

FY 2003-2004 Report of Complaints Investigated by the State Elections Enforcement Commission

File Number	Date Filed	Date Closed
1999 - 218 - D	3/28/2001	7/10/2003
Complainant		
In the Matter of the 1998 Campaign of Paul J. Silvester, referral by United States Attorney		
Complainant's Town		
Hartford		
Respondents		
Keith E. Robertson, campaign manager, Committee to Elect Ben Andrews		
Disposition		
Civil Penalty / Consent Agreement		
Headnotes		
Respondent gave Jeffrey Reynolds \$1500 in cash, Marc Robertson \$500 in cash, and Scott Buckheit \$1000 in cash, and asked all three to make contributions in their own names to Paul Silvester for State Treasurer, which they did. Respondent violated 9-333x(7). Consent Agreement and \$7,000 civil penalty imposed/ henceforth admonishment. 25th and final payment received on 7/10/03 for full compliance with order.		

File Number	Date Filed	Date Closed
1999 - 218 - M	3/28/2001	12/17/2003
Complainant		
The 1998 Campaign of Paul J. Silvester, referral by United States Attorney		
Complainant's Town		
Hartford		
Respondents		
Robin Condon		
Disposition		
Consent Agreement and Order		
Headnotes		
Respondent paid \$1,000 civil penalty for violation of Sec. 9-333x(7) by accepting \$500 cash from Jill Chmielski and then making a campaign contribution to the Paul Silvester Campaign in respondent's own name.		

File Number	Date Filed	Date Closed
1999 - 218 - O	4/16/2001	2/11/2004
Complainant		
In Re: 1998 Campaign of Paul Silvester		
Complainant's Town		
Hartford		
Respondents		
Jeffrey Reynolds		
Disposition		
Consent Agreement and Order		
Headnotes		
Respondent paying \$3,000 civil penalty on payment plan, for violation of 9-333x(7) by accepting \$1500 cash from Keith Robertson, then making a contribution to Paul Silvester Campaign in respondent's own name. First installment of \$250 paid on 2/9/04.		

File Number	Date Filed	Date Closed
2002 - 256 -	11/7/2002	9/8/2003
Complainant		
Evelyn N. Goodwin, Town Clerk; Paul Mordecia Rosenberg		
Complainant's Town		
Litchfield		
Respondents		
Chandler Saint, Beecher House Society Inc.		
Disposition		
Consent Agreement and Order		
Headnotes		
Respondent made expenditures of more than \$1000 to influence a referendum held November 5, 2002. Consent Agreement and Order adopted by Commission on May 7, 2003. Civil Penalty of \$1,000 ordered for violation of: 9-333d, failure to form political committee;9-333j and 9-333n(d), failure to file financial disclosure statements. Respondent paid \$100 on 5/14, \$100 on 6/5 and \$400 on 7/8. Final payment of \$400 received on 9/3/03.		

File Number	Date Filed	Date Closed
2003 - 147 -	4/1/2003	8/27/2003
Complainant		
Tom Swan, Executive Director, Connecticut Citizen Action Group		
Complainant's Town		
Coventry		
Respondents		
Governor John Rowland; Steven A. Bafundo, Treasurer, State Republican Party		
Disposition		
Two Stipulated Agreement and Orders		
Headnotes		
<p>1) Commission concludes that 9-333i governs party expenditures, which must be to promote the party, the candidates of the party or to pay for administrative expenses of party, and expenditures must be substantially directed for such purposes. With respect to Governor, there was a failure of proof amounting to \$6,015.17, which Commission ordered deposited in Gen. Fund, under travel hotel, food & beverage and entertainment expenses. 2) Same with respect to State Central Committee except it was auto repair reimbursement and record keeping was not as comprehensive when it came to Governor's use of party credit card. The party was ordered to make payment of \$1,500.</p>		

File Number	Date Filed	Date Closed
2003 - 148 -	4/9/2003	8/6/2003
Complainant		
Elayne Robertson Demby		
Complainant's Town		
Weston		
Respondents		
Disposition		
Findings and Conclusions/Dismissed		
Headnotes		
<p>The forwarding of e-mail concerning an April 22, 2003 referendum without more, does not constitute concerted activity requiring the formation of a political committee. A prior agreement to distribute upon receipt would be necessary for e-mail forwarding to constitute concerted activity. Individual acting alone, spending less than \$1,000 not required to file. Findings & Conclusions Order dismissed complaint.</p>		

File Number	Date Filed	Date Closed
2003 - 149 -	4/7/2003	7/16/2003
Complainant		
Laura Burr, Co-chair, Helping Oxford Public Education ("HOPE")		
Complainant's Town		
Oxford		
Respondents		
Thomas J. Kelly, agent, Citizens Helping Oxford Investigate Cost Effective Solutions ("CHOICES")		
Disposition		
Consent Agreement and Order		
Headnotes		
Respondent signed Consent Order and paid \$100 civil penalty for failure to: file a Certification of Exemption from Forming a Political Committee in connection with an April 8, 2003 referendum; and include a complete attribution on a flyer, in violation of Sec. 9-333d, 9-333g and 9-333w. He shall strictly comply with the requirements of such statutes. Henceforth order.		

File Number	Date Filed	Date Closed
2003 - 150 -	5/15/2003	8/27/2003
Complainant		
Peter J. Tracey		
Complainant's Town		
Vernon		
Respondents		
Talcottville Development Company, LLC; Vernon Republican Town Committee; Jonathan M. Dodge, Treasurer of VRTC; Ronald Mador, former treasurer of Vernon Republican Town Committee		
Disposition		
Consent Agreements and Orders		
Headnotes		
Talcottville Dev. Co, LLC, paid civil penalty of \$2,000, for failure to designate treasurer and depository institution in violation of 9-333d and making a prohibited business entity contribution to the Vernon Republican Town Committee in violation of 9-333o; Jonathan Dodge, civil penalty of \$500, henceforth file finance disclosure statements to comply w/9-333h & 9-333j; VRTC to forfeit \$824 prohibited contribution received from business entity & henceforth comply w/9-333o & 9-333x(10); Ronald Mador, civil penalty of \$1,500, henceforth comply w/9-333h, 9-333j, 9-333o, 9-333x(10)		

File Number	Date Filed	Date Closed
2003 - 152 - A	5/19/2003	8/27/2003
Complainant		
Matthew A. Paulsen		
Complainant's Town		
Bethel		
Respondents		
Dan DeBlois, Director of Information Technology for Bethel Public Schools		
Disposition		
Consent Agreement and Order		
Headnotes		
Consent Agreement and Henceforth Order with Info. Tech. Dir. Of Bethel Schools. Violation of 9-369b by failing to remove advocacy material from a municipal website once the May 13, 2003 referendum became pending.		

File Number	Date Filed	Date Closed
2003 - 152 - B	6/2/2003	8/6/2003
Complainant		
Matthew A. Paulsen		
Complainant's Town		
Bethel		
Respondents		
Disposition		
Findings and Conclusions/Dismissed		
Headnotes		
Communication sent home with school children was issued prior to pendency of May 28, 2003 referendum in Bethel. No violation of 9-369b.		

File Number	Date Filed	Date Closed
2003 - 157 - NF	5/21/2003	7/8/2003
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
Citizens for Quality Education, Frank Szilagyi, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement of April 10, 2003, in violation of 9-333j and 9-333y. Exemption from Itemized Reporting (Form ED-46) filed on July 7, 2003 together with \$220 civil penalty paid.		

File Number	Date Filed	Date Closed
2003 - 159 - NF	5/21/2003	8/11/2003
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
CT Uniformed Firefighters Association PAC, Patrick Shevlin, Treasurer		
Disposition		
Compliance		
Headnotes		
Respondent did not file treasurer's statement of April 10, 2003 as required by 9-333j and 9-333y. Statement filed together with \$55.00 penalty paid on August 8, 2003 to Secretary of the State. Review of statement showed \$5,000 contribution from International Association of Firefighters PAC Fund in violation of 9-333p. Forfeiture of contribution back to donor required. Proof produced of return of same to donor.		

File Number	Date Filed	Date Closed
2003 - 160 - NF	5/21/2003	7/22/2003
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Democrats for Property Tax Reform, Vincent Mauro, Jr., Treasurer		
Disposition		
Compliance		
Headnotes		
Respondent failed to file April 10, 2003 statement of receipts and expenditures in violation of 9-333j and 9-333y. Statement filed on July 21, 2003 together with civil penalty of \$275.		

File Number	Date Filed	Date Closed
2003 - 162 - NF	5/21/2003	8/8/2003
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Friends of the City, Frank Szilagyi, Treasurer		
Disposition		
Compliance		
Headnotes		
Overdue financial disclosure statement of April 10, 2003 filed on July 7, 2003 together with \$220 civil penalty.		

File Number	Date Filed	Date Closed
2003 - 166 - NF	5/21/2003	7/9/2003
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Republican Women of Bolton, Susan Pike, Treasurer		
Disposition		
Compliance		
Headnotes		
Overdue financial disclosure statement of April 10, 2003 filed on 7/9/03 along with civil penalty of \$200 paid. Original penalty of \$385 reduced per JBG as Republican Women of Bolton Committee had terminated.		

File Number	Date Filed	Date Closed
2003 - 168 - NF	5/21/2003	7/1/2003
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
East Lyme Democratic Town Committee, Dennis Damon, Treasurer		
Disposition		
Compliance		
Headnotes		
Overdue Campaign Finance statement of April 10, 2003 filed on 6/16/03 and civil penalty of \$110 paid for compliance.		

File Number	Date Filed	Date Closed
2003 - 169 - NF	5/21/2003	7/15/2003
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Friends for Lew, Patricia Davidson, Treasurer		
Disposition		
Compliance		
Headnotes		
Overdue Statement of Receipts and Expenditures (Form ED-45) of April 10, 2003 filed 6/12/03 and civil penalty of \$110 paid 7/14/03. Closed.		

File Number	Date Filed	Date Closed
2003 - 171 -	5/27/2003	10/29/2003
Complainant		
Harry J. Zuella		
Complainant's Town		
Oxford		
Respondents		
Nancy O'Hara, Treasurer, Helping Oxford Public Education (HOPE)		
Disposition		
Consent Agreement and Order		
Headnotes		
Respondent signed Consent Agreement and paid civil penalty of \$1022.18, which included \$522.18 for committee expenditure made after order to freeze the account. Respondent violated several provisions of 9-333j(c) by accepting contributions without name, address, occupation, state contract documentation required by law; failing to disclose contributions from 11 business entities in violation of 9-333j; not disclosing secondary payees with respect to reimbursements to committee workers; and failing to dissolve the committee after the referendum as required by 9-333j(e)(1)(C)(I). Respondent forfeited to the State the balance remaining in the Committee's account in the amount of \$255.74.		

File Number	Date Filed	Date Closed	
2003 - 172 -	5/27/2003	8/27/2003	
Complainant			
Edward J. Hardy			
Complainant's Town			
Oxford			
Respondents			
Judith Palmer, Oxford Superintendent of Schools			
Disposition			
Consent Agreement and Order			
Headnotes			
Respondent unintentionally violated 9-369b by using the publicly funded Oxford public schools website to serve as a link to disseminate advocacy materials relating to referenda held in Oxford on April 8 and June 3, 2003. Commission adopted Agreement containing henceforth order. Outcome also communicated to Patricia Severson, Chairman of Oxford Board of Education.			

File Number	Date Filed	Date Closed	
2003 - 173 -	5/27/2003	8/27/2003	
Complainant			
Janis Hardy			
Complainant's Town			
Oxford			
Respondents			
Disposition			
Findings and Conclusions/Dismissal			
Headnotes			
Findings and Conclusions dismissing matter. Complaint alleged Oxford Board of Education and Superintendent of Schools violated 9-369b by offering an essay contest to children regarding an issue to be decided at a referendum held in Oxford on June 3, 2003. Dismissed because any expenditures were incurred prior to the pending date of the referendum and there was no publication of the essays during the referendum.			

File Number	Date Filed	Date Closed
2003 - 174 -	6/3/2003	8/6/2003
Complainant		
Linda Palermo, member, Republican Town Committee		
Complainant's Town		
Stratford		
Respondents		
Disposition		
Findings and Conclusions/Dismissed		
Headnotes		
Commission issues Findings & Conclusions dismissing the matter. Commission is without jurisdiction to override party rules that determine that a town committee member loses his seat as a result of redistricting, in the absence of a state statute to the contrary.		

File Number	Date Filed	Date Closed
2003 - 175 -	6/5/2003	7/16/2003
Complainant		
William Michael		
Complainant's Town		
Bethel		
Respondents		
Disposition		
Findings and Conclusions		
Headnotes		
Commission found that Bethel Central PTO flyer regarding May 28, 2003 referendum was not disseminated by students as couriers. Findings and Conclusions dismissing complaint.		

File Number	Date Filed	Date Closed
2003 - 176 -	6/6/2003	7/16/2003
Complainant		
Matthew A. Paulsen		
Complainant's Town		
Bethel		
Respondents		
Disposition		
Findings and Conclusions/Dismissal		
Headnotes		
Commission found that newsletters disseminated by the F.A. Berry School in Bethel, relating to a May 13, 2003 budget referendum, did not reach the threshold of "advocacy" in the context of 9-369b. Findings and Conclusions dismissing complaint against principal of school.		

File Number	Date Filed	Date Closed
2003 - 177 -	6/12/2003	7/16/2003
Complainant		
Robert and Toni Flanigan; Carol and Ed Piwonski; Charles and Heather Monty		
Complainant's Town		
Granby		
Respondents		
Disposition		
Findings and Conclusions/Dismissal		
Headnotes		
Commission found that the schools' newsletter containing information about a June 16, 2003 referendum held in Granby was disseminated before the referendum was legally pending, thus the Granby Superintendent of Schools did not violate 9-369b's statutory prohibition of use of public funds to advocate for or against a referendum question. Commission adopted Findings and Conclusions dismissing the complaint.		

File Number	Date Filed	Date Closed
2003 - 178 -	6/17/2003	8/6/2003
Complainant		
David Sanabria		
Complainant's Town		
Plainville		
Respondents		
Jay Steeves, Treasurer, Citizens for Plainville Schools Long Range Plan; Lynn Szach, Treasurer, Linden Street PTO		
Disposition		
Consent Agreements and Orders		
Headnotes		
<p>Respondent Jay Steeves paid a civil penalty of \$200, agreed to strictly comply with requirements of 9-333v(c) and cause the Citizens for Plainville Schools PAC, a referendum committee organized for a June 10, 2003 referendum held in Plainville, to reimburse the Linden Street school PTO the overage of \$767. 20 of the permissible limit. Respondent Linden St. School PTO agreed to pay \$300 civil penalty from its treasury and through its treasurer Lynn Szach agreed to strictly comply with 9-333v(c).</p>		

File Number	Date Filed	Date Closed
2003 - 179 -	6/19/2003	8/27/2003
Complainant		
Peter J. Tracey		
Complainant's Town		
Vernon		
Respondents		
George E. Risley Revocable Grantor Trust; Elizabeth Daly, Treasurer, Vernon Democratic Town Committee		
Disposition		
Consent Agreements and Orders		
Headnotes		
<p>Commission found that Risley Trust made a business entity contribution in violation of 9-333o, and failed to designate a treasurer prior to making a candidate contribution in violation of 9-333d. Paid civil penalty of \$500 and forfeiture of \$400 sum representing value of rental paid by the Vernon Democratic Town Committee; Respondent Elizabeth Daly, paid civil penalty of \$500 and henceforth comply w/9-333d & 9-333o, forfeiture of \$267.03 representing value of remaining portion of unpaid rental to Risley Realty owed by Vernon Dem. Town Committee, henceforth comply with 9-333j, 9-333o & 3-333x(10)</p>		

File Number	Date Filed	Date Closed
2003 - 180 -	6/19/2003	8/6/2003
Complainant		
William and Kathleen Oppenheimer et al; Michael Driscoll; Mary Ann Kubick		
Complainant's Town		
Redding		
Respondents		
Disposition		
Findings and Conclusions/Dismissed		
Headnotes		
Commission found that Regional School District No. 9 disseminated referendum information before the June 25, 2003 referendum was legally pending, thus did not violate 9-369b's statutory prohibition of use of public funds to advocate referendum position. Commission adopted Findings and Conclusions dismissing the complaint.		

File Number	Date Filed	Date Closed
2003 - 181 -	6/20/2003	8/6/2003
Complainant		
Dr. Frederick L. Kubick		
Complainant's Town		
Redding		
Respondents		
Disposition		
Findings and Conclusions/Dismissed		
Headnotes		
Commission found that flyer produced by the Redding Board of Education was disseminated before the May 6, 2003 referendum was legally pending, thus Respondent did not violate 9-369b. Commission adopted Findings and Conclusions dismissing the Complaint. See also File No. 2003-180 Findings and Conclusions		

File Number	Date Filed	Date Closed
2003 - 182 - NF	6/20/2003	7/29/2003
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Gomes for State Senator, Robert Walsh, Treasurer		
Disposition		
Compliance		
Headnotes		
Respondent failed to timely file financial disclosure statement of May 22, 2003 in violation of 9-333j and 9-333y. Statement of receipts and expenditures (Form ED-45) filed on July 29, 2003 together with \$110 civil penalty.		

File Number	Date Filed	Date Closed
2003 - 183 -	6/25/2003	12/17/2003
Complainant		
Paul Pinto		
Complainant's Town		
Easton		
Respondents		
Attorney Thomas W. Bucci, Jr., & Christopher DUBY		
Disposition		
Headnotes		
Complainant alleged that Thomas W. Bucci, Jr. and Christopher Y. DUBY had become signatories to the depository account of the Committee for a Better Connecticut without authority from the complainant, who also alleged that he alone was the committee's Chairman and Treasurer. Commission voted at its meeting of 12-17-03 to refer the investigative file of this matter to the Chief State's Attorney's Office.		

File Number	Date Filed	Date Closed
2003 - 184 -	7/7/2003	7/15/2003
Complainant		
Michael Paulhus, First Selectman; Ann M. Bushey, Windham Town Clerk; William Sayers & Douglas Rapp, Registrars of Voters		
Complainant's Town		
Windham		
Respondents		
Disposition		
Closed		
Headnotes		
Complaint alleges three signatures appeared to be falsely signed on a petition to repeal a June 3, 2003 ordinance. Case dismissed because of a fatal defect, namely, circulator's statement of authenticity of signatures was not signed.		

File Number	Date Filed	Date Closed
2003 - 185 -	7/8/2003	8/6/2003
Complainant		
Joseph Kennedy, President, Stamford Police Assoc.		
Complainant's Town		
Monroe		
Respondents		
Disposition		
Findings and Conclusions/Dismissed		
Headnotes		
Commission found that candidate and his candidate committee in Stamford disclosed the recipient of an expenditure, correctly disbursed committee surplus to a registered committee, and did not disburse such surplus in an amount exceeding available funds and so did not violate 9-333j. The Commission found that discrepancies in the reporting were due to system error in the electronic CFIS system. Findings and conclusions, matter dismissed.		

File Number	Date Filed	Date Closed
2003 - 186 -	7/10/2003	9/17/2003
Complainant		
Tony A. Palermo		
Complainant's Town		
Westbrook		
Respondents		
Disposition		
Findings and Conclusions/Dismissed		
Headnotes		
<p>Case dismissed by Commission. Individuals acted alone to influence voters regarding a July 8, 2003 referendum in Westbrook, and not in a concerted effort and so did not violate 9-333d which requires the filing of a statement of organization of political committee. Commission found that individuals spent their own personal funds under \$1000 to influence the referendum and thus were not required to file campaign finance statements required under 9-333n(d) and 9-333j. Commission also found that since the individuals acted alone and spent their own funds in the distribution of flyers, placing lawn signs and the mounting of a 4x8 sign, they were not required to include an attribution on such materials required under certain circumstances by 9-333w.</p>		

File Number	Date Filed	Date Closed
2003 - 187 -	7/11/2003	8/27/2003
Complainant		
William C. Meehan		
Complainant's Town		
Willimantic		
Respondents		
Disposition		
Findings and Conclusions/Dismissed		
Headnotes		
<p>Commission found that it does not have jurisdiction to pursue complaint that town clerk rejected petitions circulated by Complainant to repeal a town ordinance. Commission found that it does not have jurisdiction to reconcile CGS 7-9a with the provisions of the Windham Town Charter.</p>		

File Number	Date Filed	Date Closed
2003 - 188 - NF	7/10/2003	8/20/2003
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
Committee to Elect Charles D. Clemmons, Jr., Deborah Caviness, Treasurer		
Disposition		
Compliance		
Headnotes		
Respondent failed to file campaign finance statement of June 10, 2003 in violation of 9-333j and 9-333y. Statement of Receipts and Expenditures (Form ED-45) filed on August 11, 2003 with Secretary of the State together with \$55.00 civil penalty paid 8-20-2003 for compliance.		

File Number	Date Filed	Date Closed
2003 - 189 -	8/12/2003	10/29/2003
Complainant		
Lori A. Jeffers		
Complainant's Town		
Willimantic		
Respondents		
Jay Handfield		
Disposition		
Consent Agreement and Order		
Headnotes		
Commission found that respondent unintentionally violated Sec. 9-333d and 9-333f when he made an expenditure towards his candidacy prior to filing a Certification of Exemption from Forming a Candidate Committee. Closed as per Consent Agreement to henceforth comply with Sec. 9-333d and 9-333f and a payment of \$100 civil penalty.		

File Number	Date Filed	Date Closed
2003 - 190 -	8/15/2003	8/20/2003
Complainant		
Bob Walsh		
Complainant's Town		
Bridgeport		
Respondents		
Harriet Leno		
Disposition		
Compliance		
Headnotes		
<p>Petition circulators for the September 9, 2003 132d City Council Democratic primary were not the same individuals who had signed the circulators statement under oath as required by 9-140. Candidate and running mate effected compliance by withdrawing their candidacy. No further action taken.</p>		

File Number	Date Filed	Date Closed
2003 - 191 -	8/22/2003	9/8/2003
Complainant		
Noel F. McGregor, Jr.		
Complainant's Town		
Hartford		
Respondents		
Olga Aviles, Democratic Registrar of voters		
Disposition		
Voluntary compliance		
Headnotes		
<p>Voluntary compliance with requirement that Registrar's appointment of polling officials be divided equally, as nearly as may be, between contestants in a Primary, pursuant to 9-436(e). Case closed.</p>		

File Number	Date Filed	Date Closed
2003 - 192 -	8/26/2003	9/8/2003
Complainant		
Joseph Heyman		
Complainant's Town		
Ridgefield		
Respondents		
Cindy Bruno, Democratic Registrar of Voters		
Disposition		
No further action/closed		
Headnotes		
Based upon a written opinion of the Secretary of the State, dated September 5, 2003, the rejection of petitions by the Democratic Registrar of Voters was lawful and proper under 9-410.		

File Number	Date Filed	Date Closed
2003 - 193 -	8/26/2003	12/18/2003
Complainant		
Edwin A. Gomes		
Complainant's Town		
Bridgeport		
Respondents		
Mary Lee, Ruth Vines		
Disposition		
Consent Agreements and Orders		
Headnotes		
Respondent Mary Lee entered into Consent Agreement and Order to pay a civil penalty of \$400 and withdraw her candidacy for failing to personally witness signatures on two of the petition pages she signed as circulator, in violation of Conn. Gen. Statutes sec. 9-410c		
Commission found that Respondent Vines violated 9-410c by signing a circulator's statement on a petition stating that she had personally witnessed signatures when she had not done so. Commission adopted Consent Agreement and Order to pay a civil penalty of \$150, and henceforth not to serve as a circulator for three years from the date of the Order.		

File Number	Date Filed	Date Closed
2003 - 194 -	9/5/2003	11/19/2003
Complainant		
Leo "Butch" Arria		
Complainant's Town		
West Haven		
Respondents		
Ronald Keenan		
Disposition		
Consent Agreement and Order		
Headnotes		
Respondent paid civil penalty of \$200 and entered into Consent Agreement and Order, Henceforth to comply with 9-410c. Respondent circulated a petition where 3 family members signed for relatives in violation of 9-410(c). Respondents statement that he personally witnessed each signature on petition false and in violation of 9-410(c).		

File Number	Date Filed	Date Closed
2003 - 195 -	9/8/2003	10/29/2003
Complainant		
Hazelann Woodell		
Complainant's Town		
New Haven		
Respondents		
Disposition		
Findings and Conclusions/Dismissal		
Headnotes		
Commission found insufficient evidence of any absentee ballot abuse in connection with a September 9, 2003 primary, and dismissed matter.		

File Number	Date Filed	Date Closed
2003 - 196 - NF	9/10/2003	9/23/2003
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
8th Senatorial District Democratic Committee, Kevin O'Connor, Treasurer		
Disposition		
Compliance		
Headnotes		
Respondent failed to file campaign finance statement of July 7, 2003, in violation of 9-333j and 9-333y. Civil penalty of \$110 paid on 9-23-03 and Exemption from Itemized Reporting (Form ED-46) filed for compliance. Treasurer claimed that he resigned as of June 1, 2003.		

File Number	Date Filed	Date Closed
2003 - 197 - NF	9/10/2003	2/26/2004
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
Citizens for Good Government, Edward Kennedy, Jr. Treasurer		
Disposition		
Compliance		
Headnotes		
Respondent failed to file campaign finance statement in violation of 9-333j and 9-333y. Respondent filed campaign finance disclosure statement and paid civil penalty of \$55 on February 25, 2004, for compliance.		

File Number	Date Filed	Date Closed
2003 - 198 - NF	9/10/2003	10/1/2003
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
CT Correction Employees PAC		
Disposition		
Compliance		
Headnotes		
Respondent failed to file campaign finance statement due July 7, 2003, in violation of 9-333j and 9-333y. Respondent filed Statement of Receipts & Expenditures (ED-45) and paid civil penalty of \$110 on September 23, 2003 for compliance.		

File Number	Date Filed	Date Closed
2003 - 199 - NF	9/10/2003	9/10/2003
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
CT Podiatry PAC, Darren Courtright, Treasurer		
Disposition		
Closed		
Headnotes		
Respondent filed Statement of Receipt & Expenditures due July 7, 2003 with the Secretary of the State by September 10, 2003, before SEEC's letter went out. Closed.		

File Number	Date Filed	Date Closed
2003 - 200 - NF	9/10/2003	1/14/2004
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
CT Republican Leadership Council, Anthony Flack, Treasurer		
Disposition		
Compliance		
Headnotes		
<p>Respondent failed to file campaign finance statement due July 7, 2003, in violation of 9-333j and 9-333y. Respondent filed finance disclosure statement with the Secretary of the State on 12/16/03. Hearing notice sent, motion for continuance granted by H.O. Cleary, civil penalty check for \$220 received January 14, 2004 prior to hearing. Hearing cancelled due to compliance.</p>		

File Number	Date Filed	Date Closed
2003 - 201 - NF	9/10/2003	9/25/2003
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
CT Working Families, David S. Logstein, Treasurer		
Disposition		
Compliance		
Headnotes		
<p>Failed to file campaign finance statement due July 7, 2003, in violation of 9-333j and 9-333y. Respondent filed Exemption From Itemized Reporting (ED-46) and paid civil penalty of \$110 for compliance.</p>		

File Number	Date Filed	Date Closed
2003 - 202 - NF	9/10/2003	11/18/2003
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
Friends for Lew, Patricia Davidson, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due July 7, 2003, in violation of 9-333j and 9-333y. Respondent filed Statement of Receipts & Expenditures with the Secretary of the State September 29, 2003 and paid civil penalty of \$165 on November 10, 2003 for compliance.		

File Number	Date Filed	Date Closed
2003 - 203 - NF	9/10/2003	9/22/2003
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Friends of Michael 2004, James Hartman, Treasurer		
Disposition		
Compliance		
Headnotes		
Respondent filed July 7, 2003 campaign finance statement with the Secretary of the State for compliance on or about 9-18-2003, which was prior to any SEEC action. Case closed, voluntary compliance.		

File Number	Date Filed	Date Closed
2003 - 204 - NF	9/10/2003	12/10/2003
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
Fund for Democratic Renewal, Ned Stachen, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file Campaign Finance statement due July 7, 2003 in violation of 9-333j and 9-333y. Respondent filed Statement of Receipt & Expenditures and paid civil penalty of \$110 on November 18, 2003 for compliance. Penalty reduced to \$110 from \$165 because Secretary of the State failed to change treasurer's address information		

File Number	Date Filed	Date Closed
2003 - 205 - NF	9/10/2003	9/11/2003
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
IAFF Local 1579, Brian Hurst, Treasurer		
Disposition		
Closed		
Headnotes		
Respondent filed campaign finance statement due July 7, 2003 and paid \$55 penalty on September 11, 2003 to Secretary of the State before SEEC's letter was sent out. Closed		

File Number	Date Filed	Date Closed
2003 - 206 - NF	9/10/2003	10/1/2003
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
Leadership for Democratic Majority, Michael Sousa, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file Campaign finance statement due July 7, 2003, in violation of 9-333j & 9-333y. Respondent filed Statement of Receipt & Expenditures with Secretary of the State and paid civil penalty of \$165 on September 29, 2003 for compliance.		

File Number	Date Filed	Date Closed
2003 - 207 - NF	9/10/2003	9/15/2003
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
New Britain Police Union PAC, Christopher William, Treasurer`		
Disposition		
Compliance		
Headnotes		
Respondent filed campaign financial statement due July 7, 2003 on September 12, 2003 with the Secretary of the State along with payment of \$55. Closed file per JBG.		

File Number	Date Filed	Date Closed
2003 - 208 - NF	9/10/2003	9/29/2003
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
New Canaan Republican Town Committee, Gordon Jones, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due July 7, 2003, in violation of 9-333j and 9-333y. Respondent filed Statement of Receipt & Expenditures (Form ED-45) and paid \$220 civil penalty on 9-29-2003 for compliance.		

File Number	Date Filed	Date Closed
2003 - 209 - NF	9/10/2003	9/22/2003
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
RDEEA PAC, Yvonne Sims, Treasurer		
Disposition		
Compliance		
Headnotes		
Respondent filed campaign finance statement due July 7, 2003 and paid \$55 penalty to Secretary of the State on or about 9-18-2003 for compliance.		

File Number	Date Filed	Date Closed
2003 - 210 - NF	9/10/2003	9/24/2003
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
Westbrook Democratic Town Committee, Anthony Marino, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due July 7, 2003, in violation of 9-333j and 9-333y. Respondent filed Statement of Receipts & Expenditures and paid civil penalty of \$165 for compliance.		

File Number	Date Filed	Date Closed
2003 - 211 - NF	9/10/2003	10/17/2003
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
Winchester Democratic Town Committee, Samuel Chapman, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due July 7, 2003 in violation of 9-333j and 9-333y. Treasurer resigned July 7. Chairman and Acting Treasurer Steven Sedlack filed Exemption from Itemized Reporting (ED-46) and paid civil penalty for compliance.		

File Number	Date Filed	Date Closed
2003 - 212 - LNF	9/10/2003	9/15/2003
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
Penelope Bellamy, Lobbyist		
Disposition		
Compliance		
Headnotes		
Failed to file Itemized Disclosure of Campaign Contributions and Purchases by a Lobbyist due July 10, 2003. Lobbyist report (Form ED-50) filed September 15, 2003 with Secretary of the State and civil penalty of \$55 for Compliance before SEEC letter sent.		

File Number	Date Filed	Date Closed
2003 - 213 - LNF	9/10/2003	9/17/2003
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
Heather Bercham, Lobbyist		
Disposition		
Compliance		
Headnotes		
Failed to file lobbyist annual campaign finance filing due July 10, 2003, in violation of 9-333l(g) and 9-333y. Respondent filed Certification of Exemption from Filing Itemized Disclosure of Contributions and Purchases by a Lobbyist on September 17, 2003 along with civil penalty of \$110 for compliance.		

File Number	Date Filed	Date Closed
2003 - 214 - LNF	9/10/2003	10/7/2003
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
Mark Brennan, Lobbyist		
Disposition		
Compliance		
Headnotes		
Failed to file lobbyist annual campaign finance filing due July 10, 2003, in violation of 9-3331(g) and 9-333y. Respondent filed Itemized Disclosure of Campaign Contributions & Purchases by a Lobbyist and paid \$110 civil penalty on November 6, 2003 for compliance.		

File Number	Date Filed	Date Closed
2003 - 215 - LNF	9/10/2003	10/6/2003
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
John Chapin, Lobbyist		
Disposition		
Compliance		
Headnotes		
Failed to file lobbyist annual campaign finance filing due July 10, 2003, in violation of 9-3331(g) and 9-333y. Respondent filed Itemized Disclosure of Campaign Contributions and Purchases by a Lobbyist and paid \$110 civil penalty on October 6, 2003 for compliance.		

File Number	Date Filed	Date Closed
2003 - 216 - LNF	9/10/2003	9/30/2003
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
Evelyn Daly, Lobbyist		
Disposition		
Voluntary compliance		
Headnotes		
Lobbyist filed Certification of Exemption from Filing Itemized Disclosure of Contributions and Purchases by a Lobbyist on September 15, 2003 with the Secretary of the State, effecting voluntary compliance prior to SEEC action.		

File Number	Date Filed	Date Closed
2003 - 217 - LNF	9/10/2003	9/10/2003
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
Hector Figueroa, Lobbyist		
Disposition		
Closed		
Headnotes		
Referred in error		

File Number	Date Filed	Date Closed
2003 - 218 - LNF	9/10/2003	9/30/2003
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
David Fisfis, Lobbyist		
Disposition		
Compliance		
Headnotes		
Failed to file lobbyist annual campaign filing July 10, 2003, in violation of 9-333l and 9-333y. Respondent filed Certification of Exemption From Filing Itemized Disclosure of Campaign Contributions & Purchased by a Lobbyist (Form ED-51) and paid civil penalty of \$110 for compliance.		

File Number	Date Filed	Date Closed
2003 - 219 - LNF	9/10/2003	9/10/2003
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
Kevin Fuller, Lobbyist		
Disposition		
Closed		
Headnotes		
Referred in error.		

File Number	Date Filed	Date Closed
2003 - 220 - LNF	9/10/2003	10/1/2003
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
Joanne Gibau, Lobbyist		
Disposition		
Compliance		
Headnotes		
Failed to file lobbyist annual campaign finance filing due July 10, 2003, in violation of 9-3331 and 9-333y. Respondent filed Certification of Exemption filed (ED-51) and paid civil penalty of \$110 for compliance.		

File Number	Date Filed	Date Closed
2003 - 221 - LNF	9/10/2003	11/7/2003
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
Mary Phil Guinan, Lobbyist		
Disposition		
Compliance		
Headnotes		
Failed to file lobbyist annual campaign finance filing due July 10, 2003, in violation of 9-3331(g) and 9-333y. Respondent filed Certificate of Exemption From Filing Itemized Disclosure of Contributions and Purchases by a Lobbyist, and paid civil penalty of \$165 for compliance.		

File Number	Date Filed	Date Closed
2003 - 222 - LNF	9/10/2003	10/6/2003
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
Armando Paolino, Lobbyist		
Disposition		
Compliance		
Headnotes		
Failed to file lobbyist annual campaign finance filing due July 10, 2003, in violation of 9-3331(g) and 9-333y. Respondent filed Itemized Disclosure of Campaign Contributions and Purchases by a Lobbyist (Form ED-50) and paid civil penalty of \$110 for compliance.		

File Number	Date Filed	Date Closed
2003 - 223 - LNF	9/10/2003	11/18/2003
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
Paul Savage, Lobbyist		
Disposition		
Compliance		
Headnotes		
Failed to file lobbyist annual campaign finance filing due July 10, 2003, in violation of 9-3331(g) and 9-333y. Respondent filed Certification of Exemption From Filing Itemized Disclosure of Campaign Contributions and Purchases by a Lobbyist (Form ED-51) and paid \$110 civil penalty for compliance.		

File Number	Date Filed	Date Closed
2003 - 224 - LNF	9/10/2003	9/10/2003
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
David Spigelmyer, Lobbyist		
Disposition		
Closed		
Headnotes		
Referred in error.		

File Number	Date Filed	Date Closed
2003 - 225 - LNF	9/10/2003	9/29/2003
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
Keith Stover, Lobbyist		
Disposition		
Compliance		
Headnotes		
Failed to file lobbyist annual campaign finance filing due July 10, 2003, in violation of 9-3331(g) and 9-333y. Respondent filed Itemized Disclosure of Campaign Contributions and Purchases by a Lobbyist (Form ED-50) and paid civil penalty of \$275 for compliance		

File Number	Date Filed	Date Closed
2003 - 226 - LNF	9/10/2003	10/1/2003
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
Thomas Swan, Lobbyist		
Disposition		
Compliance		
Headnotes		
Failed to file lobbyist annual campaign finance filing due July 10, 2003, in violation of 9-3331 and 9-333y. Respondent filed Certification of Exemption from Filing Itemized Disclosure of Campaign Contributions and Purchases by a Lobbyist (ED-51) and paid civil penalty of \$110 for compliance.		

File Number	Date Filed	Date Closed
2003 - 227 - LNF	9/10/2003	9/30/2003
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
Gary Waterhouse, Lobbyist		
Disposition		
Compliance		
Headnotes		
Failed to file lobbyist annual campaign finance filing due July 10, 2003, in violation of 9-3331(g) and 9-333y. Respondent filed Itemized Disclosure of Campaign Contributions and Purchases by a Lobbyist (Form ED-50) and paid \$265 civil penalty on 9-29-2003 for compliance.		

File Number	Date Filed	Date Closed
2003 - 228 - NF	9/10/2003	9/30/2003
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
Gomes for State Senator, Robert Walsh, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due July 14, 2003 (90 days after Special Election), in violation of 9-333j and 9-333y. Respondent filed Statement of Receipts & Expenditures (Form ED-45) and paid civil penalty to Secretary of the State on 8/12/03 for compliance. Confirmation of payment and filing received from Secretary of the State on 9/30/03.		

File Number	Date Filed	Date Closed
2003 - 229 - NF	9/10/2003	2/17/2004
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
Committee to Elect Samuel Baldwin, Brenda B. Young, Treasurer		
Disposition		
Headnotes		
Failed to file campaign finance disclosure statement due August 1, 2003, in violation of 9-333j and 9-333y. Hearing Officer's report adopted as Final Decision on 12-17-03 by Commission.		

File Number	Date Filed	Date Closed
2003 - 230 -	9/10/2003	12/17/2003
Complainant		
Eleanor A. Burke, Ronald Mazzey, Linda Palermo		
Complainant's Town		
Stratford		
Respondents		
Dean Paradise, Republican Registrar of Voters		
Disposition		
Consent Agreement and Order		
Headnotes		
Registrar of Voters violated 9-436(e) by not notifying candidates in a primary of opportunity to designate primary officials and by making appointments of primary officials not equally represented by endorsed and challenge candidates. Consent Agreement and Order and payment of civil penalty of \$250 on December 16, 2003.		

File Number	Date Filed	Date Closed
2003 - 231 -	9/12/2003	10/29/2003
Complainant		
Peter J. Tracey		
Complainant's Town		
Vernon		
Respondents		
Disposition		
Findings and Conclusions/Dismissed		
Headnotes		
Commission found insufficient evidence to establish any violation of 9-333a, 9-333b, 9-333h (reporting of in-kind donations). Findings and Conclusions dismissing matter.		

File Number	Date Filed	Date Closed
2003 - 232 -	9/12/2003	11/19/2003
Complainant		
Garth W. McElya		
Complainant's Town		
New Haven		
Respondents		
Disposition		
Findings and Conclusions/Dismissed		
Headnotes		
Dismissed. Registrar of voters restored complainant's electoral privileges in accordance with Section 9-46a.		

File Number	Date Filed	Date Closed
2003 - 233 -	9/15/2003	2/11/2004
Complainant		
Astrid H. Robitaille		
Complainant's Town		
Winsted		
Respondents		
Disposition		
Findings and Conclusions/Dismissed		
Headnotes		
Commission found no violation of absentee ballot provisions of 9-147c and adopted Findings and Conclusion dismissing complaint.		

File Number	Date Filed	Date Closed
2003 - 234 -	9/17/2003	11/19/2003
Complainant		
Ann Devlin		
Complainant's Town		
Branford		
Respondents		
Christine Collins, Marie Watson		
Disposition		
Consent Agreements and Orders		
Headnotes		
Respondent Watson, Consent Agreement and Order, Henceforth comply with 9-236 not to enter polling place except to cast a vote & 9-436a (ineligible to present as a checker at September 9, 2003 primary)and paid civil penalty of \$100. Respondent Collins, as moderator, Consent Agreement and Order, hence forth to comply with 9-236 to keep unauthorized persons from entering polling place.		

File Number	Date Filed	Date Closed
2003 - 235 -	9/25/2003	12/17/2003
Complainant		
Frances Knipple & Janis Murtha, Registrars of Voters		
Complainant's Town		
South Windsor		
Respondents		
Maricelys Maldonado		
Disposition		
Consent Agreement and Order		
Headnotes		
See also 2003-236 and 237. Consent Agreement and order adopted on 12/17/04. Civil Penalty of \$2,000 paid and order that Respondent send letters of apology to Registrars of Voters of 13 towns for fraudulently submitting voter registration cards while working as a voter registration officer, in violation of 9-8, 9-23g(b), 9-357 and 9-361.		

File Number	Date Filed	Date Closed
2003 - 236 -	9/25/2003	12/17/2003
Complainant		
Barbara King, Registrar of Voters		
Complainant's Town		
Manchester		
Respondents		
Maricelys Maldonado		
Disposition		
Consent Agreement and Order		
Headnotes		
See also 2003-235 and 237. Consent Agreement and Order adopted on 12-17-2003 and civil penalty of \$2000 paid, along with order that Respondent send letters of apology to Registrars of Voters of 13 towns for fraudulently submitting voter registration cards while working as a voter registration officer.		

File Number	Date Filed	Date Closed
2003 - 237 -	9/25/2003	12/17/2003
Complainant		
Mary Mourey & Margaret Byrnes, Registrars of Voters		
Complainant's Town		
East Hartford		
Respondents		
Maricelys Maldonado		
Disposition		
Consent agreement and Order		
Headnotes		
See also 2003-235 and 236. Consent Agreement and Order adopted on 12-17-03 and civil penalty of \$2000 paid, along with order that Respondent send letters of apology to Registrars of Voters in 13 towns for fraudulently submitting voter registration cards while working as a voter registration officer, in violation of 9-8,9 23g(b), 9-357, 9-361		

File Number	Date Filed	Date Closed
2003 - 238 -	8/29/2003	1/14/2004
Complainant		
Michael F. Doyle		
Complainant's Town		
New London		
Respondents		
Walter Watson, President of New London Board of Education		
Disposition		
Consent Agreement and Order		
Headnotes		
9-369b prohibits use of public funds to influence a person to vote to approve or disapprove a referendum question. Commission adopted Agreement containing consent order to pay a civil penalty and for the New London Board of Education to henceforth comply with CGS 9-369b. Civil penalty of \$200 paid.		

File Number	Date Filed	Date Closed
2003 - 239 -	9/30/2003	3/24/2004
Complainant		
Tracy Morrissey		
Complainant's Town		
West Haven		
Respondents		
Nicholas DeMaio; Fidelis Lazar; Dean Fradiani; Mary Burke; Mary Tracy; Nadyne Capone, Assistant Registrar of Voters, and official checkers, Mary Marino		
Disposition		
Consent Agreements and Orders		
Headnotes		
2/11/04 Consent Agreement adopted with six election officials, with order to henceforth comply with CGS 9-307 (certificates on the official registry lists were not properly completed at conclusion of 9-9-2003 primary.) Consent Agreements with Democratic Registrar of Voters, Mary Marino who paid \$2,500 fine 3/24/2004 for violations of 9-307, 9-42, 9-436(e) and 9-249, in contravention of prior order of the Commission.		

File Number	Date Filed	Date Closed
2003 - 240 -	10/7/2003	12/17/2003
Complainant		
Michael Downes		
Complainant's Town		
North Branford		
Respondents		
Mary K. Hart & Ashley Clow Joiner, North Branford Democratic Town Committee		
Disposition		
Consent Agreements and Orders		
Headnotes		
Respondent Joiner, Henceforth comply with 9-333h(d) and not simultaneously serve as town committee treasurer and have the town committee solely fund her campaign for election to office; Respondent Hart violated 9-333w(a) by failing to include words "paid for by" in a circular, so henceforth comply with 9-3333w(a) and pay civil penalty of \$200.		

File Number	Date Filed	Date Closed
2003 - 241 -	10/9/2003	12/17/2003
Complainant		
William A. Marchand		
Complainant's Town		
New Hartford		
Respondents		
Daria Hart		
Disposition		
Findings and Conclusions		
Headnotes		
Commission will take no further action against Respondent. Ms. Hart was not acting on behalf of the Town when she posted a candidates' event on the Town website and posting of the announcement was not made in bad faith, once she was made aware of the problem, she corrected it.		

File Number	Date Filed	Date Closed
2003 - 242 -	10/9/2003	12/24/2003
Complainant		
Jori-Ann E. Rusnack		
Complainant's Town		
Cheshire		
Respondents		
Justin Adinolfi		
Disposition		
Consent Agreement and Order		
Headnotes		
<p>Commission found that respondent , a candidate for municipal office, failed to file an ED-49 to establish a single candidate committee prior to making an expenditure towards his candidacy and unintentionally violated 9-333d and that committee failed to file a campaign finance disclosure statement pursuant to 9-333j. Agreement containing consent order to pay a civil penalty for violations of CGS 9-333d & 9-333j adopted by Commission on 12-17-03. Paid \$150 on Dec. 31, 2003</p>		

File Number	Date Filed	Date Closed
2003 - 243 -	10/9/2003	11/3/2003
Complainant		
Frank Pagliaro		
Complainant's Town		
Shelton		
Respondents		
Disposition		
Dismissed, no further action		
Headnotes		
<p>No violation of 9-333w, in that two pieces of literature complained of did not advocate the election or defeat of a clearly identified candidate. Dismissed by letter per JBG, no further action</p>		

File Number	Date Filed	Date Closed
2003 - 244 -	10/10/2003	2/11/2004
Complainant		
Neil A. Dupont		
Complainant's Town		
Canterbury		
Respondents		
Paul R. Santoro, Chairperson; and Donna M. Santoro, Treasurer; Canterbury First		
Disposition		
Consent Agreement and Order		
Headnotes		
<p>Commission concluded that unintentional allocation of funds of \$546.14 between Canterbury First and Paul R. Santoro Candidate Committee to produce newsletters where the Chair of the ongoing political committee and the candidate are the same individual is not permitted under 9-333f, 9-333r and 9-333w. Respondents paid civil forfeiture of \$546.14, and Henceforth to comply with 9-333r and 9-333w.</p>		

File Number	Date Filed	Date Closed
2003 - 245 - NF	10/16/2003	3/29/2004
Complainant		
Carolyn Z. Soltis, Cheshire Town Clerk		
Complainant's Town		
Cheshire		
Respondents		
M. John Lyons		
Disposition		
Matter referred to Attorney General		
Headnotes		
<p>Respondent failed to file a candidate committee or exemption form, as required by 9-333f. Hearing held on 1/14/04, H.O. Joan Jenkins, Report adopted by Commission on Feb. 11, 2004 as a final decision. Mr. Lyons ordered to pay Civil penalty of \$250. As of 3/29, no payment and matter referred to Attorney General for enforcement. Payment received from AG office on 8/16/04, check from John Lyons for \$250.</p>		

File Number	Date Filed	Date Closed
2003 - 246 -	9/17/2003	12/17/2003
Complainant		
Richter Elser		
Complainant's Town		
New Haven		
Respondents		
Nicholas Colavolpe, Treasurer, Citizens to Elect Campion		
Disposition		
Consent Agreement and Order		
Headnotes		
Commission adopted Agreement containing henceforth order to respondent to properly print attributions on campaign literature, and thus not to violate sec. 9-333w.		

File Number	Date Filed	Date Closed
2003 - 247 -	10/20/2003	1/14/2004
Complainant		
Vincent Marino		
Complainant's Town		
Orange		
Respondents		
Mitchell Goldblatt, and J. Philip Smith, Treasurer, for Friends of Mitch Goldblatt & Orange Democratic Town Committee		
Disposition		
Consent Agreement and Order		
Headnotes		
Commission found no violation of 9-333r, as alleged, because Town Committee paid pro rata share of advertising for joint ads. However, ads contained the wrong attribution in violation of 9-333w, as they should also have included that the ads were paid for by the Orange Dem. Committee as well as Friends of Mitch Goldblatt. Respondent Smith: Consent Agreement and Order to henceforth comply with 9-333w. Civil penalty of \$200 paid.		

File Number	Date Filed	Date Closed
2003 - 248 -	10/21/2003	3/24/2004
Complainant		
Kathryn A. Dennen		
Complainant's Town		
Oxford		
Respondents		
Wayne Watt, and Patricia Vizzo, Treasurer, Committee to Elect Augie Palmer		
Disposition		
Consent Agreements and Orders		
Headnotes		
<p>Respondent Watt: Commission found violations of: 9-333f, as respondent was not permitted to designate a candidate committee of another candidate as his fundraising vehicle; 9-333r, as a candidate committee made contributions to another candidate committee; 9-333x(10), as respondent received improper contributions from a candidate committee in the form of joint expenditures. Commission adopted Agreement containing henceforth order and a forfeiture of \$1,423.92 paid. Respondent Vizzo: Commission adopted Agreement containing henceforth order and forfeiture of \$1268.26 for violation of CGS 9-333r.</p>		

File Number	Date Filed	Date Closed
2003 - 249 -	10/22/2003	4/21/2004
Complainant		
Gale Alexander		
Complainant's Town		
New Milford		
Respondents		
Disposition		
Findings and Conclusions/Dismissed		
Headnotes		
<p>Commission found insufficient evidence to identify any individual with the anonymous postcard against two municipal candidates, which lacked the attribution required by 9-333w. Commission adopted Findings and Conclusions dismissing complaint.</p>		

File Number	Date Filed	Date Closed
2003 - 250 -	10/22/2003	1/8/2004
Complainant		
Clarence Jennings		
Complainant's Town		
Easton		
Respondents		
Jonathan Rosenthal, Treasurer for Education for Easton; W. Derek Buckley, Town Clerk, Easton		
Disposition		
Consent Agreements and Orders		
Headnotes		
Respondent Buckley: Consent Agreement with henceforth order to comply with 9-333y informing committees of their requirement to file campaign financial statements, and civil penalty of \$300 paid. Respondent Rosenthal, Consent Agreement with henceforth order to comply with 9-333y and civil penalty of \$500 paid.		

File Number	Date Filed	Date Closed
2003 - 251 -	10/27/2003	2/11/2004
Complainant		
George J. Franek, Jr., Donald Pitkin, Stephanie Labanowski & Joe Kronen		
Complainant's Town		
West Hartford		
Respondents		
Mayor Timothy D. Larson		
Disposition		
Consent Agreement and Order		
Headnotes		
Respondent Mayor violated 9-333l(d)(2) by including his name and picture in a pamphlet inserted in a newspaper several weeks prior to the election. Reimbursement of cost of advertisement \$1,812.95 made to City of East Hartford by respondent's candidate committee. Commission adopted Consent Order with reimbursement requirement and henceforth order to comply with 9-333l(d). No violation of 9-333l(d)(1) found.		

File Number	Date Filed	Date Closed
2003 - 252 -	10/27/2003	2/11/2004
Complainant		
Andrew S. Garfunkel		
Complainant's Town		
Norwalk		
Respondents		
Lori Lyons		
Disposition		
Consent Agreement and Order		
Headnotes		
Respondent violated CGS sec. 9-140 by failing to sign an absentee ballot application as an assister. Respondent signed Consent Order and paid civil penalty of \$200. She was henceforth ordered to strictly comply with sec. 9-140.		

File Number	Date Filed	Date Closed
2003 - 253 -	10/28/2003	4/21/2004
Complainant		
Heather Siano		
Complainant's Town		
Waterbury		
Respondents		
Andre Michaud, agent, Sports Page Magazine LLC		
Disposition		
Consent Agreement and Order		
Headnotes		
Commission found that Respondent corporation violated 9-333o by making an expenditure to benefit a candidate's campaign, and 9-333d by not forming a political committee to legally make such expenditure. Commission adopted Agreement including civil penalty of \$250 and order to henceforth comply with 9-333d and 9-333o.		

File Number	Date Filed	Date Closed
2003 - 254 -	10/28/2003	2/11/2004
Complainant		
Charles A. Pillsbury		
Complainant's Town		
New Haven		
Respondents		
Alfreda J. Edwards		
Disposition		
Consent Agreement and Order		
Headnotes		
Commission found that incumbent municipal candidate's dissemination of correspondence constituted advocacy using public funds in violation of 9-3331(d), and adopted Consent Agreement including payment of \$50 civil penalty and reimbursement to City of New Haven of \$41.70, and Henceforth Order to comply with 9-3331(d).		

File Number	Date Filed	Date Closed
2003 - 255 -	10/28/2003	5/24/2004
Complainant		
Linda Grace		
Complainant's Town		
Bridgeport		
Respondents		
Amy Wanamaker, Deputy Treasurer of Believe in Bridgeport Committee, Diane Jimenez, Treasurer, Believe in Bridgeport, Candidate committee for John Fabrizi for Mayor		
Disposition		
Consent Agreement and Order		
Headnotes		
Commission adopted on 5/19/04 Consent Agreement with Deputy treasurer Wanamaker. In mayoral campaign grossing over \$400,000 Respondent committed a single violation of 9-333j(c)(1)(H) by failing to disclose the occupation and employer of a contributor of over \$100, who had disclosed such info to the campaign. Henceforth order to comply with 9-333j(c). Failure of disclosure explained by respondent as computer failure to import data from Excel to Access database, not a valid defense.		

File Number	Date Filed	Date Closed
2003 - 256 -	10/30/2003	1/14/2004
Complainant		
Jennifer B. Tomasello		
Complainant's Town		
Farmington		
Respondents		
William F. Bonk		
Disposition		
Consent Agreement and Order		
Headnotes		
Respondent's candidate committee violated 9-333r by contributing to another candidate committee, and 9-333w by failing to include required attribution on a campaign advertisement. Respondent signed a Consent Agreement and paid a civil penalty of \$200, and was ordered to henceforth strictly comply with the requirements of Sec. 9-333r and 9-333w.		

File Number	Date Filed	Date Closed
2003 - 257 -	10/30/2003	12/17/2003
Complainant		
Salvatore Follo III		
Complainant's Town		
East Haven		
Respondents		
Disposition		
Findings and Conslusions/Dismissed		
Headnotes		
Commission adopted Findings and Conclusions that mayoral candidate committee did not violate 9-140 by disseminating absentee ballot applications along with materials promoting candidate's re-election, as the applications disseminated were blank; and there was no violation of 9-333l(d) in that public funds were not used to promote the candidate. Commission dismissed the complaint.		

File Number	Date Filed	Date Closed
2003 - 258 -	10/31/2003	3/24/2004
Complainant		
J. Lawrence Price		
Complainant's Town		
West Hartford		
Respondents		
Allen Hoffman, Treasurer, West Hartford Republican Town Committee; Amy Goldman, Treasurer, Committee to Re-Elect Rob Bouvier for Council; & Rob Bouvier		
Disposition		
Consent Agreements and Orders		
Headnotes		
Amy Goldman: Commission found that the candidate committee made expenditures for the benefit of another candidate committee in violation of 9-333r; and ordered henceforth to comply with 9-333r; Rob Bouvier: henceforth comply with 9-333r and \$1,000 civil penalty. Respondent Allen Hoffman: Commission found that respondent unintentionally failed to send valuation notices of expenditures benefitting other committees, in violation of 9-333h (a). Commission orders henceforth comply with 9-333h(a) and forfeiture from West Hartford Republican Town Committee the amount of \$3,672.89.		

File Number	Date Filed	Date Closed
2003 - 259 -	10/31/2003	12/17/2003
Complainant		
Judith A. Coughlin		
Complainant's Town		
Waterford		
Respondents		
Disposition		
Findings and Conclusions/Dismissed		
Headnotes		
Commission found no violation of 9-333h(d). Candidate for tax collector (who was also Treasurer of town party committee) did not violate prohibition against serving as her own treasurer. She filed a B-4 indicating that she was exempt because she did not intend to spend or receive more than \$1,000, NOT that the party town committee was financing her campaign. Commission dismissed complaint.		

File Number	Date Filed	Date Closed
2003 - 260 -	11/3/2003	12/17/2003
Complainant		
Charles E. Delinks		
Complainant's Town		
Old Lyme		
Respondents		
Disposition		
Findings and Conclusions/Dismissed		
Headnotes		
<p>Commission found that letter authored by school official and sent home with children was not intended to promote or endorse the re-election of a Board of Education member in the November 2003 municipal election, and thus did not violate 9-333l(d) prohibiting the use of public funds to promote an incumbent for re-election. Commission dismissed complaint.</p>		

File Number	Date Filed	Date Closed
2003 - 261 -	11/3/2003	4/21/2004
Complainant		
Lisa R. Carver		
Complainant's Town		
New Britain		
Respondents		
Lucian J. Pawlak, Mayor of New Britain		
Disposition		
Consent Agreement and Order		
Headnotes		
<p>Commission found violation of 9-333l(d)(2) when respondent's name and face were featured in a newspaper promotional campaign or advertisement that was paid for with public funds while the respondent was an incumbent candidate for mayor. Commission ordered respondent to reimburse the City of New Britain in the amount of \$615.47, and henceforth comply with 9-333l(d).</p>		

File Number	Date Filed	Date Closed
2003 - 262 -	11/3/2003	3/24/2004
Complainant		
Paul S. Coggin		
Complainant's Town		
East Norwalk		
Respondents		
Jon Nathanson, Treasurer, Norwalk Republican Town Committee; & Lou D'Acunto		
Disposition		
Consent Agreements and Orders		
Headnotes		
Jon Nathanson: Signed Consent Agreement & Order and paid civil penalty of \$1500 after Commission found that Norwalk Rep. Town Committee did not disclose in its financial disclosure statement an agreement to pay for part of a billboard, mis-identified an expenditure in violation of 9-333j, and that the billboard lacked an attribution, in violation of 9-333w. Lou D'Acunto: Commission found that respondent's failure to file a Statement of Organization prior to making billboard expenditures advocating for a candidate and omission of attribution on the billboards violated 9-333d and 9-333w and the unformed committee's failure to file a campaign finance statement violated 9-333j. Respondent signed Consent Agreement with payment of civil penalty of \$2426 and Henceforth order to comply with statutes and to amend report. D'Acunto's payment plan: last payment paid in		

File Number	Date Filed	Date Closed
2003 - 263 -	11/5/2003	2/11/2004
Complainant		
Darlene A. DiProto, Town Clerk		
Complainant's Town		
Cromwell		
Respondents		
Dave Beauchemin		
Disposition		
Closed, no further action		
Headnotes		
Commission reviewed and determined to take no further action, as no violation of 9-333l(d) or use of public funds occurred by sending a political communication to a public email address, and there had been substantial compliance with 9-333w as to attribution. Staff directed to send Respondent a letter reminding him to include "Paid for by" on future campaign communications.		

File Number	Date Filed	Date Closed
2003 - 264 -	11/6/2003	3/24/2004
Complainant		
Robert R. Missinne, Jr.; Matthew Paulsen;Diana Szatkowski;William Egbert;Eugene Duffy; Bob Crnic		
Complainant's Town		
Bethel		
Respondents		
Mary O'Leary & Mary Legnard, Registrars of Voters; John Demko, Thomas Ryan, and Roger Walsh, voting machine mechanics		
Disposition		
Consent Agreements and Orders		
Headnotes		
<p>Commission adopted 3 Consent Orders 3/24/04 making payment due within 60 days(May 23) for the 2 ROVs \$2800 each for violations of 9-243, 9-244 and 9-247. Civil penalties for mechanics Demko and Ryan \$1400 each for violations of 9-246(a) & (b) and both are decertified. Respondent mechanic Walsh civil penalty of \$400 for violation of 9-246(b), failure to file election day repair report. 15 machines, all used town wide were set up incorrectly for municipal election & there was a failure to properly test vote. Commission gave Town of Bethel additional time for payment. Check for \$8800 received from Town of Bethel on behalf of all respondents 6/22/04 for compliance.</p>		

File Number	Date Filed	Date Closed
2003 - 265 -	11/7/2003	2/11/2004
Complainant		
George H. Comer, Registrar of Voters		
Complainant's Town		
Bridgeport		
Respondents		
John Nagy, Jr.		
Disposition		
Consent Agreement		
Headnotes		
<p>Commission adopted Agreement containing henceforth order and reprimand for a violation of CGS 9-23g because respondent completed a voter registration application using a residence in Easton at which he did not reside.</p>		

File Number	Date Filed	Date Closed
2003 - 266 -	11/10/2003	4/21/2004
Complainant		
Anessa L. Haney, Moderator, District 1		
Complainant's Town		
Putnam		
Respondents		
Cynthia J. Dunne; Mayor Daniel Rovero; Robert Swenson, Acting Democratic Registrar of Voters; & Edward J. Peron, Putnam Chief of Police		
Disposition		
Findings and Conclusions		
Headnotes		
All parties to this matter were henceforth ordered to ensure their understanding of and comply with the provisions of Sec. 9-236, that only certain persons are allowed within the polling place, except for the purpose of casting a vote. No civil penalties imposed.		

File Number	Date Filed	Date Closed
2003 - 267 -	11/10/2003	3/24/2004
Complainant		
Cynthia Clark & George Lapadula		
Complainant's Town		
Middletown		
Respondents		
Disposition		
Findings and Conclusions/Dismissed		
Headnotes		
Commission found that allegation that lever & electronic voting machines not set up properly for write-in candidate unfounded. Also, because instructional postings were complied with, there was insufficient evidence to prove a violation of 9-363. Commission dismissed the complaint. There was a mechanical problem with congealed grease on the G-latch of four out of 25 lever machines, but no human error or statutory violations.		

File Number	Date Filed	Date Closed
2003 - 268 -	11/13/2003	12/17/2003
Complainant		
Joseph C. Sheffey, Jr.		
Complainant's Town		
Uncasville/Montville		
Respondents		
Disposition		
Findings and Conclusions		
Headnotes		
Commission found that, upon inspection and test voting, machine #158388 was functioning properly and no reports on Election Day of a malfunctioning voting machine came in to any election official of the town and district, so no violation of sec. 9-244. Commission dismissed the complaint.		

File Number	Date Filed	Date Closed
2003 - 269 -	11/18/2003	3/24/2004
Complainant		
William W. Mumford III		
Complainant's Town		
Wethersfield		
Respondents		
Gary Santoro, Fred J. Valente, Mechanics; Mary-Ann McFarland, Pamela Rapacz, Registrars of Voters; and Thomas Capobianco, Moderator		
Disposition		
Headnotes		
Respondent Capobianco: Commission adopted Agreement containing compliance order for moderator to continue to comply with 9-261 and 9-263. Respondents McFarland and Rapacz: Commission found that respondents violated 9-244 and 9-247 by not properly test voting the machine in question and certifying that machine was in proper condition when it was not, and violated 9-263 by putting a repaired machine back into service. Agreement containing henceforth order and civil penalty of \$150 for each respondent. Santoro and Valente: Commission found that Respondents violated 9-246(a) by improperly certifying machines when one of the two certified was in fact not properly set up. Agreement containing civil penalty of \$50 each and henceforth order to comply with 9-243, 9-246, 9-247.		

File Number	Date Filed	Date Closed
2003 - 270 -	11/17/2003	5/19/2004
Complainant		
Andreas Connal-Nicolaou		
Complainant's Town		
Preston		
Respondents		
Disposition		
Findings and Conclusions/Dismissed		
Headnotes		
Commission found that ballot language used in columns 11 & 12 of the ballot label in 11/4/03 municipal election, "Vote for any two, two to be elected, not more than one from each party", was consistent with Secs. 9-204- 9-204a, 9-261 & 9-414. Complaint dismissed.		

File Number	Date Filed	Date Closed
2003 - 271 - B	11/18/2003	6/16/2004
Complainant		
Matthew Fitch		
Complainant's Town		
Hamden		
Respondents		
Kellie Gleeson		
Disposition		
Consent Agreement and Order		
Headnotes		
Commission adopted Consent Order on 6-16-04 with Kellie Gleeson, including \$500 civil penalty and henceforth order for violations of 9-23g & 9-170 for registering to vote and voting in a different council district than the one in which she lived, and listing incorrect address on voter registration application under penalties of perjury.		

File Number	Date Filed	Date Closed
2003 - 272 -	11/18/2003	1/14/2004
Complainant		
Jeffrey T. Kriete		
Complainant's Town		
Westbrook		
Respondents		
Disposition		
Findings and Conclusions/Dismissed		
Headnotes		
Commission adopted Findings and Conclusions that school official's newsletter did not mention any candidate or party nor solicit contributions for a candidate and thus did not violate 9-3331(d). Complaint dismissed.		

File Number	Date Filed	Date Closed
2003 - 273 -	11/20/2003	4/21/2004
Complainant		
Louis Salvio		
Complainant's Town		
New Britain		
Respondents		
Millie Herrera; Raul Mercado		
Disposition		
Findings & Conclusions/Dismissed as to Millie Herrera; Consent Agreement and Order as to Raul Mercado		
Headnotes		
Commission adopted Findings and Conclusions dismissing matter with regard to Millie Herrera. Commission adopted Consent Agreement and Order with regard to Raul Mercado, Henceforth order to comply with 9-140a and 9-140b(a) regarding assisters in absentee ballot applications and handling of absentee ballots.		

File Number	Date Filed	Date Closed
2003 - 275 -	11/20/2003	5/19/2004
Complainant		
Linda Palermo		
Complainant's Town		
Stratford		
Respondents		
Katrina Henrick, Treasurer for "Henrick for Council '03"		
Disposition		
Consent Agreement and Order		
Headnotes		
<p>Commission found Respondent misreported or failed to report certain contributions and expenditures on the Committee's financial disclosure statement of 10-7-2003, in violation of CGS 9-333h(a), 9-333i(e) & (f), 9-333j(c). Commission ordered a civil penalty of \$200 and treasurer is to pay \$175 as a forfeiture of funds for the failure to keep adequate contributor information. Respondent shall terminate committee upon payment of forfeiture and henceforth comply with CGS 9-333h(a), 9-333i(e) and (f), 9-333j(c)(1)(A), 9-333j(c)(1)(C), 9-333j(c)(3).</p>		

File Number	Date Filed	Date Closed
2003 - 277 -	12/2/2003	1/14/2004
Complainant		
William Francis		
Complainant's Town		
Vernon		
Respondents		
Disposition		
Findings and Conclusions/Dismissed		
Headnotes		
<p>Commission adopted Findings and Conclusions finding that public school union newsletter was not produced by an incumbent nor using public funds to bring about re-election, and was not an advertisement described in 9-333l(d) (2), so that no violation of 9-333l(d) occurred in distributing the newsletter. Commission dismissed complaint.</p>		

File Number	Date Filed	Date Closed
2003 - 279 -	12/4/2003	5/19/2004
Complainant		
Jon Brayshaw, Chairman, Middlefield Republican Town Committee		
Complainant's Town		
Middlefield		
Respondents		
Disposition		
Findings and Conclusions/Dismissed		
Headnotes		
Commission found no expenditure or contribution, pursuant to 9-333b(b)(2) or 9-333c(b)(2) by an association because association sent communication only to members, falling clearly within exemption to definition of expenditure and contribution which might otherwise trigger requirement to either register as a political committee or include an attribution on a political communication (9-333w). Commission dismissed complaint.		

File Number	Date Filed	Date Closed
2003 - 280 -	12/12/2003	6/16/2004
Complainant		
Louis G. Salvio		
Complainant's Town		
New Britain		
Respondents		
Audrey Schulde; Adele Pomerleau; Sandra Gazzo; Diana Morache		
Disposition		
Consent Agreements and Orders		
Headnotes		
Commission found that Audrey Schulde, Adele Pomerleau, Sandra Gazzo and Diana Morache violated 9-140 (a) by providing assistance to individuals on completion of absentee ballot applications without signing the bottom of the application as an assister. Each paid a \$100 fine and signed Consent agreement to henceforth comply with 9-140(a). Mr. Kaminski did not violate 9-140(a). There was no evidence of a violation at Monsignor Bojnowski Manor Home.		

File Number	Date Filed	Date Closed
2003 - 281 - NF	12/23/2003	1/27/2004
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
Committee to Elect David Roebuck, Leon Weaver, Treas		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due 9/22/2003 in violation of 9-333j and 9-333y. Respondent filed Statement of Receipts & Expenditures (Form ED-45) and paid \$110 penalty on 1-16-04 for compliance.		

File Number	Date Filed	Date Closed
2003 - 282 - NF	12/23/2003	12/23/2003
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
Sharon Republican Town Committee, Neil McCarthy Treasurer		
Disposition		
Closed		
Headnotes		
Per Nancy Staniewicz, at office of Secretary of the State, respondent filed overdue 10/7/03 and 10/28/03 reports and paid \$55 late filing fee per report. No further action taken by this Commission.		

File Number	Date Filed	Date Closed
2003 - 283 - NF	12/23/2003	4/27/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
8th District Democratic Committee		
Disposition		
Closed		
Headnotes		
Commission closed the Committee. No activity, no funds and no members associated with this committee found according to Michael T. Long, Chairperson of the Democratic state Central Committee for the 8th Senatorial District.		

File Number	Date Filed	Date Closed
2003 - 284 - NF	12/23/2003	1/12/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Citizens for Quality Education, Frank Szilagyi, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due October 7, 2003 in violation of 9-333j and 9-333y. Respondent filed Exemption from Itemized Reporting (Form ED-46) and paid \$275 civil penalty (\$550 check split between 2003-284NF and 2003-287NF) on 1-12-2004 for compliance.		

File Number	Date Filed	Date Closed
2003 - 285 - NF	12/23/2003	12/9/2003
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
CT ASCP (American Society of Consultant Pharmacists) PAC; Michael Fortin (new) Treasurer		
Disposition		
Voluntary Compliance		
Headnotes		
Failed to file campaign finance statement due October 7, 2003, in violation of 9-333j. Michael Fortin, new PAC treasurer and CT elector set up a CT depository and filed the Statements of Receipts & Expenditures past due for 10/7/03 for and timely filed for 1/7/04. Case started as a non-filer case but ended up as a voluntary compliance case for an out of state treasurer and depository institution. No fine assessed, per JBG		

File Number	Date Filed	Date Closed
2003 - 286 - NF	12/23/2003	5/13/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Elm PAC, Daniel Lugo, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statements due October 7, 2003, January 7, 2004 and April 7, 2004, in violation of 9-333j and 9-3337. Respondent filed campaign finance disclosure statement and paid civil penalty of \$110 5-13-2004 for compliance.		

File Number	Date Filed	Date Closed
2003 - 287 - NF	12/23/2003	1/12/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Friends of the City, Frank Szilagyi, Treasurer		
Disposition		
Compliance		
Headnotes		
Respondent failed to file campaign finance statement due October 7, 2003, in violation of 9-333j and 9-333y. Respondent filed Exemption from Itemized Reporting and paid \$275 civil penalty on 1-12-04 (\$550 check split between 2003-284NF and 2003-287NF) for compliance.		

File Number	Date Filed	Date Closed
2003 - 288 - NF	12/23/2003	12/23/2003
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Fund for Democratic Renewal, Ned Stachen, Treasurer		
Disposition		
Closed		
Headnotes		
Referred in error, 7/7/03 and 10/07/03 reports were filed with the Secretary of the State on 12/16/03.		

File Number	Date Filed	Date Closed
2003 - 289 - NF	12/23/2003	1/9/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Garrity Brothers PAC, Thomas Garrity, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due October 7, 2003, in violation of 9-333j and 9-333y. Respondent filed Statement of Receipts & Expenditures (ED-45) and paid civil penalty of \$110 on January 9, 2004 for compliance.		

File Number	Date Filed	Date Closed
2003 - 290 - NF	12/23/2003	1/16/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Hartford Together, John Larkin, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due October 7, 2003, in violation of 9-333j and 9-333y. PAC's new treasurer filed Exemption from Itemized Reporting (ED-46) and paid civil penalty of \$110 on January 16, 2004 for compliance		

File Number	Date Filed	Date Closed
2003 - 291 - NF	12/23/2003	1/27/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Cornwall Republican Town Committee, Kenneth Baird Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due October 28, 2003, in violation of 9-333j and 9-333y. Respondent filed Statement of Receipts & Expenditures (Form ED-45) and paid \$110 civil penalty on 1-26-04 for compliance.		

File Number	Date Filed	Date Closed
2003 - 292 - NF	12/23/2003	1/20/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Derby Democratic Town Committee, Joseph Ahearn, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due October 28, 2003, in violation of 9-333j and 9-333y. Respondent filed same with the Secretary of the State and paid a \$110 civil penalty for compliance.		

File Number	Date Filed	Date Closed
2003 - 293 - NF	12/23/2003	1/29/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Meriden Republican Town Committee, Lois DeMayo, Chairperson & Margaret Carter, Treasurer		
Disposition		
Compliance		
Headnotes		
<p>Failed to file campaign finance statement due October 28, 2003, in violation of 9-333j and 9-333y. Respondent Carter filed Statement of Receipts & Expenditures (Form ED-45) and paid \$110 civil penalty, for compliance. Respondent Carter was also advised to file a Form ED-48, Party Committee's Registration, Appointment of Campaign Treasurer with Secretary of the State or Meriden Town Clerk.</p>		

File Number	Date Filed	Date Closed
2003 - 294 -	12/31/2003	5/19/2004
Complainant		
Linda M. Salafia		
Complainant's Town		
Norwich		
Respondents		
Cynthia Luzak		
Disposition		
Consent Agreement and Order		
Headnotes		
<p>Commission found that respondent (candidate for Judge of Probate) made an expenditure to support her candidacy prior to filing a certification, violating 9-333d(a), failed to file a Form B-4 with the Secretary of the State, violating 9-333f(b); failed to include an attribution on a business card in violation of 9-333w. Commission adopted Agreement containing order to henceforth comply with C.G.S.9-333d; 9-333f and 9-333w.</p>		

File Number	Date Filed	Date Closed
2003 - 295 -	12/31/2003	4/21/2004
Complainant		
Frances O. Knipple; Janis K. Murtha, Registrars of Voters		
Complainant's Town		
South Windsor		
Respondents		
Disposition		
Findings and Conclusions/Dismissed		
Headnotes		
Commission adopted Findings and Conclusions 4/21/04 finding no evidence of anyone attempting to fraudulently procure himself or another to be a registered elector. Complaint dismissed.		

File Number	Date Filed	Date Closed
2003 - 296 -	12/31/2003	6/16/2004
Complainant		
Edna E. Cruz		
Complainant's Town		
Bridgeport		
Respondents		
Hector Diaz, City Clerk; Josephine Corvino, Moderator		
Disposition		
Consent Agreement and Order		
Headnotes		
Consent Agreement with Bridgeport City Clerk Hector Diaz that he henceforth comply with Sec. 9-140 and make available receipt by facsimile of absentee ballot applications. As to complainant's allegation regarding Sec. 9-264, there is a lack of evidence that this section was violated by moderator Josephine Corvino, or any others.		

File Number	Date Filed	Date Closed
2004 - 101 -	1/26/2004	6/16/2004
Complainant		
Jay F. Livernois		
Complainant's Town		
Woodstock		
Respondents		
Disposition		
Findings and Conclusions/Dismissed		
Headnotes		
<p>Allegation that school cafeteria used to purchase food at wholesale prices for Republican Town Committee fundraiser. No violation of state election law-no discount and no expenditure of public funds. Matter dismissed with findings and conclusions.</p>		

File Number	Date Filed	Date Closed
2004 - 103 -	2/10/2004	4/21/2004
Complainant		
Luz Selenia Lopez		
Complainant's Town		
New Haven		
Respondents		
Maria Reyes-Rivera		
Disposition		
Consent Agreement and Order		
Headnotes		
<p>Respondent circulated petition for her candidacy for a town party committee position in a primary. Commission found that respondent, in 2 instances, permitted a spouse to sign petition for the other spouse, so respondent's statement that she personally witnessed each person's signature in her presence was false, in violation of 9-410(c). Respondent signed consent agreement and order and paid civil penalty of \$500 and was henceforth ordered to comply.</p>		

File Number	Date Filed	Date Closed
2004 - 105 -	2/13/2004	5/19/2004
Complainant		
Thomas C. McCarthy		
Complainant's Town		
Bridgeport		
Respondents		
Ronald L. Pinciario & Neida Robles		
Disposition		
Consent Agreements and Orders		
Headnotes		
<p>Respondents Pinciario and Robles signed Agreement containing Consent Orders and Penalties of \$300 each. Respondents violated 9-410(c) and paid penalty for falsely certifying that they witnessed every signature on their petition pages. They were further ordered to henceforth stricly comply with the statutes.</p>		

File Number	Date Filed	Date Closed
2004 - 106 -	2/24/2004	5/19/2004
Complainant		
Manuel Ayala		
Complainant's Town		
Bridgeport		
Respondents		
1)Dimas Marquez Perez; 2) Carlos R. Guzman; 3)Wanda Del Moral; 4)Ann Pettway; 5) Allen L. Preston; 6) Jacqueline Richardson; 7)Ramon A. Diaz; 8) Alberto J. Ayala; 9)Yvonne Rodriquez; 10) Ramon Larracuate; 11) Sandra DePara		
Disposition		
Consent Agreements and Orders		
Headnotes		
<p>Respondents Perez, Guzman, Del Moral, Pettway, Preston, Richardson, Diaz, Ayala and Larracuate signed agreements and paid civil penalties of \$100 for violations of 9-333f & 9-333e for failing to file Registration of Candidate Committee or Exemption after qualifying as candidates for the town committee primary on January 28, 2004. Respondent Rodriguez signed agreement and paid \$50 civil penalty for same. Respondent Larracuate signed a 2nd consent order and paid additional \$300 civil penalty for failing to register a PAC on time, for failing to name the candidates that the PAC sponsored, for naming one of the slate's candidates as treasurer and for failing to amend the statement of organization within 10 day of changes.</p>		

File Number	Date Filed	Date Closed
2004 - 107 -	2/25/2004	6/16/2004
Complainant		
Ralph R. Ford, Jr.		
Complainant's Town		
Bridgeport		
Respondents		
Samuel T. Baldwin		
Disposition		
Consent Agreement and Order		
Headnotes		
<p>Commission adopted on 3-24-04 Consent Agreement and Order: Respondent to pay a civil penalty of \$500 for violations of CGS 9-410(c) for signing petition circulator's statement that he had had witnessed each signer make a signature in his presence, when he had not. First payment of \$250 toward civil penalty paid on 3/24/04. The respondent is also ordered to not serve as a circulator of any petition for a period of two years from the date of the adoption. A second payment was received on 5/18 in the amount of \$100. Final payment of \$150 was received on 6/16/04, for compliance.</p>		

File Number	Date Filed	Date Closed
2004 - 108 -	2/17/2004	4/23/2004
Complainant		
Maximino Medina, Jr.		
Complainant's Town		
Bridgeport		
Respondents		
Marcus Jackson & Cruz M. Cotto		
Disposition		
Consent Agreements and Orders		
Headnotes		
<p>4/21/04-Commission approved Consent Agreement and \$600 civil penalty for Respondent Cotto and approved Consent Agreement and \$700 civil penalty for Respondent Jackson, contingent upon receipt within 7 days. Jackson agreement and penalty received on 4/23. In both instances, respondents circulated petitions and swore each person signed in person before them when they had permitted people to sign another person's name, in violation of 9-410(c).</p>		

File Number	Date Filed	Date Closed
2004 - 109 -	2/19/2004	6/16/2004
Complainant		
Valerie Herrick		
Complainant's Town		
Old Saybrook		
Respondents		
Jean B. Winkler, Republican Registrar of Voters		
Disposition		
Consent Agreement and Order		
Headnotes		
Respondent was ordered to pay \$350 civil penalty for violation of 9-35 and 9-42, (failed in duty to offer person a transfer of voter registration form) and 9-170 (failed to check official list before providing a new application for voter registration) and to henceforth strictly comply with same. She was also ordered to send a letter of apology to complainant.		

File Number	Date Filed	Date Closed
2004 - 110 - NF	2/24/2004	3/23/2004
Complainant		
Referral by George Buckbee, Town Clerk, New Milford		
Complainant's Town		
New Milford		
Respondents		
Committee to Elect John E. Lillis, Barbara S. Payne, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file Campaign Finance Statement due January 9, 2004, in violation of 9-333j and 9-333y. Statement of Receipts & Expenditures (Form ED-45) filed and civil penalty of \$110 paid on March 23, 2004 for compliance.		

File Number	Date Filed	Date Closed
2004 - 113 - D	3/9/2004	3/30/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Burlington Democratic Town Committee		
Disposition		
Compliance		
Headnotes		
Party committee chair failed to designate treasurer, in violation of 9-333k(a). Statement of receipts and expenditures (Form ED-45) and Form ED-48, party committee registration filed naming new treasurer and civil penalty of \$110 paid on March 30, 2004 for compliance.		

File Number	Date Filed	Date Closed
2004 - 114 - NF	3/9/2004	4/1/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Windham Republican Town Committee, Mark Doyle, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due January 7, 2004, in violation of 9-333j and 9-333y. Statement of Receipts & Expenditures (Form ED-45) filed on 4/1 and civil penalty of \$110 paid for compliance.		

File Number	Date Filed	Date Closed
2004 - 115 - NF	3/9/2004	3/31/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
35th Senatorial Committee, Steven Reviczky, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due January 7, 2004, in violation of 9-333j and 9-333y. Statement of Receipts & Expenditures (Form ED-45) filed on 3/31/04 and \$165 civil penalty paid, for compliance.		

File Number	Date Filed	Date Closed
2004 - 116 - NF	3/9/2004	5/28/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Bridgeport Leadership PAC, Shana Furman, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due January 7, 2004, in violation of 9-333j and 9-333y. Deputy Treasurer filed Statement of Receipts & Expenditures (Form ED-45) and paid civil penalty of \$110 for compliance.		

File Number	Date Filed	Date Closed
2004 - 117 - NF	3/9/2004	3/18/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
CT Laborers PAC, Charles LeConche, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due 1-7-04, in violation of 9-333j and 9-333y. Filed Statement of Receipts & Expenditures (Form ED-45) and paid civil penalty of \$110 on March 18, 2004, for compliance.		

File Number	Date Filed	Date Closed
2004 - 118 - NF	3/9/2004	3/26/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
CT Podiatry PAC, Darren Courtright, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due 1-7-04, in violation of 9-333j and 9-333y. Filed Statement of Receipts & Expenditures (Form ED-45) and paid civil penalty of \$165 on 3-26-04 for compliance.		

File Number	Date Filed	Date Closed
2004 - 119 - NF	3/9/2004	4/27/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
CT Young Democrats, Brian Bailey, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due 1-7-04, in violation of 9-333j and 9-333y. Filed Statement of Receipts & Expenditures (Form ED-45) and paid civil penalty of \$220 on 4-22-04, for compliance.		

File Number	Date Filed	Date Closed
2004 - 120 - NF	3/9/2004	5/13/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Elm PAC, Daniel Lugo, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due 1-7-04, in violation of 9-333j and 9-333y. Civil penalty of \$165 paid and campaign finance disclosure statement filed for compliance.		

File Number	Date Filed	Date Closed
2004 - 121 - NF	3/9/2004	3/10/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Fresh PAC, Tim O'Brien, Treasurer		
Disposition		
Referral Withdrawn		
Headnotes		
Per Nancy Staniewicz at Secretary of the State, this committee filed report via CFIS in timely manner.		

File Number	Date Filed	Date Closed
2004 - 122 - NF	3/9/2004	5/13/2004
Complainant		
Secretary of the State		
Complainant's Town		
Hartford		
Respondents		
Robert J. O'Brien, Treasurer, Gordon, Muir & Foley PAC		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due January 7, 2004, in violation of 9-333j and 9-333y. Statement filed on March 29, 2004 together with civil penalty of \$110 paid for compliance.		

File Number	Date Filed	Date Closed
2004 - 123 - NF	3/9/2004	3/31/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Harry Hartie, Chairperson of the Greater Hartford Republican Club, Alma Samuels, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due 1-7-04, in violation of 9-333j and 9-333y. Statement filed on March 31, 2004 together with civil penalty of \$110 paid and, in addition, amended ED-47 ("Statement of Organization"), for compliance.		

File Number	Date Filed	Date Closed
2004 - 124 - NF	3/9/2004	3/31/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Hartford Principals & Supervisors Assn., Raul Montanez-Pitre, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due 1-7-04, in violation of 9-333j and 9-333y. Statement of Receipts & Expenditures (Form ED-45) filed and civil penalty of \$605 paid for compliance.		

File Number	Date Filed	Date Closed
2004 - 125 - NF	3/9/2004	4/6/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
IAFF Local 1579 PAC, Brian Hurst, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due 1-7-04, in violation of 9-333j and 9-333y. Filed Statement of Receipts & Expenditures (Form ED-45) and paid \$110 civil penalty for compliance.		

File Number	Date Filed	Date Closed
2004 - 126 - NF	3/9/2004	3/30/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
LEAP, Joyce Hall, Treasurer		
Disposition		
Compliance		
Headnotes		
Termination report filed on 10/07/03. Referral was made in error.		

File Number	Date Filed	Date Closed
2004 - 127 - NF	3/9/2004	3/29/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Minority Organization For Leadership Development, Wilfred Murphy, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due 1-7-04, in violation of 9-333j and 9-333y. Filed Statement of Receipts & Expenditures (Form ED-45) and paid civil penalty of \$110 for compliance.		

File Number	Date Filed	Date Closed
2004 - 128 - NF	3/9/2004	3/29/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
NFIB/ CT Safe Trust PAC, Armando Paolino, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due 1-7-04, in violation of 9-333j and 9-333y. Filed Statement of Receipts & Expenditures (Form ED-45) and paid \$165 for compliance.		

File Number	Date Filed	Date Closed
2004 - 129 - NF	3/9/2004	3/29/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
New Britain Police Union PAC		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due 1-7-04, in violation of 9-333j and 9-333y. Filed Statement of Receipts & Expenditures (Form ED-45) and paid civil penalty of \$110 for compliance.		

File Number	Date Filed	Date Closed
2004 - 130 - NF	3/9/2004	3/22/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Nu-Vision PAC, Deborah Caviness, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due 1-7-04, in violation of 9-333j and 9-333y. Filed Statement of Receipts & Expenditures (Form ED-45) and paid \$165 for compliance.		

File Number	Date Filed	Date Closed
2004 - 131 - NF	3/9/2004	4/21/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
People for a Change, John Murphy, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due 1-7-04, in violation of 9-333j and 9-333y. Filed Statement of Receipts & Expenditures (Form ED-45) and paid on 4/21/04 civil penalty of \$110 for compliance.		

File Number	Date Filed	Date Closed
2004 - 132 - D	3/9/2004	4/20/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
University of Connecticut Professional Employees Association Committee on Political Education, (UConn PAC)		
Disposition		
Compliance		
Headnotes		
Failed to designate a treasurer, in violation of 9-333d and 9-333g. Chair, Donna Hardaway, sent new statement of organization (ED-47 form) and Exemption from Filing Statement of Receipts & Expenditures (Form ED-46) due April 7, 2004 and paid \$110 civil penalty for compliance on 4/20/04.		

File Number	Date Filed	Date Closed
2004 - 133 - NF	3/9/2004	3/9/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Windsor Taxpayers Coalition, Adam Gutcheon, Treasurer		
Disposition		
Compliance/Closed		
Headnotes		
Committee filed electronically on 12/30/03 for the 1/7/04 filing date per Nancy Staniewicz' e-mail on 3/26/04. No violation. Matter is closed.		

File Number	Date Filed	Date Closed
2004 - 134 - NF	3/9/2004	4/7/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Friends for Lew, Patricia Davidson, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance disclosure statement due 1-7-04, in violation of 9-333j and 9-333y. Filed finance disclosure statement and paid civil penalty of \$220 for compliance.		

File Number	Date Filed	Date Closed
2004 - 135 -	2/26/2004	4/21/2004
Complainant		
Thomas A. Talalaj		
Complainant's Town		
New Britain		
Respondents		
Disposition		
Findings and Conclusions/Dismissed		
Headnotes		
Complaint Letter referred by Board of Ethics, New Britain; Allegation that New Britain Common Council member not a bona fide resident of New Britain unfounded and dismissed in findings and conclusions. Council member found to be elector of municipality in which he was elected, as required by 9-186.		

File Number	Date Filed	Date Closed
2004 - 136 -	3/10/2004	6/16/2004
Complainant		
James D. Diamond, Esq.		
Complainant's Town		
Stamford		
Respondents		
John Zelinsky and Joseph Gergle		
Disposition		
Consent Agreements and Orders		
Headnotes		
Respondents made expenditures prior to registering as a committee, in violation of 9-333d(a); did not register within 10 days of becoming candidates in primary for town committee, in violation of 9-333e(c); 9-333f(a) and 9-333f(b); and failed to put proper attribution on campaign fliers, in violation of 9-333w(a). Commission adopted Consent Agreements and Orders for Respondent Zelinsky and Respondent Gergle. Each Respondent paid a civil penalty of \$200, were ordered to file a Certification of Exemption From Forming A Candidate Committee and to henceforth comply with the statutes.		

File Number	Date Filed	Date Closed
2004 - 137 - NF	3/10/2004	4/27/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Reform Party State Central Committee, Gary D. Beane, Treasurer		
Disposition		
Closed		
Headnotes		
Referred by Secretary of the State for failure to file campaign finance disclosure statement which was due 1-30-2004. Commission declared committee terminated, because committee had no funds, no account and no activity.		

File Number	Date Filed	Date Closed
2004 - 138 -	3/29/2004	4/26/2004
Complainant		
Dr. W. Derek Buckley, Easton Town Clerk		
Complainant's Town		
Easton		
Respondents		
Victor A. George, Treasurer & Clarence Jennings, Chairperson, Citizens for Responsible Government		
Disposition		
Withdrawal		
Headnotes		
In accordance with letter received from Dr. Buckley the Commission suspended the investigation of the Citizens for Responsible Government. The committee was not prosecuted per March 23rd advice from JBG that Citizens for Responsible Gov did not have to file disclosure statements. \$55 penalty charged to committee by town clerk refunded by town clerk.		

File Number	Date Filed	Date Closed
2004 - 139 -	4/5/2004	6/16/2004
Complainant		
Mary L. Delaporta-Peck		
Complainant's Town		
Mystic		
Respondents		
Disposition		
Findings and Conclusions/Dismissed		
Headnotes		
<p>Case dismissed per the following conclusions; 1)Groton Special Referendum was not held in conjunction with an election or under the authority of Sec. 7-7; 2) question 2 was not in violation of these or other provisions of election laws and 3) there is no law prohibiting elected officials from being members of PACs or expressing their viewpoint if no municipal funds are used.</p>		

File Number	Date Filed	Date Closed
2004 - 141 -	5/4/2004	6/16/2004
Complainant		
John Hodge		
Complainant's Town		
New Fairfield		
Respondents		
Disposition		
Findings and Conclusions/Dismissed		
Headnotes		
<p>Commission found that individual created an advocacy issue name and paid for communication entirely from own funds, so did not violate 9-333d requiring designation of treasurer; individual did not exceed the threshold of \$1000 in expenditures, so no violation of 9-333n; and individual acting alone was not required to put attribution on fliers, so no violation of 9-333w. Complaint dismissed through finding and conclusions.</p>		

File Number	Date Filed	Date Closed
2004 - 142 -	5/20/2004	6/16/2004
Complainant		
Matthew A. Paulsen		
Complainant's Town		
Bethel		
Respondents		
Disposition		
Findings and Conclusions/Dismissed		
Headnotes		
Commission found that school PTO expended less than \$1000 from existing treasury funds and did not solicit new funds to support or defeat a referendum and so did not violate 9-333d(a) and was not required to register nor file campaign finance statements and so did not violate 9-333v(d). Commission adopted Findings and Conclusions dismissing the complaint.		

File Number	Date Filed	Date Closed
2004 - 143 - NF	5/25/2004	6/25/2004
Complainant		
Secretary of the State referral		
Complainant's Town		
Hartford		
Respondents		
East Lyme Democratic Town Committee, Dennis Damon, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due 4-7-04, in violation of 9-333j and 9-333y. Filed Statement of Receipts and expenditures (ED-45) on 6/24/04 and paid \$165 Civil Penalty on 6/25/04 for compliance.		

File Number	Date Filed	Date Closed
2004 - 144 - NF	5/25/2004	6/25/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Meriden Democratic Town Committee, Michael Quinn, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due 4-7-04, in violation of 9-333j and 9-333y. Filed Statement of Receipts and Expenditures (ED-45) and paid civil penalty of \$165 on 6/25/04 for compliance.		

File Number	Date Filed	Date Closed
2004 - 145 - NF	5/25/2004	6/7/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
New Canaan Republican Town Committee, Gordon Jones, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due 4-7-04, in violation of 9-333j and 9-333y. Filed Statement of Receipts & Expenditures (Form ED-45) and paid civil penalty of \$275 for compliance.		

File Number	Date Filed	Date Closed
2004 - 146 - NF	5/25/2004	6/7/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Westbrook Democratic Town Committee, Anthony Marino, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due 4-7-04, in violation of 9-333j and 9-333y. Filed Statement of Receipts & Expenditures (Form ED-45) and paid civil penalty of \$220 for compliance.		

File Number	Date Filed	Date Closed
2004 - 147 - NF	5/25/2004	6/15/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Bridgeport Leadership PAC, Shana Furman, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due 4-7-04, in violation of 9-333j and 9-333y. Filed Statement of Receipts & Expenditures (Form ED-45) with \$55 filed with SEEC by Deputy Treasurer on 6-15-04. New Treasurer listed as Meghan Havelin, no statement of Organization. Civil penalty of \$165 less the \$55. Still due \$110. Received \$110 check for full compliance and will file a new ED-47 with new Chairman and Treasurer.		

File Number	Date Filed	Date Closed
2004 - 148 - NF	5/25/2004	6/15/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Citizens for Quality Education, Frank Szilagyi, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due 4-7-04, in violation of 9-333j and 9-333y. Filed Exemption from Itemized Reporting (Form ED-46) and paid \$330 civil filed with Secretary of the State, which forwarded check and copy of report to SEEC, for compliance. See also 2004-153NF.		

File Number	Date Filed	Date Closed
2004 - 149 - NF	5/25/2004	6/16/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Community Empowerment, Tomas Reyes, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due 4-7-04, in violation of 9-333j and 9-333y. Filed Statement of Receipts & Expenditures (Form ED-45) with the Secretary of the State and paid \$110 civil penalty for compliance.		

File Number	Date Filed	Date Closed
2004 - 150 - NF	5/25/2004	6/21/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Connecticut Prevention PAC, Robert Francis, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due 4-7-04, in violation of 9-333j and 9-333y. Filed Exemption From Itemized Reporting (Form ED-46) filed and paid civil penalty of \$110 for compliance.		

File Number	Date Filed	Date Closed
2004 - 151 - NF	5/25/2004	5/26/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Elm PAC, Daniel Lugo, Treasurer		
Disposition		
Closed		
Headnotes		
Closed per Secretary of the State-- the overdue campaign finance disclosure statement was filed there.		

File Number	Date Filed	Date Closed
2004 - 152 - NF	5/25/2004	6/22/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Fraternal Order of Police PAC, Stanley Masternak, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due 4-7-04, in violation of 9-333j and 9-333y. Statement of Receipts & Expenditures (Form ED-45) was filed at the Secretary of the State office on 6/22/04 by Mr. Masternak and civil penalty of \$110 paid, for compliance.		

File Number	Date Filed	Date Closed
2004 - 153 - NF	5/25/2004	6/15/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Friends of the City, Frank Szilagyi, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance disclosure statement due 4-7-04, in violation of 9-333j and 9-333y. Respondent paid \$330 civil penalty to the Secretary of the State and sent same to SOTS office on 6-10-04. Check was forwarded to SEEC by Nancy Staniewicz along with copy of Exemption from Itemized Reporting (Form ED-46), for compliance.		

File Number	Date Filed	Date Closed
2004 - 155 - NF	5/25/2004	6/22/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
New Britain Police Union PAC, Stanley Masternak, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due 4-7-04, in violation of 9-333j and 9-333y. Treasurer paid \$110 civil penalty and filed Statement of Receipts & Expenditures (Form ED-45) with Secretary of the State, for compliance.		

File Number	Date Filed	Date Closed
2004 - 156 - NF	5/25/2004	6/7/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Nu-Vision PAC, Deborah Caviness, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due 4-7-04, in violation of 9-333j and 9-333y. Respondent filed Statement of Receipts & Expenditures (Form ED-45) and paid civil penalty of \$220 on 6-2-04, for compliance.		

File Number	Date Filed	Date Closed
2004 - 158 - NF	5/25/2004	6/3/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Friends of Brendan '04, Matthew Fitch, Treasurer		
Disposition		
Closed		
Headnotes		
No action taken, campaign finance disclosure statement filed and \$55 civil penalty paid prior to SEEC action. Both forwarded to Secretary of the State on 6/3/04.		

File Number	Date Filed	Date Closed
2004 - 159 - NF	5/25/2004	6/10/2004
Complainant		
Secretary of the State Referral		
Complainant's Town		
Hartford		
Respondents		
Friends of Felipe Reinoso 2004, Ana Nilsa Diaz-Nieves, Treasurer		
Disposition		
Compliance		
Headnotes		
Failed to file campaign finance statement due 4-7-04, in violation of 9-333j and 9-333y. Respondent filed Statement of Receipts & Expenditures (ED-45) and paid civil penalty of \$110 on 6-10-04 for compliance.		