

Report to the Legislature
Status of the Criminal Justice Information Sharing System

Criminal Justice Information System
Governing Board
January 1, 2009

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Criminal Justice Information System (CJIS) Governing Board

Agencies and Members

Office of Policy and Management

Michael Fedele, Lt. Governor
(Designee and Co-Chair)
Robert L. Genuario, Secretary
Brian Austin, Jr., Under Secretary
Theron A. "Terry" Schnure

Office of Chief Court Administrator

Patrick L. Carroll, III, Judge,
Deputy Chief Court Administrator,
(Designee and Co-Chair)
Barbara M. Quinn, Judge, Chief Court Administrator
Lawrence D'Orsi, II
Terry Walker

Office of the Chief State's Attorney

Kevin Kane, Esq., Chief State's Attorney
John Russotto, Esq., Deputy Chief State's Attorney
Jan Sniffin

Department of Public Safety, Div of State Police

John A. Danaher III, Commissioner
Thomas Daveron, Col.
Cheryl Malloy, Lt. Col.
Dennis C. Mitchell, Ph.D.

Office of Chief Public Defender Services

Susan O. Storey, Esq., Chief Public Defender
Brian Carlow, Esq., Deputy Chief Public Defender

Department of Correction, with Parole Functions

Theresa C. Lantz, Commissioner
Carol Salsbury, Deputy Commissioner, (Designee)
Robert Cosgrove

Board of Pardons and Paroles

Robert Farr, Chairman
Richard Sparaco

Office of Victim Advocate

Michelle Cruz, Victim Advocate
Merit LaJoie

Department of Emergency Management and Homeland Security

James M. Thomas, Commissioner

Department of Information Technology

Diane Wallace, CIO
Suzanne Niedzielska
Dean Myshrall

Department of Motor Vehicles

Robert Ward, Commissioner
Nicholas J. Demetriades
George White

Connecticut Chiefs of Police Association

Richard C. Mulhall, Chief (Designee for)
Matthew A. Reimondo, Chief, President
James A. Cetran, Chief

Chairpersons and Ranking Members of the Joint Standing Committee of the General Assembly on Judiciary

Andrew J. McDonald, Senator, Co-Chair

William Tong, Representative (Designee for)
Michael P. Lawlor,
Representative, Co-Chair

John A. Kissel, Senator, Ranking Member

Arthur J. O'Neill, Representative, Ranking Member

Executive Director

Sean Thakkar

Business Goals and Objectives

1. Provide independent and objective opinion and recommendations on how to structure moving forward strategy.
2. Optimize our current investments in technology and leverage existing infrastructure and resources.
3. Create a simple way to implementation new technologies, so that agencies can implement them smoothly.
4. Develop a secure environment, which not only meets state standards but also meets the federal standards for security.

Committee Chairs

The Criminal Justice Information System (CJIS) Governing Board Committees and their Chairpersons are as follows:

Implementation Group

Terry Schnure,

Office of Policy and Management, Criminal Justice Policy and Planning Division

Policy Committee

Larry D’Orsi

Judicial Branch, Court Operations Division

Business Committee

Christopher Duryea

Judicial Branch, Court Operations Division

Finance Committee

Elizabeth Bickley

Judicial Branch, Information Systems Division

Technology Committee

Dean Myshrall

Department of Information Technology, CJIS Support Group

Security Committee

Bill Fusick

Department of Information Technology, CJIS Support Group

Report to the Legislature

Status of the Criminal Justice Information Sharing System

This report is pursuant to Section 40 (h) Public Act 08-01 of the January 2008 Special Session and explains the status of the information sharing system, specified under this legislation. The report is provided by the Criminal Justice Information System (CJIS) Governing Board.

Organization of the CJIS Governing Board

Public Act 08-01, Section 39, expanded the membership of the Criminal Justice Information System (CJIS) Governing Board. In summary, co-chairs were established and the membership was expanded to include representation from the Legislative Branch through the chairpersons and ranking members of the joint standing committee of the General Assembly on judiciary. Each member of the CJIS Governing board may appoint a designee.

The legislation specifies the Chief Court Administrator and a person appointed by the Governor from the CJIS Governing Board membership to be co-chairs. The co-chair appointments were immediately made to facilitate the further organization of the CJIS Governing Board. The Chief Court Administrator designated the Patrick L. Carroll, III, Deputy Chief Court Administrator, who is one of the co-chairs. The Secretary of the Office of Policy and Management named Lt. Governor Michael Fedele as a designee, who is appointed by the Governor to be the other co-chair.

Accomplishments

Following is the synopsis of the accomplishments since the report July, 2008. More details are provided in a later part of this report.

1. Executive Director Sean Thakkar for CJIS Governing Board was selected from a pool of 43 applicants in a nationwide search.
2. Request for Proposal (RFP) was issued to hire a consulting firm to conduct a thorough analysis of the existing CJIS agencies systems (Blueprint Project), develop a roadmap to meet the gap between current “as-is” state of technology and “future state” for the information sharing system, and develop a RFP for the Information Sharing System based on the analysis of the information technology environment among all the CJIS agencies. MTG Management Consultants, LLC (MTG) was selected from the proposals received. Please see Attachment A.
3. Revised CJIS Projects Management Structure Chart to include Division of Public Defender Services and their new Case Management System. Please see Attachment B.

4. Connecticut Impaired Driving Records Information System (CIDRIS) project is on schedule and on budget. This is mainly due to cooperation and collaboration between participating CJIS agencies.
5. The CJIS Board approved two policies, addressing issues based on recommendations that were brought forth by the CJIS Governing Board Policy Committee:
 - a. Request for more extensive Offender Based Tracking Systems (OBTS) access by Office of Victim Advocate.
Recommendation: The Office of Victim Advocate be provided more access to OBTS to include all cases provided they do not contain erased information (including pardoned cases), sealed juvenile transfers (until accepted as adult court cases), and youthful offender cases at this time.
 - b. Access to the OBTS by a felon.
Recommendation: Felon(s) not be granted access to OBTS system as part of their job responsibilities.
6. Cross agency technology exchange. Began discussions between the Judicial Branch and the Office of Chief's State Attorney on how the Chief State's Attorney can utilize existing Judicial applications and infrastructure to add more capabilities in among the offices of the State's Attorneys and so that the State can maximize its return on investments.

CJIS Governing Board Meetings

There have been four Governing Board meetings held since the last report. Following are the details of the meetings:

Selection of CJIS Governing Board Executive Director

As background, Lt. Gov. Fedele outlined the CJIS Governing Board Executive Director position selection process at the March 25, 2008 Criminal Justice Information System (CJIS) Governing Board meeting. A Search Committee was formed to recruit for the CJIS Governing Board Executive Director position, as required by statutes.

The CJIS Governing Board members/appointees serving on the Search Committee were Lt. Gov. Michael Fedele, Judge Patrick Carroll, Senator Andrew McDonald, Undersecretary Brian Austin, Chief State's Attorney Kevin Kane, CIO Diane Wallace, and Commissioner James Thomas. The position was posted on April 6, 2008 and closed on April 28, 2008. Forty-three applications were received; forty applicants met the outlined posted requirements. The Search Committee met on May 16, 2008 to review the forty

applications. From the review process, the Search Committee reduced the number of applications to twelve.

The Search Committee members developed questions relating to the areas of importance for the CJIS Executive Director position. The questions were used to have the applicants expand upon their knowledge base for the position and demonstrate their organizational and written skills. The responses to the questions were due back on May 30, 2008. The Search Committee met on June 10, 2008 to review the eleven received responses.

After reviewing the responses, the Search Committee interviewed six of the eleven applicants. From the interviews, three applicants were selected for additional consideration. Background and reference checks were initiated on the three prospective candidates selected for further consideration.

The CJIS Governing Board Executive Director Search Committee held a meeting in Lt. Gov. Fedele's office on August 14, 2008 to consider the additional background check information and recommend a candidate for the CJIS Executive Director position. The CJIS Executive Director Search Committee voted six to one to recommend Mr. Sean Thakkar to the CJIS Governing Board.

This recommendation was carried to the Criminal Justice Information System (CJIS) Governing Board at a special meeting on August 26, 2008. The recommendation was approved by the CJIS Governing Board by a vote of eleven in favor, two not in favor, and one abstention. Mr. Thakkar began as the CJIS Governing Board Executive Director on September 26, 2008.

Consulting Services for the Blueprint Project

At its meeting on July 31, 2008, Designee Suzanne Niedzielska spoke on behalf of CIO Wallace to provide an update regarding the RFP to select a consultant to develop and design a “blueprint” for CJIS. The RFP is mandated in legislation, PA 08-01 of the January 2008 Special Session and builds upon the work of the Governor’s Sentencing and Parole Task Force.

The RFP had to be constructed and submitted by July 1, 2008; it was prepared and posted on June 30, 2008. The legislation required a consultant be sought to design the system which would lead to the issuance of a subsequent RFP based on the CJIS requirements for implementation of the design. Under the Federal and state guidelines, a company or person, who develops the RFP and gives the requirements, can not bid to develop and maintain the system.

The vendors were required to submit questions by July 14, 2008; four vendors responded. On July 22, 2008, the RFP Review Panel answered all but two questions submitted by the vendors. The two remaining questions were addressed by August 8, 2008. The vendors were then provided additional time, until August 30, 2008, to return their responses to an RFP Review Panel.

Designee Niedzielska read a note written from CIO Wallace to the CJIS Governing Board asking if the Department of Information Technology will do the selection review for the consultant, support the RFP, and issue a the RFP for the actual development and implementation of the system. Building upon the note, Designee Niedzielska asked the Co-Chairs whether the RFP review process should be placed on hold until the CJIS Executive Director is hired.

Judge Carroll, Co-Chair, recommended not delaying the RFP review process but to accelerate the process by conducting the initial review to make recommendations involving the CJIS Executive Director once he/she is in position. Chief State’s Attorney Kevin Kane indicated his concurrence. Hearing no objection from any present members of the CJIS Governing Board, Lt. Governor Fedele, Co-Chair, asked about the recommended size of the review team. Designee Niedzielska suggested the initial composition of the review team include herself, Terry Schnure, OPM, CJIS Program Director; Terry Walker, IS Judicial, Deputy Director, Applications, and Dean Myshrall, DOIT, CJIS Support, Program Coordinator, The review team was subsequently expanded to include Robert Cosgrove, IS Director, Correction and Chief Richard Mulhall, CPCA. The RFP proposals review start date would September 2, 2008 and would take most of September to complete. She estimated the RFP Review Panel could begin its discussions with the CJIS Governing Board Executive Director by October 10, 2008.

At the meeting of the CJIS Governing Board on October 30, 2008, CIO Wallace asked Ms. Niedzielska to discuss the process for seeking proposals for the consulting services for developing the CJIS “Blueprint”. Ms. Niedzielska reported the RFP Review Team, representing a cross-section of the CJIS community, had been meeting to review and score vendor responses. During this time, Mr. Thakkar the CJIS Executive Director was appointed by the CJIS Governing Board. The RFP Team reviewed the scope of the RFP with Mr. Thakkar to ensure that Mr. Thakkar’s scope expectations of the RFP were being met. The review affirmed the scope expectations. The RFP was issued through the DOIT procurement process; therefore, the RFP Review Team would make the initial recommendation for the vendor consultant.

CIO Wallace added she is not involved in any of the selection process and decision making with the RFP. The statutes are very strict; her role is to sign-off on the recommendation and not to interpret, influence, or persuade any decisions being made in the process. CIO Wallace asked the CJIS Governing Board if they would like to make the choice for the vendor or delegate that process to Mr. Thakkar.

Sen. Andrew McDonald suggested that a presentation be made to the CJIS Governing Board to provide an understanding of what is the vendor proposes; their qualifications, and their recommendation. Lt. Gov. Fedele agreed with Sen. McDonald’s suggestion; a meeting was set for Monday December 8, 2008 at 1:30 PM, at the Judicial Learning Center in East Hartford.

Subsequently and at the meeting of the CJIS Governing Board on December 8, 2008, Ms. Niedzielska presented an overview of the CJIS “Blueprint” RFP selection process and the method used for selecting the vendor. She explained the benefits the CJIS Blueprint will have for the CJIS initiatives and the expected strategies for the design and implementation. A handout titled “CJIS Information Sharing – Blueprint RFP” was distributed at the meeting. Please see Attachment A. Ms. Niedzielska stated that the RFP Review Team recommended to the CJIS Executive Director, Sean Thakkar that MTG Management Consultants, LLC (MTG) be the selected vendor. The RFP Review Team based their recommendation on MTG due diligence, technical experiences and cost criteria to provide the best value for the CJIS “Blueprint” project.

Building upon the findings of the RFP Review Team, Mr. Thakkar recommended MTG Management Consultants, LLC be the selected vendor. The CJIS Governing Board voted unanimously to accept the recommendation as presented.

The contract award with MTG Management Consultants, LLC (MTG) is completed. MTG is scheduled to begin its work on January 12, 2009; its system design recommendations are scheduled to be completed by July 2009.

Revised CJIS Projects Management Structure Chart

At its meeting on December 8, 2008, Mr. Thakkar explained that Chief Public Defender Susan Storey asked that the Division of Public Defender Services (DPDS), Case Management System appear on the Projects Management Structure chart. The DPDS Case Management is the current addition to the Projects Management Structure Chart. This will enhance the CJIS community's awareness of all systems that are being used within the group. It will also assist CJIS in creating a link with the systems once the Information Sharing System is implemented.

Connecticut Impaired Driving Records Information System (CIDRIS)

At the October 30, 2008 CJIS Governing Board, Undersecretary Brian Austin provided information on the Connecticut Impaired Driving Records Information System (CIDRIS). A three page document titled "Briefing paper for the Criminal Justice Information System (CJIS) Governing Board" was distributed at the meeting. Please see Attachment C. CIDRIS will be the clearing house for all Operating Under the Influence (OUI) arrests. In the State of Connecticut, there is no real time or posted real time database for OUI information to be shared among State agencies and local law enforcement agencies.

CIDRIS will provide automation and electronic exchange of OUI arrest data and documents among local law enforcement, Department of Public Safety, Department of Motor Vehicle, Division of Criminal Justice, and Judicial Branch Superior Court Operations Division. Through the DOIT procurement process, a contract with the Sierra Systems Group, Inc. was executed in August 2008.

The vendor is on site at DOIT; the project has been in development for several years. The genesis of the project was a \$1.6 million dollar federal grant from the U.S. Department of Transportation and the National Highway Traffic Safety Administration. The CIDRIS Team has adjusted the process to meet the newly implemented System Development Methodology (SDM).

As part of the SDM requirements, a CIDRIS Steering Committee consisting of stakeholders state agencies' heads and/or their designees have been created. The CIDRIS Steering Committee generally meets every other Wednesday at DOIT. CIDRIS has recently completed the Business Requirements Phase of the project and is now in the Design Phase. The Design Phase is expected to continue until late January 2009. Undersecretary Austin anticipates the CIDRIS project will be implemented by January 2010.

This project is on schedule and on budget. This is mainly due to cooperation and collaboration between participating CJIS agencies

Board Approval of Two Policy Issues

1) Access to OBTS by a convicted felon

The New Britain Police Department requested that a policy be developed regarding a convicted felon's access to OBTS for work purposes.

The thoughts of the committee were to adopt the federal standards governing the National Crime Information Center (NCIC) computerized index which does not give access to convicted felons. Sean Thakkar discussed the Global Federated Identity Privilege which is a framework that sets standards for users' rights to sensitive databases which the federal government will be adopting. OBTS is a database that contains more sensitive information from various Connecticut criminal justice agencies than NCIC. Dean Myshrall noted that recommendations made on previous security related issues have been resolved by applying the DPS COLLECT standards to the OBTS, as data supplied from that source is subject to the most restrictive standards of the data contained within OBTS.

The committee unanimously voted to deny OBTS access to convicted felons.

2) Access to OBTS by the Office of Victim Advocate

Merit LaJoie, from the Office of Victim Advocate, briefly described the role of the office and the need to access certain cases to provide accurate information to the victims to whom they provide services. Standards for access to criminal databases were set up many years ago, and today access to information has changed to be more open and transparent. There was discussion that all information should be available unless there is specific authority which restricts it. In addition the committee discussed the general principle that periodic review of access rights be scheduled to keep pace with legislative and policy changes.

The committee discussed the access for the Office of Victim Advocate (OVA) and recommended the OVA access be expanded as follows:

Category 1: Cases with Continuances More than 13 Months Old

The unanimous recommendation of the committee is that the victim advocate should have access to these types of cases.

Category 5: Post-finding Alcohol Education, Drug Education, School Violence Sealed Case Information

The unanimous recommendation of the committee is to grant access. These are cases in diversionary programs which are statutorily sealed to as the public. The committee recommends that, as OVA is included in the definition of criminal justice agency (54-142g), such cases should not be sealed to that office.

Category 6: *Post-finding Case Information Awaiting Erasure*

The unanimous recommendation of the committee is to provide access to such cases until the point they are erased.

Category 9: *Pre-finding Case Information*

The unanimous recommendation of the committee is to grant access.

Category 10: *Pre-finding Alcohol Education, Drug Education, School Violence Sealed Case Information*

The unanimous recommendation of the committee is to grant access (similar to category 5).

In the context of the recommendations, OVA not be authorized to access the categories containing erased case information (including pardoned cases), sealed juvenile transfers (until accepted as adult court cases), and Youthful Offender cases, at this time.

Cross Agency Technology Exchanges

The Judicial Branch Court Operations Division system for monitoring and tracking case information was observed by Mr. Thakkar. It was concluded that this system may be considered for future development of cross agency technology exchanges and collaboration to maximize current IT investments. A demonstration of the system was presented to Chief State's Attorney Kevin Kane and Mr. John Russotto, Deputy Chief State's Attorney to view the system and consider using it to increase productivity in their organization. Mr. Thakkar is also developing a collaborative effort with Chairman Robert Farr of Board of Pardons and Paroles and Deputy Chief Public Defender Brian Carlow of Division of Public Defender Services.

Electronic Signatures Study Group

Judge Carroll leads the Electronic Signatures Study Group. The Study Group met on July 15, 2008, it is comprised of nine agencies, Department of Public Safety, Department of Motor Vehicles, Department of Correction, Connecticut Police Chief Association, Office of Policy and Management, Department of Information and Technology, Office of the Chief State's Attorney, and the Judicial Branch Court Operations and Information Technology Divisions.

Several presentations were made at the July 15, 2008 meeting. Assistant United States Attorney John Hughes, spoke about the PACER System used within the Federal Government agencies. Information of the Connecticut Uniformed Electronic Transaction Act passed early 2000 was presented; this Act is the enabling legislation for agencies to implement electronic signature procedures.

The Study Group also discussed the legislative proposals and changes to allow DMV to accept the A-44 DWI reports from law enforcement agencies and allowing these agencies to submit their arrest reports electronically to the Office of the State's Attorney, the court, and other agencies. A paperless citation system would eliminate the need for the Centralized Infractions Bureau of the Judicial Branch, to manually process more than 400,000 infraction tickets issued by law enforcement agencies each year. The processing of electronic mittimuses to the Department of Correction would minimize, paperwork which accompanies inmates being transported to/from court proceedings.

The Study Group would be moving toward developing ways to eliminate the duplications of paperwork. Small working groups are to be organized to review and address some of the matters discussed by the Study Group. Judge Carroll explained he will be assisted by Undersecretary Brian Austin and Mr. Terry Schnure.

List of Attachments

Attachment A:

Blueprint Vendor Selection Presentation

Attachment B:

Criminal Justice Information System Projects Management Structure Chart

Attachment C:

Connecticut Impaired Driving Records Information System (CIDRIS) Briefing paper for the Criminal Justice Information System (CJIS) Governing Board

Attachment A

CJIS Information Sharing- *Blueprint RFP*

RFP Review Team Recommendation

CJIS Governing Board
December 8, 2008

12/12/2008

1

Why this project?

Public Act 08-01

Section 40 (a)

- The Criminal Justice Information System Governing Board shall design and implement a comprehensive, state –wide information technology system to facilitate the immediate, seamless and comprehensive sharing of information between all state agencies, departments, boards and commissions having any cognizance over matters relating to law enforcement and criminal justice, and organized police departments and law enforcement officials.

Section 40 (g)

- Not later than July 1, 2008, the Criminal Justice Information Systems Governing Board shall issue a request for proposals for the design and implementation of such information technology system and hire a consultant to develop a plan for such design and implementation.

12/12/2008

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Expected benefits overall

Improved public safety and justice administration

- Improved decision-making
- Based on better information
 - Reliable records
 - Predictive patterns
 - Consistent view across branches, agencies, boards, and commissions
 - More complete information
 - Relevant details (attributive)
 - Relevant history (longitudinal)
 - Timely
 - Accessible
- Enhanced collaboration

12/12/2008

3

Achieved so far

Foundational initiatives

- *State-of-the-art*
 - CJIS business intelligence – data patterns
 - OBTS (Offender-based tracking system) - Provides a single repository of offender data compiled from the time of arrest to the release from probation.
 - Provided DPS Crime Analysis Unit with underage drinking arrest counts for May and June of 2006 and 2007.
 - Provided General Assembly's Office of Legislative Research with the percentage of violent crime arrests for persons who had a previous felony conviction.
 - Provided Dept of Motor Vehicles (DMV) Passenger Endorsement Review Unit (PERU) with the ability to do background checks for individuals requesting drivers license endorsements. Developed an automated interface to notify the DMV PERU group of the arrest and/or conviction of individuals with current license endorsements.

12/12/2008

4

Achieved so far

□ **Foundational initiatives**

- *State-of-the-art*
 - Streamlined CJIS applications
 - PRAWN (Paperless Re-Arrest Warrant Network)
 - Paperless system to manage, track and serve Failure to Appear and Violation of Probation Warrants
 - POR (Protective Order Registry)
 - Electronically create, track and enforce all types of orders of protection (i.e. Restraining Orders, Protective Orders, Foreign Orders and Standing Criminal Restraining Orders)
 - AFIS (Automated Fingerprint Identification System)
 - CJIS community of interest - data sharing
 - JEB (Judicial Electronic Bridge)
 - Sharing PSIs (Pre-Sentence Investigations)
 - CAPTAIN
 - Law enforcement data sharing

12/12/2008

1

Expected project benefits

□ **CJIS blueprint**

- Develop comprehensive requirements to implement the vision outlined in PA 08-01
- Identify options for closing gaps between vision and current systems
- Permit incremental development, as resources permit, always in context of the big picture
- Provide a reference for portfolio management of multiple CJIS initiatives

12/12/2008

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Strategy adopted

□ **Two sequential RFPs**

- 1. RFP for Requirements development (CJIS Blueprint Strategy)
 - *where the final deliverable is*
- 2. RFP for CJIS Design and Implementation Services

12/12/2008

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Why a dual RFP strategy?

- *Ensures requirements are in alignment with CJIS business and technical objectives*
 - Eliminates potential implementation biases
 - Vendor-favored toolsets that may or may not be compatible with state CJIS standards
 - Vendor setting own performance criteria
- *Conforms to Federal and State guidelines for competitive RFPs*
 - Expands sources of potential funding
- *Manages scope by setting focus on horizontal data integration issues*

12/12/2008

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Why a dual RFP Strategy?

- *Specifies a requirements process that can both preserve what works operationally and transform what does not.*
 - Deliverables include
 - “As Is” model
 - “To Be” model
 - Identification of Gaps
 - Options review for each Gap

- *Improves Vendor Management*
 - Frequent, measurable milestones
 - State project manager
 - Fixed prices, not to exceed
 - Contractual withholdings

12/12/2008

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RFP Review Process - Description

- *Expanded the RFP development team for a fuller cross-section of CJIS stakeholders, including*
 - Bob Cosgrove, DOC IS Director (DOIT IT Manager)
 - Chief Richard Mulhall, Connecticut Police Chiefs’ Association
 - Terry Schnure, OPM, CJIS Program Director
 - Terry Walker, Judicial IS, Deputy Director, Applications
 - Dean Myshrall, DOIT CJIS Program Coordinator
 - Suzanne Niedzielska, DOIT Business Development Director

12/12/2008

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RFP Review Process

- Companies responding were
 - EMC² Corporation
 - URL Integration, Inc.
 - MTG Management Consultants, LLC

12/12/2008

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RFP Review

- Two-part scoring
 - 1. Business and Technical (70%)
 - Developed Scoring criteria
 - Reviewed RFP Responses
 - Conducted Reference checks
 - Conducted Personal interviews (by teleconference)
 - 2. Costs (30%)

12/12/2008

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RFP Review

Two-part scoring

- 1. Business and Technical (70%)
 - Developed Scoring criteria
 - Reviewed RFP Responses
 - Conducted Reference checks
 - Conducted Personal interviews (by teleconference)
- 2. Costs (30%)

12/12/2008

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Business and Technical (70%)

- RFP Responses
 - Scored individually based on agreed criteria
 - Met to identify areas of scoring agreement
 - Deferred variances for more information
- Reference checks
 - Paired for each reference check
 - Aimed to balance diverse constituencies (and biases)
 - Peer states (counties, cities) valuable source of information
 - Differences of opinion began to converge
 - One RFP responder (EMC) eliminated

12/12/2008

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Business and Technical (70%)

- Personal Interviews
 - Provided questions in advance
 - Invited RFP responder to include all principal consultants
 - Clear differences emerged
 - how consultants participated
 - grasp of the statement of work
 - depth of relevant experience
 - MTG (120/150 pts) favored over URL (104/150 pts)

12/12/2008

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Costs (30%)

- MTG offered fixed-price (not to exceed) RFP proposal
- URL offered RFP proposal whose costs were 363% higher



MTG more responsive, lower cost RFP respondent

12/12/2008

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Vendor Comparison

MTG

- CJIS experience core competency
 - CRCOG
 - NY IJAB
 - VT CJIS
 - DE CJIS
 - OK Bureau of Investigation
 - WA DoIS
 - ND ITD
 - SC DPS
 - El Paso County, CO (2004-2005)
 - Salt Lake County, UT
 - Winnebago County, IL
 - San Mateo County, CA
 - Monterey County, CA

URL

- CJIS experience core competency
 - IOWA CJIS
 - AK CJIS
 - Maricopa County, AZ
 - Los Angeles County, CA
 - San Jose Police Dept, CA
 - El Paso County, CO (2003)
 - Judicial Dept, CO
 - Kalamazoo County, MI
 - State of Illinois
 - Cook, Lake, McHenry Counties, IL
 - New Orleans Police and Justice Foundation (post-Katrina)
 - City of New York
 - VT DOT sharing of accident info
 - WA Justice Info Network
 - WY information exchanges

12/12/2008

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Vendor Comparison

MTG

- Focus on integration, needs assessment, alternatives analysis
- Responded to RFP as *Requirements* RFP
- Costs 73% lower
- Fixed price
- Optional hourly rates

URL

- Focus on assessment, information exchanges and implementation
- Responded to RFP as if *Design and Implementation* RFP
- Costs 73% higher
- Hourly rates

12/12/2008

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Recommendation

- *CJIS Governing Board directs the award of the contract to MTG based on the due diligence, technical and cost criteria to provide best value for the CJIS Blueprint project.*

12/12/2008

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Next Steps

- ***CJIS Blueprint Strategy***
 - ***Roles definition***
 - CJIS Executive Director = Executive Sponsor
 - Identify Business Manager - TBD
 - Identify Project Manager - TBD

12/12/2008

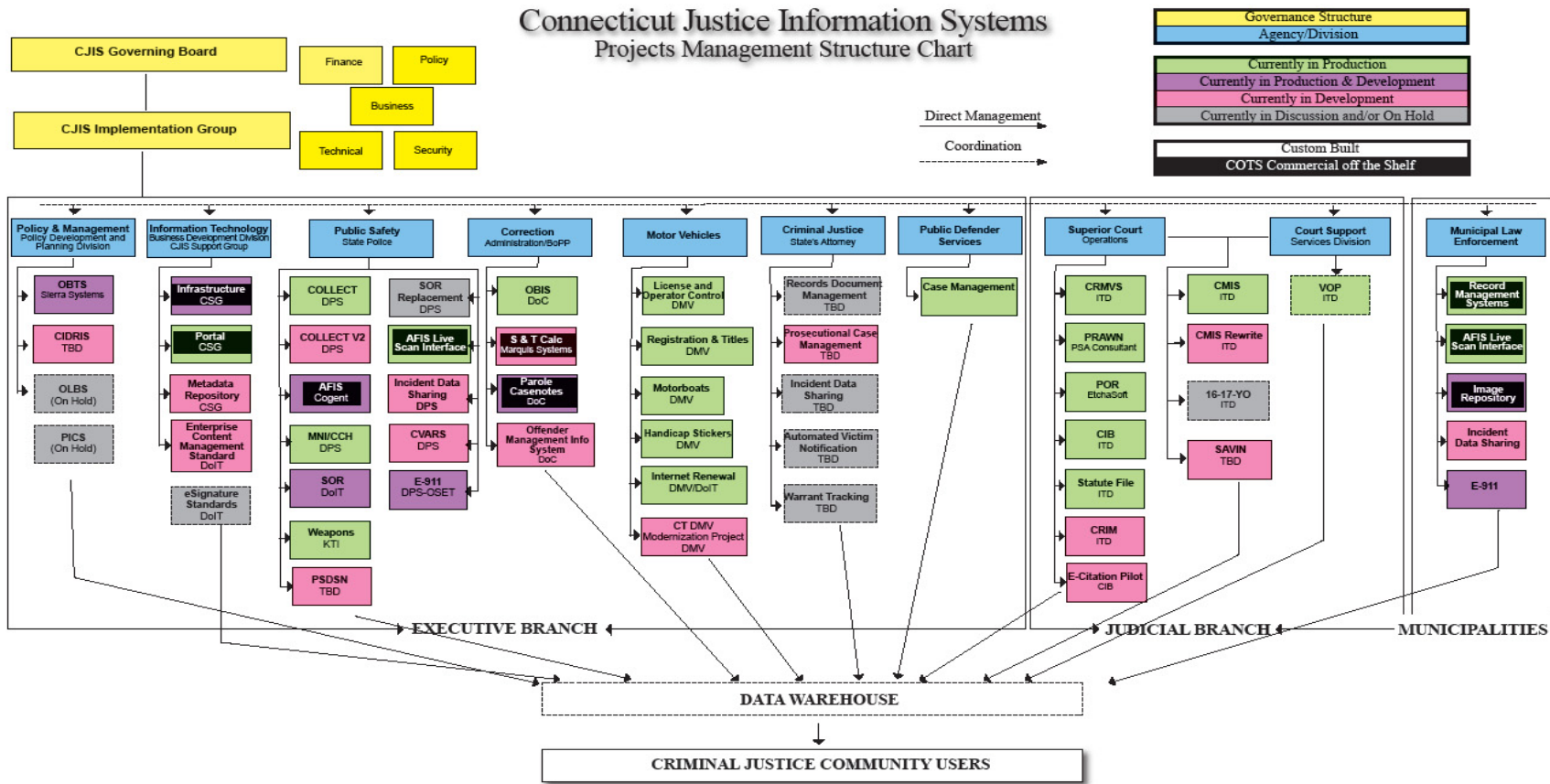
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Discussion

Q&A

Attachment B

Connecticut Justice Information Systems Projects Management Structure Chart



Revision Date: December 2, 2008

Attachment C

October 30, 2008

Contact: Brian Austin, Under Secretary
(860) 418-6394
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Contact: Terry Schnure, Assistant Director
(860) 418-6390
Terry.Schnure@po.state.ct.us

The State of Connecticut will use a Systems Integrator, Sierra Systems, to build the Connecticut Impaired Driving Records Information System (CIDRIS). CIDRIS, acting as a clearinghouse for Operating Under the Influence (OUI) activity within the State of Connecticut, will provide automation and electronic exchange of relevant and appropriate data and documents among law enforcement, the Department of Motor Vehicles (DMV), the Division of Criminal Justice (DCJ), and the Connecticut Superior Court (JUDICIAL). CIDRIS will assist with the adoption of a state law enforcement interface standard, which will be implemented by all current and future Computer Aided Dispatch, Records Management System (CAD/RMS) vendors.

CIDRIS will also include a reporting datamart for OUI activity that will hold the data for a minimum of ten (10) years worth of OUI arrest activity. CIDRIS related reporting is currently available from various agency systems but it is time consuming and requires a great deal of cross department communication. This makes it difficult to obtain ad hoc information that can be used to discover trends and patterns. There are also information gaps that occur when data is not collected or cannot be entered on a timely basis. For example, law enforcement is not able to efficiently review pending OUI cases. "No single system currently tracks pending information, and this is a major gap in regards to effective enforcement." The centralization of this information into the datamart will improve operational, historical, and ad hoc reporting capabilities allowing the tracking of information necessary for reporting on or by agencies, including Connecticut Department of Transportation (DOT) and the National Highway Traffic Safety Administration (NHTSA).

Each year, Connecticut law enforcement officers make approximately 16,000 OUI arrests. The current paper intensive arrest process is time consuming and tedious requiring a volume of paperwork that must be manually prepared by the officer and exchanged with the DMV, DCJ, and JUDICIAL. The resulting transfer of documents and information between the agencies is via paper and completely manual.

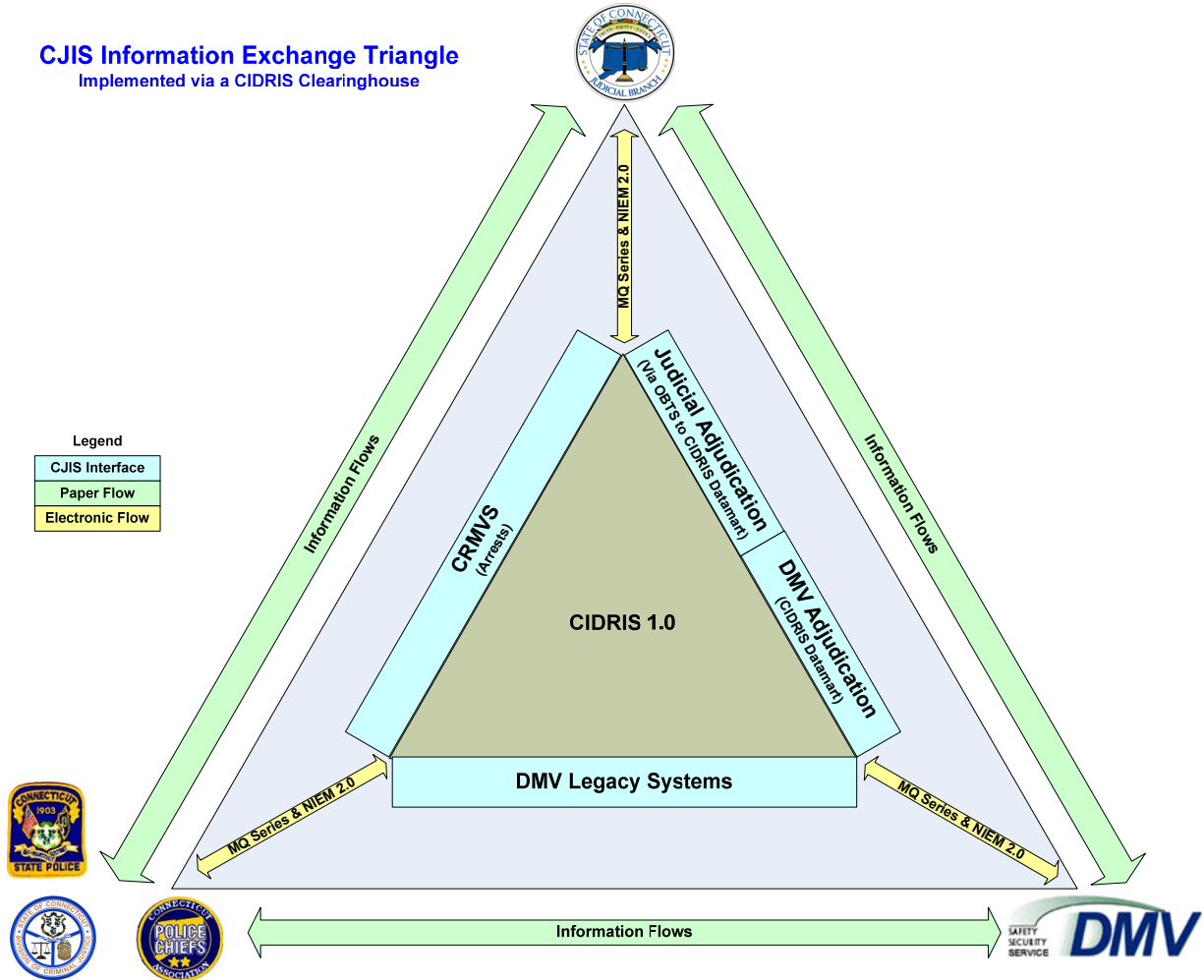
This project will design, develop and implement an information technology solution for the CIDRIS vision. CIDRIS addresses the following high-level objectives:

1. To provide real-time or near real-time information on motor vehicle and criminal OUI arrests.
2. To streamline the OUI process, reducing errors and redundant data capture, and eliminating and minimizing paper between law enforcement, DMV and JUDICIAL.
3. To integrate with Connecticut Justice Information Systems (CJIS) via National Information Exchange Model (NIEM) version 2.0 conformant messaging.
4. To integrate with legacy CJIS systems via IBM Websphere MQ messaging.
5. To integrate with current and future Law Enforcement CAD/RMS systems within Connecticut via NIEM version 2.0 conformant messaging.
6. To align with the DOIT Enterprise Wide Technical Architecture (EWTA) and CJIS Standards.
7. To initiate an architectural framework that will benefit or enhance a traffic citation processing system.
8. To create a data mart that will track the information necessary for reporting on or by agencies, including the DOT and NHTSA.
9. To maintain a full “chain of custody”, including an audit trail, for all case data captured.
10. To support the collection of electronic signatures.

The project will deliver strategic value for future CJIS initiatives, for example to integrate with the DCJ Case Management System, once the ability to electronically share information among law enforcement, the Department of Motor Vehicles, and the Judicial Branch is established.

Conceptually, CIDRIS can be described as a centralized component within a CJIS Information Exchange Triangle (see following diagram):

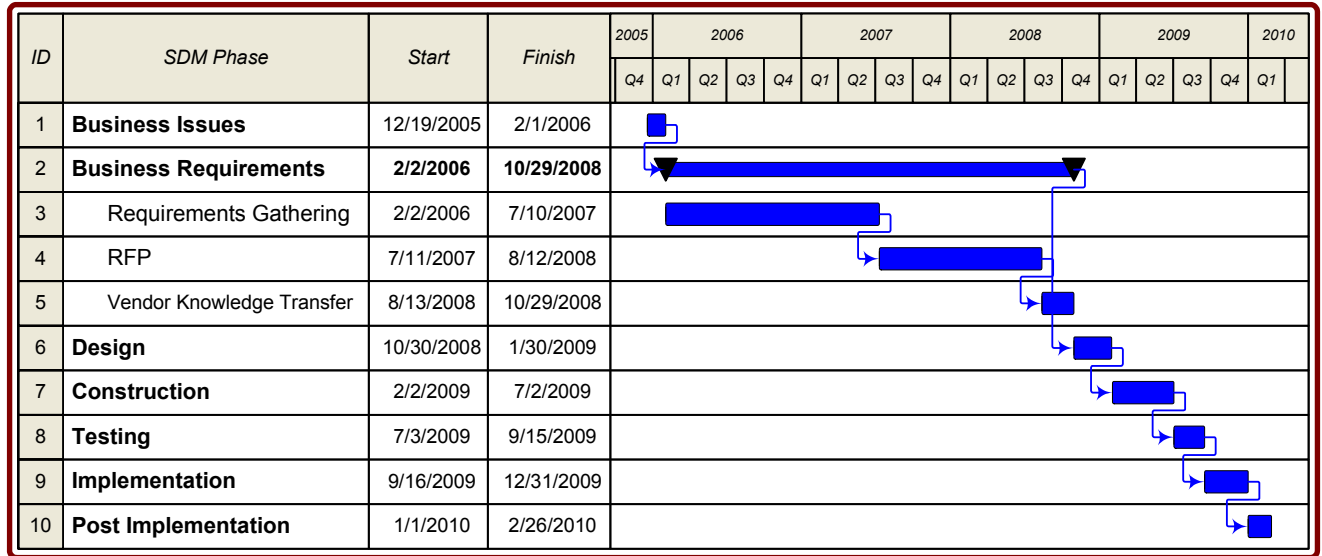
CJIS Information Exchange Triangle
Implemented via a CIDRIS Clearinghouse



Sierra Systems, the CIDRIS System Integrator, commenced work on August 18, 2008. The vendor was selected via a RFP following the DOIT RFP Procurement Process. The amount of the contract award was \$2,438,922 with an estimated vendor effort of fifty-three (53) weeks. The project is currently completing the DOIT System Development Methodology (SDM) Business Requirements Phase and is entering into the SDM Design Phase. The project is currently funded, including a \$1.67 Million grant from the National Highway Traffic Safety Administration (NHTSA).

Based on current project projections the CIDRIS schedule is as follows:

CIDRIS Schedule (high-level Gantt chart)



The following people have been designated by their agencies to participate on the CIDRIS Steering Committee:

Agency	Designees
Office of Policy & Management	Brian Austin (Executive Sponsor) Terry Schnure
Connecticut Police Chiefs Association	Chief Richard Mulhall Chief James Cetran
Division of Criminal Justice	Susan Naide John Russotto
Department of Information Technology	Dean Myshrall Bill Fusick
Department of Motor Vehicles	Lynn Payne George White
Department of Public Safety	Lt Mark Panacionne Sgt Mark Albanese
Department of Transportation	Joseph Cristalli Juliet Little
Judicial Court Operations	Larry D’Orsi Stacey Manware
Judicial Information Systems	Terry Walker Sally Dillon