STATE OF CONNECTICUT
STATE ELECTIONS ENFORCEMENT COMMISSION
20 Trinity Street Hartford, Connecticut 06106—1628

2015 Filing Calendar

Candidate Committees
Organized for the February 24, 2015 Special Election
Senate District 23, House District 107, and House District 129

General Statutes § 9-608

IMPORTANT NOTE: Effective January 1, 2012, filings sent by mail are no longer considered timely if postmarked by the filing deadline date – they must actually be *received* by the Commission's offices by 5:00 p.m. on the filing deadline date in order to be deemed timely.

Type of Report	Period Covered	Filing Deadline
7th Day Preceding Election Filing	creation of committee through 02/15/15	02/17/15[1]
Deficit Filing	02/16/15 through 05/18/15	05/26/15[2]
Termination Filing Candidate committees that did not receive notice of a SEEC Audit	02/16/15 through 05/25/15	06/01/15[3]
Termination Filing Candidate committees that received notice of a SEEC Audit	02/16/15 through 06/24/15	07/01/15[3]

Pursuant to Public Act 13-180, candidate committees in a special election are no longer required to file the quarterly statements due in January, April, July, and October.

Which Form to File: Committees may use the "Short Form Campaign Finance Disclosure Statement" (SEEC Form 21) when they have not received funds or made or incurred expenditures in excess of $1,000 from their inception through the close of the reporting period covered by the statement and have not previously filed an "Itemized Campaign Finance Disclosure Statement" (SEEC Form 30). Otherwise, they must use the SEEC Form 30.

Committees should keep in mind that if they are eligible and opt to file SEEC Form 21's, they will still be required to report all activity since their inception if and when they file their first SEEC Form 30. Committees using eCRIS will have to change previously filed SEEC Form 21's into SEEC Form 30's and report all prior activity before filing their first planned SEEC Form 30. By way of example, Candidate Committee X did not make or receive contributions or expenditures in excess of $1,000 from their January 15, 2015 inception through February 15, 2015. It electronically files a SEEC Form 21 for the seventh day preceding election reporting period. Its expenditures then exceed $1,000 on February 16, 2015 so it is now required to file a SEEC Form 30 for its termination report, but it must **first** amend the seventh day preceding election report to switch it to a SEEC Form 30 and report any activity which occurred from January 15 through February 15, 2015 before it files the SEEC Form 30 for the termination filing. If the committee is not filing by eCRIS, then its first filed itemized statement (SEEC Form 30), if any, would need to cover a period that begins with the committee's inception date as the start date.

A committee may also choose to file SEEC Form 30's even if the $1,000 threshold has not been reached.

Candidates participating in the Citizens' Election Program must use the SEEC Form 30 when applying for a grant and therefore are recommended to use SEEC Form 30 from the outset of their committee, even if they have not reached the $1,000 threshold at the time of filing.

Type of Report: The "Type of Report" corresponds to the financial disclosure reports required by General Statutes § 9-608 (a).

Period Covered: The "Period Covered" means the dates for which the committee must report all of its financial activity. The period covered must include the financial activity of the committee beginning the first day not included on the last filed financial disclosure statement and must be complete through 11:59 p.m. of the date of the last day covered. For example, if the committee filed a SEEC Form 30, Initial Itemized Statement accompanying application for public grant for this special election, its seventh day preceding the election filing covers all activity starting at 12:00 a.m. on the first day not included on the prior report and ending at 11:59 p.m. on February 15. If the committee did not apply for a special election grant, the 7th day preceding election report covers the period beginning with the date the committee first received funds, the date the committee first made or incurred expenditures, or the date the committee registered (whichever is earliest) and ending at 11:59 p.m. on February 15. Also, if the "Short Form Campaign Finance Disclosure Statement" (SEEC Form 21) was previously used, please see above discussion in "Which Form to File."

Filing Deadline: The "Filing Deadline" is the last date on which the committee treasurer may submit his or her disclosure filing. In addition, the disclosure filing *may not be submitted* on or before the last day in the period covered. For example, the seventh day preceding the election filing may not be submitted on February 15 or earlier, since it must cover the period ending on February 15. The earliest this report may be submitted is February 16. Statements filed after the applicable deadline of the filing period will be subject to a mandatory $100 late filing fee.

When and Where to File: Statements are to be filed with the State Elections Enforcement Commission only. Statements are considered timely filed if they are filed electronically via eCRIS before midnight on or before the filing deadline date or if they are ***received*** by the Commission's offices by 5:00 p.m. on or before the filing deadline date if delivered by the United States Postal Service, courier service, parcel service or hand delivery. ***NOTE: Effective January 1, 2012, filings sent by mail are no longer considered timely if postmarked by the filing deadline date – they must actually be received by the Commission's offices by 5:00 p.m. on the filing deadline date in order to be deemed timely. Committees seeking confirmation of receipt should check their committee's filing status on eCRIS's Document/Filing Search*** **on the Commission's website.**

NOTE: Self-funded candidates who have filed a 1B exemption (and therefore do not have a candidate committee) and have made or incurred expenditures over $1,000 in the aggregate file the SEEC Form 23 in accordance with the same schedule as that of a candidate committee.

Footnotes:

1. For a candidate committee of a candidate participating in the Citizens' Election Program who has applied for a grant, the period covered by the seventh day preceding election report would begin with the first day not covered in the prior report (for example, the Itemized Statement accompanying application for public grant) and end on February 15.
2. A candidate committee of a candidate on the ballot for the February special election which still has a deficit as of May 18, 2015 must file this report on the 90th day following the election, which is May 25, a state holiday, and so the deficit report is due on May 26, 2015. The committee must thereafter file a Deficit Report on the 7th day of each month in which there was either an increase or decrease of more than $500 as of the last day of the month preceding the filing measured against the amount of the deficit reported on the last Deficit Report. A Termination Report may be filed in lieu of a Deficit Report if the deficit has been erased and any surplus has been distributed by the last day of the month preceding the filing of a Termination Report.
3. **Note: There are now two different termination deadlines which apply to committees of candidates on the February special election ballot depending on whether the committee has been notified of a SEEC Audit.** A candidate committee which has **not** been notified that it will be audited by Commission staff must distribute or expend its surplus by 90 days following the special election (by May 25, 2015) and file a Termination Report within seven days of its surplus distribution, no later than June 1, 2015. A committee which has been notified of a Commission audit must distribute or expend its surplus by 120 days following the special election (by June 24, 2015) and file a Termination Report within seven days of its surplus distribution, no later than July 1, 2015. Keep in mind that any candidate committee which has distributed its surplus before these surplus deadlines must terminate within seven days of such distribution. Accordingly, such committee would have already filed its Termination Report.

If you have any questions about filing requirements, or need additional forms, have questions about how to report certain contributions and expenditures, or have questions about other campaign finance laws, please call the State Elections Enforcement Commission at (860) 256-2940 or (866) SEEC-INFO.

PLEASE NOTE: Every effort has been made to ensure that the information contained in this calendar is accurate. If any information in this calendar conflicts with the provisions of the Statutes, the Statutes shall prevail.