of names and addresses of prospective absentee ballot applicants to whom he may have distributed applications for the November 2005 election, in accordance with 9-140(k)(2). Distributor complied after receiving notification by SEEC.		

File Number	Date Filed	Date Closed
2006 - 120 -	2/3/2006	3/2/2006
Complainant		
In Re: Absentee Ballot Application Distributors		
Complainant's Town		
North Haven		
Respondents		
Michael Mele		
Disposition		
Compliance		
Headnotes		
File Closed because Absentee Ballot applications at issue had already been accounted for in File No. 2005-302		

File Number	Date Filed	Date Closed
2006 - 121 -	2/3/2006	3/13/2006
Complainant		
In Re: Absentee Ballot Application Distributors		
Complainant's Town		
Stratford		
Respondents		
Jonathan Best		
Disposition		
Compliance; Absentee ballot application distributors list submitted		
Headnotes		
Under CGS 9-140(K)(1) individuals may distribute five or more absentee ballot applications if they register with the town clerk. They are then required by CGS 9-140(K)(2) to maintain a list of the names and addresses of prospective absentee ballot applicants to whom they distributed the applications and to file the list with the town clerk prior to the date of the election. Respondent submitted the distribution list on 3-9-06 and is now in compliance with PA 05-235.		

File Number	Date Filed	Date Closed
2006 - 122 -	2/3/2006	3/13/2006
Complainant		
In Re: Absentee Ballot Application Distributors		
Complainant's Town		
Stratford		
Respondents		
Michael Singh		
Disposition		
Compliance; No absentee ballots were distributed		
Headnotes		
Under CGS 9-140(K)(1) individuals may distribute five or more absentee ballot applications if they register with the town clerk. They are then required by CGS 9-140(K)(2) to maintain a list of the names and addresses of prospective absentee ballot applicants to whom they distributed the applications and to file the list with the town clerk prior to the date of the election. Respondent did not distribute any absentee ballot applications and is in compliance.		

File Number	Date Filed	Date Closed
2006 - 123 -	2/3/2006	3/2/2006
Complainant		
In Re: Absentee Ballot Application Distributors		
Complainant's Town		
Westport		
Respondents		
Suzanne Sheridan		
Disposition		
Compliance; No absentee ballot applications distributed		
Headnotes		
Under CGS 9-140(K)(1) individuals may distribute five or more absentee ballot applications if they register with the town clerk. They are then required by CGS 9-140(K)(2) to maintain a list of the names and addresses of prospective absentee ballot applicants to whom they distributed the applications and to file the list with the town clerk prior to the date of the election. Respondent did not distribute any absentee ballot applications and is in compliance.		

File Number	Date Filed	Date Closed
2006 - 124 -	2/3/2006	3/2/2006
Complainant		
In Re: Absentee Ballot Application Distributors		
Complainant's Town		
Westport		
Respondents		
Maureen Seirup		
Disposition		
Compliance; Respondent submitted the absentee ballot application list with the town clerk in response to 2/27/06 letter and is now in compliance,.		
Headnotes		
Under CGS 9-140(k)(1), individuals may distribute five or more absentee ballot applications if they register with the town clerk. They are then required by CGS 9-140(k)(2) to maintain a list of names and addresses of prospective absentee ballot applicants to whom they distributed the application and to file the list with the town clerk prior to the date of the election. Respondent filed the list with the town clerk in response to 2/27/06 letter from SEEC and is now in compliance.		

File Number	Date Filed	Date Closed
2006 - 125 -	2/3/2006	4/20/2006
Complainant		
In Re: Absentee Ballot Application Distributors		
Complainant's Town		
Winchester		
Respondents		
David LaPoint, Jay Raymond Budahazy		
Disposition		
No Further Action/Closed Absentee ballots applications distributors list given to Town Clerk for compliance		
Headnotes		
Under CGS 9-140(k)(1), individuals may distribute five or more absentee ballot applications if they register with the town clerk. They are then required by CGS 9-140(k)(2) to maintain a list of the names and addresses of prospective absentee ballot applicants to whom they distributed the application and to file the list with the town clerk prior to the date of the election. Absentee ballots application distributors list given to Town Clerk for compliance		

File Number	Date Filed	Date Closed
2006 - 126 -	2/9/2006	6/14/2006
Complainant		
Manuel Ayala		
Complainant's Town		
Bridgeport		
Respondents		
Santa Ayala, Democratic Registrar of Voters, Bridgeport		
Disposition		
Consent Agreement and Order; Henceforth		
Headnotes		
Agreement containing Henceforth Order for Violations of CGS 9-410(c) was adopted by Commission at its meeting held on 6/14/06. Respondent failed to reject a petition page that was submitted. Henceforth order to fully comply with the requirements of CGS 9-410(c).		

File Number	Date Filed	Date Closed
2006 - 127 - NF	2/6/2006	2/14/2006
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Committee to elect Charles Clemons State Senator, Gwendolyn Brantley, Treasurer		
Disposition		
Compliance with the Office of the Secretary of the State. No further action by SEEC		
Headnotes		
Failure to file campaign finance disclosure statement due 1-10-06. Compliance with Secretary of the State prior to any SEEC action.		

File Number	Date Filed	Date Closed
2006 - 130 -	1/31/2006	6/14/2006
Complainant		
Shirley Surgeon, Democratic Registrar of Voters		
Complainant's Town		
Hartford		
Respondents		
Disposition		
Findings and Conclusions; insufficient evidence, no further action		
Headnotes		
Commissioner adopted Findings and Conclusions at its meeting held on 6/14/06. It was concluded that there was insufficient evidence to prove that a violation of CGS 9-364a (influencing vote by threat; wilfull miscount of vote or fraudulent announcement of results) occurred in relation to a town committee primary, and the Commission will take no further action.		

File Number	Date Filed	Date Closed
2006 - 131 -	2/9/2006	6/14/2006
Complainant		
Salvatore A. Bramante, Republican Deputy Registrar		
Complainant's Town		
Hartford		
Respondents		
Disposition		
Finding and Conclusions; No further action due to insufficient evidence		
Headnotes		
The Commission adopted Findings and Conclusions finding that there was insufficient evidence to prove who committed a violation of CGS 9-361 (primary or enrollment violations), so the Commission will take no further action.		

File Number	Date Filed	Date Closed
2006 - 132 -	2/16/2006	4/10/2006
Complainant		
In Re: Absentee Ballot Application Distributors		
Complainant's Town		
New Haven		
Respondents		
46 individuals voluntarily complied with the audits		
Disposition		
Compliance; All Respondents returned absentee ballot application distribution lists to SEEC for compliance		
Headnotes		
Under CGS 9-140(k)(1), individuals may distribute five or more absentee ballot applications if they register with the town clerk. They are then required by CGS 9-140(k)(2) to maintain a list of the names and addresses of prospective absentee ballot applicants to whom they distributed the application and to file the list with the town clerk prior to the date of the election. All Respondents returned absentee ballot application distribution list to SEEC and are in compliance.		

File Number	Date Filed	Date Closed
2006 - 133 -	2/16/2006	3/2/2006
Complainant		
In Re: Absentee Ballot Application Distributors		
Complainant's Town		
Enfield		
Respondents		
Diane Sokol		
Disposition		
Compliance; Respondent submitted absentee ballot application distribution list to SEEC.		
Headnotes		
Under CTGS 9-140(k)(1), individuals may distribute five or more absentee ballot applications if they register with the town clerk. They are then required by CGS 9-140(k)(2) to maintain a list of names and addresses of prospective absentee ballot applicants to whom they distributed the application and to file the list with the town clerk prior to the date of the election... Respondent filed the list on 2/24/06 for compliance.		

File Number	Date Filed	Date Closed
2006 - 134 -	2/16/2006	4/10/2006
Complainant		
In Re: Absentee Ballot Application Distributors		
Complainant's Town		
Watertown		
Respondents		
All individuals voluntarily complied with the audits		
Disposition		
All individuals from Watertown complied after receiving notification by SEEC. They returned absentee ballot application distribution lists to SEEC and are in compliance.		
Headnotes		
Commission conducted audits and compliance reviews of the implementation of the new absentee ballot application procedures. Five people failed to file a list of names and addresses of prospective absentee ballot applicants to whom they may have distributed applications for the November 2005 election. Under CGS 9-140(k)(1), individuals may distribute five or more absentee ballot applications if they register with the town clerk. In accordance with 9-140(k)(2) they are required to maintain a list of the names and addresses of prospective absentee ballot applicants to whom they distributed and to file the list with the town clerk prior to the election. All individuals from Watertown complied after receiving notification by SEEC.		

File Number	Date Filed	Date Closed
2006 - 135 -	2/16/2006	4/10/2006
Complainant		
In Re; Absentee Ballot Application Distributors		
Complainant's Town		
Bridgeport		
Respondents		
All individuals voluntarily complied with the audits		
Disposition		
Compliance; All Respondents returned absentee ballot application distribution lists to SEEC and are in compliance.		
Headnotes		
Under CGS 9-140(k)(1), individuals may distribute five or more absentee ballot applications if they register with the town clerk. They are then required by CGS 9-140(k)(2) to maintain a list of the names and addresses of prospective absentee ballot applicants to whom they distributed the application and to file the list with the town clerk prior to the date of the election. All Respondents returned absentee ballot application distribution lists to SEEC and are in compliance.		

File Number	Date Filed	Date Closed
2006 - 142 -	3/8/2006	6/14/2006
Complainant		
Valerie Wellons and James Nobels		
Complainant's Town		
New Haven		
Respondents		
Sharon Ferrucci, Democratic Registrar of Voters		
Disposition		
Agreement Containing Consent Order; Civil Penalty \$500		
Headnotes		
Agreement Containing Consent Order for Violation of CGS 9-247 adopted by the Commission at its meeting held on 6/14/06. After investigation, the Commission concluded that Respondent violated 9-247 by her failure to cause the mechanics to properly prepare one of the voting machines and to ensure that the machines were test voted before their use. Respondent Ferrucci paid the civil penalty of \$500.		

File Number	Date Filed	Date Closed
2006 - 143 -	3/8/2006	4/19/2006
Complainant		
Willie D. Green and Ronald K. Gattison		
Complainant's Town		
New Haven		
Respondents		
Disposition		
Findings and Conclusions; Dismissed		
Headnotes		
There was no evidence that the absentee ballot sets were manipulated and /or tampered with in any way to alter the outcome of the vote. No evidence there was any improper influence that reflected on the performance of the duties of election officials in connection with conducting the close vote recanvass. No violation of 9-445.		

File Number	Date Filed	Date Closed
2006 - 144 -	3/9/2006	4/19/2006
Complainant		
Ramon Espinosa		
Complainant's Town		
Hartford		
Respondents		
Disposition		
Not Pursued		
Headnotes		
<p>At its meeting held on April 19, 2006, the Commission voted to ratify the referral of this matter to the Chief State's Attorney. The above referenced complaint alleges violations of absentee voting laws committed in connection with the March 7, 2006 Hartford Democratic Town Committee Primary held in the 3rd Assembly District. At the onset of the case, it became evident that the investigation could not be completed without a Spanish speaking investigator, and therefore it would be appropriate to refer this matter to the Chief State's Attorney's Office.</p>		

File Number	Date Filed	Date Closed
2006 - 151 -	3/17/2006	6/14/2006
Complainant		
Marie M. Fox, Registrar of Voters		
Complainant's Town		
Newington		
Respondents		
Disposition		
Findings and Conclusions; Dismissed		
Headnotes		
<p>Commission adopted Findings and Conclusions at its meeting held on 6/14/06. The investigation determined that the individual in question was born in the United States, eligible to register to vote, and thus not in violation of 9-12b. The matter was dismissed.</p>		

File Number	Date Filed	Date Closed
2006 - 152 - NF	3/29/2006	5/8/2006
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Gomes for Senate, Hector Rodriguez, Treasurer		
Disposition		
Compliance; Civil Penalty \$200		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y, for failure to file campaign finance disclosure forms on February 21, 2006 in connection with the special election in Senatorial District #23 in violation of CGS 9-333j. Hector Rodriguez, Committee's Treasurer filed Statement of Receipts and Expenditures on April 26, 2006 along with the civil penalty of \$200 for compliance.</p>		

File Number	Date Filed	Date Closed
2006 - 154 - NF	4/4/2006	6/9/2006
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Cornwall Republican Town Committee, Kenneth Baird, Treasurer		
Disposition		
Compliance; Civil Penalty \$200		
Headnotes		
<p>Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure form which was due on January 10, 2006 in violation of CGS 9-333j. On 6-9-06 Treasurer filed with SEEC Statement of Receipts and Expenditures (ED-45) due on 1/10/06 along with civil penalty of \$200 for compliance.</p>		

File Number	Date Filed	Date Closed
2006 - 155 - NF	4/4/2006	5/8/2006
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Working Families Campaign Committee, Dennis O'Neil, Treasurer		
Disposition		
Compliance; Civil Penalty \$575		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure form which was due on January 10, 2006 in violation of CGS 9-333j. Statement of Receipts and Expenditures ED-45 received on 4/27/06 along with late penalty of \$575 for compliance.		

File Number	Date Filed	Date Closed
2006 - 156 - NF	4/4/2006	4/25/2006
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Alliance of Greater Hartford African Americans PAC, Steven Harris, Treasurer		
Disposition		
Compliance; Civil Penalty \$400		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure form which was due on January 10, 2006 in violation of CGS 9-333j. Mr. Harris filed ED-45 for 1-10-06 with the Office of the Secretary of the State on 4/10/06. Mr. Harris paid the civil penalty of \$400 on 4/25/06 for compliance.		

File Number	Date Filed	Date Closed
2006 - 158 - NF	4/4/2006	5/8/2006
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Fire PAC Fund, Carlos Mera, Treasurer		
Disposition		
Compliance; Civil Penalty \$200; Termination report		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure form which was due on January 10, 2006 in violation of CGS 9-333j. Statement of Receipts and Expenditures filed on April 27, 2006 by Carlos Mera, Committee's Treasurer along with civil penalty of \$200 for compliance. ED-45 marked as Termination Report.		

File Number	Date Filed	Date Closed
2006 - 159 - NF	4/4/2006	5/9/2006
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
First District Republicans, John Florek, Treasurer		
Disposition		
Compliance/Civil Penalty \$200		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure form which was due on January 10, 2006 in violation of CGS 9-333j. Statement of Receipts and Expenditures was filed on May 8, 2006 by John Florek, Treasurer, along with a civil penalty of \$200 for compliance.		

File Number	Date Filed	Date Closed
2006 - 160 - NF	4/4/2006	4/5/2006
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Fraternal Order of Police PAC Lodge 25, Stanley Masternak, Treasurer		
Disposition		
Compliance; Civil Penalty \$200		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure form which was due on January 10, 2006 in violation of CGS 9-333j. Delinquent report filed with the Secretary of the State and civil penalty of \$200 paid to SEEC prior to demand letter sent. Compliance.		

File Number	Date Filed	Date Closed
2006 - 161 - NF	4/4/2006	4/5/2006
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
New Britain Police Union PAC, Stanley Masternak, Treasurer		
Disposition		
Compliance; Civil Penalty \$200		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure form which was due on January 10, 2006 in violation of CGS 9-333j. Delinquent report filed with the Secretary of the State and civil penalty of \$200 paid to SEEC prior to demand letter sent. Compliance.		

File Number	Date Filed	Date Closed
2006 - 162 - NF	4/4/2006	5/11/2006
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Norwalk Firefighters Assoc. PAC, Danny Stefanidis, Treasurer		
Disposition		
Compliance; Civil Penalty \$200		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure form which was due on January 10, 2006 in violation of CGS 9-333j and further failed to respond to prior notice of delinquency. Statement of Receipts and Expenditures filed on April 24, 2006 along with a \$100 civil penalty paid to the Office of the Secretary of the State and additional \$100 paid to this office for a total of \$200 late filing fee.		

File Number	Date Filed	Date Closed
2006 - 163 - NF	4/4/2006	4/24/2006
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Republican National Hispanic Assembly of CT		
Disposition		
Compliance; Civil Penalty \$200		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure form which was due on January 10, 2006 in violation of CGS 9-333j. ED-45 filed along with a check for \$200 on April 17, 2006 for compliance.		

File Number	Date Filed	Date Closed
2006 - 164 - NF	4/4/2006	4/24/2006
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Southeastern CT Democrats, Rita Hogan, Treasurer		
Disposition		
Compliance; Civil Penalty \$300		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure form which was due on January 10, 2006 in violation of CGS 9-333j. ED-45 filed along with civil penalty of \$300 for compliance.		

File Number	Date Filed	Date Closed
2006 - 165 - NF	4/4/2006	5/16/2006
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Waterbury Firefighters PAC, Michael Bergin, Treasurer		
Disposition		
Compliance; Civil penalty \$200		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure form with was due on January 10, 2006 in violation of CGS 9-333j and further failed to respond to prior notice of delinquency. Statement of Receipts and Expenditures (ED-45) filed on May 16, 2006 along with civil penalty of \$200 for compliance.		

File Number	Date Filed	Date Closed
2006 - 174 -	6/5/2006	6/14/2006
Complainant		
Myrtice Riley-Wilson		
Complainant's Town		
Norwalk		
Respondents		
Betty Bondi, Democratic Registrar of Voters		
Disposition		
Consent Agreement and Order		
Headnotes		
Stipulated Agreement To Resolve Complaint and Insure Voluntary Compliance with CGS Section 9-409, adopted by Commission at its meeting held on 6/6/06. Ms. Bondi agreed to check Complainant's petition pages pursuant to CGS 9-412 and to notify Town Clerk forthwith of qualifying signatures should they be sufficient to call primary pursuant to CGS 9-435.		

File Number	Date Filed	Date Closed
2006 - 183 - NF	6/12/2006	6/27/2006
Complainant		
Referral by the Office of the Secretary of the state		
Complainant's Town		
Hartford		
Respondents		
West Hartford Police Union PAC, Jeremy Clark, Treasurer		
Disposition		
Compliance with Secretary of the State, no further action by SEEC		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure form which was due on April 10, 2006 in violation of CGS 9-333j. Notified by the Office of the Secretary of the State that PAC filed the delinquent report and late filing fee with their office prior to SEEC action. The matter is closed.		

File Number	Date Filed	Date Closed
2006 - 185 - NF	6/12/2006	6/19/2006
Complainant		
Referral by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Tolland Republican Town Committee, Roger Gallic, Treasurer		
Disposition		
Compliance with Secretary of the State, no further action by SEEC		
Headnotes		
Referred by the Office of the Secretary of the State in accordance with CGS 9-333y for failure to file campaign finance disclosure form which was due on April 10, 2006 in violation of CGS 9-333j. Received notification from Secretary of the State that the report was filed with their office for compliance. Closed.		

File Number	Date Filed	Date Closed
2006 - 301 - NF	12/8/2006	2/9/2006
Complainant		
Referred by the Office of the Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Fraternal Order of Police Local 25 PAC, Stanley Masternak, Treasurer		
Disposition		
Compliance		
Headnotes		
Pursuant to Section 9-333y CGS, this matter was referred by the Office of the Secretary of the State for the failure to file the campaign finance disclosure forms which were due on October 10, 2006, in violation of CGS 9-333j. Treasurer filed the Statements of receipts and expenditures for File No. 2006-301NF and 2006-303NF. Also paid a global civil penalty of \$600 for compliance.		