MINUTES
STATE ELECTIONS ENFORCEMENT COMMISSION
REGULAR MEETING
5th Floor Conference Room
January 15, 2014
9:00 A.M.

I. Call to Order

Chairman Castagno was present and called the meeting to order at 9:08 A.M. Commissioners Stephen Penny, Michael Ajello and Patricia Stankevicius were present, agency staff was also present.

It was moved by Commissioner Penny and seconded by Commissioner Stankevicius to move items 11 and 10 from public session to executive session 1A and 1B respectively. So voted.

II. Approval of the Minutes

Approval of the Minutes of the Meeting of December 18, 2013

It was moved by Commissioner Penny and seconded by Commissioner Stankevicius to approve the Minutes of the December 18, 2013 regular meeting. So voted.

III. Administrative Reports

Mr. Brandi updated the Commission that SEEC budget is on track. We will be attending next month the FAC advisory board meeting in order to move funds between our two existing accounts.

Mr. Brandi reported that SEEC staff is in full mode for the 2014 statewide and general assembly elections. Staff has been working on advisory opinions, new forms and the declaratory rulings.

SEEC is almost fully staffed. We are short one accounts examiner and as of today have received 70 applications for the position which has been posted. SEEC is moving forward to fill this position quickly.

Regarding the CEP and the CEF, SEEC has scheduled meetings with the comptroller's office to discuss processes relative to the end of the fiscal year and grant funding. Setting up the temporary account to allow SEEC to fund the grants without delays.

Mr. Brandi updated that regarding CEF projections, at the end of June this year, ending this fiscal year, we will have 31.6 million in the CEF and as of July 1 we start our 2015 fiscal year allotment which will be approximately 11.2 million which will be coming into the account as well. SEEC believes the account is sufficient to meet the needs of the 2014 election cycle.

The January 10th filings have been completed. SEEC currently has 38 non-filers for this period. Regarding registrations, there are more than 50 candidate committees which have registered for the 2014 election.

There is a special election, scheduled for February 25th to fill the vacancy by Senator Harp. We have two candidates which have registered with SEEC and we expect a third. SEEC will need to schedule a special meeting for grants.

SEEC is starting meetings regarding legislative initiatives which include the municipal pilot program, the fix to the CEF and the commission review of bank statements for committees which are not selected for audit.

Regarding the municipal pilot program, SEEC conducted a survey amongst the town clerks. Currently 107 of 169 town clerks have responded to the survey and of that group 93% are in support of the SEEC taking over the responsibility of being the central repository of all filings.

The audit unit has been doing a tremendous job that within the next two months we will have completed all 2010 and 2012 audits. We are looking to fill the one vacancy we have and we are looking to some build back up systems and redundancies and looking at all process right now to make sure that with the grant season coming up we will have a streamline process.

IV. Pending Complaints and Investigations

A. Public Session

1. In the Matter of a Complaint by Byron Wentworth, North Branford
   File No. 2013-148
   Proposed Findings & Conclusions

Respondent:	Eric Hodgson
Attorney:	Patrick J. Lamb
Lead Legal Investigator:	Gilberto Oyola

   Attorney Lamb summarized the above referenced complaint and Proposed Findings & Conclusions in File No. 2013-148. He recommended adoption of the Proposed Findings & Conclusions.

It was moved by Commissioner Penny and seconded by Commissioner Stankevicius to adopt the Proposed Findings & Conclusions in File No. 2013-148. So voted by a vote 4-0. The Proposed Findings & Conclusions are available on our website under Commission Decisions.

2. In the Matter of a Complaint by George Zipparo, Redding
File No. 2013-093A
Proposed Consent Order & Agreement

Respondents:	Dr. Jess Gaspar and Bernard A. Josefsberg
Attorney:	Patrick J. Lamb
Lead Legal Investigator:	Gilberto Oyola

Attorney Lamb summarized the above referenced complaint and Proposed Consent Order & Agreement in File No. 2013-093A. He recommended adoption of the Proposed Consent Order & Agreement.

It was moved by Commissioner Penny and seconded by Commissioner Stankevicius to adopt the Proposed Consent Order & Agreement in File No. 2013-093A. So voted by a vote 4-0. The Proposed Consent Order & Agreement are available on our website under Commission Decisions.

3. In the Matter of a Complaint by George Zipparo, Redding
File No. 2013-093B
Proposed Consent Order & Agreement

Respondent:	Bernard A. Josefsberg
Attorney:	Patrick J. Lamb
Lead Legal Investigator:	Gilberto Oyola

Attorney Lamb summarized the above referenced complaint and Proposed Consent Order & Agreement in File No. 2013-093B. He recommended adoption of the Proposed Consent Order & Agreement.

It was moved by Commissioner Stankevicius and seconded by Commissioner Ajello to adopt the Proposed Consent Order & Agreement in File No. 2013-093B. So voted by a vote 4-0. The Proposed Consent Order & Agreement are available on our website under Commission Decisions.

4. Referral by the Westport Registrars of Voters, Westport
File No. 2013-101
Proposed Findings & Conclusions

Respondent:	Henry C. Rapp
Attorney:	Kevin M. Ahern

Lead Legal Investigator: Gilberto Oyola

Attorney Ahern summarized the above referenced complaint and Proposed Findings & Conclusions in File No. 2013-101. He recommended adoption of the Proposed Findings & Conclusions.

It was moved by Commissioner Penny and seconded by Commissioner Stankevicius to adopt the Proposed Findings & Conclusions in File No. 2013-101. So voted by a vote 4-0. The Proposed Findings & Conclusions are available on our website under Commission Decisions.

5. Referral by the Westport Registrars of Voters, Westport
File No. 2013-100
Proposed Findings & Conclusions
Respondents: Stacy W. Bass and Howard K. Bass
Attorney: Kevin M. Ahern
Lead Legal Investigator: Gilberto Oyola

Attorney Ahern summarized the above referenced complaint and Proposed Findings & Conclusions in File No. 2013-100. He recommended adoption of the Proposed Findings & Conclusions.

It was moved by Commissioner Penny and seconded by Commissioner Stankevicius to adopt the Proposed Findings & Conclusions in File No. 2013-100. So voted by a vote 4-0. The Proposed Findings & Conclusions are available on our website under Commission Decisions.

6. In the Matter of a Complaint by James D. Smith, et al, Bridgeport
File No. 2013-021
Proposed Consent Order & Agreement
Respondents: Santa I. Ayala and Linda A. Grace
Attorney: William B. Smith
Legal Investigators: Gilberto Oyola and Scott Branfuhr

Attorney Smith summarized the above referenced complaint and Proposed Consent Order & Agreement in File No. 2013-021. He recommended adoption of the Proposed Consent Order & Agreement.

It was moved by Commissioner Penny and seconded by Commissioner Stankevicius to adopt the Proposed Consent Order & Agreement in File No. 2013-021 upon receipt within ten days. So voted by a vote 4-0. The Proposed Consent Order & Agreement will be available on our website under Commission Decisions upon receipt.

7. In the Matter of a Complaint by Peter J. Gostin, New Britain
File No. 2013-041
Proposed Findings & Conclusions

Respondent:	James Rodriguez
Attorney:	William B. Smith
Legal Investigator:	Scott Branfuhr

Attorney Smith summarized the above referenced complaint and Proposed Findings & Conclusions in File No. 2013-041. He recommended adoption of the Proposed Findings & Conclusions.

It was moved by Commissioner Ajello and seconded by Commissioner Stankevicius to adopt the Proposed Findings & Conclusions in File No. 2012-041. So voted by a vote 4-0. The Proposed Findings & Conclusions are available on our website under Commission Decisions.

8. In the Matter of a Complaint by Larry K. Johnson, Norwalk
File No. 2013-133
Proposed Findings & Conclusions

Respondent:	Karen Doyle Lyons and Stuart W. Wells, III
Attorney:	William B. Smith
Lead Legal Investigator:	Gilberto Oyola

Attorney Smith summarized the above referenced complaint and Proposed Findings & Conclusions in File No. 2013-133. He recommended adoption of the Proposed Findings & Conclusions.

It was moved by Commissioner Penny and seconded by Commissioner Ajello to continue the matter in File No. 2012-133. So voted by a vote 4-0.

9. In the Matter of a Complaint by Mark J. Ciarciello, Judicial Branch, Hartford
File No. 2013-136
Proposed Consent Order & Agreement

Respondent:	Sue Ann Greco
Attorney:	William B. Smith
Lead Legal Investigator:	Gilberto Oyola

Attorney Smith summarized the above referenced complaint and Proposed Consent Order & Agreement in File No. 2013-136. He recommended adoption of the Proposed Consent Order & Agreement.

It was moved by Commissioner Penny and seconded by Commissioner Stankevicius to adopt the Proposed Consent Order & Agreement in File No.

2013-136. So voted by a vote 4-0. The Proposed Consent Order & Agreement are available on our website under Commission Decisions.

10. In the Matter of a Complaint by Caleb Kleppner, New Haven
File No. 2010-002A
Proposed Consent Order & Agreement

Respondent:	John DeStefano
Attorney:	James Talbert-Slagle
Lead Legal Investigator:	Charlie Urso

Attorney Talbert-Slagle summarized the above referenced complaint and Proposed Consent Order & Agreement in File No. 2010-002A. He recommended adoption of the Proposed Consent Order & Agreement.

It was moved by Commissioner Ajello and seconded by Commissioner Stankevicius to adopt the Proposed Consent Order & Agreement in File No. 2010-002A upon receipt within 10 days. So voted by a vote 3-0. The Proposed Consent Order & Agreement will be available on our website under Commission Decisions upon receipt.

In the Matter of a Complaint by Caleb Kleppner, New Haven
File No. 2010-002B
Proposed Consent Order & Agreement

Respondent:	Sean Matteson
Attorney:	James Talbert-Slagle
Lead Legal Investigator:	Charlie Urso

Attorney Talbert-Slagle summarized the above referenced complaint and Proposed Consent Order & Agreement in File No. 2010-002B. He recommended adoption of the Proposed Consent Order & Agreement.

It was moved by Commissioner Ajello and seconded by Commissioner Stankevicius to adopt the Proposed Consent Order & Agreement in File No. 2010-002B upon receipt within 10 days. So voted by a vote 3-0. The Proposed Consent Order & Agreement will be available on our website under Commission Decisions upon receipt.

In the Matter of a Complaint by Caleb Kleppner, New Haven
File No. 2010-002C
Proposed Consent Order & Agreement

Respondent:	Richard Epstein
Attorney:	James Talbert-Slagle
Lead Legal Investigator:	Charlie Urso

Attorney Talbert-Slagle summarized the above referenced complaint and Proposed Consent Order & Agreement in File No. 2010-002C. He recommended adoption of the Proposed Consent Order & Agreement.

It was moved by Commissioner Ajello and seconded by Commissioner Stankevicius to adopt the Proposed Consent Order & Agreement in File No. 2010-002C upon receipt within 10 days. So voted by a vote 3-0. The Proposed Consent Order & Agreement will be available on our website under Commission Decisions upon receipt.

11. In the Matter of a Complaint by Larry Price, West Hartford
File No. 2011-130
Proposed Consent Order & Agreement

Respondent:	Peter Martin
Attorney:	James Talbert-Slagle
Lead Legal Investigator:	Charlie Urso

Attorney Talbert-Slagle summarized the above referenced complaint and Proposed Consent Order & Agreement in File No. 2011-130. He recommended adoption of the Proposed Consent Order & Agreement.

It was moved by Commissioner Stankevicius and seconded by Commissioner Ajello to reject the Proposed Consent Order & Agreement in File No. 2011-130. So voted by a vote 3-0.

12. In the Matter of a Complaint by Joany S. Morillo, Hartford
File No. 2012-124
Proposed Findings & Conclusions

Respondent:	Victor M. Luna
Attorney:	James Talbert-Slagle
Lead Legal Investigator:	Gilberto Oyola

Attorney Talbert-Slagle summarized the above referenced complaint and Proposed Findings & Conclusions in File No. 2012-124. He recommended adoption of the Proposed Findings & Conclusions.

It was moved by Commissioner Stankevicius and seconded by Commissioner Penny to adopt the Proposed Findings & Conclusions in File No. 2012-124. So voted by a vote 4-0. The Proposed Findings & Conclusions are available on our website under Commission Decisions.

13. In the Matter of a Complaint by Luis Perez-Crucetts, Hartford
File No. 2012-125
Proposed Findings & Conclusions

Respondent:	Victor Luna
Attorney:	James Talbert-Slagle
Lead Legal Investigator:	Gilberto Oyola

Attorney Talbert-Slagle summarized the above referenced complaint and Proposed Findings & Conclusions in File No. 2012-125. He recommended adoption of the Proposed Findings & Conclusions.

It was moved by Commissioner Penny and seconded by Commissioner Stankevicius to adopt the Proposed Findings & Conclusions in File No. 2012-125. So voted by a vote 4-0. The Proposed Findings & Conclusions are available on our website under Commission Decisions.

14. In the Matter of a Complaint by Gloria Crucetts, Hartford
File No. 2012-126
Proposed Findings & Conclusions

Respondent:	Victor Luna
Attorney:	James Talbert-Slagle
Lead Legal Investigator:	Gilberto Oyola

Attorney Talbert-Slagle summarized the above referenced complaint and Proposed Findings & Conclusions in File No. 2012-126. He recommended adoption of the Proposed Findings & Conclusions.

It was moved by Commissioner Penny and seconded by Commissioner Stankevicius to adopt the Proposed Findings & Conclusions in File No. 2012-126. So voted by a vote 4-0. The Proposed Findings & Conclusions are available on our website under Commission Decisions.

15. In the Matter of a Complaint by Medelicia Cruz, Hartford
File No. 2012-127
Proposed Findings & Conclusions

Respondent:	Victor Luna
Attorney:	James Talbert-Slagle
Lead Legal Investigator:	Gilberto Oyola

Attorney Talbert-Slagle summarized the above referenced complaint and Proposed Findings & Conclusions in File No. 2012-127. He recommended adoption of the Proposed Findings & Conclusions.

It was moved by Commissioner Penny and seconded by Commissioner Stankevicius to adopt the Proposed Findings & Conclusions in File No. 2012-127.

So voted by a vote 4-0. The Proposed Findings & Conclusions are available on our website under Commission Decisions.

16. In the Matter of a Complaint by Francisco Morales, Hartford
File No. 2012-131
Proposed Findings & Conclusions

Respondent:	Victor Luna
Attorney:	James Talbert-Slagle
Lead Legal Investigator:	Gilberto Oyola

Attorney Talbert-Slagle summarized the above referenced complaint and Proposed Findings & Conclusions in File No. 2012-131. He recommended adoption of the Proposed Findings & Conclusions.

It was moved by Commissioner Penny and seconded by Commissioner Stankevicius to adopt the Proposed Findings & Conclusions in File No. 2012-131. So voted by a vote 4-0. The Proposed Findings & Conclusions are available on our website under Commission Decisions.

V. ***Executive Session per General Statutes §§ 1-200(6)(B) and (E), and 1-210(b)(1),(3),(4),(10) and General Statutes §9-7a, as amended by Public Act 11-48 §300*** *(Preliminary draft and agency consideration of enforcement action and exempt records relative thereto)*

It was moved by Commissioner Stankevicius and seconded by Commissioner Penny at 10:20 A.M. to proceed into Executive Session pursuant to General Statutes §§ 1-200(6)(B) and (E), and 1-210(b)(1),(3),(4),(10) to discuss strategy and negotiations with respect to pending claims and exempt records. So voted.

Commissioners Castagno, Penny, Stankevicius and Ajello were present. Staff members present were Michael Brandi, Shannon Kief, Linda Waterman, Charlie Urso, Lisa Nightingale, Gilberto Oyola, James Talbert-Slagle, Patrick J. Lamb, William B. Smith, Kevin M. Ahern, Scott Branfuhr, and Evelyn Gratacos.

Commissioner Stephen Penny left the meeting during executive session at 10:47 A.M.

It was moved by Commissioner Stankevicius and seconded by Commissioner Ajello at 11:41 A.M. to return to public session. So voted.

Returning to public session, the Commission took the following action:

1. In the Matter of a Complaint by Sharon Benedict, Roxbury
   File No. 2013-089
   Recommendation of Counsel
   Attorney: Kevin M. Ahern
   Lead Legal Investigator: Gilberto Oyola

   Attorney Ahern summarized the above referenced complaint in File No. 2013-089. He recommended an expansion of the investigation to include the moderator.

   It was moved by Commissioner Ajello and seconded by Commissioner Stankevicius to expand the investigation to include the moderator in File No. 2012-089. So voted by a vote 3-0.

2. Pursuant to Section 9-7b-35 of the Regulations of Connecticut State Agencies, a Request for a Finding of Reason to Believe Violations of General Statutes § 9-608 Have Been Committed.

   Pursuant to Section 9-7b-35 of the Regulations of Connecticut State Agencies, it was moved by Commissioner and seconded by Commissioner that the Commission finds that it has reason to believe the following respondent violated the election law as described:

   In File No. 2013-167NF, Respondent Sean L. Council, Treasurer of Connecticut Blue 2 committee and in File No. 2013-169NF, Stuart G. Post, Treasurer of Insurance And Financial Advisors Ct Political Action Committee violated General Statutes section 9-608 (a) (1) regarding the October 10, 2013 filing. So voted by a vote 3-0.

3. In the Matter of a Complaint by Janina Morales, Bridgeport
   File No. 2012-088
   Recommendation of Counsel
   Attorney: James Talbert-Slagle
   Lead Legal Investigator: Charlie Urso

   Attorney Talbert-Slagle summarized the above referenced complaint in File No. 2012-088. He recommended an expansion of the investigation to add seven additional respondents as follows Gloriana Carbone Tardie, Kenneth Tardie, Loretta B.A. Williams, Jason Feliciano, Cecil Gibbs, David Sanchez, Pete Maillet, Vito Galati, Leona Bufford and Lisa LaGaipa.

   It was moved by Commissioner Ajello and seconded by Commissioner Stankevicius to expand the investigation to add seven respondents, as follows Gloriana Carbone Tardie, Kenneth Tardie, Loretta B.A. Williams, Jason Feliciano, Cecil Gibbs, David Sanchez, Pete Maillet, Vito Galati, Leona Bufford and Lisa LaGaipa, in File No. 2012-131. So voted by a vote 3-0.

4. In the Matter of a Complaint by Richard V. Nelson, et al, New Canaan
File No. 2013-016
Recommendation of Counsel
Attorney: William B. Smith
Legal Investigators: Gilberto Oyola and Scott Branfuhr

The matter is continued.

5. Recommendation of Counsel: Necessary to Investigate Determination pursuant to General Statutes § 9-7b (a) (1)

It was moved by Commissioner Ajello and Seconded by Commissioner Stankevicius to make a determination that it is necessary to investigate violations relating to eight (8) complaints in File Nos.: 2013-175, 2013-176, 2013-177, 2013-178, 2013-179, 2013-180, 2013-181, and 2014-001. So voted by a vote 3-0.

6. Consideration of Draft Audit Reports

It was moved by Commissioner Ajello and seconded by Commissioner Stankevicius to approve the issuance of the aforementioned committee draft Audit Reports 1-21 as Final Audit Reports. So voted by a vote 3-0.

It was moved by Commissioner Ajello and seconded by Commissioner Stankevicius to initiate an investigation with respect to draft Audit Report item 22, "Dauplaise for Connecticut" and refer the matter to the enforcement unit. So voted by a vote 3-0.

1. "Reveron for Senate 2012," Hector Reveron
   Examiner: Dinorah Collado

2. "Riiska for Senate," William O. Riiska
   Examiner: Kristina Corbett

3. "Friends of Caz," Casimir A. Mizera
   Examiner: Kristina Corbett

4. "Eva 2012," Eva A. Maldonado
   Examiner: Kristina Corbett

5. "Hynes 2012," Aldon M. Hynes
   Examiner: Kristina Corbett

6. "Friends of Roberta Willis 2012," Roberta B. Willis
   Examiner: Kristina Corbett

7. "Chapin 2012," Clark J. Chapin
   Examiner: Kristina Corbett

8. "Trofort 2012," Francky Trofort
   Examiner: Karen Moreira

9. "Esposito 2012," Louis P. Esposito
   Examiner: Karen Moreira

10. "Chris Desanctis 2012," Chris P. Desanctis
    Examiner: Matthew Lombardi

11. "Gomes 2012," Edwin A. Gomes
    Examiner: Matthew Lombardi

12. "Rob Kane for Senate 2012," Robert J. Kane
    Examiner: Martin Paxi

13. "Nicola Tarzia 2012," Nicola Tarzia
    Examiner: Martin Paxi

14. "Dean 2010," Martha A. Dean
    Examiner: Salman Munir

15. "Foley for Governor, Inc.," Thomas C. Foley
    Examiner: Salman Munir

16. "Mary for Connecticut," Mary A. Glassman
    Examiner: Salman Munir

17. "Glassman for Lt. Governor," Mary A. Glassman
    Examiner: Salman Munir

18. "Fedele 2010," Michael C. Fedele
    Examiner: Salman Munir

19. "Dan Malloy for Governor," Dannel Malloy
    Examiner: Salman Munir

20. "Dan Malloy for Connecticut (CT)," Dannel Malloy
    Examiner: Salman Munir

21. "Morris is For Us," Bruce V. Morris
    Examiner: Kristina Moreira

22. "Dauplaise for Connecticut," Daniel D. Dauplaise
    Attorney: James Talbert-Slagle

VI. Adjournment

It was moved by Commissioner Ajello and seconded by Commissioner Stankevicius at 11:58 A.M. to adjourn the meeting. So voted. Unless otherwise indicated, all votes of the Commission were unanimous.

Respectfully submitted,

Sheri-Lyn Lagueux
Clerk of the Commission

Adopted this 19th day of February, 2014 at Hartford, Connecticut.

Anthony J. Castagno
By Order of the Commission

The next regular scheduled meeting is February 19, 2014 in the 5th Floor Conference room.