

IT INVESTMENT CAPITAL FUND PROJECT STATUS REPORT

To: Information Technology Strategy and Investment Committee
John Vittner, Office of Policy & Management

FROM: W. Michael Regan, Department of Correction

AGENCY/PROJECT NAME: DOC / OMIS

PROJECT MANAGER: Sumit Sajnani

REPORTING PERIOD: Project Inception through 6/30/2015

Total Funds Requested: \$ 4,375,000

Bond Commission Approval(s):	Date: Sept. 2013	Amount: \$ 325,000
	Date: Jan. 2015	Amount: \$ 1,250,000

Accumulative Total Capital Fund Expenditures to Date: \$ 325,000

Brief Project Description/Summary:

The DOC OMIS project will replace the existing set of complex, fragmented, duplicative and outdated systems with a comprehensive, modern and fully integrated system to support all CTDOC institutions, (Jails and Prisons), Board of Parole and Pardons, and the Division of Community Supervision, and to provide DOC offender data for consumption by other law enforcement entities (municipal, state and federal) and constituents. This project entails the purchase and implementation of a market leading, modified off the shelf (MOTS) system for managing all offender related functions within Department of Correction and the Board of Parole and Pardons. Offender related functions include (but are not limited to) intake, classification, sentence and time calculation, programs and treatment, movement, banking and commissary, parole, community supervision and reporting.

Summary of Progress Achieved to Date:

Through an RFP process, CTDOC has identified and contracted with qualified vendors (1 prime + 1 sub) to deliver the new OMIS. The agreement was approved by the State CIO and CT Attorney General's office in June 2015. The gap analysis phase has been kicked off. The base product (pre-customization) has been installed in a sandbox to help facilitate gap analysis. To date DOC has received \$1,575,000 in IT Capital Bond Funds. Of that amount \$325,000 has been expended and \$1,250,000 has been encumbered. It is requested that the balance of approved funds (\$2,800,000) for this project be made available as follows: \$1,500,000 in January of 2016, \$1,175,000 in January of 2017 and \$125,000 in January of 2018.

For purposes of gap analysis we have 3 separate teams working in parallel to complete this in 1 year. Gap Analysis for this project will be an extensive, deep dive into all pertinent CT statutes, business processes, rules, calculations, legacy data mapping and data scrubbing related to these systems. The timeframe for the Gap Analysis is driven by the sheer magnitude of the project scope. The scope of this project entails replacing 3 very large intertwined systems, numerous small to mid-size systems and migrating historical legacy data. Additionally, it includes automating capabilities which are currently done manually.

The modified off the Shelf (MOTS) system we are purchasing requires extensive customization to make it fit DOC's needs. The base product is designed for Corrections Systems, however every Corrections System is different – especially Connecticut's as we are the only state with a unified prison and jail system. Additionally, the new system will replace numerous current electronic and manual systems and incorporate a very broad spectrum of functionality. It is the complexity of the new system that is driving the timeframe for the Gap Analysis.

Issues and Risks:

No high probability issues or risks are evident at this time.

Next Steps & Project Milestones:

Gap analysis workshops are being planned and will begin in August 2015. Gap analysis is expected to run for approximately 12 months.