



**STATE OF CONNECTICUT
STATE ELECTIONS ENFORCEMENT COMMISSION
20 Trinity Street Hartford, Connecticut 06106—1628**



2014 Filing Calendar

**Durational Political Committees (including Exploratory Committees)
Organized for the February 25, 2014 Special Election
State Senator in the 10th District**

General Statutes § 9-608

IMPORTANT NOTE: Effective January 1, 2012, filings sent by mail are no longer considered timely if postmarked by the filing deadline date – they must actually be *received* by the Commission’s offices by 5:00 p.m. on the filing deadline date in order to be deemed timely.

Type of Report	Period Covered	Filing Deadline
7 th Day Preceding Election Filing	creation of committee through 02/16/14	02/18/14
April 10 Filing	02/17/14 through 03/31/14	04/10/14 ^{1,2}
Deficit Filing	04/01/14 through 05/19/14	05/27/14 ³
Termination Filing	04/01/14 through 05/26/14	06/02/14 ⁴

Which Form to File: Committees may use the “Short Form Campaign Finance Disclosure Statement” (SEEC Form 21) when they have not received funds or made or incurred expenditures in excess of \$1,000 from their inception through the close of the reporting period covered by the statement and have not previously filed an “Itemized Campaign Finance Disclosure Statement” (SEEC Form 20). Otherwise, they must use the SEEC Form 20.

Committees should keep in mind that if they opt to file Form 21’s, they will still be required to report *all* activity since inception if and when they file their first Form 20. In the case of eCRIS users filing a SEEC Form 20 after having filed one or more SEEC Form 21’s, the committee will be required to: (1) amend the first SEEC Form 21 submitted in which there was activity, if any, and report that activity; (2) amend all subsequent SEEC Form 21’s submitted after that initial Form 21, regardless of whether activity occurred in that particular period, so that the summary totals are properly reflected; and (3) submit the itemized statement (SEEC Form 20), covering the period laid out in the above calendar.

If the committee is not filing by eCRIS, then its first filed itemized statement (SEEC Form 20) must cover a period that begins on the date the committee first received funds, the date the committee first made or incurred expenditures, or the date the committee registered (whichever is earliest).

Type of Report: The “Type of Report” corresponds to the financial disclosure reports required by General Statutes § 9-608 (a).

Period Covered: The “Period Covered” means the dates for which the committee must report all of its financial activity. The period covered must include the financial activity of the committee beginning the first day not included on the last filed financial disclosure statement and must be complete through 11:59 p.m. of the date of the last day covered. For example, the April 10th filing covers all activity starting at 12:00 a.m. on February 17 and ending at 11:59 p.m. on March 31. If the committee came into existence after the beginning of the period covered by the statement, then the period covered must begin on the date the committee first received funds, the date the committee first made or incurred expenditures, or the date the committee registered (whichever is earliest). Also, if the “Short Form Campaign Finance Disclosure Statement” (SEEC Form 21) was previously used, please see above discussion in “Which Form to File.”

Filing Deadline: The “Filing Deadline” is the last date on which the committee treasurer may submit his or her disclosure filing. In addition, the disclosure filing *may not be submitted* on or before the last day in the period covered. For example, the April 10th filing may not be submitted on March 31 or earlier, since it must cover the period ending on March 31. The earliest this report may be submitted is April 1. Statements filed after the applicable deadline of the filing period will be subject to a mandatory \$100 civil penalty.

When and Where to File: Statements are to be filed with the State Elections Enforcement Commission only. Statements are considered timely filed if they are filed electronically via eCRIS before midnight on or before the filing deadline date or if they are **received** by the Commission’s offices by 5:00 p.m. on or before the filing deadline date if delivered by the United States Postal Service, courier service, parcel service or hand delivery. ***NOTE: Effective January 1, 2012, filings sent by mail are no longer considered timely if postmarked by the filing deadline date – they must actually be received by the Commission’s offices by 5:00 p.m. on the filing deadline date in order to be deemed timely. Committees seeking confirmation of receipt should check their committee’s filing status on [eCRIS’s Document/Filing Search](#).**

Footnotes:

1. A durational political committee that is ready to terminate at this time may file a Termination Report in lieu of this report. Keep in mind, however, that any durational political committee which has distributed its surplus by March 31, 2014 would have already filed its Termination Report because it is required to terminate within seven days of such distribution. In such instance, it would not need to submit the April 10 Filing.
2. Note that the law technically requires durational political committees to file a statement 45 days after the election but the April 10 filing fulfills this requirement since they cover the same period.
3. A durational political committee organized for the February special election which still has a deficit as of May 19 must file this report on the 90th day following the election, which is May 26 (a state holiday) and so the deficit report is due May 27, 2014; and the committee must thereafter file a Deficit Report on the 7th day of each month in which there was either an increase or decrease of more than \$500 as of the last day of the month preceding the filing measured against the amount of the deficit reported on the last Deficit Report. A Termination Report may be filed in lieu of a Deficit Report if the deficit has been erased and any surplus has been distributed by the last day of the month preceding the filing of a Termination Report.
4. A durational political committee organized for the February special election which has a surplus must distribute or expend its surplus by May 26 and file a Termination Report within seven days of its surplus distribution, no later than June 2, 2014. **Any committee which has distributed its surplus before May 26 must terminate within seven days of such distribution.** Accordingly, such committee would have already filed its Termination Report and need not file at this time.

If you have any questions about filing requirements, or need additional forms, have questions about how to report certain contributions and expenditures, or have questions about other campaign finance laws, please call the State Elections Enforcement Commission at (860) 256-2940 or (866) SEEC-INFO.

PLEASE NOTE: Every effort has been made to ensure that the information contained in this calendar is accurate. If any information in this calendar conflicts with the provisions of the Statutes, the Statutes shall prevail.