

IT INVESTMENT CAPITAL FUND PROJECT STATUS REPORT

To: Information Technology Strategy and Investment Committee
John Vittner, Office of Policy & Management

FROM: Michael Varney

AGENCY/PROJECT NAME: DESPP / Special Licensing Weapons Registration System

PROJECT MANAGER: Frank Linden

REPORTING PERIOD: Project Inception through 12/31/2014

Total Funds Requested: \$ 556,820

Bond Commission Approval(s): Date: Mar. 2014 Amount: \$ 556,820

Accumulative Total Capital Fund Expenditures to Date: \$0

Brief Project Description/Summary: The Special Licensing and Firearms Unit (SLFU) is responsible for the issuance of state pistol permits, oversight and regulation of firearm sale transactions, and issuance of licenses to Professional Bail Bondsman, Private Security Companies, Private Investigators, Bail Enforcement Agents (BEA), and the regulation and licensing of several other non-firearms related but generally hazardous lines of work. The unit is also responsible for investigating violations of state law relating to the purchase, sale and transfer of firearms, and violations of statutes relating to the various professional licenses it issues.

The current Special Licensing and Weapons Registration System (SLWRS) allows SLFU to track permit and license application, renewal, suspension, and revocation. One of the key features of the system is its ability to interface electronically with a number of other state and federal data systems. Collectively, these interfaces provide a criminal record check for any individual applying for or renewing a permit/license. The system also updates federal databases with firearm sales/transactions and individuals who are disqualified from possessing firearms. These data interfaces also allow Law Enforcement, Judicial, and Mental Health Agencies to obtain information on registered firearms and individuals. SLWRS supports the day to day business processes of SLFU and is used for generating basic reports and mailings, envelopes, letters, labels, Certificates, ID Cards, etc...

The current SLWRS system has reached its maximum level of efficiency and automation using the outdated technology it was built on. It is understood that this system has been in service for over 10 years and some of the underlying components are no longer supported by the vendor. This upgrade will bring the system up to current technology and will improve and enhance areas in the process which will benefit the business.

With the move toward e-government and public self-service, a new data system needs to be built that has the capability of making many of the paper, phone, and fax based processes that still exist within SLFU fully electronic (possibly web based or kiosk based).

Summary of Progress Achieved to Date:

- RFI process completed
- RFP in process:
 - Body, Requirements, and Scoring Criteria completed
 - Purchase Request DPS-33 submitted to DESPP purchasing, Core-10 release in progress.
 - DAS staff Aimee Cunningham and Bryan Jorge are assigned and have been working with us through this process to ensure an expedited approval.

Issues and Risks:

Issue: Project is behind schedule due to delays caused by extended procurement process and resource conflicts encountered in the summer of 2014.

Issue: We are unable to involve CT Interactive in this phase of the project, but we expect that they may eventually be providing a significant portion of functionality of the finished system. In order to accommodate this, we have split the project into two phases. The current phase will replace the back-office system and provide a set of generic Web Services that can accommodate Web Clients in the future.

Risk: The Project Schedule will be negatively impacted by the need to design Web Services before any discussions with CT Interactive on Client requirements. We don't currently know what they can provide or when they can schedule the work.

Risk: The Project Budget will be negatively impacted by the need to design Web Services and Web Clients in different phases. The current estimate for IT Consultant Services of 479,820 was based on a quote by the current vendor for the entire system without Connecticut Interactive. This quote included all online functionality, but it did not include the creation of Web Services for all desired online processes. This estimate will hopefully be decreased by the new requirements, but it is unknown if the difference will cover the additional work required by CT Interactive.

Risk: New functionality is required in COLLECT for one Data Interface and one User Form. The COLLECT Unit is confident that the required work can be completed in time. However, due to the importance of the COLLECT production system, other priorities may arise for COLLECT Developers at any time.

Risk: The Project Schedule will be negatively impacted if a Vendor is selected that does not have the same familiarity with the current business processes, application, and data interfaces as the current vendor, KTI.

Next Steps & Project Milestones:

- 02-13-2015: Final Documentation to be submitted to DAS for RFP release.
- 04-01-2015: Vendor submissions to be scored and Vendor Selected.
- 05-13-2015: Vendor submissions to be scored and Vendor Selected.
- 12-31-2015: Phase 1: Back office system and Web Services complete.
- Unknown: Phase 2: CT Interactive, or other vendor, to complete public facing client functionality