



STATE OF CONNECTICUT  
STATE ELECTIONS ENFORCEMENT COMMISSION

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**AGENDA**  
**STATE ELECTIONS ENFORCEMENT COMMISSION**  
**REGULAR MEETING**

**5<sup>th</sup> Floor Conference Room**

**May 14, 2014**

**9:00 A.M.**

***I. Call to Order***

***II. Approval of the Minutes***

*Approval of the Minutes of the Meeting of April 16, 2014*

***III. Administrative Reports***

***IV. Pending Complaints and Investigations***

*A. Public Session*

1. In re: Referral by the Westport Registrars of Voters, Westport  
File No. 2013-119  
Proposed Findings & Conclusions  
Respondents: Stacy Moeller and Michael Moeller  
Attorney: Kevin M. Ahern  
Lead Legal Investigator: Gilberto Oyola
  
2. In the Matter of a Complaint by Marilyn Higgins, Hampton  
File No. 2013-105  
Proposed Findings & Conclusions  
Respondents: Sarah McDermott and Dayna McDermott-Arriola  
Attorney: Kevin M. Ahern  
Lead Legal Investigator: Gilberto Oyola
  
3. In the Matter of a Complaint by Caleb Kleppner, New Haven  
File Nos. 2010-002D & 2013-031NF  
Proposed Consent Order & Agreement  
Respondent: Hector Rivera  
Attorney: James Talbert-Slagle  
Lead Legal Investigator: Charlie Urso

4. In the Matter of a Complaint by Caleb Kleppner, New Haven  
File Nos. 2010-002E & 2013-031NF  
Proposed Consent Order & Agreement  
Respondent: Debra Hauser  
Attorney: James Talbert-Slagle  
Lead Legal Investigator: Charlie Urso
  
5. In the Matter of a Complaint by Alicia Rivera, Hartford  
File No. 2012-123  
Proposed Findings & Conclusions  
Respondent: Victor Luna  
Attorney: James Talbert-Slagle  
Lead Legal Investigator: Gilberto Oyola
  
6. In the Matter of a Complaint by Zuleyda Figueroa, Hartford  
File No. 2012-128  
Proposed Findings & Conclusions  
Respondent: Victor Luna  
Attorney: James Talbert-Slagle  
Lead Legal Investigator: Gilberto Oyola
  
7. In the Matter of a Complaint by Rosa Muniz, Hartford  
File No. 2012-129  
Proposed Findings & Conclusions  
Respondent: Victor Luna  
Attorney: James Talbert-Slagle  
Lead Legal Investigator: Gilberto Oyola
  
8. In the Matter of a Complaint by Ivette Alvarado, Hartford  
File No. 2012-130  
Proposed Findings & Conclusions  
Respondent: Victor Luna  
Attorney: James Talbert-Slagle  
Lead Legal Investigator: Gilberto Oyola
  
9. In the Matter of a Complaint by Nellie Morales, Hartford  
File No. 2012-132  
Proposed Findings & Conclusions  
Respondent: Victor Luna  
Attorney: James Talbert-Slagle  
Lead Legal Investigator: Gilberto Oyola

V. ***Executive Session per General Statutes §§ 1-200(6)(B) and (E), and 1-210(b)(1),(3),(4),(10) and General Statutes §9-7a, as amended by Public Act 11-48 §300***

*(Preliminary draft and agency consideration of enforcement action and exempt records relative thereto)*

1. In the Matter of a Complaint by Melissa A. Rickard, Windsor  
File No. 2012-196  
Proposed Consent Order & Agreement  
Respondents: Karen Andrews and Anita Mips  
Attorney: Kevin M. Ahern  
Lead Legal Investigator: Gilberto Oyola
2. In re Referral of the Secretary of the State, Hartford  
File No. 2014-021  
Recommendation of Counsel  
Attorney: Kevin M. Ahern  
Legal Investigator: Scott Branfuhr
3. In the Matter of a Complaint by Mark T. Anastasi, Bridgeport  
File No. 2013-141  
Recommendation of Counsel  
Attorney: Patrick J. Lamb  
Lead Legal Investigator: Gilberto Oyola
4. Pursuant to Section 9-7b-35 of the Regulations of Connecticut State Agencies, a Request for a Finding of Reason to Believe Violations of General Statutes § 9-608 Have Been Committed.
5. Pursuant to Section 9-7b-35 of the Regulations of Connecticut State Agencies, a Request for a Finding of Reason to Believe Violations of General Statutes § 9-608 Have Been Committed.
6. In the Matter of a Complaint by Roger Pilc, Pitney Bowes, Stamford  
File No. 2014-027  
Recommendation of Counsel  
Attorney: William B. Smith  
Lead Legal Investigator: Gilberto Oyola
7. In the Matter of a Complaint by Lori Jeffers, Willimantic  
File No. 2014-003  
Recommendation of Counsel  
Attorney: William B. Smith  
Lead Legal Investigator: Gilberto Oyola
8. In the Matter the Matter of a Complaint by Antinozzi Associates, Bridgeport  
File No. 2014-009  
Proposed Consent Order & Agreement  
Attorney: William B. Smith

9. In the Matter of a Complaint by Jeff Guttman, Monroe  
File No. 2013-143  
Proposed Reason to Believe  
Attorney: William B. Smith  
Lead Legal Investigator: Gilberto Oyola
10. Recommendation of Counsel: Necessary to Investigate Determination  
pursuant to General Statutes §9-7a, as amended by Public Act 11-48 §300
11. Consideration of Draft Audit Reports
  1. “Porter for Change,” Mary E. Porter  
Examiner: Karen Moreira
  2. “Friends of Jason Bartlett,” Jason Bartlett  
Attorney: James Talbert-Slagle
  3. “Bartlett 2012,” Jason Bartlett  
Examiner: Kristina Corbett
  4. “Ayala 2012,” Andres Ayala  
Examiner: Dinorah Collado
  5. “Andres Ayala 2012,” Andres Ayala  
Attorney: James Talbert-Slagle
12. Consideration of Pending Litigation and Attorney Client Privileged Matters
  - a. Advisory Opinion 2014-02: Disclosure of Expenditures in Campaign Finance Statements Pursuant to Public Act 13-180
  - b. Proposed Advisory Opinion 2014-03: Application and Enforcement of Connecticut’s Aggregate Contribution Limits from Individuals to Candidates and Committees after *McCutcheon*
  - c. *DGA v. Brandi, et al*

**VI. Consideration of Matters Discussed in Executive Session**

**VII. Adjournment**

***The next regular scheduled meeting is May 29, 2014 at 4:00 P.M. in the 5th Floor Conference room.***