

# FY 2002-2003 Report of Complaints Investigated by the State Elections Enforcement Commission

| File Number  | Date Filed | Date Closed |
|--|------------|-------------|
| 2001 - 128 -   | 4/6/2001   | 7/1/2002    |
| <b>Complainant</b>   |            |             |
| Davies, James J.   |            |             |
| <b>Complainant's Town</b>  |            |             |
| Brookfield   |            |             |
| <b>Respondents</b>   |            |             |
| Stanley J. Kurpiewski  |            |             |
| <b>Disposition</b>   |            |             |
| Consent Agreement and Order/Civil Penalty  |            |             |
| <b>Headnotes</b>   |            |             |
| Hearing cancelled after notice mailed. Agreement containing Consent Order for violation of Sec. 9-333i, CGS, a henceforth order to comply, and a civil penalty of \$175. |            |             |

| File Number   | Date Filed | Date Closed |
|---|------------|-------------|
| 2001 - 222 -  | 11/2/2001  | 7/17/2002   |
| <b>Complainant</b>  |            |             |
| Kathryn M. Lawson   |            |             |
| <b>Complainant's Town</b>   |            |             |
| Plainville  |            |             |
| <b>Respondents</b>  |            |             |
| Helen Bergenty & Plainville Community News, Inc.; Janice Y. Eisenhauer; John Cassidy; William Cunningham; J. Hinkson; F. Weber; P. Nightingale; C. Francis; J. Mastriani; R. Mercer; H. Syskowski, Jr.;   |            |             |
| <b>Disposition</b>  |            |             |
| Findings & Conclusions, Consent Agreements and Final Decision after hearings  |            |             |
| <b>Headnotes</b>  |            |             |
| Bergenty case was dismissed after a hearing determination that the newspaper filler in question "was neither a contribution nor an expenditure." Eisenhauer, Cassidy, Cunningham cases heard and Respondents were ordered to henceforth comply with attribution requirements of 9-333w(a); J. Hinkson case, F Weber, P. Nightingale, C Francis cases dismissed with Findings and Conclusions that there were no violations. J. Mastriani entered into Consent Agreement to pay \$50.00 penalty together with henceforth order for violations of CGS 9-333d, 9-333g and 9-333w. R. Mercer, H. Syskowski, W. Maisak, Respondents, all signed consent agreements to henceforth comply with attribution requirements of 9-333w. |            |             |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 106 - A&B  | 2/14/2002         | 8/21/2002          |  |
| <b>Complainant</b>  |                   |                    |  |
| Tracy Morrissey   |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| West Haven  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| A-Emmett McDonough, ROV & B-Dolores Scalesse, Circulator  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Consent Agreements and Orders   |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| 2002A Consent Agreement with Registrar of Voters Emmet McDonough for violations of Sec. 9-410(c) together with resignation from office and payment of \$7,500 civil penalty. See also Files 2002-131 & 132. |                   |                    |  |
| 2002B Petition circulator/candidate Dolores Scalesse violated Sec. 9-410(c) by signing that she had witnessed all signatures on petition when she had not. Consent Agreement \$250 civil penalty            |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 122 - NF  | 2/26/2002         | 7/5/2002           |  |
| <b>Complainant</b>   |                   |                    |  |
| Referral by SOTS   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Urban PAC, Patrick Crossin, Treasurer  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance/forfeiture  |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Closed as per receipt of \$500 forfeiture on 7-5-02 and filing of overdue 1/16/02 financial disclosure statement with the Secretary of the State on 7-2-02 |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |
|---|-------------------|--------------------|
| 2002 - 127 - A  | 2/27/2002         | 8/21/2002          |
| <b>Complainant</b>  |                   |                    |
| Alba Rodriguez, Assistant Town Clerk  |                   |                    |
| <b>Complainant's Town</b>   |                   |                    |
| Bridgeport  |                   |                    |
| <b>Respondents</b>  |                   |                    |
| Linda Moore, Loretta Sarro  |                   |                    |
| <b>Disposition</b>  |                   |                    |
| Consent Agreement and Order   |                   |                    |
| <b>Headnotes</b>  |                   |                    |
| Agreement containing consent orders to pay a civil penalty for violation of 9-140, CGS with respect to the duties of absentee ballot assisters adopted by Commission on August 21, 2002. Civil penalty of \$500 each. |                   |                    |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |
|--|-------------------|--------------------|
| 2002 - 127 - B   | 2/27/2002         | 10/16/2002         |
| <b>Complainant</b>   |                   |                    |
| Senator Alvin Penn, et al  |                   |                    |
| <b>Complainant's Town</b>  |                   |                    |
| Bridgeport   |                   |                    |
| <b>Respondents</b>   |                   |                    |
| Abraham Omonte   |                   |                    |
| <b>Disposition</b>   |                   |                    |
| Consent Agreement and Order  |                   |                    |
| <b>Headnotes</b>   |                   |                    |
| Agreement Containing Consent Order with Mr. Omonte for a violation of 9-12, CGS adopted on October 16, 2002. Letter sent to Sen. Penn informing him that with respect to complaint against Mr. Hernandez the matter is dismissed without prejudice, subject to being re-filed upon further informaiton |                   |                    |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 131 -   | 2/27/2002         | 7/17/2002          |  |
| <b>Complainant</b>   |                   |                    |  |
| James Morrissey  |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| West Haven   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Emmett J. McDonough  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Agreement Containing Consent Order to Pay Civil Penalty and Resignation  |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Violation of 9-159(q)(2)(I) by Registrar of Voters for failing to notify all contestants in primary of right to designate absentee ballot supervisors. Consent order for 3 files: 2002-131, 2002-132 & 2002-106A. Civil penalty of \$7,500 and resignation as Dem. ROV |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 132 -   | 2/28/2002         | 7/17/2002          |  |
| <b>Complainant</b>   |                   |                    |  |
| Tracy Morrissey  |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| West Haven   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Emmett J. McDonough  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Agreement Containing Consent Order to Pay a Civil Penalty and Resignation  |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Found several violations of 9-23g(e) by Registrar of Voters for omitting the required birthdate field. Disposition is included in one consent agreement in 3 files: 2002-131, 2002-132 and 2002-106A, CO civil penalty of \$7,500 and resignation as ROV |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 142 - NF  | 3/6/2002          | 9/25/2002          |  |
| <b>Complainant</b>   |                   |                    |  |
| Referral by Hamden Town Clerk Vera A. Morrison   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hamden   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Peter J. Ney, III, Treasurer for A Better Hamden; Len Caplan, Deputy Treasurer   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Closed   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue February 11, 2002 financial disclosure statement filed on or about September 25, 2002 by deputy treasurer after reconstructing from bank records because Treasurer unavailable |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 143 -  | 3/11/2002         | 9/9/2002           |  |
| <b>Complainant</b>  |                   |                    |  |
| Andrew S. Adil  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Wethersfield  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| S. Bradley Milvae & John O'Brien  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Consent Agreements and Orders   |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Consent Agreement and civil penalty for violations of 9-333o & 9-333x(7) for John O'Brien, civil penalty of \$1,200 paid. Consent Agreement and civil penalty for violations of 9-333j & 9-333x(10) for S. Bradley Milvae, \$700 civil penalty paid and \$1,200 forfeiture. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 149 -   | 4/10/2002         | 8/21/2002          |  |
| <b>Complainant</b>   |                   |                    |  |
| Paul J. Benyeda  |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Uncasville   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Howard R. Beetham  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Findings and Conclusions/Dismissed   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| <p>The Mayor was not "on the clock" and being paid w/municipal funds while filming a cable access TV show, during which he advocated for a positive result on a referendum. Although the Mayor was overheard on telephone during work hour from his office expressing support for the referendum there was insufficient evidence to conclude that he initiated these calls. A public official may respond to constituent requests for information. Case dismissed.</p> |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 150 -   | 4/10/2002         | 7/17/2002          |  |
| <b>Complainant</b>   |                   |                    |  |
| Richard P. Roberts   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Wethersfield   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| John C. Flynn individually & Lisa Whitney, agent fro Silas Deane Associates Limited Partnership  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Two Consent Agreements and Orders  |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| <p>Respondents Whitney, agent for Silas Deane Associates Limited Partnership, &amp; John Flynn, treasurer, Wethersfield Democratic Town Committee, signed CO for violations of Sec. 9-333h, 9-333j &amp; 9-333o. Silas Deane Associates Limited Partnership ordered to pay a civil penalty of \$500 and henceforth comply with 9-333o. Respondent Flynn was ordered to pay a civil penalty of \$350 &amp; henceforth comply with 9-333h, 9-333j &amp; 9-333o, and further ordered to forfeit \$500 to SEEC for prohibited contribution received.</p> |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 151 -  | 4/24/2002         | 8/21/2002          |  |
| <b>Complainant</b>  |                   |                    |  |
| Charles F. Sweetman Jr.   |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Washington  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| William Stuart  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Consent Agreement and Order   |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| First Selectman violated 9-369b by spending municipal funds on a letter to influence a referendum. Consent Agreement-reimbursement of \$225 municipal expense to the Town of Bridgewater and \$100 civil penalty to SEEC. \$100 check received on 8/26/02 |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 152 -  | 4/30/2002         | 7/23/2002          |  |
| <b>Complainant</b>  |                   |                    |  |
| Bennett Millstein, Chairman & Allan DeLorenzo, Treasurer of Bloomfield Dem. Town Committee  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Bloomfield  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Democrats for Change & Mary Turner  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Findings and Conclusions & Consent Agreement  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Findings and Conclusions Dismissing complaint Re: Democrats for Change accepted by the Commission. Consent Agreement with Respondent Mary Turner included civil penalty of \$100 and henceforth comply with attribution requirements applicable to individual under 9-333w. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 153 -  | 5/8/2002          | 8/28/2002          |  |
| <b>Complainant</b>  |                   |                    |  |
| Kim McLaughlin  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Bridgeport  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
|   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Closed for insufficient evidence  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Closed for insufficient evidence to prove a violation of absentee voting laws at the Commission's 8/21/02 meeting |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 157 -   | 5/14/2002         | 8/21/2002          |  |
| <b>Complainant</b>   |                   |                    |  |
| Matthew A. Paulsen   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Bethel   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Judith Novachek, 1st Selectman; Gary Chesley, Superintendent of Schools, Town of Bethel; Patricia Cosentino, Prinicpal of F.A. Berry Elementary School, Alan Chmiel, Prinicpal Bethel High School.   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Agreements Containing Consent Orders and Findings and Conclusions  |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Agreement containing consent order for violation of 9-369b adopted by the Commission for: Judith Novachek/Gary Chesley (reimbursement to town) Patricial Cosentino and Alan Chmiel; Findings and Conclusions dismissing portion of complaint |                   |                    |  |

| File Number   | Date Filed | Date Closed |
|---|------------|-------------|
| 2002 - 158 -  | 5/16/2002  | 9/11/2002   |
| <b>Complainant</b>  |            |             |
| Donald J. DeFronzo  |            |             |
| <b>Complainant's Town</b>   |            |             |
| New Britain   |            |             |
| <b>Respondents</b>  |            |             |
| James E. Connelly, Treasurer for the Committee to Elect Tom Bozek; CPL Subacute LLC; Levin Powers, Brennan & Shea, Sullivan & LeShane, Inc. ;The Independent Insurance Agents of CT, Inc., ;Talmadge Park, Inc - Donald Franco  |            |             |
| <b>Disposition</b>  |            |             |
| Consent Agreements and Orders   |            |             |
| <b>Headnotes</b>  |            |             |
| Consent Order with James Connelly to henceforth comply with CGS 9-333b(b)(10), 9-333o and 9-333x(10) together with penalty of \$600; Consent Order with five business entities: Independent Insurance Agents of CT; Sullivan and LeShane, Inc.; C.L. Subacute LLC; Levin, Powers, Brennan & Shea; and Talmadge Park, Inc., each with \$250 forfeiture and \$250 civil penalty together with henceforth order for violations of 9-333b(b)(10) and 9-333o |            |             |

| File Number   | Date Filed | Date Closed |
|---|------------|-------------|
| 2002 - 160 -  | 5/30/2002  | 8/21/2002   |
| <b>Complainant</b>  |            |             |
| Valerie Friedman  |            |             |
| <b>Complainant's Town</b>   |            |             |
| Washington Depot  |            |             |
| <b>Respondents</b>  |            |             |
| Keith McLiverty, Gail Prelli and Anna Cain  |            |             |
| <b>Disposition</b>  |            |             |
| Consent Agreement and Order   |            |             |
| <b>Headnotes</b>  |            |             |
| Respondents signed Agreement for violations of Sec 9-369b. McLiverty paid \$150 for approving the text of the flier and Respondent Cain reimbursed \$64,44 to Reg. School Dist. #12. Respondent Prelli, McLiverty and Cain were ordered to henceforth strictly comply with Sec. 9-369b. |            |             |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 161 -   | 6/3/2002          | 8/21/2002          |  |
| <b>Complainant</b>   |                   |                    |  |
| Evan Pitkoff   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Burlington   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Glen Adams   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Consent Agreement and Order  |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Agreement containing consent Order and civil Penalty of \$200 for violations of CGS Sec. 9-333n(d) |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 169 - NF   | 6/4/2002          | 7/12/2002          |  |
| <b>Complainant</b>  |                   |                    |  |
| Ref. by SOTS  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Norwalk Police Union PAC, Hector Delgado, Treas   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance/Civil Penalty  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| \$110 civil penalty paid and overdue April 11, 2002 financial disclosure statement filed on or about July 11, 2002. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 170 - NF  | 6/4/2002          | 7/19/2002          |  |
| <b>Complainant</b>   |                   |                    |  |
| Ref. by SOTS   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| People for Change, Peter Tercyak, Treas.   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Closed with payment of civil penalty of \$165 and filing on July 19th of ED-45, which was originally due April 11th. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 171 - NF   | 6/4/2002          | 7/9/2002           |  |
| <b>Complainant</b>  |                   |                    |  |
| Ref.by SOTS   |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Torrington Dem. Women's Club, Patricia Seymour, Treas.  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance/Civil Penalty  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue financial disclosure statement of April 11, 2002 filed with SOTS on July 9, 2002 and civil penalty of \$110 paid. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 172 -  | 6/3/2002          | 8/21/2002          |  |
| <b>Complainant</b>  |                   |                    |  |
| John F. Gauger, Jr.   |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Winsted   |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Susan R. Mahoney & Caroline O'Brien   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Findings and Conclusions/Consent Agreement and Order  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Findings and Conclusions with respect to Susan Mahoney, matter dismissed. Closed with adoption of Consent Agreement and Order with Caroline O'Brien to henceforth comply with Sec. 9-436a |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 173 -   | 6/10/2002         | 7/17/2002          |  |
| <b>Complainant</b>   |                   |                    |  |
| Pete Govert  |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Moodus   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Delano Wyley Peckham   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Findings and Conclusions   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Respondent should have terminated committee 90 days after Jan. referendum but relied in good faith on advice of Commission staff member that he could continue the committee if the second referendum was on the same issue. Closed without further action |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 174 -  | 6/27/2002         | 8/21/2002          |  |
| <b>Complainant</b>  |                   |                    |  |
| Ronald E. Graiff  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| New Fairfield   |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Peggy Katkocin  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Findings and Conclusions  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| The Commission concluded that no violation of Sec. 9-369b was committed. Complaint dismissed. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 175 - NF  | 6/28/2002         | 7/15/2002          |  |
| <b>Complainant</b>   |                   |                    |  |
| Referral by SOTS   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Russo for Probate, Lawrence Pisapio, Treas.  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance/Civil penalty   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue financial disclosure statement of May 14, 2002 filed with SOTS on July 10, 2002. Civil penalty of \$55 paid. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 176 -  | 7/5/2002          | 10/7/2002          |  |
| <b>Complainant</b>  |                   |                    |  |
| Victor Boronkay   |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| New Fairfield   |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Anthony Farina, President, New Fairfield Police Union   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Consent Agreement and Order/Civil Penalty   |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Agreement containing consent order and civil penalty of \$100 for violations of 9-333w, CGS adopted by Commission on 09/11/02 |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 177 -  | 7/23/2002         | 10/16/2002         |  |
| <b>Complainant</b>  |                   |                    |  |
| Wali Kadeem   |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Stratford   |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Richard Miron, Dem. ROV   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Findings and Conclusions  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Findings and Conclusions dismissing matter for insufficient evidence. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 178 - NF  | 8/5/2002          | 8/21/2002          |  |
| <b>Complainant</b>   |                   |                    |  |
| Ref. by Evelyn Goodwin, Town Clerk   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Litchfield   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Friends of Litchfield Public Schools, Carol Williams, Treasurer  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Closed with acceptance of \$55 civil penalty and confirmation that the overdue filings of July 11 and July 23, 2002 were made on July 26th . |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 179 - NF  | 7/5/2002          | 8/21/2002          |  |
| <b>Complainant</b>   |                   |                    |  |
| Ref. by Evelyn Goodwin, Town Clerk   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Litchfield   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Adele Morse, Treas. Litchfield Voters Assoc.   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Closed with \$55 civil penalty and confirmation that overdue July 23rd filing on August 12th |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 180 - NF  | 8/8/2002          | 8/19/2002          |  |
| <b>Complainant</b>   |                   |                    |  |
| Referral by SOTS   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Reform Party State Central Committee, Gary Beane, Treas.   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue July 10, 2002 financial disclosure statement filed with SOTS on or about August 22nd and \$110 civil penalty fee paid by Donna Donovan, Chairperson of Reform Party. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 181 -   | 8/15/2002         | 9/11/2002          |  |
| <b>Complainant</b>   |                   |                    |  |
| John Choate  |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Stamford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Denise Nappier; H.N.S. Management Co., Inc. d/b/a/CT Transit   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Findings and Conclusions/Dismissed   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Findings and Conclusions dismissing matter involving transit bus advertisement featuring picture of State Treasurer because NOT covered by 9-333l(d)(2) and did not violate 9-333l(d)(1) for reason that not promotional of State Treasurer's candidacy. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 182 -  | 8/16/2002         | 12/18/2002         |  |
| <b>Complainant</b>  |                   |                    |  |
| Adam Gutcheon   |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Windsor   |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Lawrence R. Voyer, Deputy Treasurer for Democrats in Progress, Audrey Michelle Greaves, Treasurer of Second Senatorial PAC, & Senator Eric Coleman  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Consent Agreements and Orders   |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Ms. Greaves of 2nd Senatorial PAC signed Consent Order paying \$250 civil penalty and forfeiting \$3,000 together with amended April 12, 2001 disclosure statement and henceforth order to comply with CGS 9-333j(c)(10(A), 9-333t(a) and 9-333x(10); Consent Order with Mr. Voyer of Democrats in Progress to forfeit \$3,000, pay civil penalty of \$250 together with henceforth order to comply with 9-333t(a) and 9-333 x(10); Consent Order with Senator Coleman to forfeit \$1,844.37 together with henceforth order to comply with 9-333i(G). |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 183 -  | 8/22/2002         | 10/16/2002         |  |
| <b>Complainant</b>  |                   |                    |  |
| Cheryl R. Larder  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Waterford   |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Elizabeth A. Bresser  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Consent Ageement and Order  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Respondent signed Consent Agreement & paid civil penalty of \$150 together with henceforth order to comply with CGS 9-333d, 9-333g and 9-333w |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 184 - NF   | 8/23/2002         | 9/6/2002           |  |
| <b>Complainant</b>  |                   |                    |  |
| SOT Referral  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Angel Morales Exploratory Committee, Adrian Carmona, Treas.   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance/Civil Penalty  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Compliance, overdue financial disclosure statement of July 11, 2002 filed on September 6th and \$110 civil penalty paid. Committee terminated |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 185 - NF  | 8/23/2002         | 10/1/2002          |  |
| <b>Complainant</b>   |                   |                    |  |
| SOT Referral   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Better Leaders of CT, Donna Permell, Treas,  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Committee terminated; overdue financial disclosure statement of July 11, 2002 filed on or about October 3rd and civil penalty of \$220 paid. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 186 - NF  | 8/23/2002         | 9/13/2002          |  |
| <b>Complainant</b>   |                   |                    |  |
| SOT Referral   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Committee to Re-Elect Lew Wallace, Michael Blount, Treas.  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Civil penalty of \$165 paid and overdue financial disclosure statement of July 11, 2002 filed on September 12th. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 187 - NF  | 8/23/2002         | 8/26/2002          |  |
| <b>Complainant</b>   |                   |                    |  |
| SOT Referral   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| CT Podiatry PAC, Darren Courtright, Treas  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance/ civil penalty  |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Compliance. Overdue financial disclosure statement of July 11, 2002 filed on August 29, 2002 and \$110 civil penalty paid. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 188 - NF  | 8/23/2002         | 9/16/2002          |  |
| <b>Complainant</b>   |                   |                    |  |
| SOT Referral   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Cromwell Republican Town Committee, Douglas Sienna, Treas.   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Closed; compliance with overdue July 11, 2002 financial disclosure statement on September 16, 2002 and payment of Civil Penalty in the amount of \$110 |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 189 - NF   | 8/23/2002         | 9/19/2002          |  |
| <b>Complainant</b>  |                   |                    |  |
| SOT Referral  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Dublin Brothers, Robert Mullins, Treas.   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue July 11, 2002 financial disclosure statement filed on September 10, 2002 and a civil penalty of \$110 paid. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 190 - NF  | 8/23/2002         | 9/9/2002           |  |
| <b>Complainant</b>   |                   |                    |  |
| SOT Referral   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Leadership-Hartford Non -Partisan PAC Nicholas Carbone, Chairman                                       |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue July 11, 2002 campaign finance statement filed on October 9th and civil penalty of \$165 paid. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 191 - NF  | 8/23/2002         | 9/10/2002          |  |
| <b>Complainant</b>   |                   |                    |  |
| SOT Referral   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Meriden Democratic Town Committee, Michael Quinn, Treas  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance/Civil Penalty   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue campaign finance statement of July 11, 2002 filed on Sept. 10, 2002 and civil penalty of \$110 paid. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 192 - NF   | 8/23/2002         | 9/19/2002          |  |
| <b>Complainant</b>  |                   |                    |  |
| SOT Referral  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| New London Republican Town Committee, Alvin G. Kinsall, Treas.  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue July 11, 2002 financial disclosure statement filed on Sept. 12, 2002 and \$110 civil penalty paid |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 193 - NF  | 8/23/2002         | 9/4/2002           |  |
| <b>Complainant</b>   |                   |                    |  |
| SOT Referral   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Prospect Democratic Town Committee, Steven Skrebutenas, Treas.   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance/Civil Penalty   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue July 11, 2002 financial disclosure statement filed on August 19th and civil penalty of \$110 paid. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 194 - NF   | 8/23/2002         | 8/26/2002          |  |
| <b>Complainant</b>  |                   |                    |  |
| SOT Referral  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Westbrook Democratic Town Committee, Anthony Marino, Treas.   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Compliance with SOTS directive. Late file fees paid to SOTS with respect to July 11, 2002 overdue financial disclosure statement on or about August 26th. closed. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 195 - NF  | 8/23/2002         | 9/19/2002          |  |
| <b>Complainant</b>   |                   |                    |  |
| SOT Referral   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Women in Politics, Carolyn Smith Stewart, Treas.   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Jacqueline James, Chair paid civil penalty of \$110 and the overdue financial disclosure statement of July 1, 2002 filed on September 4th. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 196 - NF  | 8/23/2002         | 9/13/2002          |  |
| <b>Complainant</b>   |                   |                    |  |
| SOT Referral   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Young Grand Old Party, Thomas Hearn , Treas.   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue campaign finance statement of July 11, 2002 filed on Sept. 12th and civil penalty of \$110 paid. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 197 - NF   | 8/23/2002         | 12/4/2002          |  |
| <b>Complainant</b>  |                   |                    |  |
| SOT Referral  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Young Urban Republicans, Angel Morales, Chairman  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Final Decision  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Final Decision of Hearing officer adopted by Commission, PAC Operations suspended until such time as obligations under 9-333j(a) and 9-333g(c) are met. Failure to file July 11, 2002 financial disclosure statement as of December 4th date of Final Decision. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 198 - L   | 8/23/2002         | 9/25/2002          |  |
| <b>Complainant</b>   |                   |                    |  |
| SOT Referral   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Daniel Allegretti, Lobbyist  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Closed   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Closed per order of JBG, closed on inability of Commission to locate respondent with respect to overdue July 11th itemized lobbyist disclosure statement covering the entire year. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 199 - L  | 8/23/2002         | 9/10/2002          |  |
| <b>Complainant</b>  |                   |                    |  |
| SOT Referral  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Katherine Bilotas, Lobbyist   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue July 11, 2002 annual lobbyist disclosure statement filed on August 27, 2002 and civil penalty of \$55 paid. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 200 - L  | 8/23/2002         | 9/20/2002          |  |
| <b>Complainant</b>  |                   |                    |  |
| SOT Referral  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Ken Christianson, Lobbyist  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue financial disclosure statement of July 11, 2002 filed on September 20, 2002 and \$110 civil penalty paid. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 201 - L   | 8/23/2002         | 9/6/2002           |  |
| <b>Complainant</b>   |                   |                    |  |
| SOT Referral   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Elizabeth Conklin, Lobbyist  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance/Civil Penalty   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue July 11, 2002 lobbyist annual statement filed on September 2, 2002 and \$110 civil penalty paid. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 202 - L  | 8/23/2002         | 8/26/2002          |  |
| <b>Complainant</b>  |                   |                    |  |
| SOT Referral  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| David Darlington, Lobbyist  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Referred in Error   |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Referral in error, Ethics provided SOTS with old list. No action per JBG/WS |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 203 - L  | 8/23/2002         | 9/5/2002           |  |
| <b>Complainant</b>  |                   |                    |  |
| SOT Referral  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| William Easton, Lobbyist  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue annual lobbyist disclosure statement of July 11, 2002 filed on 9/5/02 and \$110 civil penalty paid. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 204 - L   | 8/23/2002         | 9/11/2002          |  |
| <b>Complainant</b>   |                   |                    |  |
| SOT Referral   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Toni Fatone, Lobbyist  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance, Civil Penalty  |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue annual lobbyist disclosure statement of July 11, 2002 filed on September 10th and civil penalty of \$110 paid. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 205 - L  | 8/23/2002         | 10/10/2002         |  |
| <b>Complainant</b>  |                   |                    |  |
| SOT Referral  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| James Finnucan, Lobbyist  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Closed  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Closed as per evidence that Mr. Finnican had left lobbyist position with NE Utilities six months prior to beginning of July 2001 lobbyist reporting period. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 206 - L  | 8/23/2002         | 9/11/2002          |  |
| <b>Complainant</b>  |                   |                    |  |
| SOT Referral  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Mindi Haddad, Lobbyist  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Certification of exemption from filing itemized disclosure of contributions and purchases by a lobbyist, due July 11th, filed on or about October 10, 2002 and civil penalty of \$55 paid for compliance. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 207 - L  | 8/23/2002         | 9/9/2002           |  |
| <b>Complainant</b>  |                   |                    |  |
| SOT Referral  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Joy Hale, Lobbyist  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Voluntary Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Voluntary compliance , overdue July 11, 2002 lobbyist annual filing received on August 30th and civil penalty paid to SOTS prior to SEEC action/enforcement |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 208 - L   | 8/23/2002         | 8/26/2002          |  |
| <b>Complainant</b>   |                   |                    |  |
| SOT Referral   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Susan Halpin, Lobbyist   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Compliance per Nancy Staniewicz of the Secretary of the State's office who stated that the overdue July 11, 2002 lobbyist filing was filed prior to the date opened (8-23-03) with the SEEC and Respondent paid the \$55 late file fee paid to SOTS at that time. Case closed. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 209 - L  | 8/23/2002         | 9/19/2002          |  |
| <b>Complainant</b>  |                   |                    |  |
| SOT Referral  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Scott Hill, Lobbyist  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| No further action   |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Lobbyist terminated his employment with APCDA/Standard Packing Inc. on 10/19/02. No known activities for lobbyist as reported by APCOA to the Ethics Commission. No further actions taken with respect to overdue July 11, 2002 lobbyist annual filing. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 210 - L   | 8/23/2002         | 9/19/2002          |  |
| <b>Complainant</b>   |                   |                    |  |
| SOT Referral   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Theresa Jovliette, Lobbyist  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue July 11, 2002 lobbyist statement filed on September 19, 2002 by Theresa Jolivette and \$110 paid by the ASPCA (American Society for the Prevention of Cruelty to Animals). |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 211 - L   | 8/23/2002         | 12/20/2002         |  |
| <b>Complainant</b>   |                   |                    |  |
| SOT Referral   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Dennis Kay, Lobbyist   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance/Closed  |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Civil penalty of \$110 paid and the overdue July 11, 2002 lobbyist disclosure filed on 12/20/02 for compliance |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 212 - L  | 8/23/2002         | 9/26/2002          |  |
| <b>Complainant</b>  |                   |                    |  |
| SOT Referral  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Michael Kogut, Lobbyist   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| July 11, 2002 report filed August 9, 2002 together with payment of \$55.00 as civil penalty |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 213 - L  | 8/23/2002         | 10/10/2002         |  |
| <b>Complainant</b>  |                   |                    |  |
| SOT Referral  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Randy McCrea, Lobbyist  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Closed  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Closed per direction of JBG based upon retirement of Mr. McGreas from lobbying. He is no longer with firm and cannot be located. Mr. McCrea never filed the lobbyist disclosure due on July 11th, 2002. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 214 - L   | 8/23/2002         | 9/25/2002          |  |
| <b>Complainant</b>   |                   |                    |  |
| SOT Referral   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Esther McNany, Lobbyist  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Lobbyist disclosure which was due July 11th was filed (ED-51) on September 25th, 2002 along with a civil penalty of \$55 paid. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 215 - L   | 8/23/2002         | 9/17/2002          |  |
| <b>Complainant</b>   |                   |                    |  |
| SOT Referral   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Michael Nicholas, Lobbyist   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue lobbyist disclosure of July 11th filed on or about September 16th and civil penalty of \$55 accepted for full payment. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 216 - L   | 8/23/2002         | 10/15/2002         |  |
| <b>Complainant</b>   |                   |                    |  |
| SOT Referral   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Alfred Onorato, Lobbyist   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Closed   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| As per JBG, file closed. Mr. Onorato has not been lobbyist, but inadvertently designated so by Mr. Richard Foley, when Mr. Foley electronically filed with Ethics Commission in May 2001 |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 217 - L  | 8/23/2002         | 9/11/2002          |  |
| <b>Complainant</b>  |                   |                    |  |
| SOT Referral  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Timothy Phelan, Lobbyist  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue July 11th lobbyist filing filed on September 9th along with civil penalty of \$55 paid. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 218 - L   | 8/23/2002         | 8/26/2002          |  |
| <b>Complainant</b>   |                   |                    |  |
| SOT Referral   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Cheryl Rivers, Lobbyist  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance w/SOTS  |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Closed upon advice of Nancy Staniewicz of the Secretary of the State's office that overdue July 11th lobbyist filing made and late filing fee paid to SOTS prior to the SEEC's 8-23-03 case opening. Closed. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 219 - L  | 8/23/2002         | 8/26/2002          |  |
| <b>Complainant</b>  |                   |                    |  |
| SOT Referral  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Mark Scheinberg, Lobbyist   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance with SOTS  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Secretary of the State's Nancy Staniewicz advised that July 11th lobbyist filing and penalty filed prior to SEEC's 8-23-03 case opening. Case closed. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 220 - L  | 8/23/2002         | 9/5/2002           |  |
| <b>Complainant</b>  |                   |                    |  |
| SOT Referral  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Tanya Rhodes Smith, Lobbyist  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Closed  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Case closed. Lobbyist terminated. Moved out of state by December 01.. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 221 - L   | 8/23/2002         | 9/5/2002           |  |
| <b>Complainant</b>   |                   |                    |  |
| SOT Referral   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Keith Stover, Lobbyist   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance/ Civil Penalty  |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue financial disclosure statement of July 11, 2002 filed on September 5, 2002 and \$220 late filing fee paid. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 222 - L   | 8/23/2002         | 9/9/2002           |  |
| <b>Complainant</b>   |                   |                    |  |
| SOT Referral   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Alison Sylvia, Lobbyist  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Closed   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Closed. Alison Sylvia terminated employment with CT Legal Rights Project, Inc. on 4/6/01, which is prior to the 7-1-01 through 6-30-02 statement period. This was a case of an overdue July 11th lobbyist annual statement. Case closed. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 223 - L  | 8/23/2002         | 9/12/2002          |  |
| <b>Complainant</b>  |                   |                    |  |
| SOT Referral  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Ruth-Ann Wiesenthal-Gold, Lobbyist  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Referred in Error   |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue lobbyist filing of July 11, 2002 not owed because lobbyist was registered in error, which was confirmed by State Ethics Commission. Closed. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 224 - L  | 8/23/2002         | 9/27/2002          |  |
| <b>Complainant</b>  |                   |                    |  |
| SOT Referral  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Kurt Wittek, Lobbyist   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue July 11, 2002 lobbyist disclosure filed on September 25, 2002 along with civil penalty of \$110 paid. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 225 - L  | 8/23/2002         | 11/7/2002          |  |
| <b>Complainant</b>  |                   |                    |  |
| SOT Referral  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| John Wittezellner, Lobbyist   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance/Civil Penalty  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue July 11, 2002 lobbyist disclosure filed on November 7, 2002 along with civil penalty of \$110 paid after Notice of hearing sent. Hearing cancelled. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |
|---|-------------------|--------------------|
| 2002 - 226 -  | 9/5/2002          | 10/16/2002         |
| <b>Complainant</b>  |                   |                    |
| Kenneth P. Green  |                   |                    |
| <b>Complainant's Town</b>   |                   |                    |
| Hartford  |                   |                    |
| <b>Respondents</b>  |                   |                    |
| State Representative Barnaby Horton   |                   |                    |
| <b>Disposition</b>  |                   |                    |
|   |                   |                    |
| <b>Headnotes</b>  |                   |                    |
| The Commission referred the matter to the State's Attorney's office unanimously at its meeting of October 16, 2002. This involved the alleged misuse of absentee ballots and absentee ballot applications by a candidate. |                   |                    |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |
|--|-------------------|--------------------|
| 2002 - 227 -   | 9/6/2002          | 11/13/2002         |
| <b>Complainant</b>   |                   |                    |
| Gaetano, Robert  |                   |                    |
| <b>Complainant's Town</b>  |                   |                    |
| Waterbury  |                   |                    |
| <b>Respondents</b>   |                   |                    |
| Hector Riellano  |                   |                    |
| <b>Disposition</b>   |                   |                    |
| Agreement Containing consent Order   |                   |                    |
| <b>Headnotes</b>   |                   |                    |
| Respondent paid civil penalty of \$350 for violation of Section 9-140(a), 9-140b(e) together with an order to henceforth comply. Respondent failed to co-sign an absentee ballot application for assisting the applicant in the completion of the form (9-140(a)) and was present in the same room when the actual absentee ballot was marked in violation of 9-140b(e). |                   |                    |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |
|---|-------------------|--------------------|
| 2002 - 228 -  | 9/10/2002         | 11/13/2002         |
| <b>Complainant</b>  |                   |                    |
| Tracy Morrissey   |                   |                    |
| <b>Complainant's Town</b>   |                   |                    |
| West Haven  |                   |                    |
| <b>Respondents</b>  |                   |                    |
| Mary Marino and Jo Ann Callegari, ROVs  |                   |                    |
| <b>Disposition</b>  |                   |                    |
| Agreement Containing consent Order and Reprimand  |                   |                    |
| <b>Headnotes</b>  |                   |                    |
| Agreement Containing Consent Order including reprimand for violations of 9-405, G.S. by West Haven Registrars of Voters, for failing to have their offices open on the last filing day for primary petitions. |                   |                    |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |
|--|-------------------|--------------------|
| 2002 - 229 - C   | 9/18/2002         | 10/2/2002          |
| <b>Complainant</b>   |                   |                    |
| Ref. by SOTS   |                   |                    |
| <b>Complainant's Town</b>  |                   |                    |
| Hartford   |                   |                    |
| <b>Respondents</b>   |                   |                    |
| Raul Avila-Assembly District #95, Robert Franklin, Treasurer   |                   |                    |
| <b>Disposition</b>   |                   |                    |
| Compliance   |                   |                    |
| <b>Headnotes</b>   |                   |                    |
| State Representative candidate failed to file a registration form even though was in a primary. Registration filed on October 10, 2002 and \$110 civil penalty paid. |                   |                    |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 230 - C  | 9/18/2002         | 1/9/2003           |  |
| <b>Complainant</b>  |                   |                    |  |
| Ref. By SOTS  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Kevin Boyle-Candidate for Judge of Probate  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance/Closed   |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Referred by SOTS for failure to dissolve exploratory committee and form candidate committee after candidate appeared on ballot as candidate for Probate Judge. Substantial compliance found because all exploratory committee reports filed, with distribution of funds to candidate committee created by the candidate. Candidate sent notice of intent to dissolve exploratory committee & ED-49 was acceptable |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 231 -   | 9/13/2002         | 12/4/2002          |  |
| <b>Complainant</b>   |                   |                    |  |
| Dianne G. Onduska  |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Norwich  |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Nancy A. DePietro, Democratic Registrar of Voters  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Findings and Conclusions & Consent Agreement   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Findings and Conclusions as to allegations concerning 9-436a & 9-235d; Consent Agreement with Nancy DePietro to henceforth comply with 9-244 |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |
|--|-------------------|--------------------|
| 2002 - 232 -   | 9/16/2002         | 2/19/2003          |
| <b>Complainant</b>   |                   |                    |
| Donna L. Bridgett  |                   |                    |
| <b>Complainant's Town</b>  |                   |                    |
| Meriden  |                   |                    |
| <b>Respondents</b>   |                   |                    |
| Maureen E. Flynn, Registrar of Voters, Meriden   |                   |                    |
| <b>Disposition</b>   |                   |                    |
| Agreement Containing Consent Order   |                   |                    |
| <b>Headnotes</b>   |                   |                    |
| Registrar holding a primary in which she was a candidate. Violated 9-159 by not swearing in all supervised absentee ballot designees and not providing her notice of their appointment to town clerk. Also violation of 9-229 by not making all moderator appointments in writing to Town Clerk at least 20 days prior to primary; and violation of 9-249, through 9-381a by not training all designated poll workers and not filing a list of those instructed and their polling place assignments with Town Clerk. Consent order signed with civil penalty of \$100 paid and henceforth order. |                   |                    |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |
|--|-------------------|--------------------|
| 2002 - 233 - A-C,D   | 9/18/2002         | 12/4/2002          |
| <b>Complainant</b>   |                   |                    |
| John F. Gauger, Jr.  |                   |                    |
| <b>Complainant's Town</b>  |                   |                    |
| Winsted  |                   |                    |
| <b>Respondents</b>   |                   |                    |
| Christine Rogers   |                   |                    |
| <b>Disposition</b>   |                   |                    |
| Findings and Conclusions & Consent Agreement and Order   |                   |                    |
| <b>Headnotes</b>   |                   |                    |
| 2002-233A-C; Findings and Conclusions dismissing complaint with regard to Donna Moore, Samuel Chapman, & Sheila Sedlack. 2002-D is a Consent Agreement with Respondent Christine Rogers containing henceforth order to comply with 9-333w (failure of attribution on printed campaign literature). |                   |                    |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 234 -  | 9/18/2002         | 11/13/2002         |  |
| <b>Complainant</b>  |                   |                    |  |
| John Jay Last   |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| North Branford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Laura E. Negro  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Agreement Containing Consent Order  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Respondent shall henceforth comply with requirements of 9-54 to provide street number of houses for party members on enrollment list printed for party primary. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 236 -   | 9/25/2002         | 11/13/2002         |  |
| <b>Complainant</b>   |                   |                    |  |
| Noreen Farmer  |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| East Windsor   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
|  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Findings and Conclusions   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Findings and Conclusions dismissing the complaint that the State Department of Environmental Protection violated the prohibition on expending public funds in connection with a referendum under 9-369b CGS. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |
|---|-------------------|--------------------|
| 2002 - 237 -  | 9/26/2002         | 1/15/2003          |
| <b>Complainant</b>  |                   |                    |
| Ruben Goodwin   |                   |                    |
| <b>Complainant's Town</b>   |                   |                    |
| Stratford   |                   |                    |
| <b>Respondents</b>  |                   |                    |
| Anna Marie Buehler, Richard Miron and Dean Paradise   |                   |                    |
| <b>Disposition</b>  |                   |                    |
| Agreement containing Consent Order  |                   |                    |
| <b>Headnotes</b>  |                   |                    |
| Separate consent agreements as follows: 1) Respondent Anna Marie Buehler violated 9-308 & 309 as moderator by not properly following the procedure for announcing the election results. Consent Order contains henceforth order. 2) Respondents Miron and Paradise (ROVs) violated 9-35, 9-172a and 9-172b by not checking registry list for duplicates, which resulted in an elector being registered three times. Consent Agreement contains henceforth O and Henceforth, List was corrected with elimination of duplicates as ordered. |                   |                    |

| <b>File Number</b>                                   | <b>Date Filed</b> | <b>Date Closed</b> |
|--|-------------------|--------------------|
| 2002 - 238 -   | 10/1/2002         | 11/13/2002         |
| <b>Complainant</b>                                   |                   |                    |
| Judith Stripay                                       |                   |                    |
| <b>Complainant's Town</b>                            |                   |                    |
| Monroe   |                   |                    |
| <b>Respondents</b>                                   |                   |                    |
| John S. Lesko  |                   |                    |
| <b>Disposition</b>                                   |                   |                    |
| Agreement Containing Consent Order                   |                   |                    |
| <b>Headnotes</b>                                     |                   |                    |
| Closed per henceforth order to comply with 9-333w(a) |                   |                    |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 238 -   | 10/1/2002         | 11/13/2002         |  |
| <b>Complainant</b>   |                   |                    |  |
| Judith Stripay   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Monroe   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| John S. Lesko  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Agreement Containing Consent Order   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Closed with consent order to henceforth comply with 9-333w(a) concerning the "paid for by" attribution required for the printed communications distributed by a candidate. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 239 - NF   | 10/7/2002         | 4/4/2003           |  |
| <b>Complainant</b>  |                   |                    |  |
| SOTS Referral   |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| "Diaz 128", Thomas W. Bucci, Jr. Treasurer  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Final Decision  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Commission adopted Hearing Officer's report as final decision of the Commission. Respondent paid \$3000. to the Commission together with filing of delinquent financial disclosure statements for an ongoing political committee on September 3, 2002, October 10, 2002 and December 16, 2002 within 30 days of adoption. Civil Penalty of \$3,000 hand delivered on 4/4/03. All overdue reports filed. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 240 -   | 9/9/2002          | 12/4/2002          |  |
| <b>Complainant</b>   |                   |                    |  |
| Corinne E. Gill  |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Middletown   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| John Robinson, Middletown Democratic Town Committee Chairperson  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Findings and Conclusions   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Findings and Conclusions dismissing case alleging that the Respondent promised a benefit in return for the nomination or election of a Democratic candidate. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 241 -   | 10/9/2002         | 11/13/2002         |  |
| <b>Complainant</b>   |                   |                    |  |
| Alexis P. Harrison   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Bridgeport   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Christopher Greenwood  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Findings and Conclusions   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Complaint dismissed as a result of mistake made by newspaper: Robert Sullivan, editor and publisher of the Bridgeport Banner, failed to include the attribution on Respondent's ad although Respondent told the paper to include it. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 242 -  | 10/10/2002        | 12/4/2002          |  |
| <b>Complainant</b>  |                   |                    |  |
| John L. Robinson  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Middletown  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Joseph E. Milardo & James Marhevka  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Findings and Conclusions  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Findings and Conclusions dismissing the complaint alleging that the purchasers of advertising in a golf fundraising program illegally received a ticket or meal as incentive to place the ad. Case dismissed. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 243 -  | 10/15/2002        | 11/13/2002         |  |
| <b>Complainant</b>  |                   |                    |  |
| Richard Amorossi  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Brookfield  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Martin Foncello, First Selectman, Town of Brookfield  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Withdrawn   |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Case withdrawn in writing by the complainant and complainant's request to withdraw granted by the Commission at its meeting of November 13, 2002. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |
|---|-------------------|--------------------|
| 2002 - 244 -  | 10/21/2002        | 5/13/2003          |
| <b>Complainant</b>  |                   |                    |
| David R. Krupa  |                   |                    |
| <b>Complainant's Town</b>   |                   |                    |
| South Windsor   |                   |                    |
| <b>Respondents</b>  |                   |                    |
| Geoffrey McCarthy-Miller, Principal of Philip R. Smith School; Cathy DiCianci, President of Philip R. Smith School PTO  |                   |                    |
| <b>Disposition</b>  |                   |                    |
| Consent Agreement and Order   |                   |                    |
| <b>Headnotes</b>  |                   |                    |
| Consent order that Parent Teacher Organization violated 9-369b by distributing flyer advocating in favor of a referendum through distribution by school children as carriers and by reproducing flyer on school copier. Consent Order included henceforth order to strictly comply with Sec. 9-369b \$144 reimbursement to municipality. Principal also signed Consent Order and agreed to review all printed materials distributed through schoolchildren while a referendum is pending. |                   |                    |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |
|---|-------------------|--------------------|
| 2002 - 245 -  | 10/18/2002        | 1/15/2003          |
| <b>Complainant</b>  |                   |                    |
| Sister Mary Lisette Slisz   |                   |                    |
| <b>Complainant's Town</b>   |                   |                    |
| New Britain   |                   |                    |
| <b>Respondents</b>  |                   |                    |
| Eva Corrigan  |                   |                    |
| <b>Disposition</b>  |                   |                    |
| Consent Agreement and Order   |                   |                    |
| <b>Headnotes</b>  |                   |                    |
| Violation by Eva Corrigan of 9-140 requirements of co-signing absentee ballot applications as an assister. Payment of civil penalty of \$100 for compliance |                   |                    |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 246 -   | 10/25/2002        | 11/14/2002         |  |
| <b>Complainant</b>   |                   |                    |  |
| Elaine Bedard  |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Southington  |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Robert J. Peters   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Closed/No jurisdiction   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Case closed. Commission does not have jurisdiction under Section 9-7b to consider alleged violations of Section 7-421 (restrictions of political activities of municipal employees). |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 247 -  | 10/28/2002        | 1/15/2003          |  |
| <b>Complainant</b>  |                   |                    |  |
| John S. Mazurek   |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Wolcott   |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Dennis Cleary, State Representative   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Consent Agreement and Order   |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Agreement containing consent order for violations of 9-333l(d) and civil penalty of \$150 accepted by and adopted by Commission. Closed |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |
|---|-------------------|--------------------|
| 2002 - 248 -  | 10/28/2002        | 2/19/2003          |
| <b>Complainant</b>  |                   |                    |
| Ross J. Christie, Esq.  |                   |                    |
| <b>Complainant's Town</b>   |                   |                    |
| Danbury   |                   |                    |
| <b>Respondents</b>  |                   |                    |
| Michael Blount, Treas.; Mary-Anne Blount, Deputy Treasurer; Committee to Re-elect Lew Wallace   |                   |                    |
| <b>Disposition</b>  |                   |                    |
| Consent Agreement and Order   |                   |                    |
| <b>Headnotes</b>  |                   |                    |
| Consent Order containing \$300 civil penalty against both Respondents together with a henceforth order to comply with the requirements of 9-333j(c) (failure to disclose occupation and employer for contributions over \$100), 9-333h(b) for failure to properly allocate contributions in joint checking accounts and 9-333i(l) by failure to list treasurer of a PAC contributing and violated 9-333h(b). Order included corrected, amended filings of campaign finance reports. |                   |                    |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |
|---|-------------------|--------------------|
| 2002 - 249 -  | 10/28/2002        | 1/15/2003          |
| <b>Complainant</b>  |                   |                    |
| Candee Doneiko  |                   |                    |
| <b>Complainant's Town</b>   |                   |                    |
| Seymour   |                   |                    |
| <b>Respondents</b>  |                   |                    |
| Steven M. Jackson, Chief Executive Officer and Representative of Lutheran Home, Southbury   |                   |                    |
| <b>Disposition</b>  |                   |                    |
| Consent Agreement and Order   |                   |                    |
| <b>Headnotes</b>  |                   |                    |
| Consent Order requiring administrator of an institution under 9-159s to give prior notice to conservators and guardians appointed by Probate Court of voter registration opportunity to home resident in advance of registration. |                   |                    |

|  |                   |                    |  |
|--|-------------------|--------------------|--|
| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
| 2002 - 250 -   | 10/29/2002        | 2/19/2003          |  |
| <b>Complainant</b>   |                   |                    |  |
| Chris DePino   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Jim Maloney & Michael Rendina  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Findings and Conclusions/Dismissed   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Commission dismissed case, reserving the right to reopen the matter in the event that new evidence is discovered. Dismissed for insufficient evidence that, as alleged, eight voter registration cards were fraudulently procured on behalf of voters. |                   |                    |  |

|  |                   |                    |  |
|--|-------------------|--------------------|--|
| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
| 2002 - 251 -   | 10/31/2002        | 3/26/2003          |  |
| <b>Complainant</b>   |                   |                    |  |
| John Olsen   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Nicholas Lewitz, Treasurer Committee to Elect Sid Holbrook; Chamard Vineyards, Inc. by Carolyn Chaney  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Agreements Containing Consent Orders   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Consent Order for violation of 9-333o & 9-333x(10) with civil fine of \$250 against Chamard Vineyards, Inc. together with henceforth order. Also, consent order for violations of 9-333h(a), 9-333j(c), 9-333o, 9-333x(10) adopted by Commission adopted against Respondent Lewitz including a civil penalty of \$100 and \$250 forfeiture by the Committee to Elect Sid Holbrook. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 252 -   | 11/4/2002         | 12/18/2002         |  |
| <b>Complainant</b>   |                   |                    |  |
| Richard W. Crane   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Woodbury   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Paul Valenti, Treasurer of Reilly for State Senate & Patricia L. Reilly  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Findings and Conclusions   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Flyer produced by Respondent's campaign had attribution at all times. The flyer submitted by the complainant had been altered prior to his receipt of it and without his knowledge to redact the address panel, which contained the proper attribution. The flyer without the attribution was not produced, authorized or disseminated by the Respondent or her candidate committee. Dismissed with Findings and Conclusions; no violation |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 253 -  | 11/4/2002         | 12/18/2002         |  |
| <b>Complainant</b>  |                   |                    |  |
| Joan L. Kane  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Wolcott   |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Sharon S. Anderson, John C. McCarthy, Patricia Najarian, Michael Ouellette and Arlene M. Tansley, Linda Fercodini   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Agreement Containing Consent Order  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Agreement containing consent order for violation of attribution requirement against the six respondents, all members of Charter Revision Commission, and \$50 Consent Agreement with each of six members of a Charter Revision Commission, each to pay \$50.00 civil penalty together with a henceforth order agreeing to comply with 9-333g & 9-333w (attribution requirement for a group which does not expend more than \$500 for the referendum). |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 254 -   | 11/7/2002         | 12/18/2002         |  |
| <b>Complainant</b>   |                   |                    |  |
| Marian Hawkins & John F. Kriedel   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Rocky Hill   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
|  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Withdrawn  |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Complainants request that the complaint be withdrawn. Commission voted to grant that request at its December 19, 2002 meeting. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 255 -  | 11/7/2002         | 12/18/2002         |  |
| <b>Complainant</b>  |                   |                    |  |
| Lynn Ptak   |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Rocky Hill  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Registrars of Voters, Janet W. Viggiano & Patricia E. Beyer   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Findings and Conclusions, Dismissed   |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Findings and Conclusions dismissing the complaint alleging that an individual fraudulently voted in the election is accepted by the Commission. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 257 -   | 11/8/2002         | 12/18/2002         |  |
| <b>Complainant</b>   |                   |                    |  |
| Linda Aronowitz  |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Rocky Hill   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
|  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Findings and Conclusions   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Findings and Conclusions, matter was dismissed, no violation of 9-247 requiring that the voting machines be properly adjusted on election day. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 259 -  | 11/13/2002        | 1/15/2003          |  |
| <b>Complainant</b>  |                   |                    |  |
| Martha A. Dean  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Attorney General Richard Blumenthal   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Findings and Conclusions/Dismissed  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Complaint dismissed on basis that Attorney General contractual provision for legal services, banning contract firm attorneys from contributing to any candidate for Attorney General does not violate any provision of the campaign finance or election laws. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 259 - CNF  | 11/15/2002        | 12/3/2002          |  |
| <b>Complainant</b>  |                   |                    |  |
| SOTS Referral   |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Christopher M. Barrett, Candidate   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Civil penalty of \$150 paid for failure to file a candidate committee registration statement after becoming a candidate. Statement filed on or about November 22, 2002. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 260 - CNF   | 11/15/2002        | 1/2/2003           |  |
| <b>Complainant</b>   |                   |                    |  |
| SOTS Referral  |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| John Maier, Candidate  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Closed/Compliance  |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| \$200 civil penalty paid for failure to register a candidate committee after becoming a candidate. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 261 - CNF   | 11/15/2002        | 12/13/2002         |  |
| <b>Complainant</b>   |                   |                    |  |
| SOTS Referral  |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Thomas Greene, Candidate   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Civil penalty of \$200 received for failure of the candidate to form a candidate committee after becoming a candidate. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 262 - CNF   | 11/15/2002        | 1/28/2003          |  |
| <b>Complainant</b>   |                   |                    |  |
| SOS Referral   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Ernest J. Diette, Jr. Candidate  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Closed   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Commission determined Mr. Diette no longer resides at address provided and his whereabouts is unknown. Investigation revealed he did not make or receive expenditures on behalf of his candidacy. No further action with respect to the Secretary of the State's referral that Mr. Diette failed to form a candidate committee after becoming a candidate. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 263 - CNF   | 11/15/2002        | 12/13/2002         |  |
| <b>Complainant</b>   |                   |                    |  |
| SOTS Referral  |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Aldo P. Provera, Jr. Candidate   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Civil penalty of \$200 received for failure to file as a candidate committee after becoming a candidate. Certification of Exemption that candidate did not receive any contributions or make any expenditures filed on December 6th, 2002 with respect to the November 5, 2002 election. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 264 - CNF  | 11/15/2002        | 1/7/2003           |  |
| <b>Complainant</b>  |                   |                    |  |
| SOTS Referral   |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Gary E. Waterhouse, Candidate   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance/Closed   |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Civil penalty of \$100 paid on 1/7/03 for failure to file a candidate committee after becoming a candidate. Certificate of Exemption from Filing as a Candidate Committee filed on 12/4/02 with respect to November 5th election. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 265 - CNF   | 11/15/2002        | 1/9/2003           |  |
| <b>Complainant</b>   |                   |                    |  |
| SOTS Referral  |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Tom Estabrook, Candidate   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance/Closed  |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Form B-4, Certificate of Exemption from Filing a Candidate Committee filed January 9, 2003 and civil penalty of \$200 paid. Hearing notice was sent and hearing cancelled. Case was about the failure to register as a candidate committee after becoming a candidate for the November 5th, 2002 election. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 266 - CNF  | 11/15/2002        | 12/3/2002          |  |
| <b>Complainant</b>  |                   |                    |  |
| SOTS Referral   |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Christopher Duffy-Acevelo, Candidate, Assembly District #95   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Candidate alleged to have failed to file as a candidate committee after becoming a candidate for the 2002 election. Certificate of exemption was filed on or about January 7, 2003; no funds spent on his campaign . No penalty assessed. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |
|---|-------------------|--------------------|
| 2002 - 267 - CNF  | 11/15/2002        | 1/1/2003           |
| <b>Complainant</b>  |                   |                    |
| SOTS Referral   |                   |                    |
| <b>Complainant's Town</b>   |                   |                    |
| Hartford  |                   |                    |
| <b>Respondents</b>  |                   |                    |
| Brad R. Tobin, Candidate  |                   |                    |
| <b>Disposition</b>  |                   |                    |
| Compliance/Closed   |                   |                    |
| <b>Headnotes</b>  |                   |                    |
| Candidate filed B-4, certificate of exemption from forming candidate committee on January 2, 2002 that should have been filed for November 2002 election Affidavit said that no money received or spent in connection with campaign. Case closed with overdue filing. |                   |                    |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |
|---|-------------------|--------------------|
| 2002 - 268 - CNF  | 11/15/2002        | 1/13/2003          |
| <b>Complainant</b>  |                   |                    |
| SOTS Referral   |                   |                    |
| <b>Complainant's Town</b>   |                   |                    |
| Hartford  |                   |                    |
| <b>Respondents</b>  |                   |                    |
| John J. Harkins, Jr., Candidate   |                   |                    |
| <b>Disposition</b>  |                   |                    |
| Compliance  |                   |                    |
| <b>Headnotes</b>  |                   |                    |
| Case closed after filing of overdue Certification of Exemption from forming a candidate committee and an affidavit (sworn) filed. Certificate of Exemption filed on January 13, 2003 that should have been filed after becoming a candidate. Case closed. |                   |                    |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 269 - CNF  | 11/15/2002        | 12/3/2002          |  |
| <b>Complainant</b>  |                   |                    |  |
| SOTS Referral   |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| John B. Reardon, Jr.,Candidate  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Case closed after overdue B-4 form, Certificate of Exemption from forming Candidate Committee, in connection with becoming a candidate in the November 5, 2002 election received and filed on November 20, 2002 . |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 270 - CNF  | 11/15/2002        | 1/7/2003           |  |
| <b>Complainant</b>  |                   |                    |  |
| SOTS Referral   |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Clifford D. Hoyle, Candidate  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Closed  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Closed with voluntary compliance. B-4 Certificate of Exemption from Forming a Candidate Committee form filed on November 25, 2002 in connection with November election along with an affidavit that candidate did not raise or spend any funds. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 271 - CNF  | 11/8/2002         | 12/18/2002         |  |
| <b>Complainant</b>  |                   |                    |  |
| SOTS Referral   |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| J. David Morrissey, Candidate   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Closed/Compliance   |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Candidate neither raised nor spent any funds on campaign, including his own, penalty waived following filing of December 13, 2002 involving Certificate of Exemption from Forming a Candidate committee in connection with November 5, 2002 election. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 272 - CNF  | 11/15/2002        | 12/3/2002          |  |
| <b>Complainant</b>  |                   |                    |  |
| SOTS Referral   |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Salvatore Diglio, Candidate   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Failure of candidate to file candidate committee or exemption form after becoming a candidate for the November 5th election. Overdue Form B-4 filing made on November 21, 2002. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 273 - CNF  | 11/15/2002        | 12/18/2002         |  |
| <b>Complainant</b>  |                   |                    |  |
| SOTS Referral   |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Patrick J. Wall, Candidate  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Compliance with overdue candidate registration for the November 5, 2002 election which was filed on November 29, 2002. Candidate neither raised nor spent any funds on campaign, including his own, penalty waived. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 274 - CNF   | 11/15/2002        | 12/13/2002         |  |
| <b>Complainant</b>   |                   |                    |  |
| SOTS Referral  |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Peter K. Manko, Candidate  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Civil penalty of \$100 received following receipt of overdue candidate registration filing for November 5, 2002 election which was received on December 2, 2002. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |
|--|-------------------|--------------------|
| 2002 - 275 - CNF   | 11/15/2002        | 12/17/2002         |
| <b>Complainant</b>   |                   |                    |
| SOTS Referral  |                   |                    |
| <b>Complainant's Town</b>  |                   |                    |
| Hartford   |                   |                    |
| <b>Respondents</b>   |                   |                    |
| Steve J. Lofman, Candidate   |                   |                    |
| <b>Disposition</b>   |                   |                    |
| Compliance   |                   |                    |
| <b>Headnotes</b>   |                   |                    |
| Civil penalty of \$200 paid for late filed candidate registration form in connection with November 5, 2002 election. Certificate of Exemption filed on December 8, 2002. |                   |                    |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |
|--|-------------------|--------------------|
| 2002 - 276 - CNF   | 11/15/2002        | 12/3/2002          |
| <b>Complainant</b>   |                   |                    |
| SOTS Referral  |                   |                    |
| <b>Complainant's Town</b>  |                   |                    |
| Hartford   |                   |                    |
| <b>Respondents</b>   |                   |                    |
| Linda F. Riiska, Candidate   |                   |                    |
| <b>Disposition</b>   |                   |                    |
| Compliance   |                   |                    |
| <b>Headnotes</b>   |                   |                    |
| Form B-4 received by Town Clerk of Norfolk on August 13, 2002, prior to SOTS notice letter of August 19th and prior to referral letter to SEEC from SOTS on October 16, 2002. Case closed, erroneously referred. |                   |                    |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 277 - CNF  | 11/15/2002        | 12/3/2002          |  |
| <b>Complainant</b>  |                   |                    |  |
| SOTS Referral   |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Paul Cravinho   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Form B-4, Certificate of Exemption from forming candidate committee, received by the Town Clerk and SOTS on 7-24-03, prior to the SOTS referral of October 16, 2002. Case closed. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 278 - CNF  | 11/15/2002        | 1/13/2003          |  |
| <b>Complainant</b>  |                   |                    |  |
| SOTS Referral   |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Kent M. Miller, Candidate   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance/Closed   |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Resolved by candidate filing B-4 form and affidavit that he did not spend or receive any funds. Hearing cancelled. Closed |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 279 -  | 11/14/2002        | 1/15/2003          |  |
| <b>Complainant</b>  |                   |                    |  |
| Robert Wm. Schultz  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Pomfret Center  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Elizabeth Cartier, Rep. ROV; Norma Robbins, Dem.ROV; John E. Casey, Moderator   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Agreement Containing Consent Order  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Respondents Cartier, Robbins and Casey were henceforth ordered to properly comply with the requirements of Sections 9-247 & 9-259 concerning the placement of ballot labels on voting machines. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 280 -  | 11/18/2002        | 2/19/2003          |  |
| <b>Complainant</b>  |                   |                    |  |
| Linda K. Austin   |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Shelton   |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| George M. Wnetworth, Director of Office of Program Policy; Antony Lazarus, Unit Coordinator Supervisor, Ansonia CT Works Center   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Findings and Conclusions  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Complaint raised question of whether Department of Labor was a voter registration agency and whether it failed to transmit voter registration forms under 9-23n. Findings and Conclusions dismissing the Complaint adopted by Commission. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 281 -   | 11/25/2002        | 2/19/2003          |  |
| <b>Complainant</b>   |                   |                    |  |
| Angela D. Raymond  |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Brookfield   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Robert Parker  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Consent Agreement  |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Adoption of Consent Agreement to henceforth comply with requirements of 9-333j, 9-333g, 9-333v(d) and 9-333w; civil penalty of \$500 paid. The Brookfield Water Company expended more than \$1,000 in connection with a referendum and did not have proper attributions on its printed advocacy materials. Respondent is the CEO of the company. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 283 -   | 12/6/2002         | 5/7/2003           |  |
| <b>Complainant</b>   |                   |                    |  |
| Cynthia A. Dubuque   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| East Haven   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Jim Townsley & Mike McHugh   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Consent Agreement and Order  |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Respondents each signed Consent Order and paid a civil penalty of \$250 for violation of Sections 9-333d, 9-333g and 9-333w, pertaining to the requirements of forming a political committee and the attribution requirements on printed communications. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |
|--|-------------------|--------------------|
| 2002 - 284 - NF  | 12/13/2002        | 1/30/2003          |
| <b>Complainant</b>   |                   |                    |
| Referral by SOTS   |                   |                    |
| <b>Complainant's Town</b>  |                   |                    |
| Hartford   |                   |                    |
| <b>Respondents</b>   |                   |                    |
| Bob for Rep. '02, Michael Biancur, Treas.  |                   |                    |
| <b>Disposition</b>   |                   |                    |
| Compliance   |                   |                    |
| <b>Headnotes</b>   |                   |                    |
| Closed by order of the Commission, with filing of termination statement in lieu of overdue October 29, 2002 financial disclosure statement. Termination statement filed on January 29, 2003 and was accepted because withdrawal of candidacy letter filed prior to the election. |                   |                    |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |
|--|-------------------|--------------------|
| 2002 - 285 - NF  | 12/13/2002        | 12/18/2002         |
| <b>Complainant</b>   |                   |                    |
| Referral by SOTS   |                   |                    |
| <b>Complainant's Town</b>  |                   |                    |
| Hartford   |                   |                    |
| <b>Respondents</b>   |                   |                    |
| Bridgeport's Caruso, Fernando Muniz, Treas.  |                   |                    |
| <b>Disposition</b>   |                   |                    |
| Closed   |                   |                    |
| <b>Headnotes</b>   |                   |                    |
| Case closed per Nancy Staniewicz of the Secretary of the State's office representing that the filing received. |                   |                    |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 286 - NF  | 12/13/2002        | 2/10/2003          |  |
| <b>Complainant</b>   |                   |                    |  |
| Referral by SOTS   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Committee to Re-elect Judge Albis, Patricia Mellion, Treas.  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Civil penalty of \$165 paid. \$110 for this file and \$55 towards 03-111NF. Financial disclosure statements due on October 29 and December 20, 2002 relating to Probate Judge "Committee to Re-Elect Judge Albis" filed on January 27th, bringing committee into compliance. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 287 - NF   | 12/13/2002        | 1/8/2003           |  |
| <b>Complainant</b>  |                   |                    |  |
| Referral by SOTS  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Committee to Elect Matt Greene, Ronald Baude, Treas   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance/Closed   |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Civil penalty of \$330 paid on 1/8/03 and October 10, October 29 and December 20, 2002 financial disclosure statements filed on behalf of the Probate Judge candidate committee known as Committee to Re-Elect Matt Greene, bringing the committee into compliance. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 288 - NF   | 12/13/2002        | 12/26/2002         |  |
| <b>Complainant</b>  |                   |                    |  |
| Referral by SOTS  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Friends of Raul Avila, Robert Franklin, Treas   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance/Closed   |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| 12-26-02 compliance relating to overdue October 29, 2002 financial disclosure statement of Raul D Avila's candidate committee for the 95th Assembly District. Report filed and a reduced fine of 65.00 paid |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 289 - NF  | 12/13/2002        | 1/14/2003          |  |
| <b>Complainant</b>   |                   |                    |  |
| Referral by SOTS   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Hernandez 2002, Iris Hernandez, Treas.   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Compliance when overdue October 10 and October 29, 2002 financial disclosure statements filed on January 14, 2003 relating to Juan Hernandez's candidate committee for the 124th assembly district, called "Hernandez 2002". Reports filed and \$165 civil penalty paid. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |
|---|-------------------|--------------------|
| 2002 - 290 - NF   | 12/13/2002        | 12/19/2002         |
| <b>Complainant</b>  |                   |                    |
| Referral by SOTS  |                   |                    |
| <b>Complainant's Town</b>   |                   |                    |
| Hartford  |                   |                    |
| <b>Respondents</b>  |                   |                    |
| Jack Keyes for Probate Judge, Michael Whalan, Treas.  |                   |                    |
| <b>Disposition</b>  |                   |                    |
| Closed  |                   |                    |
| <b>Headnotes</b>  |                   |                    |
| Compliance when SEEC advised by Secretary of the State's staff that overdue October 29, 2002 filed, on or about December 19th. This occurred prior to SEEC's letter issuing so case closed. |                   |                    |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |
|---|-------------------|--------------------|
| 2002 - 291 - NF   | 12/13/2002        | 1/7/2003           |
| <b>Complainant</b>  |                   |                    |
| Referral by SOTS  |                   |                    |
| <b>Complainant's Town</b>   |                   |                    |
| Hartford  |                   |                    |
| <b>Respondents</b>  |                   |                    |
| Joe Bango for State House, Maurice Cloutier, Treas.   |                   |                    |
| <b>Disposition</b>  |                   |                    |
| Compliance/Closed   |                   |                    |
| <b>Headnotes</b>  |                   |                    |
| Overdue October 29, 2002 financial disclosure statement filed on January 7, 2003 along with civil penalty of \$110 paid in full. This was Joe Bango's candidate committee for the 96th Assembly district, called "Joe Bango for State House". |                   |                    |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 292 - NF   | 12/13/2002        | 2/26/2003          |  |
| <b>Complainant</b>  |                   |                    |  |
| Referral by SOTS  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Joe Fleeting for Senate, Shelley Morton Treas.  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Payment of \$110 civil penalty tendered on 2/20/03. Proof that overdue October 29, 2002 financial disclosure statement filed on January 9, 2003 with the Secretary of the State. Closed against Joe Fleeting for Senate, a candidate committee for 2nd state senatorial district. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 293 - NF   | 12/13/2002        | 1/27/2003          |  |
| <b>Complainant</b>  |                   |                    |  |
| Referral by SOTS  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Padula for Probate, Dennis Odle, Treas.   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Receipt by Secretary of the State of overdue October 29, 2002 financial disclosure statement filed on or about January 10th. Case closed against Jerry Padula's candidate committee for Probate Judge, Padula for Probate, with this filing together with payment to Commission of \$110 civil penalty. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |
|--|-------------------|--------------------|
| 2002 - 294 - NF  | 12/13/2002        | 3/31/2003          |
| <b>Complainant</b>   |                   |                    |
| Referral by SOTS   |                   |                    |
| <b>Complainant's Town</b>  |                   |                    |
| Hartford   |                   |                    |
| <b>Respondents</b>   |                   |                    |
| Sellers for the 140th, Jerome Skeeter, Treas.  |                   |                    |
| <b>Disposition</b>   |                   |                    |
| Compliance/Closed  |                   |                    |
| <b>Headnotes</b>   |                   |                    |
| Civil penalty of \$110 paid on 1/7/03 and overdue October 29, 2002 financial disclosure statement filed, for compliance. Check bounced. Money order received replacing bounced check on 3/31/03 for compliance by Donnic Sellers' candidate committee for the 140th Assembly District, called "Sellers for the 140th". |                   |                    |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |
|---|-------------------|--------------------|
| 2002 - 295 - NF   | 12/13/2002        | 12/18/2002         |
| <b>Complainant</b>  |                   |                    |
| SOTS  |                   |                    |
| <b>Complainant's Town</b>   |                   |                    |
| Hartford  |                   |                    |
| <b>Respondents</b>  |                   |                    |
| Supporters of Amy Craig, Thomas Alexa, Treas.   |                   |                    |
| <b>Disposition</b>  |                   |                    |
| Closed  |                   |                    |
| <b>Headnotes</b>  |                   |                    |
| Matter closed per Secretary of the State's campaign finance unit before letter sent by Commission. October 29, 2002 financial disclosure statement received by Secretary of the State on or about December 18th for voluntary compliance by Amy Craig's candidate committee for the 36th Assembly District, called "Supporters of Amy Craig". |                   |                    |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 296 - NF   | 12/13/2002        | 12/30/2002         |  |
| <b>Complainant</b>  |                   |                    |  |
| Referral by SOTS  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Ellington Rep. Town Committee, Robert Clements, Treas.  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance/Closed   |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Compliance, overdue October 29, 2002 financial disclosure statement documented; Secretary of the State's electronic filing system is not capable of accepting a no financial activity report. \$55 late filing penalty paid to SOTS. Case closed. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 297 - NF  | 12/13/2002        | 11/26/2002         |  |
| <b>Complainant</b>   |                   |                    |  |
| Referral by SOTS   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Glastonbury Dem. Town Committee, Andrew Sullivan, Treas.   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance/Closed  |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue October 29, 2002 financial disclosure statement filed on December 26, 2002 along with payment of civil penalty of \$110. paid. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 298 - NF   | 12/13/2002        | 2/14/2003          |  |
| <b>Complainant</b>  |                   |                    |  |
| Referral by SOTS  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Middletown Democratic Town Committee, John Giuliano, Treas.   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue October 10, 2002 and October 29, 2002 financial disclosure statements filed electronically with the Secretary of the State on January 26, 2003 and civil penalty of \$165 paid, for compliance. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 299 - NF  | 12/13/2002        | 2/28/2003          |  |
| <b>Complainant</b>   |                   |                    |  |
| Referral by SOTS   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Waterbury Democratic Town Committee, Joseph McCary, Treas.   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue October 10 and October 29, 2002 financial disclosure statements filed on January 7, 2003 along with payments of civil penalty as follows: \$110 paid on Jan. 7, 03 and a second payment sent in the amount of \$65 for a total of \$175. The penalty was for \$165, with an overpayment of \$10.00. Case closed. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 300 - NF   | 12/13/2002        | 1/6/2003           |  |
| <b>Complainant</b>  |                   |                    |  |
| Referral by SOTS  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| 30th District Republican Senatorial Committee, George Downey, Treas.  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Closed  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Closed per Jeff Garfield. No action taken because compliance attempted by committee in good faith. The Secretary of the State's electronic filing system is not capable of processing a no-activity report. The committee advised by SEEC to file a paper copy of their report by the filing deadline in such instances. Case closed. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 301 - NF   | 12/13/2002        | 2/26/2003          |  |
| <b>Complainant</b>  |                   |                    |  |
| Referral by SOTS  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| CALA PAC, Christopher Carter, Treas.  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Payment of \$110 civil penalty and filing of overdue October 29, 2002 financial disclosure statement on February 20, 2003. Case closed. The political committee is connected to the Connected Assisted Living Association (CALA). |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 302 - NF  | 12/13/2002        | 3/26/2003          |  |
| <b>Complainant</b>   |                   |                    |  |
| Referral by SOTS   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Citizens for a Better Hartford, Gerard Geudoin, Treas.   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Commission found reason to believe and appointed Hearing Officer Cashman. Hearing cancelled after overdue financial disclosure statements of October 10 and October 29, 2002 filed with Secretary of the State on January 13, 2003 and \$165 civil penalty paid. Closed. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 303 - NF  | 12/13/2002        | 1/10/2003          |  |
| <b>Complainant</b>   |                   |                    |  |
| Referral by SOTS   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Citizens for Quality Education, Frank Szilagyi, Treas.   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance/Closed  |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Four Checks for \$50 each for a total of \$200 received and overdue October 10 and October 29, 2002 financial disclosure statements received and submitted to Secretary of the State on January 8, 2003. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 304 - NF  | 12/13/2002        | 5/5/2003           |  |
| <b>Complainant</b>   |                   |                    |  |
| Referral by SOTS   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| CT Collaborative of Diverse Advocates  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Motion for Continuance of Hearing on March 12. Thereafter, settlement agreed upon as follows: Respondent filed two overdue financial disclosure statements due October 10 and October 29, 2002 on March 13th along with a termination statement. Civil penalty of \$200 paid. Case closed; compliance. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 305 - NF   | 12/13/2002        | 1/9/2003           |  |
| <b>Complainant</b>  |                   |                    |  |
| Referral by SOTS  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| CT Ophthalmologists PAC, Debra Osborn, Treas.   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance/Closed   |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue October 29, 2002 financial disclosure statement received by the Secretary of the State on January 7, 2003 along with check for a civil penalty of \$165. Case closed; compliance. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 306 - NF   | 12/13/2002        | 12/16/2002         |  |
| <b>Complainant</b>  |                   |                    |  |
| Referral by SOTS  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Democrats Chairman's Club, Kenneth Fitzgerald, Treas.   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Closed  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Compliance per Secretary of the State re overdue October 29, 2002 financial disclosure statement, which was received on or about December 17, 2002 prior to the SEEC letter being sent. Case closed |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 307 - NF   | 12/13/2002        | 2/6/2003           |  |
| <b>Complainant</b>  |                   |                    |  |
| Referral by SOTs  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Democrats for Property Tax Reform, Vincent Mauro, Treas.  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue financial disclosure statement was filed on January 9, 2003 along with \$220 civil penalty paid for compliance. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 308 - NF   | 12/13/2002        | 1/7/2003           |  |
| <b>Complainant</b>  |                   |                    |  |
| Referral by SOTS  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Dublin Brothers, Robert Mullins, Treas.   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance/Closed   |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue 10/29/02 financial disclosure statement filed on January 6, 2003 along with civil penalty of \$165, paid on January 3, 2003. Closed for voluntary compliance. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 309 - NF  | 12/13/2002        | 1/27/2003          |  |
| <b>Complainant</b>   |                   |                    |  |
| Referral by SOTs   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Fire PAC, Mike Wydra, Treas.   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Payment of \$165 civil penalty along with overdue October 29, 2002 financial disclosure statement which was filed with Secretary of the State on January 15, 2003. Case closed for compliance. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |
|---|-------------------|--------------------|
| 2002 - 310 - NF   | 12/13/2002        | 1/22/2003          |
| <b>Complainant</b>  |                   |                    |
| Referral by SOTs  |                   |                    |
| <b>Complainant's Town</b>   |                   |                    |
| Hartford  |                   |                    |
| <b>Respondents</b>  |                   |                    |
| Friends of the City, Frank Szilagyi, Treas.   |                   |                    |
| <b>Disposition</b>  |                   |                    |
| Compliance  |                   |                    |
| <b>Headnotes</b>  |                   |                    |
| Overdue filing statements of October 10 and October 29, 2002 filed on or about January 9, 2003, together with civil penalty of \$165 paid. Case closed. |                   |                    |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |
|---|-------------------|--------------------|
| 2002 - 311 - NF   | 12/13/2002        | 1/9/2003           |
| <b>Complainant</b>  |                   |                    |
| Referral by SOTs  |                   |                    |
| <b>Complainant's Town</b>   |                   |                    |
| Hartford  |                   |                    |
| <b>Respondents</b>  |                   |                    |
| GAP PAC, Gideon Gebreyesus, Treas.  |                   |                    |
| <b>Disposition</b>  |                   |                    |
| Compliance/Closed   |                   |                    |
| <b>Headnotes</b>  |                   |                    |
| Overdue financial disclosure statements of October 29, 2002 and final terminating statement filed on January 7, 2003 together with fee of \$385. Case closed, voluntary compliance. |                   |                    |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 312 - NF   | 12/13/2002        | 12/27/2002         |  |
| <b>Complainant</b>  |                   |                    |  |
| Referral by SOTS  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Greater New Haven Central Labor Council, Bill Carey, Treas.   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance/Closed   |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue financial disclosure statement of October 29, 2003 filed on December 27, 2002 together with civil penalty of \$110 paid. Voluntary compliance, case closed. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 313 - NF  | 12/13/2002        | 12/13/2002         |  |
| <b>Complainant</b>   |                   |                    |  |
| Referral by SOTs   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Leadership 2002, Karen Mills, Treas.   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Closed   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue financial disclosure statement of October 29, 2002 filed on November 26, 2002, prior to Secretary of the State's referral. Case closed for voluntary compliance. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 314 - NF   | 12/13/2002        | 1/30/2003          |  |
| <b>Complainant</b>  |                   |                    |  |
| Referral by SOTS  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Legislative Electoral Action Program, Joyce Hall, Treas.  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue October 29, 2002 financial disclosure statement filed on January 28, 2003 along with civil penalty of \$110.00. Case closed, full compliance. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 315 - NF  | 12/13/2002        | 12/31/2002         |  |
| <b>Complainant</b>   |                   |                    |  |
| Referral by SOTs   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Liberty PAC, Todd Clark, Treas.  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue financial disclosure statemen due October 29, 2002 filed on or about December 27, 2002 together with civil penalty of \$110 paid. Case closed. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 316 - NF  | 12/13/2002        | 2/4/2003           |  |
| <b>Complainant</b>   |                   |                    |  |
| Referral by SOTs   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Majority Project, Joseph Barry, Treas.   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue financial disclosure statement due October 29, 2002 filed February 4, 2003 together with civil penalty of \$110.00. Case closed due to compliance. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 317 - NF  | 12/13/2002        | 12/16/2002         |  |
| <b>Complainant</b>   |                   |                    |  |
| Referral by SOTS   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| New Haven County Greens, John Halle, Treas.  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Closed   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Compliance per Nancy Stanciewicz of Secretary of the State's office prior to letter being sent out by SEEC. Case closed. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 318 - NF   | 12/13/2002        | 4/16/2003          |  |
| <b>Complainant</b>  |                   |                    |  |
| Referral by SOTS  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Norwich Black Democratic Club, Ronald William, Treas.   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| 1/16/03 Notice of Hearing relating to overdue financial disclosure statement due October 29, 2002. Case settled just prior to 2/19/03 hearing for \$300 fine and termination of committee within one week. Termination statement filed on February 26, 2003; Settlement agreement accepted by SEEC hearing officer on the record. Adopted by Commission at 3/12 meeting. Check returned for insufficient funds. 4/16/03 Mr. Williams paid \$300 cash for compliance. Case closed. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 319 - NF  | 12/13/2002        | 1/7/2003           |  |
| <b>Complainant</b>   |                   |                    |  |
| Referral by SOTs   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Ob/Gyn Alliance Committee, Joel Silidker, Treas.   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance/Closed  |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue financial disclosure statements due October 10, 2002 and October 29, 2002 filed on January 7, 2003 together with civil penalty of \$220 paid. Case closed for compliance |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 320 - NF  | 12/13/2002        | 12/16/2002         |  |
| <b>Complainant</b>   |                   |                    |  |
| Referral by SOTS   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Second Senatorial PAC, A. Michelle Greaves, Treas.   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Closed   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Closed, compliance per Nancy Stanciewicz of the Secretary of the State's office prior to SEEC letter being sent out. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 321 - NF   | 12/13/2002        | 1/7/2003           |  |
| <b>Complainant</b>  |                   |                    |  |
| Referral by SOTs  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Sierra Club PAC, Marilyn Nowland, Treas.  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance/Closed   |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Campaign finance statement October 29, 2002 filed on January 7, 2003 together with civil penalty of \$110 paid. Case closed for compliance. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 322 - NF  | 12/13/2002        | 5/7/2003           |  |
| <b>Complainant</b>   |                   |                    |  |
| Referral by SOTS   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Torrington Taxpayers. Association, Jack McKeon, Treas.   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue financial disclosure statement of October 29, 2002 filed on March 26, 2003 together with civil penalty of \$200. Hearing continued and date reset. Compliance on day of continued hearing (March 26th). Hearing cancelled due to compliance. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 323 - NF   | 12/13/2002        | 12/26/2002         |  |
| <b>Complainant</b>  |                   |                    |  |
| Referral by SOTs  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Trumbull Democratic Women's Club, Mary Markham, Treas.  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance/Closed   |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue financial disclosure statement of October 29, 2002 filed on December 26, 2002 together with civil penalty of \$110 paid. Case closed, voluntary compliance. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 324 - NF   | 12/13/2002        | 1/6/2003           |  |
| <b>Complainant</b>  |                   |                    |  |
| Referral by SOTs  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Trumbull Police Union PAC, Joseph M. Dzurenda, Treas.   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance/Closed   |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue financial disclosure statement of October 29, 2002 filed on December 23, 2002 together with \$165 civil penalty. Case closed, voluntary compliance. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 325 - NF   | 12/13/2002        | 1/15/2003          |  |
| <b>Complainant</b>  |                   |                    |  |
| Referral by SOTS  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Committee to Elect Alberto Ayala, Lisa Ayala, Treas.  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue financial disclosure statements due October 10, 2002 and December 16, 2002 filed on January 14, 2003 together with \$275 civil penalty paid. Case closed, voluntary compliance. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 326 - NF   | 12/13/2002        | 4/21/2003          |  |
| <b>Complainant</b>  |                   |                    |  |
| Referral by SOTs  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Committee to Elect Paul Tripi, Thomas Pinkham, Jr. Treas.   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Commission finds reason to believe and notice sent out with Commissioner Mendoza designated Hearing Officer. On 4/21/03 \$485 civil penalty was paid on three delinquent financial disclosure statements, originally due on October 10, 2002, December 20, 2002 and February 10, 2003, which were filed on 4-21-03. This matter was combined with 2003-106NF for hearing. Case closed without the necessity of going to final decision because of voluntary compliance. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 327 - NF   | 12/13/2002        | 12/30/2002         |  |
| <b>Complainant</b>  |                   |                    |  |
| Referral by SOTS  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Committee to Elect Santo Silvestro for State Representative, Margaret DiPippo, Treas.   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance/Closed   |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue financial disclosure statement due October 10, 2002 filed with Commission on December 27, 2002 together with civil penalty of \$110 paid. Case closed for compliance. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 328 - NF  | 12/13/2002        | 3/13/2003          |  |
| <b>Complainant</b>   |                   |                    |  |
| Referral by SOTs   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Committee to Elect Thomas White, John Fracassini, Treas.   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue financial disclosure statement due October 10, 2002 filed with Commission on March 5, 2003 along with termination report and civil penalty of \$110. See 2003-104NF, relating to this file. Hearing cancelled.<br>Compliance |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 329 - NF  | 12/13/2002        | 3/18/2003          |  |
| <b>Complainant</b>   |                   |                    |  |
| Referral by SOTS   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Andover Democratic Town Committee, Carol Still, Treas.   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue financial disclosure statement of October 29, 2002 filed on January 10, 2003. Civil penalty of \$110.00 paid. Case closed, voluntary compliance. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 330 - NF   | 12/13/2002        | 11/27/2002         |  |
| <b>Complainant</b>  |                   |                    |  |
| Referral by SOTs  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| New London Republican Town Committee, Lee Dyer, Treas.  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance/Closed   |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue financial disclosure statement of October 29, 2002 received by Secretary of the State Report filed with SOTS on December 26, 2002 along with \$55 late fee. Case closed, Compliance |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 331 - NF   | 12/13/2002        | 1/3/2003           |  |
| <b>Complainant</b>  |                   |                    |  |
| Referral by SOTS  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Caucus of CT Democrats, Terry Kelliher, Treas.  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance/Closed   |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue financial disclosure statement of October 10, 2002 received by Commission on January 3, 2003 along with civil penalty of \$110. Case closed for compliance. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |
|--|-------------------|--------------------|
| 2002 - 332 - NF  | 12/13/2002        | 3/25/2003          |
| <b>Complainant</b>   |                   |                    |
| Referral by SOTS   |                   |                    |
| <b>Complainant's Town</b>  |                   |                    |
| Hartford   |                   |                    |
| <b>Respondents</b>   |                   |                    |
| CT Correction Employees Union PAC, Thomas Mulhall, Treas.  |                   |                    |
| <b>Disposition</b>   |                   |                    |
| File withdrawn   |                   |                    |
| <b>Headnotes</b>   |                   |                    |
| Secretary of the State erroneously referred matter to Commission on December 13, 2002; overdue financial disclosure statement of October 10, 2002 filed with Secretary of the State on November 22, 2002 together with \$110 civil penalty. Respondent did comply and permission to withdraw by Attorney Smith was granted by Commissiner Mendoza. The matter is closed. |                   |                    |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |
|---|-------------------|--------------------|
| 2002 - 333 - NF   | 12/13/2002        | 1/8/2003           |
| <b>Complainant</b>  |                   |                    |
| Referral by SOTS  |                   |                    |
| <b>Complainant's Town</b>   |                   |                    |
| Hartford  |                   |                    |
| <b>Respondents</b>  |                   |                    |
| East End PAC, Kimberly Ford, Treas.   |                   |                    |
| <b>Disposition</b>  |                   |                    |
| Compliance  |                   |                    |
| <b>Headnotes</b>  |                   |                    |
| Overdue financial disclosure statement of October 10, 2002 filed with Commission on November 25, 2002 together with \$275 civil penalty. Case closed. |                   |                    |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 334 - NF  | 12/13/2002        | 12/17/2002         |  |
| <b>Complainant</b>   |                   |                    |  |
| Referral by SOTs   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| People for a Better West Haven, Mary Tina Peckingham, Treas.   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Closed   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue financial disclosure statement of October 10, 2002 filed on 11/22/02. Matter closed before SEEC letter sent. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 335 - NF  | 12/13/2002        | 1/14/2003          |  |
| <b>Complainant</b>   |                   |                    |  |
| Referral by SOTs   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Vision Hartford, Brian Bailey, Treas.  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Compliance, overdue financial disclosure statement of October 29, 2002 filed on January 14, 2003 along with \$157 fine paid. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2002 - 336 - NF  | 12/13/2002        | 1/7/2003           |  |
| <b>Complainant</b>   |                   |                    |  |
| Referral by SOTs   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Waterford Police Union PAC, Richard Hauser, Treas.   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance/closed  |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Closed with filing of financial statement originally due on 10/29/02, and payment of \$110 civil penalty for compliance. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2002 - 337 - NF   | 12/13/2002        | 1/8/2003           |  |
| <b>Complainant</b>  |                   |                    |  |
| Referral by SOTs  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Windsor Issues Forum, Alan Simon, Treas.  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance/Closed   |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue financial disclosure statements filed and civil penalty of \$220 paid for compliance. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2003 - 101 -   | 1/13/2003         | 3/26/2003          |  |
| <b>Complainant</b>   |                   |                    |  |
| P. Martha Carlson  |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Guilford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Ted Zuse & William Quirk   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Findings and Conclusions   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Findings and Conclusions dismissing matter for reason that each of the respondents acted alone in distributing printed information promoting a position on a pending referendum and therefore the attribution requirements of 9-333w and such communications could be anonymous. Further, neither had to file financial disclosure statements because each of their independent expenditures did not exceed the requirement \$1,000 threshold. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2003 - 102 -   | 1/22/2003         | 2/19/2003          |  |
| <b>Complainant</b>   |                   |                    |  |
| Joseph L. Petrowski  |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Guilford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| James Ralls  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Findings and Conclusions/Dismissed   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Dismissed with adoption of Findings and Conclusions stating that the Respondent's expenditure for printed material advocating a position on a pending referendum was acting alone and did not require either registration or an attribution. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2003 - 103 - NF  | 1/27/2003         | 2/26/2003          |  |
| <b>Complainant</b>   |                   |                    |  |
| Ref. By SOTS   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Terceno for Senate, Michael Tunney, Treas.   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue December 20, 2002 campaign finance statement filed on February 21, 2003 together with civil penalty of \$110. Closed |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2003 - 104 - NF   | 1/27/2003         | 3/7/2003           |  |
| <b>Complainant</b>  |                   |                    |  |
| Ref. By SOTS  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Committee to Elect Tom White, John Fracassini, Treas.   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Secretary of the State referral alleging a failure to file December 20, 2002 financial disclosure statement. Termination report filed on March 3, 2003 together with \$110.00. Case closed. See also file #2002-328NF |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2003 - 105 - NF  | 1/27/2003         | 3/25/2003          |  |
| <b>Complainant</b>   |                   |                    |  |
| Ref. By SOTS   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Blackwell for Senate, F. J. Blackwell, Treas.  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue financial disclosure statement fo December 20, 2003 filed February 26, 2003 together with payment of \$55 late filing fee. Hearing Notice sent and hearing cancelled following compliance. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2003 - 106 - NF  | 1/27/2003         | 4/21/2003          |  |
| <b>Complainant</b>   |                   |                    |  |
| Ref. By SOTS   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Committee to Elect Paul Tripi, Thomas Pinkham, Deputy Treas.   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| \$485 paid for 3 financial disclosure statements due on 10/10/02, 12/20/02, and 2/10/03 which were filed on April 21, 2003. All three non-filings were consolidated for hearing notice. Case closed without hearing. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2003 - 107 - NF   | 1/27/2003         | 3/13/2003          |  |
| <b>Complainant</b>  |                   |                    |  |
| Ref. By SOTS  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Cane for the 22nd, Kristie Ricciardi, Treas.  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Payment of \$110 civil penalty received for overdue financial disclosure statements of October 29, 2002 and January 9, 2003, which were filed on March 5, 2003 together with a termination report. Hearing cancelled. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2003 - 108 - NF   | 1/27/2003         | 2/5/2003           |  |
| <b>Complainant</b>  |                   |                    |  |
| Ref. By SOTS  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Gimmelli 02, Ted Manello, Treas.  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue financial disclosure statement of December 20, 2002 filed on February 3, 2003 together with \$110 civil penalty. Case closed. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2003 - 109 - NF   | 1/27/2003         | 1/30/2003          |  |
| <b>Complainant</b>  |                   |                    |  |
| Ref. By SOTS  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Bob for Rep. 02, Michael Biancur, Treas.  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Closed  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue financial disclosure statement fo December 20, 2003 satisfied with filing of termination report on January 29, 2003. No penalty, no money raised or expended for candidate, who did not receive a recorded vote for office. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2003 - 110 - NF   | 1/27/2003         | 2/5/2003           |  |
| <b>Complainant</b>  |                   |                    |  |
| Ref. By SOTS  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Lydia N. Martinez-2002, Jordan Haynes, Treas.   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue financial disclosure statement of 12-20-02 filed on 2-5-03 together with civil penalty of \$110 paid. Case closed |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2003 - 111 - NF  | 1/27/2003         | 2/10/2003          |  |
| <b>Complainant</b>   |                   |                    |  |
| Ref. By SOTS   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Committee to Re-Elect Judge Albis, Patricia Mellion, Treas.  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue financial disclosure statement of December 20, 2003 filed on January 27, 200 together with \$55 civil penalty. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2003 - 112 - NF  | 1/27/2003         | 2/13/2003          |  |
| <b>Complainant</b>   |                   |                    |  |
| Ref. By SOTS   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Vote Working Families, Jonathan Green, Treas.  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue campaign finance statement of December 20, 2002 filed on February 13, 2003 together with civil penalty of \$110. |                   |                    |  |

| File Number   | Date Filed | Date Closed |
|---|------------|-------------|
| 2003 - 113 -  | 1/30/2003  | 5/7/2003    |
| <b>Complainant</b>  |            |             |
| Robert E. Loubier   |            |             |
| <b>Complainant's Town</b>   |            |             |
| Somers  |            |             |
| <b>Respondents</b>  |            |             |
| Edward J. Sullivan & Dee Moak   |            |             |
| <b>Disposition</b>  |            |             |
| Consent Agreement and Orders  |            |             |
| <b>Headnotes</b>  |            |             |
| <p>Respondent Sullivan signed consent agreement that he was required to send a notice of valuation of an advertisement that benefited another committee to the beneficiary committee's treasurer pursuant to CGS 9-333h(a). Mr. Sullivan consented to an order to henceforth strictly comply with Section 9-333h(a). Respondent Ms. Moak was reprimanded for causing the Somers Cultural Commission to make an in-kind contribution to the Somers Republican Town Committee without first forming a political committee, in violation of 9-333d(a).</p> |            |             |

| File Number   | Date Filed | Date Closed |
|---|------------|-------------|
| 2003 - 114 -  | 2/12/2003  | 6/18/2003   |
| <b>Complainant</b>  |            |             |
| Stan Muzyk  |            |             |
| <b>Complainant's Town</b>   |            |             |
| Derby   |            |             |
| <b>Respondents</b>  |            |             |
| Helen P. Dripchak & Marc J. Garofalo  |            |             |
| <b>Disposition</b>  |            |             |
| Consent Agreement and Order   |            |             |
| <b>Headnotes</b>  |            |             |
| <p>Agreement containing consent order and payment of civil penalties for violations of 9-333d, 9-333f and 9-333j adopted by Commission. Team Garofalo was a committee controlled by the Mayor through 3 elections without terminating. Each Respondent paid \$1,000 civil penalty. Team Garofalo terminated and a new committee was established with a zero balance for the 2003 election. The terminated committee forfeited its unspent balance \$7,363.14 to State of CT. Henceforth Order to comply with statutes. Forfeiture received on 6/20 and first installments of civil penalty of \$350 each received same day. Second installment of \$325 each received on 7/21/03. Final installment of \$325 each received on 8/5/03 for full compliance.</p> |            |             |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2003 - 115 -   | 2/13/2003         | 6/18/2003          |  |
| <b>Complainant</b>   |                   |                    |  |
| Carl J. Schiessl   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Windsor Locks  |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Robert Norris, Treasurer, Hamilton 02 Committee  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Consent Agreement and Order  |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Consent Agreement containing henceforth order that Respondent shall follow 9-333b(b)(10), 9-333o, and 9-333j(c). Forfeiture of \$125. Financial disclosure statement underdisclosed of an ad book receipt from a particular donor source by \$125.00 |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2003 - 116 -   | 2/27/2003         | 5/7/2003           |  |
| <b>Complainant</b>   |                   |                    |  |
| Kim E. McLaughlin  |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Bridgeport   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Maria and Adriano Marcoccia  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Findings and Conclusions   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Findings and Conclusions dismissing case based on lack of intent to violate Sections 9-12 and 9-21 as alleged in the complaint. Respondents did not vote in two towns and did not illegally register and vote in Bridgeport. Case dismissed. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2003 - 117 -  | 3/4/2003          | 5/7/2003           |  |
| <b>Complainant</b>  |                   |                    |  |
| Robert G. Pellerin  |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Salem   |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Carl Nawrocki and Ann Marie Rios  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Findings and Conclusions  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Findings and Conclusions dismissing the Complaint is adopted by the Commission. The complainant's voter status was mistakenly deleted by the Registrars by human error. Registrars advised to exercise greater diligence. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2003 - 118 -   | 3/18/2003         | 5/7/2003           |  |
| <b>Complainant</b>   |                   |                    |  |
| Martha Lemmon  |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| East Norwalk   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Betti J. Bondi, Dem. ROV; Karen Doyle Lyons, Rep. ROF; Katie T. Johnson, Records Maintenance Clerk |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Findings and Conclusions   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Findings and Conclusions, dismissed  |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2003 - 119 -   | 3/26/2003         | 6/18/2003          |  |
| <b>Complainant</b>   |                   |                    |  |
| James Marchitto, Chairman Kelleher for Derby   |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Derby  |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Marc J. Garofalo Mayor & Helen Dripchak, Treas.  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Consent Agreement and Order  |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Companion case to SEEC File No. 114. Failure to file financial disclosure statements due on July 12, 2000 and October 12, 2000. Also failure on disclosure statements to exercise due diligence in obtaining donors' principal occupation and employer's names as well as the dates in which contributors made their contributions. Also failure to have a "paid for by" attribution on an ad book printed for a fundraising event by the committee. Agreement containing consent order and Payment of civil penalties for violations of 9-333j, 9-333q and 9-333y adopted by Commission. Civil penalty of \$220 paid on 6/20 for Respondent Treasurer Dripchak. Henceforth order to comply with statutes. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2003 - 120 - NF  | 4/1/2003          | 4/14/2003          |  |
| <b>Complainant</b>   |                   |                    |  |
| SOTS ref.  |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Beacon Falls Dem. Town Committee, Lawrence Hutvagner, Treas.   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue financial disclosure statement of January 9, 2003 filed on April 14, 2003 along with a \$110 paid. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2003 - 121 - NF   | 4/1/2003          | 4/16/2003          |  |
| <b>Complainant</b>  |                   |                    |  |
| SOTS Ref.   |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Enfield Dem. Town Committee, William Edgar, Treas., Patrick Crowley, Treasurer  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue financial disclosure statement of January 9, 2003 filed on April 16, 2003 along with civil penalty of \$110 paid by Patrick Crowley, the committee's successor treasurer. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2003 - 122 - NF   | 4/1/2003          | 4/21/2003          |  |
| <b>Complainant</b>  |                   |                    |  |
| SOTs Ref.   |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Greenwich Democratic Town Committee, Peter Berg. Treas..  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue financial disclosure statement of January 9, 2003 filed on April 16, 2003 along with civil penalty of \$110 paid. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |
|--|-------------------|--------------------|
| 2003 - 123 - NF  | 4/1/2003          | 5/14/2003          |
| <b>Complainant</b>   |                   |                    |
| SOTS Ref.  |                   |                    |
| <b>Complainant's Town</b>  |                   |                    |
| Hartford   |                   |                    |
| <b>Respondents</b>   |                   |                    |
| New Canaan Rep. Town Committee, Gordon Jones, Treas.   |                   |                    |
| <b>Disposition</b>   |                   |                    |
| Compliance   |                   |                    |
| <b>Headnotes</b>   |                   |                    |
| Overdue financial disclosure statement of January 9, 2003 filed on April 15, 2003 along with \$110 civil penalty paid. |                   |                    |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |
|---|-------------------|--------------------|
| 2003 - 124 - NF   | 4/1/2003          | 4/25/2003          |
| <b>Complainant</b>  |                   |                    |
| SOTS ref.   |                   |                    |
| <b>Complainant's Town</b>   |                   |                    |
| Hartford  |                   |                    |
| <b>Respondents</b>  |                   |                    |
| Citizens for Quality Education, Frank Szilagyi, Treas.  |                   |                    |
| <b>Disposition</b>  |                   |                    |
| Compliance  |                   |                    |
| <b>Headnotes</b>  |                   |                    |
| Overdue financial disclosure statement of January 9, 2003 filed on April 22, 2003 along with \$165 penalty. |                   |                    |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2003 - 125 - NF   | 4/1/2003          | 4/9/2003           |  |
| <b>Complainant</b>  |                   |                    |  |
| SOTS ref.   |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| CT Federation of School Administrators, Baxter Atkinson, Treas.   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue financial disclosure statement of January 9, 2003 filed on April 9, 2003 along with \$165 civil penalty paid. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2003 - 126 - NF   | 4/1/2003          | 4/28/2003          |  |
| <b>Complainant</b>  |                   |                    |  |
| SOTS ref.   |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| CT Republican Leadership Council, Anthony Flack, Treas.                                     |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Money order for \$155 received on 4/28/03 and overdue financial disclosure statement filed. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |
|---|-------------------|--------------------|
| 2003 - 127 - NF   | 4/1/2003          | 4/23/2003          |
| <b>Complainant</b>  |                   |                    |
| SOTS ref.   |                   |                    |
| <b>Complainant's Town</b>   |                   |                    |
| Hartford  |                   |                    |
| <b>Respondents</b>  |                   |                    |
| Democrats for a Better Bethel, Maureen Meany, Treas.  |                   |                    |
| <b>Disposition</b>  |                   |                    |
| Compliance  |                   |                    |
| <b>Headnotes</b>  |                   |                    |
| Termination statement filed with Secretary of the State on April 23, 2003 in response to overdue January 9, 2003 financial disclosure statement. Civil penalty of \$220 received on 4/23/03 allocated as follows: \$110 for File #2003-127NF and \$110 for File # 2003-141NF. |                   |                    |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |
|--|-------------------|--------------------|
| 2003 - 128 - NF  | 4/1/2003          | 4/16/2003          |
| <b>Complainant</b>   |                   |                    |
| SOTS ref.  |                   |                    |
| <b>Complainant's Town</b>  |                   |                    |
| Hartford   |                   |                    |
| <b>Respondents</b>   |                   |                    |
| District B Democratic Committee, George Fludd, Treas.  |                   |                    |
| <b>Disposition</b>   |                   |                    |
| Compliance   |                   |                    |
| <b>Headnotes</b>   |                   |                    |
| Overdue financial disclosure statement of 1/9/03 filed on April 15, 2003 together with civil penalty payment of \$110 by Joseph Mann, Chairperson. |                   |                    |

| File Number   | Date Filed | Date Closed |
|---|------------|-------------|
| 2003 - 129 - NF   | 4/1/2003   | 4/22/2003   |
| <b>Complainant</b>  |            |             |
| SOTS Ref.   |            |             |
| <b>Complainant's Town</b>   |            |             |
| Hartford  |            |             |
| <b>Respondents</b>  |            |             |
| Eastern CT Democrats for Progress, Mary Ann McCabe, Treas.  |            |             |
| <b>Disposition</b>  |            |             |
| Compliance  |            |             |
| <b>Headnotes</b>  |            |             |
| Overdue January 9, 2003 financial disclosure statement filed on 4/22/03 together with civil penalty Of \$110 paid on 4/10/03. |            |             |

| File Number   | Date Filed | Date Closed |
|---|------------|-------------|
| 2003 - 130 - NF   | 4/1/2003   | 4/14/2003   |
| <b>Complainant</b>  |            |             |
| SOTs ref.   |            |             |
| <b>Complainant's Town</b>   |            |             |
| Hartford  |            |             |
| <b>Respondents</b>  |            |             |
| FindJustice, CTPAC, Parviz Mehri, Treas.  |            |             |
| <b>Disposition</b>  |            |             |
| Compliance  |            |             |
| <b>Headnotes</b>  |            |             |
| Overdue financial disclosure statement of January 9, 2003 filed on April 14, 2003 together with civil penalty of \$110. |            |             |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2003 - 131 - NF  | 4/1/2003          | 4/16/2003          |  |
| <b>Complainant</b>   |                   |                    |  |
| SOTS ref.  |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Fleet Bank PAC, Joseph Gianni, Treas.  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue financial disclosure statement of January 9, 2003 filed on April 14, 2003 together with civil penalty of \$110 |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2003 - 132 - NF  | 4/1/2003          | 4/25/2003          |  |
| <b>Complainant</b>   |                   |                    |  |
| SOTS ref.  |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Friends of the City, Frank Szilagyi, Treas.  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue financial disclosure statement of January 9, 2003 filed on April 22, 2003 together with \$165 civil penalty. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |
|--|-------------------|--------------------|
| 2003 - 133 - NF  | 4/1/2003          | 5/13/2003          |
| <b>Complainant</b>   |                   |                    |
| SOTS ref.  |                   |                    |
| <b>Complainant's Town</b>  |                   |                    |
| Hartford   |                   |                    |
| <b>Respondents</b>   |                   |                    |
| Fund for Democratic Renewal, Ned Statchen, Treas.  |                   |                    |
| <b>Disposition</b>   |                   |                    |
| Compliance   |                   |                    |
| <b>Headnotes</b>   |                   |                    |
| Overdue financial disclosure statement of January 9, 2003 filed on May 12, 2003 along with civil penalty paid in full \$110. |                   |                    |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |
|---|-------------------|--------------------|
| 2003 - 134 - NF   | 4/1/2003          | 5/21/2003          |
| <b>Complainant</b>  |                   |                    |
| SOTS ref.   |                   |                    |
| <b>Complainant's Town</b>   |                   |                    |
| Hartford  |                   |                    |
| <b>Respondents</b>  |                   |                    |
| Hale PAC, Kevin Ladieu, Esq. Chairman   |                   |                    |
| <b>Disposition</b>  |                   |                    |
| Compliance  |                   |                    |
| <b>Headnotes</b>  |                   |                    |
| A termination report filed on May 21, 2003 in satisfaction of overdue January 9, 2003 financial disclosure statement. The \$110 civil penalty was waived per JBG. |                   |                    |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2003 - 135 - NF   | 4/1/2003          | 4/16/2003          |  |
| <b>Complainant</b>  |                   |                    |  |
| SOTS ref.   |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Hartford Principals & Supervisors PAC, Raul Montanez-Pitre, Treas.  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue January 9, 2003 financial disclosure statement filed on April 16, 2003 together with civil penalty of \$550 paid. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2003 - 136 - NF  | 4/1/2003          | 4/21/2003          |  |
| <b>Complainant</b>   |                   |                    |  |
| SOTS ref.  |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Leadership for a Democratic Majority, Michael Sousa, Treas.  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue January 9, 2003 financial disclosure statement field on April 12, 2003 together with civil penalty of \$110. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2003 - 137 - NF  | 4/1/2003          | 5/6/2003           |  |
| <b>Complainant</b>   |                   |                    |  |
| SOTs Ref.  |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Norwalk Police Union PAC, Hector Delgado, Treas.   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue financial disclosure statement of January 9, 2003 filed on May 5, 2003 together with \$110 civil penalty paid. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2003 - 138 - NF   | 4/1/2003          | 5/19/2003          |  |
| <b>Complainant</b>  |                   |                    |  |
| SOTS ref.   |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| People for Change Peter Tercyak, Treas.   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue financial disclosure statement of January 9, 2003 filed on May 15, 2003 together with \$220 civil penalty paid. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2003 - 139 - NF  | 4/1/2003          | 4/28/2003          |  |
| <b>Complainant</b>   |                   |                    |  |
| SOTS ref.  |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| People for Excellence in Government, Donald Roney, Treas.  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue financial disclosure statement of January 9, 2003 filed on April 17, 2003 together with civil penalty of \$110 paid. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2003 - 140 - NF   | 4/1/2003          | 4/11/2003          |  |
| <b>Complainant</b>  |                   |                    |  |
| SOTS ref.   |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Victory Hartford, Mary Ann McCabe, Treas.   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue financial disclosure statement of January 9, 2003 filed on April 10, 2003 together with \$110 civil penalty paid. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2003 - 141 - NF  | 4/1/2003          | 4/23/2003          |  |
| <b>Complainant</b>   |                   |                    |  |
| SOTS Ref.  |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Bartlett 2002, Maureen Meany, Treas.   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Termination disclosure statement of April 23, 2003 accepted in lieu of overdue February 10, 2003 financial disclosure statement and \$220 check received as civil penalty to be allocated as follows: \$110 for 2003-127NF and \$110 for 2003-141NF. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2003 - 142 - NF  | 4/1/2003          | 4/24/2003          |  |
| <b>Complainant</b>   |                   |                    |  |
| SOTS Ref.  |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Clark for the 44th, Jody Cameron, Treas.   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue February 10, 2003 financial disclosure statement filed on April 24, 2003 together with civil penalty of \$110. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2003 - 143 - NF   | 4/1/2003          | 5/2/2003           |  |
| <b>Complainant</b>  |                   |                    |  |
| SOTs Ref.   |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Gimmelli 02, Ted Manello, Treas.  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue Februar 10, 2003 financial disclosure statement field on April 21, 2003 together with \$110 civil penalty to Commission |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2003 - 144 - NF  | 4/1/2003          | 5/2/2003           |  |
| <b>Complainant</b>   |                   |                    |  |
| SOTs Ref.  |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Committee To Re-Elect Matt Greene, Ronald Baude, Treas.  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue February 10, 2003 financial disclosure statement filed on April 29, 2003 together with payment of \$110 civil penalty. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2003 - 145 - NF   | 4/1/2003          | 4/16/2003          |  |
| <b>Complainant</b>  |                   |                    |  |
| SOTs ref.   |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Vote Working Families, Jonathan Green, Treas.   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue February 10, 2003 financial disclosure statement filed with the Commission on April 16, 2003 together with civil penalty of \$165 paid in full. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2003 - 146 - NF  | 4/1/2003          | 5/16/2003          |  |
| <b>Complainant</b>   |                   |                    |  |
| SOTS ref.  |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Reform Party State Central Committee, Gary Beane, Treas.   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue January 30, 2003 financial disclosure statement filed on May 16, 2003 together with civil penalty paid in full for compliance. (\$165) |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |
|--|-------------------|--------------------|
| 2003 - 151 -   | 5/20/2003         | 6/18/2003          |
| <b>Complainant</b>   |                   |                    |
| Michelle B. Cumpstone  |                   |                    |
| <b>Complainant's Town</b>  |                   |                    |
| Haddam   |                   |                    |
| <b>Respondents</b>   |                   |                    |
| Mary Webber  |                   |                    |
| <b>Disposition</b>   |                   |                    |
| Consent Agreement and Order  |                   |                    |
| <b>Headnotes</b>   |                   |                    |
| Agreement containing consent order for violations of Sec. 9-333g (a committee of two or more persons expending funds before forming a referendum committee) and 9-333w (failure to print "paid for by" attribution on printed communications) adopted by the Commission along with civil penalty of \$50 paid. |                   |                    |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |
|---|-------------------|--------------------|
| 2003 - 153 - NF   | 5/21/2003         | 6/4/2003           |
| <b>Complainant</b>  |                   |                    |
| SOTS referral   |                   |                    |
| <b>Complainant's Town</b>   |                   |                    |
| Hartford  |                   |                    |
| <b>Respondents</b>  |                   |                    |
| Concerned Citizens State Central Committee, Joseph Zdonczyk, Chairman   |                   |                    |
| <b>Disposition</b>  |                   |                    |
| Compliance  |                   |                    |
| <b>Headnotes</b>  |                   |                    |
| Overdue financial disclosure statement of April 10, 2003 filed on May 8, 2003 along with late filing fee of \$55. Chair advised to fill vacant treasurer position prior to making any expenditure or receiving any contributions. |                   |                    |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2003 - 154 - NF  | 5/21/2003         | 6/24/2003          |  |
| <b>Complainant</b>   |                   |                    |  |
| SOTS Referral  |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Reform Party State Central, Gary Beane, Treasurer  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue April 10, 2003 financial disclosure statement filed on May 10, 2003 together with a civil penalty of \$55 paid on June 20, 2003. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2003 - 155 - NF   | 5/21/2003         | 6/4/2003           |  |
| <b>Complainant</b>  |                   |                    |  |
| SOTS Referral   |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| New Milford Democratic Town Committee, John Healy, Treasurer  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue financial disclosure statement of April 10, 2003 filed on June 3, 2003 together with late penalty of \$110. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2003 - 156 - NF   | 5/21/2003         | 6/1/2003           |  |
| <b>Complainant</b>  |                   |                    |  |
| SOTS Referral   |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Trumbull Democratic Town Committee, Thomas Ragonese, Treasurer  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue financial disclosure statement of April 10, 2003 filed on May 30, 2003 together with civil penalty of \$110 paid. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2003 - 158 - NF   | 5/21/2003         | 6/16/2003          |  |
| <b>Complainant</b>  |                   |                    |  |
| SOTS Referral   |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| CT First Coalition, John Chapin, Chairman   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue financial disclosure statement of April 10, 2003 filed as a termination statement (no funds contributed or expended) together with a civil penalty of \$165 paid. |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2003 - 161 - NF   | 5/21/2003         | 6/9/2003           |  |
| <b>Complainant</b>  |                   |                    |  |
| SOTS Referral   |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Dublin Brothers Political Committee; Robert Mullins, Treasurer, Thomas Mullins, Chairperson   |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue financial disclosure statement of April 10, 2003 filed on June 5, 2003 together with \$220 civil penalty paid by the Chair, Thomas Mullins. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2003 - 163 - NF  | 5/21/2003         | 5/29/2003          |  |
| <b>Complainant</b>   |                   |                    |  |
| SOTS Referral  |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Greater Hartford, Progressive Democratic Women's Club, Joenette Franklin, Treasurer                            |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue financial disclosure statement of April 10, 2003 filed on 5/29/03 together with civil penalty of \$110 |                   |                    |  |

| <b>File Number</b>  | <b>Date Filed</b> | <b>Date Closed</b> |  |
|---|-------------------|--------------------|--|
| 2003 - 164 - NF   | 5/21/2003         | 6/13/2003          |  |
| <b>Complainant</b>  |                   |                    |  |
| SOTS Referral   |                   |                    |  |
| <b>Complainant's Town</b>   |                   |                    |  |
| Hartford  |                   |                    |  |
| <b>Respondents</b>  |                   |                    |  |
| Liberty PAC, Todd Clark, Treasurer  |                   |                    |  |
| <b>Disposition</b>  |                   |                    |  |
| Compliance  |                   |                    |  |
| <b>Headnotes</b>  |                   |                    |  |
| Overdue financial disclosure statement of April 10, 2003 filed on June 4, 2003 as a termination report. Respondent neglected to check termination report on January 9, 2003 filing and balance was 0, so no civil penalty assessed. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2003 - 165 - NF  | 5/21/2003         | 6/11/2003          |  |
| <b>Complainant</b>   |                   |                    |  |
| SOTS Referral  |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| OB/GYN Legislative Affairs Committee, Joel Silidker, Treasurer   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue financial disclosure statement of April 10, 2003 filed on June 10, 2003 together with civil penalty of \$165 paid by Chairman Steven Fleischman. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2003 - 167 - NF  | 5/21/2003         | 6/18/2003          |  |
| <b>Complainant</b>   |                   |                    |  |
| SOTS Referral  |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Hartford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Working Families PAC, Tracy Deegan, Treasurer  |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Compliance   |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Overdue financial disclosure statement of April 10, 2003 filed on June 18, 2003 together with \$110 civil penalty. |                   |                    |  |

| <b>File Number</b>   | <b>Date Filed</b> | <b>Date Closed</b> |  |
|--|-------------------|--------------------|--|
| 2003 - 170 -   | 5/27/2003         | 7/16/2002          |  |
| <b>Complainant</b>   |                   |                    |  |
| Janis Hardy  |                   |                    |  |
| <b>Complainant's Town</b>  |                   |                    |  |
| Oxford   |                   |                    |  |
| <b>Respondents</b>   |                   |                    |  |
| Attorney Dominick J. Thomas, Jr., Town Counsel   |                   |                    |  |
| <b>Disposition</b>   |                   |                    |  |
| Consent Agreement and Order  |                   |                    |  |
| <b>Headnotes</b>   |                   |                    |  |
| Consent agreement containing a henceforth order agreeing to obtain the prior authorization of the town meeting prior to disseminating any explanatory text or informtional material at public expense. Prior to agreement the town attorney took the position that Board of Selectman could approve dissemination of the brochure advocating a position on the referendum. |                   |                    |  |