

U.S. Department of Energy

**ENERGY EFFICIENCY AND CONSERVATION BLOCK GRANT (EECBG)
DRAFT PROGRAM PERFORMANCE REPORT**

***** THIS REPORT CONTAINS RECORDS THAT HAVE NOT YET BEEN APPROVED BY DOE *****

Grant Number: EE0000915

Grantee: Connecticut Office of Policy and Management

Activity: ARRA EECBG Municipal Grant Program

Quarter: 10/01/2009 - 12/31/2009

Status: Active

Metric Activity: Other

% of Work Complete: 0

Activity Description:

Ninety percent of Connecticut's \$9,593,500 energy efficiency and conservation block grant, or \$8,634,150, will be used to provide sub-grants under this program to the 143 units of local government that are not eligible for direct formula grants. Grants made available to each unit of local government will be comprised of a \$25,000 base amount plus an additional amount based on municipal population that will be pro-rated as a percentage of the balance of the \$8,634,150 after the sum of all eligible municipalities \$25,000 base grant is calculated. For instance, the Town of Waterford will be eligible to receive \$79,365. This is equal to a base amount of \$25,000, plus \$54,365 that is based on a population of 18,775 that is 1.07% of the total population of the 143 Towns eligible for this sub-grant program. Under this program the eligible municipalities will be required to submit an application outlining the proposed projects they plan to undertake with this funding. Eligible entities will be directed to utilize funding in a manner that is consistent with the State of Connecticut's energy policy framework as articulated in Connecticut General Statute, Section 16a-35k, Connecticut Public Act 08-98 and Governor Rell's Connecticut's Energy Vision Plan. Under this framework, Connecticut has established energy efficiency, conservation, renewable and green house gas reduction goals that include: • A 20% reduction in electric-peak grid consumption by 2020 • A 20% reduction in fossil fuel consumption by 2020 • Commercial transportation fuels to include a mixture of 20% alternative fuels by 2020 • Residential and commercial heating oil to include a mixture of 20% alternative fuels by 2020 • By 2020 a 10% reduction in GHG emission as compared to 1990 levels • By 2050 an 80% reduction in GHG emissions as compared to 2001 levels Consistent with this framework eligible entities will be directed to use funding under this program to reduce fossil fuel emissions in a manner that is environmentally sustainable and, to the maximum extent practicable, maximize benefits for local and regional communities; reduce the total energy use of the eligible entities; and improve energy efficiency in the building sector, the transportation sector and other appropriate sectors. Activities undertaken to achieve these goals and objectives will be the 14 listed as eligible in federal guidelines for the EECBG ARRA Program. The Energy Management Unit at the Office of Policy and Management will encourage, but not limit, eligible applicants to use funding for conservation, efficiency and renewable projects as opposed to other allowable activities under these guidelines and will ensure funding is used in a manner that promotes the goals and objectives as indicated. Funding to sub-grantee Towns under this program will be expected to be expended or fully committed within two years of sub-grantee grant execution. There will be an application filing deadline for this program.

Key Dates:

DESCRIPTION	START DATE	COMPLETION DATE
Activity Planned	11/15/2009	09/13/2012
Activity Actual		

U.S. Department of Energy
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Grant Number: EE0000915

Grantee: Connecticut Office of Policy and Management

Activity: ARRA EECBG Municipal Grant Program - continued -

Quarter: 10/01/2009 - 12/31/2009

Status: Active

Metric Activity: Other

% of Work Complete: 0

MILESTONES

Activity Milestone Status:

MILESTONE	PLANNED AMOUNT	TOTALS TO DATE	% OF PLAN	PLANNED START	ACTUAL START	ACTUAL COMPLETION	STATUS	10/01/2009-12/31/2009			
Received applications from municipalities and reviewed all. Pre-approved 175 projects across 119 municipalities.	8,486,599	0	0%	08/01/2009	09/01/2009			0			

QUALITATIVE DESCRIPTIONS

Reporting Period: 10/01/2009- 12/31/2009

Are you following the Plan? If not, describe the change in approach, and reasons for the change.

Yes. We are following the plan as outlined in grant.

What we expect to accomplish during the next period

Contingent upon DOE NEPA review, OPM intends to make sub-grant awards and enable our municipalities to begin energy efficiency and conservation projects.

Lessons Learned

None thus far.

Major Activities, Significant Results, Major Findings, and Key Outcomes

Connecticut has implemented an application process for the 142 municipalities eligible for sub-grants under this program. The application deadline was November 18, 2009. The Office of Policy and Management (OPM) received applications from 134 municipalities for a total of 212 projects. All applications have been reviewed by OPM. 175 projects across 119 municipalities, representing 80% of the funding available under this grant are pre-approved and, with the exception of U.S. DOE required NEPA approval, are ready to be awarded. The remaining project applications are either incomplete or require revision before they can be pre-approved.

What we planned to accomplish this period

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Metric Activity: Other

Status: Active

% of Work Complete: 0

Reporting Period: 10/01/2009- 12/31/2009

OPM planned to review all submitted applications and begin making sub-grant awards. As indicated above, all submitted municipal applications for funding have been reviewed. We are unable to make any sub-grant awards due to U.S. DOE lack of action regarding expedited NEPA review application.

Actual or Anticipated Problems or Delays and Corrective Action Plan

The OPM is ready to award grants to 119 towns for 175 projects totaling \$6,821,256 (80% of available funding under this grant). OPM is unable to award any sub-grants because the U.S. DOE has not acted on OPMs application for expedited NEPA review. OPM estimates that 98% of the projects that have been pre-approved fall within the Bounded Categories as defined by U.S. DOE and could be granted categorical exclusion (CX) under OPM's application for the expedited review template. On December 15, 2009 OPM submitted application for expedited NEPA review, as a result of the inaction by US DOE, OPM will submit each approved project application (175 in total) to US DOE for NEPA review as is required in the Grant Award.

Products Produced or Technology Transfer Activities Accomplished During the Reporting Period

None.

Remarks

A condition of the grant award is that the State of Connecticut, OPM provide our U.S. DOE project officer the names, DUNs, addresses and activity worksheets for all of our sub-grantees within 180 days of grant award. We will be unable to comply if US DOE does not either grant the NEPA categorical exclusion or review all of our projects in a timely manner.

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*** THIS REPORT CONTAINS RECORDS THAT HAVE NOT YET BEEN APPROVED BY DOE ***

Grant Number: EE0000915

Grantee: Connecticut Office of Policy and Management

Activity: ARRA EECBG Supplemental Regional Grant Program

Quarter: 10/01/2009 - 12/31/2009

Status: Active

Metric Activity: Other

% of Work Complete: 0

Activity Description:

Ten percent of Connecticut's \$9,593,500 energy efficiency and conservation block grant, or \$959,350 will be used to provide competitive sub-grants to towns that partner with other towns to implement projects that will be of a regional benefit. Application approval under this grant program will be based on criteria that prioritize projects based on energy saved, renewable energy generated, green house gas reduction, estimated job creation and the regional impact of the aforementioned categories. Priority will be given to applications that are designed to augment projects that have been approved under the ARRA EECBG Municipal Grant program as opposed to stand alone projects under this sub-grant. Under this program the applicants will be required to submit an application outlining the proposed project(s) they plan to undertake with this funding and specify the other communities they are partnering with to implement the project. Approved entities will utilize funding in a manner that is consistent with the State of Connecticut's energy policy framework as articulated in Connecticut General Statute, Section 16a-35k, Connecticut Public Act 08-98 and Governor Rell's Connecticut's Energy Vision Plan. Under this framework, Connecticut has established energy efficiency, conservation, renewable and green house gas reduction goals that include: • A 20% reduction in electric-peak grid consumption by 2020 • A 20% reduction in fossil fuel consumption by 2020 • Commercial transportation fuels to include a mixture of 20% alternative fuels by 2020 • Residential and commercial heating oil to include a mixture of 20% alternative fuels by 2020 • By 2020 a 10% reduction in GHG emission as compared to 1990 levels • By 2050 an 80% reduction in GHG emissions as compared to 2001 levels Consistent with this framework approved entities will use funding under this program to reduce fossil fuel emissions in a manner that is environmentally sustainable and, to the maximum extent practicable, maximize benefits for regional communities; reduce the total energy use of the eligible entities; and improve energy efficiency in the building sector, the transportation sector and other appropriate sectors. Activities undertaken to achieve these goals and objectives will be the 14 listed as eligible in federal guidelines for the EECBG ARRA Program. The Energy Management Unit at the Office of Policy and Management will encourage, but not limit, entities to apply to use funding for conservation, efficiency and renewable projects as opposed to other allowable activities under these guidelines and will ensure funding is used in a manner that promotes the goals and objectives as indicated. Funding to sub-grantees under this program will be expected to be expended or fully committed within two years of sub-grantee grant execution. There will be an application filing deadline for this program.

Key Dates:

DESCRIPTION	START DATE	COMPLETION DATE
Activity Planned		
Activity Actual		

MILESTONES

Activity Milestone Status:

MILESTONE	PLANNED AMOUNT	TOTALS TO DATE	% OF PLAN	PLANNED START	ACTUAL START	ACTUAL COMPLETION	STATUS	10/01/2009-12/31/2009			

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Activity: ARRA EECBG Supplemental Regional Grant Program - continued -

Quarter: 10/01/2009 - 12/31/2009

Metric Activity: Other

Status: Active

% of Work Complete: 0

MILESTONES

Activity Milestone Status:

MILESTONE	PLANNED AMOUNT	TOTALS TO DATE	% OF PLAN	PLANNED START	ACTUAL START	ACTUAL COMPLETION	STATUS	10/01/2009-12/31/2009			
Received 17 applications for sub grants under this program. They are currently under review	959,350	0	0%	08/01/2009	09/15/2009	01/08/2010	Delayed	0			

QUALITATIVE DESCRIPTIONS

Reporting Period: 10/01/2009- 12/31/2009

Are you following the Plan? If not, describe the change in approach, and reasons for the change.

Yes we are following the plan as outlined in grant.

What we expect to accomplish during the next period

Complete review of applications received and prioritize applications for funding. Begin to make grant awards

Lessons Learned

None thus far.

Major Activities, Significant Results, Major Findings, and Key Outcomes

Received 17 applications from partnering communities for funding under this competitive program. Applications received are currently under review.

What we planned to accomplish this period

Receipt of applications.

Actual or Anticipated Problems or Delays and Corrective Action Plan

None thus far. However, awards under this program will be contingent upon US DOE review and approval for consistency with NEPA.

Products Produced or Technology Transfer Activities Accomplished During the Reporting Period

None.

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Status: Active

Metric Activity: Other
% of Work Complete: 0

Reporting Period: 10/01/2009- 12/31/2009

Remarks
None.