

STATE OF CONNECTICUT – OFFICE OF POLICY AND MANAGEMENT
FURNACE UPGRADE/REPAIR REBATE PROGRAM
AUGUST 1, 2008 – JUNE 30, 2009 GUIDELINES

GENERAL INFORMATION

The Furnace Upgrade/Repair Rebate Program is an incentive program for Connecticut households to upgrade or repair their existing residential furnaces or boilers to achieve greater heating efficiency. The program is designed to provide rebates of up to \$500 to households that upgrade or repair their existing residential natural gas, propane or oil furnaces and boilers between August 1, 2008 and June 30, 2009. The Connecticut Office of Policy and Management, authorized by August Special Session 2008 Public Act 08-2, is responsible for implementing this Furnace Upgrade/Repair Rebate Program.

For each Furnace Upgrade/Repair Rebate for which you apply, you must:

- File one completed Furnace Upgrade/Repair Rebate Application and Verification Form;
- Provide dated proof of payment and service of the upgrade/repair to the furnace/boiler; and,
- File one completed Form W-9.

Failure to provide required information may result in the delay or denial of the Furnace Upgrade/Repair Rebate.

Please be sure to fill in your Name and Social Security Number on all 3 (three) pages of the Furnace Upgrade/Repair Rebate Program Application and Verification Form in case the pages get separated.

ELIGIBILITY

Eligibility for the Furnace Upgrade/Repair Rebate is based on the following criteria:

UPGRADED/REPAIRED FURNACE/BOILER

- **Upgrade of existing furnace/boiler:** a change made to your furnace/boiler to achieve greater heating efficiency. For example, an upgrade could be replacing your entire furnace/boiler with a new furnace/boiler, or just replacing a particular component of your furnace/boiler so that it operates more efficiently.
- **Repair of existing furnace/boiler:** fixing a broken or damaged furnace/boiler to achieve greater heating efficiency. For example, a repair could be replacing a particular component of your furnace/boiler that was damaged or broken, or having a “clean, tune and test” performed on your furnace/boiler so that it operates more efficiently.
- The Rebate is valid only for one particular upgrade or repair instance.
- Only natural gas, oil and propane furnaces/boilers qualify for the Rebate.
- The Rebate is valid only for purchased equipment. Leased equipment will not qualify for a Rebate.
- **Proof of the furnace/boiler repair or upgrade must be submitted with your application** (for example, an invoice marked paid that details the upgrade/repair, the **cost**, and the **date of service**).
- An upgraded/repaid furnace/boiler financed via a Federal and/or State low interest loan program(s) is eligible for a Rebate. However, an upgraded/repaid furnace/boiler paid for with a monetary grant under a Federal and/or State grant program (for example, a replacement furnace program administered by the Fuel Oil Conservation Board) is not eligible for a Rebate.

TIMEFRAME

The Furnace Upgrade/Repair Rebate is available for furnaces/boilers that are upgraded or repaired between August 1, 2008 and June 30, 2009. The Furnace Upgrade/Repair Rebate Program has a retroactive start date of August 1, 2008. Furnaces or boilers upgraded or repaired prior to that date will not qualify for a rebate.

RESIDENTIAL STRUCTURE

The Rebate is only available for a furnace/boiler upgraded/repaid in an existing residential structure containing not more than 4 dwelling units. The Rebate is not available for new construction/installation.

STATE OF CONNECTICUT – OFFICE OF POLICY AND MANAGEMENT
FURNACE UPGRADE/REPAIR REBATE PROGRAM
AUGUST 1, 2008 – JUNE 30, 2009 GUIDELINES

APPLICANT SIGNATURE and NOTARIZATION

Be sure to carefully read and understand each of the statements in the Furnace Upgrade/Repair Rebate Program Application and Verification Form and the Furnace Upgrade/Repair Rebate Program Guidelines. After you have filled in and assembled all necessary Rebate documentation, you must have the Furnace Upgrade/Repair Rebate Program Application and Verification Form notarized. Do not sign and date the form until in the presence of a Commissioner of the Superior Court or Notary Public.

REBATE AMOUNT

No Rebate shall exceed \$500 (five hundred dollars), nor shall a Rebate equal more than 50% (fifty per cent) of the cost of the repair or upgrade (see attached Rebate Amount Worksheet).

Rebate amounts decrease as your income rises above a certain level (see attached Income Reference Charts).

Upon receipt of your completed Furnace Upgrade/Repair Rebate Program application materials, **the Office of Policy and Management will verify your eligibility and determine your rebate amount.** However, you can use the attached Rebate Amount Worksheet and Income Reference Charts to estimate the potential rebate amount you are eligible for based on your Federal Tax Filing Status, Connecticut Adjusted Gross Income (CT AGI) and the cost of your furnace/boiler upgrade or repair.

You must have filed a 2007 Federal Income Tax Return and meet CT AGI levels. **However, if you did not file a 2007 Federal Income Tax Return because your income did not meet the Federal Income Tax filing requirements, you may be eligible for the maximum allowable rebate amount** (assuming you meet all other eligibility criteria).

Use your 2007 State and Federal Income Tax Returns to determine CT AGI and Federal Income Tax Filing Status. Your CT AGI can be found on Line 5 of the 2007 Form CT-1040 Resident Income Tax Return; Line 3 of the 2007 Form CT-1040EZ Resident EZ Income Tax Return; and Line 5 of the 2007 Form CT-1040NR/PY Nonresident and Part-Year Resident Income Tax Return.

Any reference in the Furnace Upgrade/Repair Rebate Program Application and Verification Form, as well as these Guidelines, to a spouse also refers to a party to a civil union recognized under Connecticut law.

Furnace Upgrade/Repair Rebates are not considered taxable income for purposes of Connecticut State Income Tax. However, Furnace Upgrade/Repair Rebates may be considered taxable income for Federal Income Tax purposes and subject to Federal Income Tax. Please consult your tax advisor for your specific situation.

QUESTIONS

If you have any questions, please call the Furnace Upgrade/Repair Rebate Program toll-free: 1-866-940-4676. Or, consult the Furnace Upgrade/Repair Rebate Program website at <http://www.ct.gov/opm/furnacerebate>. Additional Furnace Upgrade/Repair Rebate Program application materials can be downloaded at the website as well. Photocopies of the Furnace Upgrade/Repair Rebate Program Application and Verification Form are acceptable.

MAILING INSTRUCTIONS

Mail All Furnace Upgrade/Repair Rebate Program Application Materials To:
Office of Policy and Management
Attention: FURNACE REBATE
Energy Policy Unit
450 Capitol Avenue, MS 52ENR
Hartford, CT 06106-1379

STATE OF CONNECTICUT – OFFICE OF POLICY AND MANAGEMENT
FURNACE UPGRADE/REPAIR REBATE PROGRAM
 AUGUST 1, 2008 – JUNE 30, 2009

REBATE AMOUNT WORKSHEET

UPON RECEIPT OF YOUR APPLICATION, OPM WILL DETERMINE YOUR REBATE AMOUNT FOR YOU.

IF YOU WOULD LIKE TO KNOW HOW MUCH OF A REBATE YOU MAY BE ELIGIBLE FOR, YOU MAY FOLLOW THE STEPS BELOW TO ESTIMATE YOUR POTENTIAL REBATE AMOUNT.

THIS FORM IS ONLY PROVIDED TO HELP YOU ESTIMATE YOUR POTENTIAL REBATE AMOUNT.

DO NOT SUBMIT THIS FORM WITH YOUR APPLICATION.

YOU DO NOT HAVE TO SUBMIT THIS FORM WITH YOUR APPLICATION IN ORDER TO GET A REBATE.

Your Rebate Amount Is Calculated Based On The Following Factors:

- The cost of the upgrade or repair to the furnace or boiler;
- No Rebate shall equal more than 50% (fifty per cent) of the cost of the upgrade or repair;
- No Rebate shall exceed \$500 (five hundred dollars); and,
- Your Connecticut Adjusted Gross Income (CT AGI) and Federal Income Tax Filing Status.

Use Whole Dollars Only

Round off cents to the nearest whole dollar on your application. To round, drop amounts that include 1 through 49 cents; and increase amounts from 50 to 99 cents to the next dollar. For example, \$349.49 becomes \$349.00, and \$451.50 becomes \$452.00.

WHOLE DOLLARS ONLY

Line A. Write down the cost of your furnace/boiler upgrade or repair here: \$, . 0 0 A.

Line B. Divide the amount on Line A in half and write down the amount here: \$, . 0 0 B.

Line C. If the amount on Line B is greater than \$500, write down \$500 here:
 or
 If the amount on Line B is less than \$500, write down the amount from Line B here: \$, . 0 0 C.

Line D. Look at the attached Income Reference Charts to determine your *preliminary* rebate amount based on your Federal Income Tax Filing Status and CT AGI; write down your *preliminary* rebate here: \$, . 0 0 D.

Line E. If the amount on Line D is greater than the amount on Line C, write down the amount from Line C here:
 or
 If the amount on Line D is less than the amount on Line C, write down the amount from Line D here: \$, . 0 0 E.
 ↑ ↑ ↑
THIS IS YOUR POTENTIAL REBATE AMOUNT

STATE OF CONNECTICUT – OFFICE OF POLICY AND MANAGEMENT
FURNACE UPGRADE/REPAIR REBATE PROGRAM
AUGUST 1, 2008 – JUNE 30, 2009

INCOME REFERENCE CHARTS

Federal Filing Status 2007: Unmarried

<u>CT Adjusted Gross Income</u>	<u>Rebate</u>
up to \$55,500	\$500
\$55,501-\$65,500	\$450
\$65,501-\$75,500	\$400
\$75,501-\$85,500	\$350
\$85,501-\$95,500	\$300
\$95,501-\$105,500	\$250
\$105,501-\$115,500	\$200
\$115,501-\$125,500	\$150
\$125,501-\$135,500	\$100
\$135,501-\$145,500	\$50
\$145,501 and over	\$0

Federal Filing Status: Married, Filing Separately

<u>CT Adjusted Gross Income</u>	<u>Rebate</u>
up to \$50,250	\$500
\$50,251-\$55,250	\$450
\$55,251-\$60,250	\$400
\$60,251-\$65,250	\$350
\$65,251-\$70,250	\$300
\$70,251-\$75,250	\$250
\$75,251-\$80,250	\$200
\$80,251-\$85,250	\$150
\$85,251-\$90,250	\$100
\$90,251-\$95,250	\$50
\$95,251 and over	\$0

Federal Filing Status: Head of Household

<u>CT Adjusted Gross Income</u>	<u>Rebate</u>
up to \$78,500	\$500
\$78,501-\$88,500	\$450
\$88,501-\$98,500	\$400
\$98,501-\$108,500	\$350
\$108,501-\$118,500	\$300
\$118,501-\$128,500	\$250
\$128,501-\$138,500	\$200
\$138,501-\$148,500	\$150
\$148,501-\$158,500	\$100
\$158,501-\$168,500	\$50
\$168,501 and over	\$0

Federal Filing Status: Married, Filing Jointly

<u>CT Adjusted Gross Income</u>	<u>Rebate</u>
up to \$100,500	\$500
\$100,501-\$110,500	\$450
\$110,501-\$120,500	\$400
\$120,501-\$130,500	\$350
\$130,501-\$140,500	\$300
\$140,501-\$150,500	\$250
\$150,501-\$160,500	\$200
\$160,501-\$170,500	\$150
\$170,501-\$180,500	\$100
\$180,501-\$190,500	\$50
\$190,501 and over	\$0

- Upon receipt of your completed Furnace Upgrade/Repair Rebate Program Application and Verification Form, the Office of Policy and Management will verify your eligibility and determine your rebate amount.
- Eligibility for the Rebate for furnaces/boilers upgraded/repared between August 1, 2008 and April 15, 2009 will be based on 2007 Federal and Connecticut State Income Tax Filing Information.

**FURNACE
UPGRADE / REPAIR
REBATE PROGRAM**

AUGUST 1, 2008 – JUNE 30, 2009

APPLICATION AND VERIFICATION FORM

PLEASE PRINT OR TYPE

FOR OFFICE USE ONLY	
Date Stamp	Application Number
Rebate Amount	

APPLICANT INFORMATION

Name (Last, First, Middle Initial):		Social Security Number:	
		<input type="text"/> <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> - <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	
Mailing Address:			
City:	State:	Zip Code:	
Phone Number:	Date of Birth (Month / Date / Year):		
	<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		
Street Address Where Furnace/Boiler Was Upgraded/Repaired (if different from mailing address):			
City:	State: CT	Zip Code:	
If Different Than Mailing Address, Please Explain:			

What is the type of residential structure where the furnace/boiler was Upgraded/Repaired? (check only one)		
<input type="checkbox"/> Single-family	<input type="checkbox"/> Multi-family, # of units: _____	<input type="checkbox"/> Apartment/Condo, # of units: _____

Did you file a Federal Income Tax Return for 2007? <input type="checkbox"/> YES <input type="checkbox"/> NO	
What was your Federal Filing Status for 2007? (check only one)	
<input type="checkbox"/> Unmarried Individual	<input type="checkbox"/> Married Filing Separately
<input type="checkbox"/> Head of Household	<input type="checkbox"/> Married Filing Jointly
If you were not required to file a Federal Income Tax Return for 2007 because your income did not meet the Federal Income Tax filing requirements, check the following box: <input type="checkbox"/>	

Did you file a Connecticut State Income Tax Return for 2007? <input type="checkbox"/> YES <input type="checkbox"/> NO	
WHOLE DOLLARS ONLY	
What was your Connecticut Adjusted Gross Income (CT AGI) for 2007?	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/> <input type="text"/>
If you were not required to file a Connecticut State Income Tax Return for 2007 because your income did not meet Connecticut State Income Tax filing requirements, check the following box: <input type="checkbox"/>	

FURNACE/BOILER UPGRADE/REPAIR INFORMATION - COST	
WHOLE DOLLARS ONLY	
What was the cost of the furnace / boiler upgrade or repair?	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/> <input type="text"/>
(Round the cost of the Upgrade/Repair to the nearest whole dollar. To round, drop amounts that include 1 through 49 cents; and increase amounts from 50 to 99 cents to the next dollar. For example, \$349.49 becomes \$349.00, and \$451.50 becomes \$452.00.)	

APPLICANT INFORMATION

Name (Last, First, Middle Initial):

Social Security Number:

<input type="text"/>	<input type="text"/>	<input type="text"/>	-	<input type="text"/>	<input type="text"/>	-	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
----------------------	----------------------	----------------------	---	----------------------	----------------------	---	----------------------	----------------------	----------------------	----------------------

FURNACE/BOILER UPGRADE/REPAIR INFORMATION - DETAILS

Proof of the furnace/boiler upgrade or repair must be submitted with your application (for example, an invoice marked paid that details the upgrade or repair, the cost, and the date of service) .

What was the **date of service** of the furnace/boiler upgrade or repair? / /
month date year

Please check one of the boxes below to indicate whether your existing residential furnace or boiler was repaired or upgraded:

Repair to existing furnace/boiler. Please, describe the repair: _____

Upgraded existing furnace or boiler. If you upgraded your existing furnace or boiler to an entirely new furnace or boiler, please provide the information in the **section below**. Please describe the upgrade:

ONLY COMPLETE THIS SECTION IF YOU *UPGRADED* TO A NEW FURNACE OR NEW BOILER.

FURNACE/BOILER INFORMATION - UPGRADE DETAILS

NEW SYSTEM INFORMATION	OLD SYSTEM INFORMATION*
<input type="checkbox"/> Furnace <input type="checkbox"/> Boiler (check only one)	<input type="checkbox"/> Furnace <input type="checkbox"/> Boiler (check only one)
<input type="checkbox"/> Natural Gas <input type="checkbox"/> Propane <input type="checkbox"/> Oil (check only one)	<input type="checkbox"/> Natural Gas <input type="checkbox"/> Propane <input type="checkbox"/> Oil (check only one)
Brand Name:	<input type="checkbox"/> Other, please specify: _____
Model Name:	Approximate Age: <input type="checkbox"/> 0 - 5 years <input type="checkbox"/> 5 - 10 years
Model Number:	(check only one) <input type="checkbox"/> 10 - 20 years <input type="checkbox"/> over 20 years
AFUE:	AFUE: (if known) *please share if available

FURNACE/BOILER UPGRADE/REPAIR INFORMATION - INSTALLER

Installer Name: _____

Installer License No.: _____ Phone Number: _____

Installer Address: _____

City: _____ State: _____ Zip Code: _____

Was the upgraded/repared furnace/boiler self-installed? Yes No

If Yes, did the upgraded/repared furnace/boiler pass the municipal self-installation inspection? Yes No

APPLICANT INFORMATION

Name (Last, First, Middle Initial):

Social Security Number:

<input type="text"/>	<input type="text"/>	<input type="text"/>	-	<input type="text"/>	<input type="text"/>	-	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
----------------------	----------------------	----------------------	---	----------------------	----------------------	---	----------------------	----------------------	----------------------	----------------------

DECLARATIONS AND UPGRADE/REPAIR VERIFICATION

I understand that the Connecticut Office of Policy and Management (OPM) does not make any warranty concerning the performance, operation, installation or any other characteristic or feature of the upgraded or repaired furnace or boiler. OPM is not responsible for the furnace or boiler warranties or guarantees, expressed or implied.

I understand that OPM approval is only for purposes of obtaining the Furnace Upgrade/Repair Rebate.

I certify that the furnace or boiler described in this application was upgraded or repaired on, or after, August 1, 2008 and that the upgrade or repair was paid for and completed.

I certify that the furnace or boiler upgrade or repair described in this application was not paid in full with a monetary grant from a Federal and/or State grant program.

I understand that no Furnace Upgrade/Repair Rebate shall exceed \$500 (five hundred dollars), nor shall a Rebate equal more than 50% (fifty per cent) of the cost of the upgrade or repair described in this application; and, that Rebate amounts may decrease as your income rises above certain levels.

I understand that OPM may be required to disclose the name, address and phone number from this application under the Connecticut Freedom of Information Act (Connecticut General Statutes, Chapter 14, Section 1-210).

I understand that this Furnace Upgrade/Repair Rebate may be subject to Federal Income tax.

I authorize OPM to use information provided on this application to confirm my Furnace Upgrade/Repair Rebate eligibility by verifying, with the municipality where the furnace or boiler was upgraded or repaired, that a self-installed upgraded or repaired furnace or boiler passed the municipal self-installation inspection.

I authorize OPM to use information provided on this application, including my Social Security Number, to confirm my furnace rebate eligibility by verifying my filing status, income level and income year with the Connecticut Department of Revenue Services.

By signing below, I certify that all the information described in this Furnace Upgrade/Repair Rebate Program Application and Verification Form and all the documents provided with this Furnace Upgrade/Repair Rebate Program Application and Verification Form are accurate and true and that I have read and understand the Furnace Upgrade/Repair Rebate Program Guidelines.

APPLICANT SIGNATURE and NOTARIZATION

(DO NOT sign and date this form until in the presence of a Commissioner of the Superior Court or Notary Public.)

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

Signature of Applicant: _____ **Date:** _____

Printed Name of Applicant: _____

Sworn and subscribed before me on this _____ day of _____, 20____. *(NOTARY SEAL)*

Signature of Commissioner of the Superior Court or Notary Public: _____

STATE OF CONNECTICUT – OFFICE OF POLICY AND MANAGEMENT
FURNACE UPGRADE/REPAIR REBATE PROGRAM
AUGUST 1, 2008 – JUNE 30, 2009

FURNACE UPGRADE/REPAIR REBATE PROGRAM CHECKLIST

Please make sure that you:

- Complete, **SIGN** and **DATE** the **Form W-9**;
- Complete and sign the **Furnace Upgrade/Repair Rebate Program Application and Verification Form** in its entirety;
 - On your Furnace Upgrade/Repair Rebate Program Application, be sure to indicate:
 - Type of **residential structure** where the furnace/boiler was upgraded/repared;
 - Whether you filed a **2007 Federal Income Tax Return**;
 - Your **2007 Federal Filing Status**;
 - Whether you filed a **2007 CT State Income Tax Return**;
 - Your **2007 CT AGI (Adjusted Gross Income)**;
 - Cost of the upgrade or repair** of your furnace/boiler;
- Notarize** the Furnace Upgrade/Repair Rebate Application and Verification Form;
- Submit proof of the furnace/boiler upgrade or repair with your application** (for example, an invoice marked paid and detailing the upgrade or repair, the **cost** of the upgrade or repair, and the **date of service**).
- Keep a copy** of all Furnace Upgrade/Repair Rebate Program application materials for your records.
- Mail All Furnace Upgrade/Repair Rebate Program Application Materials To:

Office of Policy and Management
Attention: FURNACE REBATE
Energy Policy Unit
450 Capitol Avenue, MS 52ENR
Hartford, CT 06106-1379