



UNITED STATES DEPARTMENT OF EDUCATION

OFFICE OF ELEMENTARY AND SECONDARY EDUCATION

THE ASSISTANT SECRETARY

APR 15 2010

Honorable Mark K. McQuillan
Commissioner of Education
Connecticut Department of Education
165 Capitol Avenue
Room 305, State Office Building
Hartford, CT 06106-1630

Dear Commissioner McQuillan:

I am pleased to inform you that I have approved Connecticut's application for fiscal year (FY) 2009 funds under the U.S. Department of Education's (ED) School Improvement Grants (SIG) program, authorized by Section 1003(g) of the Elementary and Secondary Education Act (ESEA), as amended.

As you know, Secretary Duncan views the large infusion of Federal funds into the SIG program through the American Recovery and Reinvestment Act of 2009 (ARRA) as a historic opportunity to address one of the most intractable challenges for our education system: turning around or closing down our Nation's persistently lowest-achieving schools. I have been both pleased and impressed by how quickly States are moving to take advantage of this unprecedented opportunity and I applaud your efforts.

Please note that, in approving Connecticut's application, I have granted your request for a waiver of the period of availability of the FY 2009 SIG funds. Accordingly, these funds will remain available for obligation and expenditure through September 30, 2013.

If Connecticut makes any significant and relevant changes in its SIG application, Connecticut must submit those changes to ED for approval.

Once again, I appreciate the work you and your team have been doing to complete the SIG application in a timely fashion and begin preparing for the critical challenge of turning around your State's persistently lowest-achieving schools. We will continue to do our best to provide the assistance and support you need to implement the SIG interventions as effectively as possible.

If you have any questions concerning the award notice for your State's SIG grant, please contact Zollie Stevenson, Jr., Ph.D., Director, Student Achievement and School Accountability Programs (SASA) at (202) 260-0826 or Zollie.Stevenson@ed.gov.

Sincerely,

Thelma Meléndez de Santa Ana, Ph.D.

cc: Marlene Padernacht



U.S. Department of Education
Washington, D.C. 20202

GRANT AWARD NOTIFICATION

1	RECIPIENT NAME: Connecticut State Department of Education 165 CAPITOL AVENUE HARTFORD, CT 06145	5	AWARD INFORMATION PR/AWARD NUMBER S377A090007A ACTION NUMBER 02 ACTION TYPE Revision AWARD TYPE Formula																				
2	PROJECT DESCRIPTION 84.377A SCHOOL IMPROVEMENT GRANTS	6	AWARD PERIODS FEDERAL FUNDING PERIOD 07/01/2009 - 09/30/2010																				
3	EDUCATION STAFF RECIPIENT STATE DIRECTOR Marlene Padernacht (860) 713 - 6568 EDUCATION PROGRAM CONTACT Gary Rutkin (202) 260 - 4412 EDUCATION PAYMENT CONTACT GAPS PAYEE HOTLINE (888) 336 - 8930	7	AUTHORIZED FUNDING <table style="width:100%; border:none;"> <tr> <td style="text-align:right;">CURRENT AWARD AMOUNT</td> <td style="text-align:right;">\$3,733,273.00</td> </tr> <tr> <td style="text-align:right;">PREVIOUS CUMULATIVE AMOUNT</td> <td style="text-align:right;">\$196,488.00</td> </tr> <tr> <td style="text-align:right;">CUMULATIVE AMOUNT</td> <td style="text-align:right;">\$3,929,761.00</td> </tr> </table>	CURRENT AWARD AMOUNT	\$3,733,273.00	PREVIOUS CUMULATIVE AMOUNT	\$196,488.00	CUMULATIVE AMOUNT	\$3,929,761.00														
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4	KEY PERSONNEL N/A	8	ADMINISTRATIVE INFORMATION DUNS/SSN 807851118 REGULATIONS CFR PART Not Available AS APPLICABLE ATTACHMENTS N/A																				
9	LEGISLATIVE AND FISCAL DATA AUTHORITY: PL 107-110 ELEMENTARY AND SECONDARY EDUCATION ACT, AS AMENDED PROGRAM TITLE: SCHOOL IMPROVEMENT GRANTS CFDA/SUBPROGRAM NO: 84.377A <table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align:left;">FUND CODE</th> <th style="text-align:left;">FUNDING YEAR</th> <th style="text-align:left;">AWARD YEAR</th> <th style="text-align:left;">ORG. CODE</th> <th style="text-align:left;">CATEGORY</th> <th style="text-align:left;">LIMITATION</th> <th style="text-align:left;">ACTIVITY</th> <th style="text-align:left;">CFDA</th> <th style="text-align:left;">OBJECT CLASS</th> <th style="text-align:right;">AMOUNT</th> </tr> </thead> <tbody> <tr> <td>0900M</td> <td>2009</td> <td>2009</td> <td>ES000000</td> <td>B</td> <td>P94</td> <td>000</td> <td>377</td> <td>4101A</td> <td style="text-align:right;">\$3,733,273.00</td> </tr> </tbody> </table>			FUND CODE	FUNDING YEAR	AWARD YEAR	ORG. CODE	CATEGORY	LIMITATION	ACTIVITY	CFDA	OBJECT CLASS	AMOUNT	0900M	2009	2009	ES000000	B	P94	000	377	4101A	\$3,733,273.00
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GRANT AWARD NOTIFICATION

10

PR/AWARD NUMBER: S377A090007-09A

RECIPIENT NAME: Connecticut State Department of Education

TERMS AND CONDITIONS

- (1) THIS ACTION IS TO INCREASE THE GRANT AWARD IN THE AMOUNT SHOWN IN BLOCK 7.
- (2) This grant award is subject to the provisions of all applicable statutes, regulations, and other legal requirements.

Pursuant to a waiver of the "Tydings Amendment," section 421(b) of the General Education Provisions Act (20 U.S.C. 1225(b)), as approved in the application to the U.S. Department of Education for this grant, any funds, including those awarded on December 3, 2009, that are not obligated at the end of the Federal funding period specified in Block 6 shall remain available for obligation until September 30, 2013.

This award is subject to the terms and conditions identified in Attachment U and Attachment V.

AUTHORIZING OFFICIAL

APR 15 2010

DATE

EXPLANATION OF BLOCKS ON THE GRANT AWARD NOTIFICATION

For Discretionary, Formula, and Block Grants

(See Block 5 of the Notification)

1. **RECIPIENT NAME** - The legal name of the recipient, name of the primary organizational unit that will undertake the funded activity, and the complete address of the recipient. The recipient is commonly known as the "grantee."
2. **PROJECT TITLE AND CFDA NUMBER** - Identifies the Catalog of Federal Domestic Assistance (CFDA) subprogram title and the associated subprogram number.
3. **PROJECT STAFF** - This block contains the names and telephone numbers of the U.S. Department of Education and recipient staff who are responsible for project direction and oversight.
 - ***RECIPIENT PROJECT DIRECTOR** - The recipient staff person responsible for administering the project. This person represents the recipient to the U.S. Department of Education.
 - EDUCATION PROGRAM CONTACT** - The U.S. Department of Education staff person responsible for the programmatic, administrative and business-management concerns of the Department.
 - EDUCATION PAYMENT CONTACT** - The U.S. Department of Education staff person responsible for payments or questions concerning electronic drawdown and financial expenditure reporting.
- 4.* **KEY PERSONNEL** - Name, title and percentage (%) of effort the key personnel identified devotes to the project.
5. **AWARD INFORMATION** - Unique items of information that identify this notification.
 - PR/AWARD NUMBER** - A unique, identifying number assigned by the Department to each application. On funded applications, this is commonly known as the "grant number" or "document number."
 - ACTION NUMBER** - A numeral that represents the cumulative number of steps taken by the Department to date to establish or modify the award through fiscal or administrative means. Action number "01" will always be "NEW AWARD"
 - ACTION TYPE** - The nature of this notification (e.g., NEW AWARD, CONTINUATION, REVISION, ADMINISTRATIVE)
 - AWARD TYPE** - The particular assistance category in which funding for this award is provided, i.e., DISCRETIONARY, FORMULA, or BLOCK.
6. **AWARD PERIODS** - Project activities and funding are approved with respect to three different time periods, described below:
 - BUDGET PERIOD** - A specific interval of time for which Federal funds are being provided from a particular fiscal year to fund a recipient's approved activities and budget. The start and end dates of the budget period are shown.
 - PERFORMANCE PERIOD** - The complete length of time the recipient is proposed to be funded to complete approved activities. A performance period may contain one or more budget periods.
 - ***FUTURE BUDGET PERIODS** - The estimated remaining budget periods for multi-year projects and estimated funds the Department proposes it will award the recipient provided substantial progress is made by the recipient in completing approved activities, the Department determines that continuing the project would be in the best interest of the Government, Congress appropriates sufficient funds under the program, and the recipient has submitted a performance report that provides the most current performance information and the status of budget expenditures.
7. **AUTHORIZED FUNDING** - The dollar figures in this block refer to the *Federal* funds provided to a recipient during the award periods.
 - ***THIS ACTION** - The amount of funds obligated (added) or de-obligated (subtracted) by this notification.
 - ***BUDGET PERIOD** - The total amount of funds available for use by the grantee during the stated budget period to this date.
 - ***PERFORMANCE PERIOD** - The amount of funds obligated from the start date of the first budget period to this date.
 - RECIPIENT COST-SHARE** - The funds, expressed as a percentage, that the recipient is required to contribute to the project, as defined by the program legislation or regulations and/or terms and conditions of the award.
 - RECIPIENT NON-FEDERAL AMOUNT** - The amount of non-federal funds the recipient must contribute to the project as identified in the recipient's application. When non-federal funds are identified by the recipient where a cost share is not a legislation requirement, the recipient will be **required** to provide the non-federal funds.
8. **ADMINISTRATIVE INFORMATION** - This information is provided to assist the recipient in completing the approved activities and managing the project in accordance with U.S. Department of Education procedures and regulations.
 - DUNS/SSN** - A unique, identifying number assigned to each recipient for payment purposes. The number is based on either the recipient's assigned number from Dun and Bradstreet or the individual's social security number.
 - ***REGULATIONS** - The parts of the Education Department General Administrative Regulations (EDGAR) and specific program regulations that govern the award and administration of this grant.
 - ***ATTACHMENTS** - Additional sections of the Grant Award Notification that discuss payment and reporting requirements, explain Department procedures, and add special terms and conditions in addition to those established, and shown as clauses, in Block 10 of the award. Any attachments provided with a notification continue in effect through the project period until modified or rescinded by the Authorizing Official.
9. **LEGISLATIVE AND FISCAL DATA** - The name of the authorizing legislation for this grant, the CFDA title of the program through which funding is provided, and U.S. Department of Education fiscal information.
 - FUND CODE, FUNDING YEAR, AWARD YEAR, ORG. CODE, PROJECT CODE, OBJECT CLASS** - The fiscal information recorded by the U.S. Department of Education's Grant Administration and Payment System to track obligations by award.
 - AMOUNT** - The amount of funds provided from a particular appropriation and project code. Some notifications authorize more than one amount from separate appropriations and/or project codes. The total of all amounts in this block equals the amount shown on the line, "THIS ACTION" (See "AUTHORIZED FUNDING" above (Block 7)).
10. **TERMS AND CONDITIONS OF AWARD** - Requirements of the award that are binding on the recipient.
 - ***AUTHORIZING OFFICIAL** - The U.S. Department of Education official authorized to award Federal funds to the recipient, establish or change the terms and conditions of the award, and authorize modifications to the award.

FOR FORMULA AND BLOCK GRANTS ONLY:

(See also Blocks 1, 2, 5, 6, 8, 9 and 10 above)

3. **EDUCATION STAFF** - The U.S. Department of Education staff persons to be contacted for programmatic and payment questions.
7. **AUTHORIZED FUNDING**
 - CURRENT AWARD AMOUNT** - The amount of funds that are obligated (added) or de-obligated (subtracted) by this action.
 - PREVIOUS CUMULATIVE AMOUNT** - The total amount of funds awarded under the grant before this action.
 - CUMULATIVE AMOUNT** - The total amount of funds awarded under the grant, this action included.

* This item differs or does not appear on formula and block grants.

ATTACHMENT U

PROHIBITION OF TEXT MESSAGING AND EMAILING WHILE DRIVING DURING OFFICIAL FEDERAL GRANT BUSINESS

Federal grant recipients, sub recipients and their grant personnel are prohibited from text messaging while driving a government owned vehicle, or while driving their own privately owned vehicle during official grant business, or from using government supplied electronic equipment to text message or email when driving.

Recipients must comply with these conditions under Executive Order 13513, "Federal Leadership On Reducing Text Messaging While Driving," October 1, 2009.

12/09

ATTACHMENT V

Registration of Data Universal Numbering System (DUNS) Number and Taxpayer Identification Number (TIN) in the Central Contractor Registration (CCR)

The U.S. Department of Education (Education) Grants Management System (G5) will begin disbursing payments via the U.S. Department of Treasury (Treasury) rather than directly through the Federal Reserve as in the past. The U.S. Treasury requires that we include your Tax Payer Identification Number (TIN) with each payment. Therefore, in order to do business with Education you must have a registered DUNS and TIN number with the CCR, the U.S. Federal Government's primary registrant database. If the payee DUNS number is different than your grantee DUNS number, both numbers must be registered in the CCR. Failure to do so will delay the receipt of payments from Education.

A TIN is an identification number used by the Internal Revenue Service (IRS) in the administration of tax laws. It is issued either by the Social Security Administration (SSA) or by the IRS. A Social Security number (SSN) is issued by the SSA whereas all other TINs are issued by the IRS.

The following are all considered TINs according to the IRS.

- Social Security Number "SSN"
- Employer Identification Number "EIN"
- Individual Taxpayer Identification Number "ITIN"
- Taxpayer Identification Number for Pending U.S. Adoptions "ATIN"
- Preparer Taxpayer Identification Number "PTIN"

If your DUNS number is not currently registered with the CCR, you can easily register by going to www.ccr.gov. Please allow 3-5 business days to complete the registration process. If you need a new TIN, please allow 2-5 weeks for your TIN to become active. If you need assistance during the registration process, you may contact the CCR Federal Service Desk at 866-606-8220.

If you are currently registered with CCR, you may not have to make any changes. However, please take the time to validate that the TIN associated with your DUNS is correct.

If you have any questions or concerns, please contact the G5 Hotline at 888-336-8930.

S377A090007-09A

Marlene Padernacht
Connecticut State Department of Education

S377A090007-09A

Mark McQuillan
Connecticut State Department of Education
165 CAPITOL AVENUE

HARTFORD, CT06145



GRANT AWARD NOTIFICATION

10

PR/AWARD NUMBER: S388A090007-09A

RECIPIENT NAME: Connecticut State Department of Education

TERMS AND CONDITIONS

- (1) THIS ACTION IS TO INCREASE THE GRANT AWARD IN THE AMOUNT SHOWN IN BLOCK 7.
- (2) This grant award is subject to the provisions of all applicable statutes, regulations, and other legal requirements.

Pursuant to a waiver of the "Tydings Amendment," section 421(b) of the General Education Provisions Act (20 U.S.C. 1225(b)), as approved in the application to the U.S. Department of Education for this grant, any funds, including those awarded on December 3, 2009, that are not obligated at the end of the Federal funding period specified in Block 6 shall remain available for obligation until September 30, 2013.

This grant award is subject to the terms and conditions identified in Attachment T.

This award is subject to the terms and conditions identified in Attachment U and Attachment V.

AUTHORIZING OFFICIAL

APR 15 2010

DATE

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ATTACHMENT T
Grant Terms and Conditions
Under the American Recovery and Reinvestment Act of 2009, Public Law 111-5

A. Award Term—Reporting and Registration Requirement under Section 1512 of the American Recovery and Reinvestment Act of 2009

- (a) By accepting this award the recipient agrees to meet requirements of the American Recovery and Reinvestment Act of 2009 (“Recovery Act or ARRA”) and to report on use of Recovery Act funds provided through this award. Information from these reports will be made available to the public.
- (b) The first report is due on the 10th day after the end of the calendar quarter in which the grant award was received. Thereafter, reports shall be submitted no later than the 10th day after the end of each calendar quarter. The recipient is ultimately responsible for the reporting of all data required by section 1512 of the Recovery Act and guidance issued by the Office of Management and Budget (OMB) and the Department (including OMB M-10-08 and other guidance available at <http://www2.ed.gov/policy/gen/leg/recovery/section-1512.html>). The recipient may delegate certain reporting requirements to its subrecipients, as specified by the OMB guidance. The recipient must use the reporting instructions and data elements that are provided online at www.FederalReporting.gov, including any additional information in any additional form required by the Department. The recipient shall ensure that all data submitted to www.FederalReporting.gov, including data submitted by subrecipients are submitted in a timely manner and meets Federal requirements for data quality and completeness.
- (c) The recipient and each subrecipient (but not vendors for goods and services as distinguished in § .210 of the attachment to OMB Circular A-133, “Audits of States, Local Governments, and Non-Profit Organizations”) must maintain current registrations in the Central Contractor Registration (www.ccr.gov) at all times during which it has active Federal awards funded with Recovery Act funds.

B. Award Term—Recovery Act Transactions listed in Schedule of Expenditures of Federal Awards and Recipient Responsibilities for Informing Subrecipients

- (a) To maximize the transparency and accountability of funds authorized under the Recovery Act as required by Congress and in accordance with 2 CFR 215, subpart . 21 “Uniform Administrative Requirements for Grants and Agreements” and OMB Circular A-102 Common Rules provisions, the recipient agrees to maintain records that identify adequately the source and application of Recovery Act funds.
- (b) In accepting this award, the recipient, if covered by the Single Audit Act Amendments of 1996 and OMB Circular A-133, agrees to arrange for the appropriate single audit of the recipient and to ensure that each subrecipient is fully compliant with the single audit requirements that apply to it. If covered, the recipient further agrees to separately identify the expenditures for Federal awards under the Recovery Act on the Schedule of Expenditures of Federal Awards (SEFA) and the Data Collection Form (SF-SAC) required by OMB Circular A-133. This shall be accomplished by identifying

ATTACHMENT T
Grant Terms and Conditions

Under the American Recovery and Reinvestment Act of 2009, Public Law 111-5

expenditures for Federal awards made under the Recovery Act separately on the SEFA, and as separate rows under Item 9 of Part III on the SF-SAC by CFDA number, and inclusion of the prefix "ARRA-" in identifying the name of the Federal program on the SEFA and as the first characters in Item 9d of Part III on the SF-SAC.

- (c) The recipient agrees to separately identify to each subrecipient and document, at the time of sub-award and at the time of disbursement of funds, the Federal award number, CFDA number, and amount of Recovery Act funds.
- (d) The recipient agrees to require each subrecipient to include on its SEFA information to specifically identify Recovery Act funding similar to the requirements for the recipient SEFA described above. This information is needed to allow the recipient to properly monitor subrecipient expenditure of ARRA funds as well as oversight by the Federal awarding agencies, Offices of Inspector General, and the Government Accountability Office.

C. Award Term—Reporting of Fraud and Misconduct

Under the False Claims Act, the recipient and each subrecipient awarded funds made available under the Recovery Act must promptly refer to the Department of Education's Office of Inspector General any credible evidence that a principal officer, employee, agent, contractor, subrecipient, subcontractor, or other person has submitted a false claim or has committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct involving those funds. Information about the Office of Inspector General Hotline is available at:

<http://www.ed.gov/about/offices/list/oig/hotline.html>.

ATTACHMENT U

PROHIBITION OF TEXT MESSAGING AND EMAILING WHILE DRIVING DURING OFFICIAL FEDERAL GRANT BUSINESS

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Recipients must comply with these conditions under Executive Order 13513, "Federal Leadership On Reducing Text Messaging While Driving," October 1, 2009.

12/09

ATTACHMENT V

Registration of Data Universal Numbering System (DUNS) Number and Taxpayer Identification Number (TIN) in the Central Contractor Registration (CCR)

The U.S. Department of Education (Education) Grants Management System (G5) will begin disbursing payments via the U.S. Department of Treasury (Treasury) rather than directly through the Federal Reserve as in the past. The U.S. Treasury requires that we include your Tax Payer Identification Number (TIN) with each payment. Therefore, in order to do business with Education you must have a registered DUNS and TIN number with the CCR, the U.S. Federal Government's primary registrant database. If the payee DUNS number is different than your grantee DUNS number, both numbers must be registered in the CCR. Failure to do so will delay the receipt of payments from Education.

A TIN is an identification number used by the Internal Revenue Service (IRS) in the administration of tax laws. It is issued either by the Social Security Administration (SSA) or by the IRS. A Social Security number (SSN) is issued by the SSA whereas all other TINs are issued by the IRS.

The following are all considered TINs according to the IRS.

- Social Security Number "SSN"
- Employer Identification Number "EIN"
- Individual Taxpayer Identification Number "ITIN"
- Taxpayer Identification Number for Pending U.S. Adoptions "ATIN"
- Preparer Taxpayer Identification Number "PTIN"

If your DUNS number is not currently registered with the CCR, you can easily register by going to www.ccr.gov. Please allow 3-5 business days to complete the registration process. If you need a new TIN, please allow 2-5 weeks for your TIN to become active. If you need assistance during the registration process, you may contact the CCR Federal Service Desk at 866-606-8220.

If you are currently registered with CCR, you may not have to make any changes. However, please take the time to validate that the TIN associated with your DUNS is correct.

If you have any questions or concerns, please contact the G5 Hotline at 888-336-8930.

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Marlene Padernacht
Connecticut State Department of Education

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Mark McQuillan
Connecticut State Department of Education
165 Capitol Avenue

Hartford, CT06145