



STATE OF CONNECTICUT
STATE ELECTIONS ENFORCEMENT COMMISSION

MINUTES
STATE ELECTIONS ENFORCEMENT COMMISSION
REGULAR MEETING
5th Floor Conference Room
January 18, 2012
9:00 A.M.

I. Call to Order

Commissioner Cashman called the meeting to order at 8:58 A.M. Commissioners Castagno, Jenkins, Bozzuto and Stankevicius were present. Agency staff was also present.

II. Approval of the Minutes

Approval of the Minutes of the December 14, 2011 Meeting.

It was moved by Commissioner Jenkins and seconded by Commissioner Bozzuto to Approve the Minutes of the December 14, 2011 Meeting. So voted.

III. Administrative Reports

1. Consideration of Executive Director and General Counsel

At this time the Commission is not prepared to move forward with this appointment and will have no further comment with respect to the proceedings until such time as we are prepared to move forward.

2. Memorandum of Commission Staff

Ms. Kief discussed the recent OGA Report.

Ms. Kief reported that the report on reports, as required by statute, has been completed and sent to the Legislature.

Ms. Kief reported that SEEC has filed 2 Results Based Accountability report cards.

Ms. Kief discussed the January 2012 Special Election advising that 2 of the 3 candidates participated in the CEP.

Ms. Kief updated the Commission as to the 2012 Election Cycle reporting that there are currently registered approximately 30 Committees in eCRIS.

Ms. Kief reported that 12 Publications were released to the SEEC website this month, including publications relating to the Special Election and information updates regarding the recent law changes in filing requirements.

Ms. Kief discussed that the SEEC forms 20 & 30 have been updated.

Mr. Hasen updated the Commission as to IT enhancements and upgrades including to the SEEC website: the conversion to the new portal template, posting of the new SEEC forms 20 & 30 and the redeveloped fillable SEEC Form 20.

Mr. Hasen reported the following IT enhancements and upgrades to eCRIS as being; modifications to the warnings and compliance messages to comply with the new law changes, modifications allowing for multiple forms revisions and the conversion to a new .net run-time environment.

Mr. Hasen also discussed modifications to the SEEC's internal operating systems.

Mr. Hasen updated the Commission as to hardware and storage upgrades: this weekend production and testing server upgrades were completed to new configurations. SEEC has 9 servers which provide significant performance, reliability and designed to help cut operation expenses through energy efficiency. The recent upgrades and configuration adjustments now provide for 10 terabytes of storage

Mr. Urso updated the Commission as to the status of the recent move. Currently SEEC and OGA are awaiting a firm date on the build out for the 1st floor. Mr. Urso advised that he will continue to meet weekly with David Guay and his staff regarding the same.

IV. Consideration of Hearing Officer's Reports

1. In the Matter of a Referral by a SEEC Elections Officer
File No. 2011-044NF
Proposed Final Decision

Hearing Officer: Stephen F. Cashman
Respondents: Christopher Hetrick
Prosecutor: Attorney Patrick J. Lamb

Hearing Officer Cashman summarized the above referenced complaint and proposed final decision in File No. 2011-044NF. He recommended adoption of the proposed final decision. Prosecutor Lamb had no objection to the adoption of the final decision.

It was moved by Commissioner Jenkins and seconded by Commissioner to Stankevicius to adopt the proposed final decision in File No. 2011-044NF. So voted. The final decision is available on our website under [Commission Decisions](#).

2. In the Matter of a Referral by a SEEC Elections Officer,
File No. 2011-041NF
Proposed Final Decision

Hearing Officer: Stephen F. Cashman
Respondents: Larcina Carrington-Wynn
Prosecutor: Attorney Patrick J. Lamb

Hearing Officer Cashman summarized the above referenced complaint and proposed final decision in File No. 2011-041NF. He recommended adoption of the proposed final decision. Prosecutor Lamb had no objection to the adoption of the final decision.

It was moved by Commissioner Jenkins and seconded by Commissioner Stankevicius to adopt the proposed final decision in File No. 2011-041NF. So voted. The final decision is available on our website under [Commission Decisions](#).

V. *Pending Complaints and Investigations*

A. *Public Session*

1. In the Matter of a Complaint by Andrew Lockwood, New London
File No. 2010-126
Proposed Findings & Conclusions
Respondents: Ernest Hewett and Ronald Nossek
Attorney: James Talbert-Slagle
Lead Legal Investigator: Charlie Urso

Attorney James Talbert-Slagle summarized the above referenced complaint and proposed Findings & Conclusions in File No. 2010-126. He recommended adoption of the proposed Findings & Conclusions.

It was moved by Commissioner Bozzuto and seconded by Commissioner Jenkins to adopt the proposed Findings & Conclusions in File No. 2010-126. So voted. The proposed Findings & Conclusions are available on our website under [Commission Decisions](#).

2. In the Matter of a Complaint by Preston Shultz, Woodstock
File No. 2009-054

Proposed Findings & Conclusions

Respondents: John Leavitt and Becki Leavitt
Attorney: William B. Smith
Lead Legal Investigator: Gilberto Oyola

Attorney William B. Smith summarized the above referenced complaint and proposed Findings & Conclusions in File No. 2009-054. He recommended adoption of the proposed Findings & Conclusions.

It was moved by Commissioner Jenkins and seconded by Commissioner Stankevicius to adopt the proposed Findings & Conclusions in File No. 2009-054 as to respondents John and Becki Leavitt and remains open as to other named respondents. So voted. The proposed Findings & Conclusions are available on our website under [Commission Decisions](#).

3. In the Matter of a Complaint by Scott W. Purdie, Bridgeport
File No. 2011-155

Proposed Findings & Conclusions

Respondents: Linda A. Grace and Santa I. Ayala
Attorney: William B. Smith
Lead Legal Investigator: Gilberto Oyola

Attorney William B. Smith summarized the above referenced complaint and proposed Findings & Conclusions in File No. 2011-155. He recommended adoption of the proposed Findings & Conclusions.

It was moved by Commissioner Jenkins and seconded by Commissioner Stankevicius to adopt the proposed Findings & Conclusions in File No. 2011-155. So voted. The proposed Findings & Conclusions are available on our website under [Commission Decisions](#).

4. In the Matter of a Complaint by Robert W. Prentice, Wallingford

File No. 2011-134

Proposed Findings & Conclusions

Respondents: Vincent Testa
Attorney: Patrick J. Lamb
Lead Legal Investigator: Gilberto Oyola

Attorney Patrick J. Lamb summarized the above referenced complaint and proposed Findings & Conclusions in File No. 2011-134. He recommended adoption of the proposed Findings & Conclusions.

It was moved by Commissioner Jenkins and seconded by Commissioner Stankevicius to adopt the proposed Findings & Conclusions in File No. 2011-134. So voted. The proposed Findings & Conclusions are available on our website under [Commission Decisions](#).

5. In the Matter of a Complaint by LeReine Frampton, Newtown
File No. 2010-082
Proposed Findings & Conclusions
Respondents: Debbie Aurelia, Town Clerk
Attorney: Patrick J. Lamb
Lead Legal Investigator: Gilberto Oyola

Attorney Patrick Lamb requested the Commission entertain a motion to move public session items 5 and 6 into executive session under section VI of the agenda, to be accompanied by a Recommendation of Counsel.

It was moved by Commissioner Jenkins and seconded by Commissioner Stankevicius to move public session items 5 and 6 into executive session and added as number 6 and 7 of the executive session of the agenda under section VI.

6. In the Matter of a Complaint by LeReine Frampton, Newtown
File No. 2010-083
Proposed Findings & Conclusions
Respondents: Debbie Aurelia, Town Clerk
Attorney: Patrick J. Lamb
Lead Legal Investigator: Gilberto Oyola

Attorney Patrick Lamb requested the Commission entertain a motion to move public session items 5 and 6 into executive session under section VI of the agenda, to be accompanied by a Recommendation of Counsel.

It was moved by Commissioner Jenkins and seconded by Commissioner Stankevicius to move public session items 5 and 6 into executive session and added as number 6 and 7 of the executive session of the agenda under section VI.

7. Non-Filer Investigations and Prosecutions

- a. Pursuant to Section 9-7b-35 of the Regulations of Connecticut State Agencies, Proposed Findings of a Reason to Believe a Violation has been Committed Concerning Delinquent Filings, Violations Under Section 9-623(b) (2). Based Upon Referrals by the SEEC Campaign Disclosure and Audit Unit

It was moved by Commissioner Bozzuto and seconded by Commissioner Castagno to find reason to believe violations have been committed in File Nos.: 2011-159NF, 2011-161NF, 2011-163NF, 2011-164NF, 2011-166NF and 2011-167NF. So voted.

VI. *Executive Session per C.G.S. §§ 1-200(6)(B) and (E), and 1-210(b)(1),(3),(4),(10) and General Statutes §9-7a, as amended by Public Act 11-48 §300 (Preliminary draft and agency consideration of enforcement action and exempt records relative thereto)*

It was moved by Commissioner Jenkins and seconded by Commissioner Stankevicius at 9:14 A.M. to proceed into Executive Session pursuant to C.G.S. §§ 1-200(6)(B) and (E), and 1-210(b)(1),(3),(4) and (10) to discuss strategy and negotiations with respect to pending claims and exempt records relating thereto, listed as items 1 through 5 and draft audit reports 1 through 35 on the agenda. So voted. Commissioners Cashman, Castagno, Jenkins, Bozzuto and Stankevicius were present. Staff members present were Ms. Nancy Staniewicz, Mr. Charlie Urso, Mr. John Neumon, Ms. Marianne Sadowski, Mr. Gilberto Oyola, Mr. Kevin Ahern, Mr. Patrick Lamb, Ms. Paige Adams, Mr. James Talbert-Slagle, Ms. Jennifer Montgomery, Mr. William Smith, Ms. Shannon Kief, Mr. Mann Hasen, Ms. Evelyn Gratacos and Ms. Sheri-Lyn Lagueux.

It was moved by Commissioner Bozzuto and seconded by Commissioner Jenkins at 10:56 A.M. to return to public session. So voted.

Returning to Public Session the Commission took the following action:

It was moved by Commissioner Jenkins and seconded by Commissioner Stankevicius to approve the issuance of the aforementioned committee draft Audit Reports 1-34 as Final Audit Reports. So voted.

It was moved by Commissioner Bozzuto and seconded by Commissioner Stankevicius to initiate an investigation regarding draft Audit Report 35. So voted.

1. In the Matter of a Complaint by Joe Kronen, East Hartford
File No. 2010-130
Proposed Recommendation of Counsel
Attorney: William B. Smith
Lead Legal Investigator: Gilberto Oyola

The matter is continued.

2. In the Matter of a Complaint by Carol D. Walter, Clinton
File No. 2011-106
Proposed Reason to Believe Finding
Attorney: William B. Smith
Lead Legal Investigator: Gilberto Oyola

It was moved by Commissioner Jenkins and seconded by Commissioner Stankevicius to adopt the proposed Reason to Believe Finding and Appointment of a Hearing Officer in File No. 2011-106. So voted. Appointment of a Hearing Officer will be designated when the matter is ready for a hearing.

3. In the Matter of a Complaint by Tom Kelly, Bridgeport
File No. 2011-090 & 2011-097
Proposed Recommendation of Counsel
Attorney: Kevin Ahern
Lead Legal Investigator: Charlie Urso

The matter is continued.

4. Recommendation of Counsel regarding Collection of Late Filing Fees

No action need be taken.

5. Recommendation of Counsel: Necessary to Investigate Determination pursuant to General Statutes §9-7a, as amended by Public Act 11-48 §300

It was moved by Commissioner Jenkins and seconded by Commissioner Stankevicius to move to make a determination that it is necessary to investigate violations relating to nine complaints in File Nos.: 2012-001, 2012-002, 2012-003, 2012-004, 2012-005, 2012-006, 2012-007, 2012-008 and 2012-009. So voted.

6. In the Matter of a Complaint by LeReine Frampton, Newtown
File No. 2010-082

Proposed Findings & Conclusions

Respondents: Debbie Aurelia, Town Clerk

Attorney: Patrick J. Lamb

Lead Legal Investigator: Gilberto Oyola

Attorney Patrick J. Lamb summarized the above referenced complaint and proposed Findings & Conclusions in File No. 2010-082. He recommended adoption of the proposed Findings & Conclusions.

It was moved by Commissioner Bozzuto and seconded by Commissioner Stankevicius to adopt the proposed Findings & Conclusions in File No. 2010-082. So voted. The proposed Findings & Conclusions are available on our website under [Commission Decisions](#).

7. In the Matter of a Complaint by LeReine Frampton, Newtown
File No. 2010-083

Proposed Findings & Conclusions

Respondents: Debbie Aurelia, Town Clerk

Attorney: Patrick J. Lamb

Lead Legal Investigator: Gilberto Oyola

Attorney Patrick J. Lamb summarized the above referenced complaint and proposed Findings & Conclusions in File No. 2010-083. He recommended adoption of the proposed Findings & Conclusions.

It was moved by Commissioner Bozzuto and seconded by Commissioner Stankevicius to adopt the proposed Findings & Conclusions in File No. 2010-083. So voted. The proposed Findings & Conclusions are available on our website under [Commission Decisions](#).

Consideration of Draft Audit Reports

1. "Elect Susan Johnson '10," Treasurer: Mary Ann Daly
Examiner: Martin Paxi
2. "Nardello 2010," Treasurer: Megen Groski
Examiner: Martin Paxi
3. "LeBeau Rebuilding Connecticut," (Exploratory) Treasurer: Timothy D. Larson
Examiner: Martin Paxi
4. "LeBeau Rebuilding Connecticut," Treasurer: Kathleen Randall
Examiner: Martin Paxi
5. "McCluskey For State Representative," Treasurer: Shannon Jacovino
Examiner: Martin Paxi
6. "Fleischmann For State Representative," Treasurer: Ted Doolittle
Examiner: Martin Paxi
7. "Reelect Ryan," Treasurer: Teri E. Bruce
Examiner: Martin Paxi
8. "Adinolfi Again," (Exploratory) Treasurer: Gilbert E. Linder
Examiner: Martin Paxi
9. "Al Adinolfi 2010," Treasurer: Gilbert E. Linder
Examiner: Martin Paxi
10. "Committee to Re-Elect Pat Widlitz," Treasurer: Barbara Hemming
Examiner: Martin Paxi
11. "Doyle for State Senate," Treasurer: Tom Spinella
Examiner: Martin Paxi
12. "TK 10," Treasurer: Christopher Fryxell
Examiner: Martin Paxi
13. "Fox 2010," Treasurer: Moira K. Lyons
Examiner: Salman Munir
14. "Kieran Ryan 2010," Treasurer: Joseph P. Sargent
Examiner: Salman Munir
15. "D'amelio 2010," Treasurer: Erika Carrington
Examiner: Salman Munir

16. "Etre for Vernon & Rockville 2010," Treasurer: Adam Weissburg/William Jenkins
Examiner: Salman Munir
17. "Carpino For Connecticut," Treasurer: Douglas Sienna
Examiner: Salman Munir
18. "Smith House 108Th," Treasurer: Paul E. Swenson
Examiner: Salman Munir
19. "Demicco For State Rep.," Treasurer: Amy Suffredini
Examiner: Monique Coates
20. "Stillman for Senate," Treasurer: Joseph M. Filippetti
Examiner: Monique Coates
21. "Friends of Andrew McDonald," Treasurer: Jacquelin Heftman
Examiner: Monique Coates
22. "Betsy Ritter 2010," Treasurer: Bridget B. Baird
Examiner: Monique Coates
23. "Siragusa 2010," Treasurer: Margaret A. Muscarella
Examiner: Monique Coates
24. "Matt Ritter for State Rep.," Treasurer: Sebastian Lombardi
Examiner: Monique Coates
25. "Matt Lesser 2010," (Exploratory) Treasurer: Todd Berch
Examiner: Monique Coates
26. "Re-Elect Matt 2010," Treasurer: Todd Berch
Examiner: Monique Coates
27. "Butler 2010," Treasurer: Joyce Nowell
Examiner: Monique Coates
28. "Dangelo State Senate 2010," Treasurer: Darrin Wood
Examiner: Monique Coates
29. "Tom Gaffey For State Senate," Treasurer: Katharine K. Hayes
Examiner: Monique Coates
30. "Morris Is For Us," Treasurer: Christopher F. Potts
Examiner: Monique Coates

31. "Friends of Deb 2010," Treasurer: Christopher Traugh
Examiner: Monique Coates
32. "Dargan 2010," Treasurer: David A. Cappetta
Examiner: Linda Waterman
33. "Jim Sargent for State Representative," Treasurer: Matthew G. Locci
Examiner: Linda Waterman
34. "Carl Bernstein for the 135th," Treasurer: Gayle M. Weinstein
Fiscal/Administrative Officer: Sarah Poulin
35. "Brenda 2010," Treasurer: Michael Hahn
Examiner: Martin Paxi

VII. Adjournment

It was moved by Commissioner Bozzuto and seconded by Commissioner Stankevicius at 11:01 A.M. to adjourn the meeting. So voted. Unless otherwise indicated, all votes of the Commission were unanimous.

The next regular scheduled meeting is February 15, 2012 at 9:00 A.M. in the 5th Floor Conference room