

**IT INVESTMENT CAPITAL FUND
PROJECT STATUS REPORT**

To: Information Technology Strategy and Investment Committee
John Vittner, Office of Policy & Management

FROM: Deborah Ennis, Chief Fiscal Officer

AGENCY/PROJECT NAME: DCF / SACWIS Replacement Project

PROJECT MANAGER: Valter Borges / Maria Brereton / Rita Pelaggi

REPORTING PERIOD: Project Inception through 12/31/2015

Total Funds Requested: \$ 3,110,000

Bond Commission Approval(s):	Date: March 2015	Amount: \$ 2,210,000
	Date: Sept. 2015	Amount: \$ 900,000

Accumulative Total Capital Fund Expenditures to Date: \$ 2,437,649

Brief Project Description/Summary: DCF's SACWIS Replacement Project – Planning Phase, will replace DCF's current child welfare case management and reporting system. The current system has been in operation for 20 years and has been cited as not being federally compliant and in need of replacement. The Department will work with a consulting firm to create a Request for Proposal (RFP) and the required federal planning documents in order to seek reimbursement approval for project costs. An analysis of the current system and functionality is well documented and forms the basis for the 1000+ requirements for the new solution.

To support growing demand for comprehensive service delivery, with increasingly complex prompts and tracking, SACWIS (now called "LINK" in Connecticut) needs major changes and improvements, or a total system replacement. This was further emphasized during and after an on-site visit by federal officials in April of 2014, after which LINK was placed in a non-SACWIS status. The current system is built in an antiquated application (PowerBuilder), which has limited long-term sustainability. Through the initiative which this PAPD proposes, the agency expects to develop a federally compliant SACWIS that will eliminate redundancy of data entry, streamline workers' daily tasks, and improve interoperability with other agency systems and programs. It is DCF's intention to join Connecticut's other social and human service agencies in the provision of timely, effective and accurate services to our respective (and common) client populations through the use of technologies that interact with one another in an enterprise-wide basis to facilitate administration of eligibility and enrollment into programs, and monitor service delivery while reducing costs. DCF will utilize many of the "enterprise" components put in place by Access Health CT for Connecticut's Health Insurance Exchange, and the Department of Social Services ConneCT and ImpaCT projects. DCF plans to add new capabilities and components for use by this same growing group of agencies, to improve interoperability among health and human service programs. To the extent applicable, DCF will request Enhanced Funding of such components from CMS in addition to its request to ACF. Using and contributing to the State's enterprise approach for health and human services, we anticipate jointly building much greater interoperability using common technologies and teams who have participated in prior efforts and intuitively comprehend how to build upon those solutions.

Summary of Progress Achieved to Date:

Status update.

Over the last 6 months DCF had selected Deloitte off the Master contract to work on implementing the new SACWIS system. DCF pursued contract negotiations which led to a proposed contract and budget. DCF Commissioner Katz and Secretary of OPM Ben Barnes jointly decided that the pursuit of the Deloitte contract was not in the best interest of the state at this time due to a variety of reasons (budget, cost, implementation concerns, and feature concerns). The decision was made to pursue an RFP. DCF pursued and was approved for bonding funds to continue the planning and implementation of an RFP.

Between September and December 2015 DCF has been updating its business process flows and working on securing the services of KPMG for the assistance in the creation of the RFP.

DCF is working in partnership with DAS procurement to create an RFP and is holding facilitated sessions to review and confirm all our current business process flows in their current and future states as well as using a Requirements capturing system (JAMA) to capture all requirements for the new system. Starting in January 2016 and going thru Q2 2016 DCF will be meeting with agency subject matter experts to review and finalize Business processes and creating the RFP. DCF has submitted an Updated Planning Document to ACF to secure additional FFP dollars for the planning and RFP aspects of the work.

On December 4, 2015 DCF submitted a PAPD-U to ACF for review and approval. The PAPD-U estimated a total of \$5.2 million in state expenses associated with the new planning phase.

At the same time that DCF was planning a new SACWIS, ACF has released new rules for what has been coined the Comprehensive Child Welfare Information System (CCWIS) pronounced See-Wis.

The requirements have to include these new guidelines and standards in additions to the well-established cfr 45 federal register rules for Welfare.

These also include all the same Title IV-A, Title IV-B, Title IV-E mandates but also expand and mandate a more comprehensive data quality focus and plan, and also a focus on data exchange with partner agencies. There are also the Juan F Consent Decree rules, Federal Reporting (AFCARS, NCANDS, NYTD,IRS), state and federal laws that still apply.

Security is always a priority including confidentiality, HIPAA, SSA, and State and Federal laws.

Issues and Risks:

Lack of funding to support current SACWIS (LINK) and CCWIS simultaneously.

Lack of resources (Office Space, Qualified Personnel).

Next Steps & Project Milestones:

Next Phase(s):

After the RFP is posted the next phase is to allow vendors to respond, allow for time to demo and QA, and to score each of the different systems or vendors.

This next phase is estimated to take 3-6 months per established DAS protocol.

Based on market research and benchmarking the average time to implementation from the time a contract is signed is 36 months.