



STATE OF CONNECTICUT
STATE ELECTIONS ENFORCEMENT COMMISSION

**DRAFT MINUTES
STATE ELECTIONS ENFORCEMENT COMMISSION
REGULAR MEETING**

5th Floor Conference Room

June 19, 2013

9:00 A.M.

I. Call to Order

Chairman Anthony Castagno was present and called the meeting to order at 9:04 A.M. Commissioners Stephen Cashman and Patricia Stankevicius were present, agency staff was also present.

II. Approval of the Minutes

Approval of the Minutes of the Meeting of May 15, 2013

It was moved by Commissioner Cashman and seconded by Commissioner Stankevicius to approve the Minutes of the May 15, 2013 Regular Meeting. So voted.

Approval of the Minutes of the Meeting of May 22, 2013

It was moved by Commissioner Cashman and seconded by Commissioner Stankevicius to approve the Minutes of the May 22, 2013 Special Meeting. So voted.

Approval of the Minutes of the Meeting of May 28, 2013

It was moved by Commissioner Cashman and seconded by Commissioner Stankevicius to approve the Minutes of the May 28, 2013 Special Meeting. So voted.

III. Administrative Reports

Mr. Brandi reported that the surplus in SEEC's budget due to the hiring freeze, will give about \$300,000 back into the General Fund. It does not appear that SEEC effectiveness will be diminished, as the Current Services Budget will be sustained, which will not remove any positions from the agency.

Two of the legislative bills that SEEC staff was seeking approval on did not pass. One was the pilot program for municipal campaign filings, and the other was a fix

for the Citizens Election Fund. This fix would allow every public grant to be funded the full amount. Both of these bills will be sought for approval in the next legislative session.

Agency staff is hard at work moving SEEC forward into the new fiscal year.

Mr. Brandi and Ms. Kief spoke of the new legislation, House Bill No. 6580, that will affect campaign finance and election laws. Many things will need to be changed, and quickly, as the bill is effective upon passage. Things like calendars, contribution charts and forms are being updated.

Ms. Kief reported that the disclosure provisions of the bill should be looked at, as they can be complicated and not actually provide the disclosure that is needed.

IV. Pending Complaints and Investigations

A. Public Session

1. In the Matter of a Complaint by Jeffrey Shorts, Coventry

File No. 2010-106

Proposed Consent Agreement

Respondent:

Paul F. Jatkowski

Attorney:

James M. Talbert-Slagle

Lead Legal Investigator:

Gilberto Oyola

Attorney Talbert-Slagle summarized the above referenced complaint and proposed Consent Agreements in File No. 2010-106. He recommended adoption of the Proposed Consent Agreement.

It was moved by Commissioner Cashman and seconded by Commissioner Stankevicius to adopt the Proposed Consent Agreement in File No. 2010-106. So voted. The Proposed Consent Agreement is available on our website under [Commission Decisions](#).

2. In re: Audit of “*Friends of Gerry Garcia*,” Hartford

File No. 2012-072

Proposed Consent Agreement

Respondent:

Gerry Garcia

Attorney:

James M. Talbert-Slagle

Lead Legal Investigator:

Charlie Urso

Attorney Talbert-Slagle summarized the above referenced complaint and Proposed Consent Agreement in File No. 2012-072. He recommended adoption of the Proposed Consent Agreement.

It was moved by Commissioner Cashman and seconded by Commissioner Stankevicius to adopt the Proposed Consent Agreement in File No. 2012-072.

So voted. The Proposed Consent Agreement is available on our website under Commission Decisions.

3. In the Matter of a Complaint by Matthew Smith, New Haven
File No. 2010-031

Proposed Consent Agreement

Respondent: Christopher Randall
Attorney: James M. Talbert-Slagle
Lead Legal Investigator: Gilberto Oyola

Attorney Talbert-Slagle summarized the above referenced complaint and Proposed Consent Agreement in File No. 2010-031. He recommended adoption of the Proposed Consent Agreement.

It was moved by Commissioner Cashman and seconded by Commissioner Stankevicius to adopt the Proposed Consent Agreement in File No. 2010-031, subject to the return of the signed Proposed Consent Agreement in ten days. So voted. The Proposed Consent Agreement will be available, upon receipt, on our website under Commission Decisions.

4. In the Matter of a Complaint by John Flanagan, Hamden
File No. 2012-094

Proposed Findings & Conclusions

Respondent: Michael D'Agostino
Attorney: James M. Talbert-Slagle
Lead Legal Investigator: Charlie Urso

Attorney Talbert-Slagle summarized the above referenced complaint and Proposed Findings and Conclusions in File No. 2012-094. He recommended adoption of the Proposed Findings and Conclusions.

It was moved by Commissioner Cashman and seconded by Commissioner Stankevicius to adopt the Proposed Findings and Conclusions in File No. 2012-094. So voted. The Proposed Findings and Conclusions are available on our website under Commission Decisions.

5. In the Matter of a Complaint by Kenneth Gronbach, Haddam
File No. 2012-149

Proposed Findings & Conclusions

Respondent: Frederick Vollono
Attorney: James M. Talbert-Slagle
Lead Legal Investigator: Charlie Urso

Attorney Talbert-Slagle summarized the above referenced complaint and Proposed Findings and Conclusions in File No. 2012-149. He recommended adoption of the Proposed Findings and Conclusions.

It was moved by Commissioner Cashman and seconded by Commissioner Stankevicius to adopt the Proposed Findings and Conclusions in File No. 2012-149. So voted. The Proposed Findings and Conclusions are available on our website under Commission Decisions.

6. In the Matter of a Complaint by George F. Sefcik, New London
File No. 2012-191

Proposed Findings & Conclusions

Respondents:

Registrars of Voters, City
of New London

Attorney:

Patrick J. Lamb

Attorney Lamb summarized the above referenced complaint and Proposed Findings & Conclusions in File No. 2012-191. He recommended adoption of the Proposed Findings & Conclusions.

It was moved by Commissioner Cashman and seconded by Commissioner Stankevicius to adopt the Proposed Findings & Conclusions in File No. 2012-191. So voted. The Proposed Findings & Conclusions are available on our website under Commission Decisions.

7. In the Matter of a Referral of the Hampton Registrars of Voters
File No. 2012-195

Proposed Findings & Conclusions

Respondent:

Brian K. Murphy

Attorney:

Patrick J. Lamb

Lead Legal Investigator:

Gilberto Oyola

Attorney Lamb summarized the above referenced complaint and Proposed Findings & Conclusions in File No. 2012-195. He recommended adoption of the Proposed Findings & Conclusions.

It was moved by Commissioner Cashman and seconded by Commissioner Stankevicius to adopt the Proposed Findings & Conclusions in File No. 2012-195. So voted. The Proposed Findings & Conclusions are available on our website under Commission Decisions.

8. In the Matter of a Referral of the Office of the Secretary of the State
File No. 2012-166

Proposed Consent Agreement

Respondent:

Elizabeth M. Wilson, Killingly
Town Clerk

Attorney:

Patrick J. Lamb

Lead Legal Investigator:

Charlie Urso

Attorney Lamb summarized the above referenced complaint and Proposed Consent Agreement in File No. 2012-166. He recommended adoption of the Proposed Consent Agreement.

It was moved by Commissioner Cashman and seconded by Commissioner Stankevicius to adopt the Proposed Consent Agreement in File No. 2012-166. So voted. The Proposed Consent Agreement is available on our website under Commission Decisions.

9. In the Matter of a Complaint by Migdalia Castro, New Haven
File No. 2013-014
Proposed Consent Agreement
Respondent: Alberto Bustos
Attorney: Patrick J. Lamb
Lead Legal Investigator: Gilberto Oyola

Attorney Lamb summarized the above referenced complaint and Proposed Consent Agreement in File No. 2013-014. He recommended adoption of the Proposed Consent Agreement.

It was moved by Commissioner Cashman and seconded by Commissioner Stankevicius to adopt the Proposed Consent Agreement in File No. 2013-014. So voted. The Proposed Consent Agreement is available on our website under Commission Decisions.

10. In the Matter of a Complaint by Lesa C. Peters, Woodbury
File 2012-004
Proposed Consent Agreement
Respondent: Nancy A. Mackey
Attorney: William B. Smith
Lead Legal Investigator: Gilberto Oyola

Attorney Smith summarized the above referenced complaint and Proposed Consent Agreement in File No. 2012-004. He recommended adoption of the Proposed Consent Agreement.

It was moved by Commissioner Cashman and seconded by Commissioner Stankevicius to adopt the Proposed Consent Agreement in File No. 2012-004. So voted. The Proposed Consent Agreement is available on our website under Commission Decisions.

11. In the Matter of a Complaint by Deborah Evangelista, et al, West Haven
File No. 2010-040

Proposed Findings & Conclusions

Respondents: Michelle Hufcut and
Robert J. Symmes
Attorney: Kevin M. Ahern
Lead Legal Investigator: Gilberto Oyola

Attorney Ahern summarized the above referenced complaint and Proposed Findings and Conclusions in File No. 2010-040. He recommended adoption of the Proposed Findings and Conclusions.

It was moved by Commissioner Cashman and seconded by Commissioner Stankevicius to adopt the Proposed Findings and Conclusions in File No. 2010-040. So voted. The Proposed Findings and Conclusions are available on our website under Commission Decisions.

12. In the Matter of a Complaint by Carmen Cordero, Hartford
File No. 2011-141

Proposed Consent Agreement

Respondent: Angel Morales
Attorney: Kevin M. Ahern
Lead Legal Investigator: Gilberto Oyola

This matter is continued.

13. In the Matter of a Complaint by Mary Rydingsward, Bristol
File No. 2012-135

Proposed Consent Agreement

Respondent: Sharon Krawiecki
Attorney: Kevin M. Ahern
Lead Legal Investigator: Gilberto Oyola

Attorney Ahern summarized the above referenced complaint and Proposed Consent Agreement in File No. 2012-135. He recommended adoption of the Proposed Consent Agreement.

It was moved by Commissioner Cashman and seconded by Commissioner Stankevicius to adopt the Proposed Consent Agreement in File No. 2012-135. So voted. The Proposed Consent Agreement is available on our website under Commission Decisions.

14. In the Matter of a Complaint by Ralph Arena, Hartford

File No. 2012-030

Proposed Findings & Conclusions

Respondent:

Jose Contreras

Attorney:

Kevin M. Ahern

Lead Legal Investigator:

Gilberto Oyola

Attorney Ahern summarized the above referenced complaint and Proposed Findings and Conclusions in File No. 2012-030. He recommended adoption of the Proposed Findings and Conclusions.

It was moved by Commissioner Cashman and seconded by Commissioner Stankevicius to adopt the Proposed Findings and Conclusions in File No. 2012-030. So voted. The Proposed Findings and Conclusions are available on our website under Commission Decisions.

15. Designation of a State Elections Enforcement Commission representative to the Governmental Accountability Commission.

Chairman Anthony Castagno will be the designated State Elections Enforcement Commission representative to the Governmental Accountability Commission.

V. *Executive Session per General Statutes §§ 1-200(6)(B) and (E), and 1-210(b)(1),(3),(4),(10) and General Statutes §9-7a, as amended by Public Act 11-48 §300*

(Preliminary draft and agency consideration of enforcement action and exempt records relative thereto)

It was moved by Commissioner Cashman and seconded by Commissioner Stankevicius at 10:03 A.M. to proceed into Executive Session pursuant to C.G.S. §§ 1-200(6)(B) and (E), and 1-210(b)(1),(3),(4) and (10) to discuss strategy and negotiations with respect to pending claims and exempt records. So voted.

Commissioners Castagno, Cashman and Stankevicius were present. Staff members present were, Kevin Ahern, Michael Brandi, Shannon Kief, Evelyn Gratacos, Lindsey Leung, Marianne Sadowski, William Smith, James Talbert-Slagle, Patrick Lamb, Gilberto Oyola, Charlie Urso, Lisa Nightingale, Scott Branfuhr, Sarah Steinberg, Matt Pribula, and Mann Hasen.

It was moved by Commissioner Cashman and seconded by Commissioner Stankevicius at 10:50 A.M. to return to public session. So voted.

Returning to public session the Commission took the following action:

1. In the Matter of a Complaint by Scott Dale, Brookfield
File No. 2012-097
Recommendation of Counsel
Attorney: Kevin M. Ahern
Lead Legal Investigator: Gilberto Oyola

This matter is continued.

2. In the Matter of Referrals by Joyce Mascena, Glastonbury Town Clerk
File No. 2012-153
Recommendation of Counsel
Attorney: Kevin M. Ahern
Lead Legal Investigator: Gilberto Oyola

This matter is continued.

3. In the Matter of a Complaint by Janice Rossetti, Hartford
File No. 2012-005
Recommendation of Counsel
Attorney: James M. Talbert-Slagle
Legal Investigators: Gilberto Oyola & Scott Branfuhr

This matter is continued.

4. In the Matter of a Complaint by Christopher Healy, Wethersfield
File No. 2011-082
Recommendation of Counsel
Attorney: Patrick J. Lamb
Lead Legal Investigator: Charlie Urso

Attorney Lamb summarized the above referenced in File No. 2011-082. He recommended adoption of the Proposed Findings & Conclusions.

It was moved by Commissioner Cashman and seconded by Commissioner Stankevicius to adopt the Proposed Findings & Conclusions in File No. 2011-082. So voted.

5. In Re: SEEC Initiated "*Kelly for Senate 2012*," Ralph Lewis, Treasurer
File No. 2013-080NDF
Recommendation of Counsel
Attorney: Patrick J. Lamb

Attorney Lamb summarized the above referenced in File No. 2013-080NDF. He recommended adoption of the Request to Initiate.

It was moved by Commissioner Cashman and seconded by Commissioner Stankevicius to Initiate a New Investigation in File No. 2013-080NDF. So voted.

6. Non-Filer Investigations and Prosecutions

- a. Pursuant to Section 9-7b-35 of the Regulations of Connecticut State Agencies, a Request for Findings of Reason to Believe Violation Has Been Committed Concerning One Delinquent Filing, a Violation of General Statutes § 9-712 (a) (2). Based upon referrals by the Compliance subdivision.

It was moved by Commissioner Cashman and seconded by Commissioner Stankevicius that the Commission finds reason to believe that respondent violated Connecticut General Statute § 9-712 (a) (2) for file No. 2013-071SF. So Voted.

7. In the Matter of a Complaint by Wilm Donath and Carola Cammann, Stamford

File No. 2013-008

Recommendation of Counsel

Attorney:

William B. Smith

Lead Legal Investigator:

Gilberto Oyola

This matter is continued.

8. Recommendation of Counsel: Necessary to Investigate Determination pursuant to General Statutes §9-7a, as amended by Public Act 11-48 §300

It was moved by Commissioner Cashman and seconded by Commissioner Stankevicius to move to make a determination that it is necessary to investigate violations relating to eight complaints in File Nos.: 2013-075, 2013-076, 2013-077, 2013-078, 2013-082, 2013-089, 2013-090 and 2013-091. So voted.

VI. *Executive Session per Connecticut General Statutes §§ 1-200(6)(A), (B) and (E), and 1-210(b) (2)*
(Discussion of personnel matters)

It was moved by Commissioner Cashman and seconded by Commissioner Stankevicius at 10:53 A.M. to proceed into Executive Session pursuant to C.G.S. §§ 1-200(6)(A), (B) and (E), and 1-210(b) (2) to discuss personnel matters. So voted.

Commissioners Castagno, Cashman and Stankevicius were present. Staff member present was Michael Brandi.

It was moved by Commissioner Cashman and seconded by Commissioner Stankevicius at 11:14 A.M. to return to public session. So Voted.

No votes were taken.

VII. *Adjournment*

It was moved by Commissioner Cashman and seconded by Commissioner Stankevicius at 11:15 A.M. to adjourn the meeting. So voted. Unless otherwise indicated, all votes of the Commission were unanimous.

The next regular scheduled meeting is July 17, 2013 at 9:00 A.M. in the 5th Floor Conference room.