

# Supplemental Statements (90% Expenditure Limit)

## Please read the following information carefully!

There are substantial penalties for failure to file or late filing of supplemental reports.

Candidate committees of candidates in a race including a participating candidate may have to file three different types of supplemental statements:

1. Initial Supplemental Statements;
2. Thursday Supplemental Statements;
3. Declaration of Excess Receipts or Expenditures.

### IF YOU ARE AN OPPOSED **PARTICIPATING CANDIDATE** OR YOU ARE A NONPARTICIPATING CANDIDATE WHOSE OPPONENT IS PARTICIPATING IN THE CITIZENS' ELECTION PROGRAM:

You must file an Initial Supplemental Statement [SEEC Form 30](#) with the Commission (via mail or fax) or file on [eCris](#) within 24 hours for the November 4, 2008 election when:

**Participating Candidate:** Candidate committee expends or obligates to make an expenditure that exceeds the amount listed below

**Nonparticipating Candidate:** Candidate committee receives funds, expends or obligates to make an expenditure that exceeds the amount listed below

#### Ninety Percent Receipts/Expenditure Trigger Amounts

| General Election     | Receipts/Expenditure Trigger<br>(90% of applicable expenditure limit) |
|----------------------|---|
| State Senator        | \$90,000  |
| State Representative | \$27,000  |

#### When to File Initial Supplemental Statements – DUE in 24 Hours

Initial supplemental statements must be *filed with and received by* the Commission no later than **24 hours** after receiving the funds or making or incurring the expenditure exceeding 90% of an opposed participating candidate's applicable expenditure limit.

The Initial Supplemental Statement must be filed with the State Elections Enforcement Commission by (a) submitting a hard copy at the SEEC offices by 4:30 p.m., (b) by filing on the SEEC's e-CRIS electronic filing system by 4:30 p.m. on the required filing day or (c) by facsimile transmission at fax number 860.256.2984 or by email at [SEEC.eCris.Info@ct.gov](mailto:SEEC.eCris.Info@ct.gov) by 4:30 p.m. on the required filing deadline day. Only such dedicated fax or email addresses may be used if fax or email is the method of filing, provided that an original signed statement must be mailed to the SEEC immediately following the fax or email

transmission on the first business day that the Post Office is open. Because time is of the essence, the e-CRIS midnight rule does not apply; nor does the rule that a postal endorsement of before midnight of the required filing day apply. The next business day rule also does not apply if the filing deadline falls on a Saturday, Sunday, or legal holiday.

***Weekly Thursday Supplemental Statements***

After **any** campaign treasurer files an initial 90% statement, *all treasurers of all candidates in that race, regardless of the committee’s own level of receipts or expenditures*, must file periodic supplemental statements [SEEC Form 30](#) or [SEEC Form 21](#) (if the committee has not received or expended more than \$1,000) with the Commission.

The Weekly Thursday supplemental statements must be *filed with and received by* the Commission no later than 4:30 p.m. on each Thursday such statement is required. The Supplemental Statement must be filed with the State Elections Enforcement Commission by (a) submitting a hard copy at the SEEC offices by 4:30 p.m., (b) by filing on the SEEC’s e-CRIS electronic filing system by 4:30 p.m. on the required filing day or (c) by facsimile transmission at fax number 860.256.2984 or by email at [SEEC.eCris.Info@ct.gov](mailto:SEEC.eCris.Info@ct.gov) by 4:30 p.m. on the required filing deadline day. Only such dedicated fax or email addresses may be used if fax or email is the method of filing, provided that an original signed statement must be mailed to the SEEC immediately following the fax or email transmission on the first business day that the Post Office is open. Because time is of the essence, the e-CRIS midnight rule does not apply; nor does the rule that a postal endorsement of before midnight of the required filing day apply. The next business day rule also does not apply if the filing deadline falls on a Saturday, Sunday, or legal holiday.

***Declaration of Excess Receipts or Expenditures Statements***

Applies primarily to nonparticipating candidates since participating candidates who have received public grant funds from the Program are prohibited from accepting funds beyond any supplemental grants from the Citizens’ Election Fund, or from incurring or making excess expenditures. Nonetheless, should the candidate committee of a participating candidate receive impermissible funds or make an excess expenditure, supplemental reporting requirements apply.

***When to File Declarations of Excess Receipts or Expenditures Statements***

Declarations of excess receipts or expenditures must be *filed with and received by* the Commission no later than **24 hours** after the excess funds are received or the excess expenditure is made or incurred.

| <b>Office Sought</b>        | <b>100% of Participant’s Applicable Expenditure Limit</b> | <b>125% of Participant’s Applicable Expenditure Limit</b> | <b>150% of Participant’s Applicable Expenditure Limit</b> | <b>175% of Participant’s Applicable Expenditure Limit</b> |
|-----------------------------|---|---|---|---|
| <b>State Senator</b>        | \$100,000   | \$125,000   | \$150,000   | \$175,000   |
| <b>State Representative</b> | \$30,000  | \$37,500  | \$45,000  | \$52,500  |

Declarations of Excess Receipts or Expenditures are filed in the same manner as Initial Supplemental Statements or Weekly Thursday Statements described above.

We realize that this is a new and complicated process. The [Candidate Services Unit](#) stands ready to assist you in any way possible. Please feel free to contact us at