

**IT INVESTMENT CAPITAL FUND
PROJECT STATUS REPORT**

To: Information Technology Strategy and Investment Committee
John Vittner, Office of Policy & Management

FROM: W. Michael Regan, Department of Correction

AGENCY/PROJECT NAME: DOC / OMIS

PROJECT MANAGER: Sumit Sajnani

REPORTING PERIOD: Project Inception through 06/30/2016

Total Funds Requested: \$ 4,375,000

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|-------------------------------------|------------------|----------------------|
| Bond Commission Approval(s): | Date: Sept. 2013 | Amount: \$ 325,000 |
| | Date: Jan. 2015 | Amount: \$ 1,250,000 |
| | Date: Jan. 2016 | Amount: & 1,500,000 |

Accumulative Total Capital Fund Expenditures to Date: \$1,575,000

Brief Project Description/Summary:

The DOC OMIS project will replace the existing set of complex, fragmented, duplicative and outdated systems with a comprehensive, modern and fully integrated system to support all CTDOC institutions, (Jails and Prisons), Board of Parole and Pardons, and the Division of Community Supervision, and to provide DOC offender data for consumption by other law enforcement entities (municipal, state and federal) and constituents. This project entails the purchase and implementation of a market leading, modified off the shelf (MOTS) system for managing all offender related functions within Department of Correction and the Board of Parole and Pardons. Offender related functions include (but are not limited to) intake, classification, sentence and time calculation, programs and treatment, movement, banking and commissary, parole, community supervision and reporting.

Summary of Progress Achieved to Date:

Through an RFP process, CTDOC identified and contracted with qualified vendors (1 prime + 1 sub) to deliver the new OMIS. The agreement was approved by the State CIO and CT Attorney General's office in June of 2015. The Gap Analysis phase was kicked off. The base product (pre-customization) was installed in a sandbox to help facilitate Gap analysis. To date DOC has received \$3,075,000 in IT Capital Bond Funds. Of that amount \$375,011 has been expended and \$1,199,989 has been encumbered. It is requested that the balance of approved funds (\$1,300,000) for this project be made available as follows: \$1,175,000 in January of 2017 and \$125,000 in January of 2018.

For purposes of Gap Analysis we have 4 separate teams working in parallel. Originally, the vendor had estimated 12 months to complete Gap Analysis. However, due to team ramp-up and other complexities, the vendor now estimates Gap Analysis will take 18 months. The additional 6 months will not have any financial implication on the project budget. Gap Analysis for this project is an extensive, deep dive into all pertinent CT statutes, business processes, rules, calculations, legacy data mapping and data scrubbing related to these systems. The scope of this project

entails replacing 3 very large intertwined systems, numerous small to mid-size systems and migrating historical legacy data. Additionally, it includes automating capabilities which are currently done manually.

The modified off the Shelf (MOTS) system we are purchasing requires extensive customization to make it fit DOC's needs. The base product is designed for Corrections Systems, however every Corrections System is different – especially Connecticut's as we are one of the only states with a unified prison and jail system. Additionally, the new system will replace numerous current electronic and manual systems and incorporate a very broad spectrum of functionality. It is the complexity of the new system that is driving the timeframe for the Gap Analysis.

Issues and Risks:

No high probability issues or risks are evident at this time.

Next Steps & Project Milestones:

Gap Analysis is underway and is expected to run January 2017.