



## Agency Legislative Proposal - 2016 Session

**Document Name** (e.g. OPM1015Budget.doc; OTG1015Policy.doc): **AA Establishing a State Film Permitting Process**

(If submitting electronically, please label with date, agency, and title of proposal – 092611\_SDE\_TechRevisions)

State Agency: DECD

**Liaison:** Rob Michalik

**Phone:** 860-270-8186

**E-mail:** rob.michalik@ct.gov

Lead agency division requesting this proposal: Office of Film Television and Digital Media

Agency Analyst/Drafter of Proposal: [Click here to enter text.](#)

**Title of Proposal:** AA Establishing a State Film Permitting Process

**Statutory Reference:** [Click here to enter text.](#)

**Proposal Summary:**

This legislation seeks to streamline the permitting process for film projects by making DECD’s Office of Film Television and Digital Media as the single point of contact for entities requesting authority to conduct a film production on state-owned land. It proposes to establish a new state film permit that these entities would need to acquire before seeking approval to film on property controlled by a state agency. This state film permit would serve to substantiate to a state agency that DECD had vetted the entity for the ability (including the appropriate insurance) to conduct film activities.

### PROPOSAL BACKGROUND

◇ **Reason for Proposal**

*Please consider the following, if applicable:*

- (1) *Have there been changes in federal/state/local laws and regulations that make this legislation necessary?*
- (2) *Has this proposal or something similar been implemented in other states? If yes, what is the outcome(s)?*
- (3) *Have certain constituencies called for this action?*
- (4) *What would happen if this was not enacted in law this session?*

A LEAN exercise recommended that the implementation of a state film permitting process. In no way does this legislation seek to supersede the authority of other state agencies to determine whether to allow filming on the land they control. It simply seeks to make the process easier for film companies and state agencies alike.

◇ **Origin of Proposal**

**New Proposal**

**Resubmission**



If this is a resubmission, please share:

- (1) What was the reason this proposal did not pass, or if applicable, was not included in the Administration's package?
- (2) Have there been negotiations/discussions during or after the previous legislative session to improve this proposal?
- (3) Who were the major stakeholders/advocates/legislators involved in the previous work on this legislation?
- (4) What was the last action taken during the past legislative session?

[Click here to enter text.](#)

### PROPOSAL IMPACT

◇ **AGENCIES AFFECTED** (please list for each affected agency)

**Agency Name:** DOT

**Agency Contact (name, title, phone):** Pam Sucato

**Date Contacted:** 1/15/15

Approve of Proposal     **YES**     **NO**     **Talks Ongoing**

**Summary of Affected Agency's Comments**

DOT signed off in 2015. I have a call into them to reconfirm their support.

Will there need to be further negotiation?     **YES**     **NO**

◇ **FISCAL IMPACT** (please include the proposal section that causes the fiscal impact and the anticipated impact)

**Municipal** (please include any municipal mandate that can be found within legislation)

None

**State**

None

**Federal**

None

**Additional notes on fiscal impact**

[Click here to enter text.](#)



◇ **POLICY and PROGRAMMATIC IMPACTS** *(Please specify the proposal section associated with the impact)*

Click here to enter text.



**Insert fully drafted bill here**

Be it enacted by the Senate and House of Representatives in General Assembly convened:

(NEW) (Effective from passage) (a) Pursuant to C.G.S. 32-1p (7), the Commissioner of Economic and Community Development, shall establish guidelines to be used in working with state permitting authorities to issue and administer a Connecticut State Film Permit. Such state permit will indicate permit holder has submitted requisite documents and substantiation to the Department of Economic and Community Development that establish holder's ability to conduct indemnified production operations. Guidelines shall include, but are not limited to, the following: (1) an agency contact point for filing permit applications and for obtaining information on permit requirements; (2) identification of the individual or individuals within each respective agency who shall be a point of contact for an agency permit application; (3) a mandatory review process to reduce permitting issues and/or conflicts by providing guidance to applicants on (A) the permits needed from each agency, (B) specifications for desired onsite production and production-related activities, site suitability, limitations and facility design, and (C) steps the applicant can take to ensure expeditious permit application; (4) a single, coordinated production activity description form, including an equipment checklist, and personnel roster; and (5) if required, a permit fee structure.

(b) The Department of Economic and Community Development, through its Office of Film Television and Digital Media, shall serve as the statewide point of contact for all producers of film, television and digital media productions requesting permission to conduct production activities on state-owned property. This shall include, but is not limited to, all state roads and highways, railroads and train stations, state forests and parks, airports and seaports, hospitals, college and university campuses and the use of any and all other state-owned real or personal property.

(c) The Office of Film Television and Digital Media, at the request of the Commissioner of Economic and Community Development, may request the assistance of any other department, board, commission or other agency of the state to assist in providing information and assistance as may be necessary to expedite its duties and responsibilities under this section. Each officer or employee of such office, department, board, commission or other agency of the state shall make reasonable efforts to cooperate with the Office of Film Television and Digital Media.

(d) The Connecticut State Film Permit will identify and validate entities requesting permission to conduct production activities on Connecticut state property. Subsequent to the issuance of a Connecticut State Film Permit, if determined eligible, binding permission to conduct approved production activities on state-owned property may be granted from the statutorily charged agency or department.



## Agency Legislative Proposal - 2016 Session

**Document Name** (e.g. OPM1015Budget.doc; OTG1015Policy.doc): **AA Establishing the Technology Education and Innovation Fund**

(If submitting electronically, please label with date, agency, and title of proposal – 092611\_SDE\_TechRevisions)

State Agency: [Click here to enter text.](#)

**Liaison:** Rob Michalik

**Phone:** 860-270-8186

**E-mail:** rob.michalik@ct.gov

Lead agency division requesting this proposal:

Agency Analyst/Drafter of Proposal: [Click here to enter text.](#)

**Title of Proposal:** AA Establishing the Technology Education and Innovation Fund

**Statutory Reference:** [Click here to enter text.](#)

### Proposal Summary:

We propose creating a new **Technology Education Innovation Fund** which will work with Business to expand capacity and capability in technology talent across the state. It will have three primary purposes:

- Support educational organizations that provide courses and concentrations in technology
- Create forums and other mechanisms for companies to gain access to emerging technology talent on a timely basis
- Help companies and campuses invest in IT systems (e.g., broadband, tech systems for schools, business systems) to ensure CT is a location where technology-focused businesses want to locate.

## PROPOSAL BACKGROUND

### ◇ Reason for Proposal

*Please consider the following, if applicable:*

- (1) *Have there been changes in federal/state/local laws and regulations that make this legislation necessary?*
- (2) *Has this proposal or something similar been implemented in other states? If yes, what is the outcome(s)?*
- (3) *Have certain constituencies called for this action?*
- (4) *What would happen if this was not enacted in law this session?*

Increasingly, companies are dependent on the expertise of their talent. Many are struggling to find both the information technology expertise to drive deeper understanding and efficiencies into their business model. Across the spectrum of our targeted industries we hear that it is extremely hard to fill all the IT positions in each company. Connecticut is not alone in this phenomenon. Companies throughout the country are finding it more and more difficult to fill all the jobs they have in IT, requiring them to recruit from offshore sources or utilize consulting services. The demand for technology expertise will only accelerate in the next several years as the internet, robotics and innovation continue at a rapid pace, and if



CT wants to remain competitive in this area, we need to make investments for our future – and we need to do so now.

◇ **Origin of Proposal**       **New Proposal**       **Resubmission**

*If this is a resubmission, please share:*

- (1) *What was the reason this proposal did not pass, or if applicable, was not included in the Administration’s package?*
- (2) *Have there been negotiations/discussions during or after the previous legislative session to improve this proposal?*
- (3) *Who were the major stakeholders/advocates/legislators involved in the previous work on this legislation?*
- (4) *What was the last action taken during the past legislative session?*

[Click here to enter text.](#)

**PROPOSAL IMPACT**

◇ **AGENCIES AFFECTED** *(please list for each affected agency)*

<b>Agency Name:</b> <b>Agency Contact (name, title, phone):</b> <b>Date Contacted:</b>
Approve of Proposal <input type="checkbox"/> <b>YES</b> <input type="checkbox"/> <b>NO</b> <input type="checkbox"/> <b>Talks Ongoing</b>
<b>Summary of Affected Agency’s Comments</b>
Will there need to be further negotiation? <input type="checkbox"/> <b>YES</b> <input type="checkbox"/> <b>NO</b>

◇ **FISCAL IMPACT** *(please include the proposal section that causes the fiscal impact and the anticipated impact)*

<b>Municipal</b> <i>(please include any municipal mandate that can be found within legislation)</i> None
<b>State</b> Requesting \$25MM in bond funding.
<b>Federal</b>



None

**Additional notes on fiscal impact**

[Click here to enter text.](#)

◇ **POLICY and PROGRAMMATIC IMPACTS** *(Please specify the proposal section associated with the impact)*

[Click here to enter text.](#)



**Insert fully drafted bill here**

Be it enacted by the Senate and House of Representatives in General Assembly convened:

(NEW) Section 1. As used in this and subsequent sections of this act:

(1) "Administrative costs" means the costs paid or incurred by the administrator, including, but not limited to, peer review costs, professional fees, allocated staff costs and other out-of-pocket costs attributable to the administration and operation of the Connecticut Technology Education and Innovation Fund;

(2) "Administrator" means the Department of Economic and Community Development;

(3) "Advisory board" means the Connecticut Technology Education and Innovation Fund Advisory Board;

(4) "Eligible recipient" means (A) a company or nonprofit organization desiring funding to support information technology improvements, information technology training, or other solutions to support growth in businesses that rely on technology; or (B) an institution of higher education, a local education agency, or a state or federal education and training program designed to meet an anticipated demand for appropriately skilled and trained information technology workers;

(5) "Financial assistance" means any and all forms of grants, extensions of credit, loans or loan guarantees, equity investments or other forms of financing; and

(6) "Return on investment" means any and all forms of principal or interest payments, guarantee fees, returns on equity investments, royalties, options, warrants and debentures and all other forms of remuneration to the administrator in return for any financial assistance offered or provided.

(New) Section 2. (a) There is established a Connecticut Technology Education and Innovation Advisory Board that shall consist of the following members: (1) Four appointed by the Governor; (2) one appointed by the president pro tempore of the Senate; (3) one appointed by the speaker of the House of Representatives; (4) one appointed by the majority leader of the Senate; (5) one appointed by the majority leader of the House of Representatives; (6) one appointed by the minority leader of the Senate; (7) one appointed by the minority leader of the House of Representatives; and (8) the Commissioner of Economic and Community Development, or the commissioner's designee, who shall serve as the chairperson of the advisory board. Each appointed member shall (A) have skill, knowledge and experience with information technology, including computer science and programming, software engineering, database implementation and management, or network design, administration and security; (B) be in a businesses with significant information technology needs, including those in healthcare, financial services, insurance, and manufacturing or serve in a professional organization representing businesses; (C) be a faculty or administrative member in a college or university or other education institution . All initial appointments to the advisory board pursuant to this subsection shall be



made not later than July 1, 2016. Appointed members shall each serve a term that is coterminous with the respective appointing authority. Each member shall hold office until a successor is appointed. Any vacancy occurring on the advisory board, other than by expiration of term, shall be filled in the same manner as the original appointment for the balance of the unexpired term.

(b) The chairperson shall call the first meeting of the advisory board not later than September 30, 2016. The advisory board shall meet at such times as the chairperson deems necessary.

(c) No member of the advisory board shall receive compensation for such member's services, except that each member shall be entitled to reimbursement for actual and necessary expenses incurred in the performance of such member's official duties.

(d) A majority of the members of said advisory board shall constitute a quorum for the transaction of any business or the exercise of any power of the advisory board. The advisory board may act by a majority of the members present at any meeting at which a quorum is in attendance, for the transaction of any business or the exercise of any power of the advisory board, except as otherwise provided in this section.

(e) Notwithstanding any provision of the general statutes, it shall not constitute a conflict of interest for a trustee, director, partner, officer, manager, shareholder, proprietor, counsel or employee of an eligible recipient, or any individual with a financial interest in an eligible recipient, to serve as a member of the advisory board, provided such trustee, director, partner, officer, manager, shareholder, proprietor, counsel, employee or individual shall abstain from deliberation, action or vote by the advisory board concerning any matter relating to such eligible recipient.

(NEW) Section 3. (a) There is established the Connecticut Technology Education and Innovation Fund, which shall be a nonlapsing fund held by the Treasurer separate and apart from all other moneys, funds and accounts. The following moneys shall be deposited in the fund: (1) Any moneys required or permitted by law to be deposited in the fund; (2) all private contributions, gifts, grants, donations, bequests or devises received by the fund; and (3) to the extent not otherwise prohibited by state or federal law, any local, state or federal funds received by the fund. Investment earnings credited to the assets of such fund shall become part of the assets of such fund. The Treasurer shall invest the moneys held by the Connecticut Technology Education and Innovation Fund subject to use for financial assistance in accordance with subsections (d) of this section.

(b) Any moneys held in the Connecticut Technology Education and Innovation Fund may, pending the use or application of the proceeds thereof for an authorized purpose, be (1) invested and reinvested in such obligations, securities and investments as are set forth in subsection (f) of section 3-20, in participation certificates in the Short Term Investment Fund created under sections 3-27a and 3-27f and in participation certificates or securities of the Tax-Exempt Proceeds Fund created under section 3-24a, (2) deposited or redeposited in any bank or banks, at the direction of the Treasurer, or (3) invested in participation units in the combined investment funds, as defined in section 3-31b. Proceeds from investments authorized by this subsection shall be credited to the Connecticut Technology Education and Innovation Fund.



(c) The Connecticut Technology Education and Innovation Fund shall not be deemed an account within the General Fund. The moneys of the fund shall be used in accordance with the provisions of subsections (d) of this section and are in addition to any other resources available from state, federal or other entities that support the purposes described in subsections (d) of this section.

(d) The Connecticut Technology Education and Innovation Fund shall be used: (1) To provide financial assistance to eligible recipients as may be approved by the Connecticut Technology Education and Innovation Advisory Board pursuant to subsection (e) of this section, and (2) to pay or reimburse the administrator for administrative costs pursuant to subsection (j) of this section. Such financial assistance shall be awarded for: (A) Furthering the development or expansion of education and training programs related to information technology; (B) creating forums, tools, and platforms for companies to gain access to and learn about emerging technologies and talent; (C) supporting the development of appropriately skilled and trained workers; (D) assisting businesses in making information technology investments; and (E) any other technology-based purpose that the Connecticut Technology Education and Innovation Advisory Board approves pursuant to subsection (e) of this section.

(e) All expenditures from the Connecticut Technology Education and Innovation Fund, except for administrative costs reimbursed to the administrator pursuant to subsection (j) of this section, shall be approved by the advisory board, provided the advisory board may delegate to staff of the administrator the approval of transactions not greater than one hundred thousand dollars. Any such approval by the advisory board shall be (1) specific to an individual expenditure to be made; (2) for budgeted expenditures with such variations as the advisory board may authorize at the time of such budget approval; or (3) for a financial assistance program to be administered by staff of the administrator, subject to limits, eligibility requirements and other conditions established by the Connecticut Technology Education and Innovation Advisory Board at the time of such program approval.

(f) The administrator shall provide any necessary staff, office space, office systems and administrative support for the operation of the Connecticut Technology Education and Innovation Fund in accordance with this section. In acting as administrator of the fund, the Department of Economic and Community Development shall have and may exercise all of the powers set forth in this chapter, provided expenditures from the fund shall be approved by the Connecticut Technology Education and Innovation Advisory Board pursuant to subsection (g) of this section.

(g) The Connecticut Technology Education and Innovation Advisory Board shall establish an application and approval process with guidelines and terms for financial assistance awarded from Connecticut Technology Education and Innovation Fund to any eligible recipient. Such guidelines and terms shall include: (1) A requirement that any applicant for financial assistance operate in the state, or propose to relocate operations to the state, in whole or in part, as a condition of such financial assistance; (2) limitations on the total amount of financial assistance that may be awarded in the form of loans and grants; (3) eligibility requirements for loans and grants, including a requirement for applicants to obtain matching funds from nonstate sources; (4) a process for preliminary review of applications for strength and eligibility by the administrator before such applications are presented to



the advisory board for consideration; (5) return on investment objectives, including, but not limited to, job growth and leveraged investment opportunities; and (6) such other guidelines and terms as the advisory board determines to be necessary and appropriate in furtherance of the objectives of this section.

(h) Financial assistance awarded from the Connecticut Technology Education and Innovation Fund to eligible recipients shall be used for costs related to facilities, necessary furniture, fixtures, technology and equipment, materials and supplies, proof of concept or relevance, research and development, compensation, and such other costs that the Connecticut Technology Education and Innovation Advisory Board determines pursuant to subsection (g) of this section to be eligible for financial assistance within the purposes of this section.

(i) On or before July 1, 2017, and prior to each fiscal year thereafter, the administrator shall prepare a plan of operations and an operating and capital budget for the Connecticut Technology Education and Innovation Fund, provided not later than ninety days prior to the start of each fiscal year, the administrator shall submit such plan and budget to the Connecticut Technology Education and Innovation Advisory Board for its review and approval.

(j) Administrative costs shall be paid or reimbursed to the administrator from the Connecticut Technology Education and Innovation Fund, provided the total of such administrative costs in any fiscal year shall not exceed five per cent of the total amount of the allotted funding for such fiscal year as determined in the operating budget prepared pursuant to subsection (l) of this section. Nothing in this section or section 32-7n shall be deemed to require the administrator to risk or expend the funds of the Department of Economic and Community Development in connection with the administration of the Connecticut Technology Education and Innovation Fund.

(k) Not later than January 1, 2018, and annually thereafter, the administrator shall provide a report of the activities of the Connecticut Technology Education and Innovation Fund to the Connecticut Technology Education and Innovation Advisory Board for the advisory board's review and approval. Upon such approval, the advisory board shall provide such report, in accordance with the provisions of section 11-4a, to the joint standing committee of the General Assembly having cognizance of matters relating to commerce. Such report shall contain available information on the status and progress of the operations and funding of the Connecticut Technology Education and Innovation Fund and the types, amounts and recipients of financial assistance awarded and any returns on investment.



## Agency Legislative Proposal - 2016 Session

**Document Name** (e.g. OPM1015Budget.doc; OTG1015Policy.doc): **AAC Improvements to the Small Business Express Program**

(If submitting electronically, please label with date, agency, and title of proposal – 092611\_SDE\_TechRevisions)

State Agency: DECD

**Liaison:** Rob Michalik

**Phone:** 860-270-8186

**E-mail:** rob.michalik@ct.gov

Lead agency division requesting this proposal:

Agency Analyst/Drafter of Proposal: [Click here to enter text.](#)

**Title of Proposal: AAC Improvements to the Small Business Express Program**

**Statutory Reference:** 32-7g

### **Proposal Summary:**

DECD plans on eliminating the EXP requirement that businesses be in existence for twelve months in order to be eligible for assistance under the program. This would allow DECD to fund some promising start-up companies – companies that perhaps, for whatever reason, were unable to achieve funding through Connecticut Innovations, for example. The agency also seeks to establish a new program with private sector lenders to increase businesses' access to capital.

## PROPOSAL BACKGROUND

### ◇ Reason for Proposal

*Please consider the following, if applicable:*

- (1) *Have there been changes in federal/state/local laws and regulations that make this legislation necessary?*
- (2) *Has this proposal or something similar been implemented in other states? If yes, what is the outcome(s)?*
- (3) *Have certain constituencies called for this action?*
- (4) *What would happen if this was not enacted in law this session?*

[Click here to enter text.](#)

### ◇ Origin of Proposal

New Proposal

Resubmission

*If this is a resubmission, please share:*

- (1) *What was the reason this proposal did not pass, or if applicable, was not included in the Administration's package?*
- (2) *Have there been negotiations/discussions during or after the previous legislative session to improve this proposal?*
- (3) *Who were the major stakeholders/advocates/legislators involved in the previous work on this legislation?*
- (4) *What was the last action taken during the past legislative session?*

In 2015, a version of this bill failed on House floor due to concerns about certain provisions, which have since been removed.



## **PROPOSAL IMPACT**

### ◇ **AGENCIES AFFECTED** *(please list for each affected agency)*

<b>Agency Name:</b> <b>Agency Contact (<i>name, title, phone</i>):</b> <b>Date Contacted:</b>
Approve of Proposal <input type="checkbox"/> <b>YES</b> <input type="checkbox"/> <b>NO</b> <input type="checkbox"/> <b>Talks Ongoing</b>
<b>Summary of Affected Agency's Comments</b>
Will there need to be further negotiation? <input type="checkbox"/> <b>YES</b> <input type="checkbox"/> <b>NO</b>

### ◇ **FISCAL IMPACT** *(please include the proposal section that causes the fiscal impact and the anticipated impact)*

<b>Municipal</b> <i>(please include any municipal mandate that can be found within legislation)</i> None
<b>State</b> None
<b>Federal</b> None
<b>Additional notes on fiscal impact</b> Click here to enter text.

### ◇ **POLICY and PROGRAMMATIC IMPACTS** *(Please specify the proposal section associated with the impact)*

Click here to enter text.
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**Insert fully drafted bill here**

Be it enacted by the Senate and House of Representatives in General Assembly convened:

Section 1. Section 32-7g of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2016*):

(a) There is established within the Department of Economic and Community Development the Small Business Express program. Said program shall provide small businesses with various forms of financial assistance, using a streamlined application process to expedite the delivery of such assistance. The Commissioner of Economic and Community Development, at his or her discretion, may partner with the lenders in the Connecticut Credit Consortium, established pursuant to section 32-9yy, in order to fulfill the requirements of this section. A small business eligible for assistance through said program shall [**as of June 15, 2012,**] (1) employ [**on at least fifty per cent of its working days during the preceding twelve months,**] not more than one hundred employees, (2) have operations in Connecticut, [**(3) have been registered to conduct business for not less than twelve months, and (4)**] and (3) be in good standing with the payment of all state and local taxes and with all state agencies.

(b) The Small Business Express program shall consist of various components, including (1) a revolving loan fund, as described in subsection (d) of this section, to support small business growth, (2) a job creation incentive component, as described in subsection (e) of this section, to support hiring, and (3) a matching grant component, as described in subsection (f) of this section, to provide capital to small businesses that can match the state grant amount. It may include a subprogram, established in collaboration with private sector lenders doing business in Connecticut, as described in subsection (g) of this section, to provide small businesses in the state with access to capital. The Commissioner of Economic and Community Development shall work with eligible small business applicants to provide a package of assistance using the financial assistance provided by the Small Business Express program and may refer small business applicants to the



Subsidized Training and Employment program established pursuant to section 31-3pp and any other appropriate state program. Notwithstanding the provisions of section 32-5a regarding relocation limits, the department may require, as a condition of receiving financial assistance pursuant to this section, that a small business receiving such assistance shall not relocate, as defined in [said] section 32-5a, for five years after receiving such assistance or during the term of the loan, whichever is longer. All other conditions and penalties imposed pursuant to [said] section 32-5a shall continue to apply to such small business.

(c) The commissioner shall establish a streamlined application process for the Small Business Express program. The small business applicant may receive assistance pursuant to said program not later than thirty days after submitting a completed application to the department. Any small business meeting the eligibility criteria in subsection (a) of this section may apply to said program. The commissioner shall give priority for available funding to small businesses creating jobs and may give priority for available funding to (1) economic base industries, as defined in subsection (d) of section 32-222, including, but not limited to, those in the fields of precision manufacturing, business services, green and sustainable technology, bioscience and information technology, and (2) businesses attempting to export their products or services to foreign markets.

(d) (1) There is established as part of the Small Business Express program a revolving loan fund to provide loans to eligible small businesses. Such loans shall be used for acquisition or purchase of machinery and equipment, construction or leasehold improvements, relocation expenses, working capital or other business-related expenses, as authorized by the commissioner.

(2) Loans from the revolving loan fund may be in amounts from ten thousand dollars to a maximum of one hundred thousand dollars, shall carry a maximum repayment rate of four per cent and shall be for a term of not more than ten years. The department shall review and approve loan terms, conditions and collateral requirements in a manner that prioritizes job growth and retention.



(3) Any eligible small business meeting the eligibility criteria in subsection (a) of this section may apply for assistance from the revolving loan fund, but the commissioner shall give priority to applicants that, as part of their business plan, are creating new jobs that will be maintained for not less than twelve consecutive months.

(e) (1) There is established as part of the Small Business Express program a job creation incentive component to provide loans for job creation to small businesses meeting the eligibility criteria in subsection (a) of this section, with the option of loan forgiveness based on the maintenance of an increased number of jobs for not less than twelve consecutive months. Such loans may be used for training, marketing, working capital or other expenses, as approved by the commissioner, that support job creation.

(2) Loans under the job creation incentive component may be in amounts from ten thousand dollars to a maximum of three hundred thousand dollars, shall carry a maximum repayment rate of four per cent and shall be for a term of not more than ten years. Payments on such loans may be deferred, and all or part of such loan may be forgiven, based upon the commissioner's assessment of the small business's attainment of job creation goals. The department shall review and approve loan terms, conditions and collateral requirements in a manner that prioritizes job creation.

(f) (1) There is established as part of the Small Business Express program a matching grant component to provide grants for capital to small businesses meeting the eligibility criteria in subsection (a) of this section. Such small businesses shall match any state funds awarded under this program. Grant funds may be used for ongoing or new training, working capital, acquisition or purchase of machinery and equipment, construction or leasehold improvements, relocation within the state or other business-related expenses authorized by the commissioner.

(2) Matching grants provided under the matching grant component may be in amounts from ten thousand dollars to a maximum of one hundred thousand dollars. The commissioner shall prioritize applicants for matching



grants based upon the likelihood that such grants will assist applicants in maintaining job growth.

(3) The commissioner may waive the matching requirement for grants under this subsection for working capital to small businesses located within distressed municipalities, as defined in section 32-9p.

(g) The commissioner, in collaboration with private sector lenders doing business in Connecticut, may establish, as part of the Small Business Express program, a subprogram to provide small businesses in the state with access to capital. Such capital shall be used for acquisition or purchase of machinery and equipment, construction or leasehold improvements, relocation expenses, working capital or other business-related expenses, as authorized by the commissioner. The commissioner may allocate not more than ten per cent of available funding under the Small Business Express program to such subprogram.

[(g)] (h) Not later than June 30, 2012, and every six months thereafter, the commissioner shall provide a report, in accordance with the provisions of section 11-4a, to the joint standing committees of the General Assembly having cognizance of matters relating to finance, revenue and bonding, appropriations, commerce and labor. Such report shall include available data on (1) the number of small businesses that applied to the Small Business Express program, (2) the number of small businesses that received assistance under said program and the general categories of such businesses, (3) the amounts and types of assistance provided, (4) the total number of jobs on the date of application and the number proposed to be created or retained, and (5) the most recent employment figures of the small businesses receiving assistance. The contents of such report shall also be included in the department's annual report.



## Agency Legislative Proposal - 2016 Session

**Document Name** (e.g. OPM1015Budget.doc; OTG1015Policy.doc): **AAC State Caps on Financial Assistance**

(If submitting electronically, please label with date, agency, and title of proposal – 092611\_SDE\_TechRevisions)

State Agency: DECD
<b>Liaison:</b> Rob Michalik <b>Phone:</b> 860-270-8186 <b>E-mail:</b> rob.michalik@ct.gov
Lead agency division requesting this proposal: <a href="#">Click here to enter text.</a>
Agency Analyst/Drafter of Proposal: <a href="#">Click here to enter text.</a>

<b>Title of Proposal:</b> AAC State Caps on Financial Assistance
<b>Statutory Reference:</b> 32-9t and 32-462
<b>Proposal Summary:</b> Propose increasing the thresholds for state legislative approval for MAA financial assistance from \$10 million to \$20 million and URA tax credits from \$20 million to \$40 million.

### PROPOSAL BACKGROUND

#### ◇ Reason for Proposal

*Please consider the following, if applicable:*

- (1) Have there been changes in federal/state/local laws and regulations that make this legislation necessary?
- (2) Has this proposal or something similar been implemented in other states? If yes, what is the outcome(s)?
- (3) Have certain constituencies called for this action?
- (4) What would happen if this was not enacted in law this session?

Decreasing the need for legislative approvals by increasing the caps for financial assistance and URA tax credits will allow the department to be more nimble in its dealings with companies. Legislative approvals can create delays (often many months) that can negatively impact time-sensitive projects. Furthermore, companies are often not willing or able to disclose information about a potential move or expansion until it is firm. Finally, other states we compete with do not require a legislative approval for any size assistance package, which makes our process uncompetitive.

#### ◇ Origin of Proposal      New Proposal      Resubmission

*If this is a resubmission, please share:*

- (1) What was the reason this proposal did not pass, or if applicable, was not included in the Administration's package?
- (2) Have there been negotiations/discussions during or after the previous legislative session to improve this proposal?
- (3) Who were the major stakeholders/advocates/legislators involved in the previous work on this legislation?
- (4) What was the last action taken during the past legislative session?

This proposal has been introduced a few times in years past. Some version of the legislation has passed the Commerce Committee for a few years running. However, the Finance



Committee has often failed to take it up, including in 2015.

### **PROPOSAL IMPACT**

#### ◇ **AGENCIES AFFECTED** *(please list for each affected agency)*

**Agency Name:**

**Agency Contact (*name, title, phone*):** [Click here to enter text.](#)

**Date Contacted:** [Click here to enter text.](#)

Approve of Proposal     **YES**     **NO**     **Talks Ongoing**

**Summary of Affected Agency's Comments**

Will there need to be further negotiation?     **YES**     **NO**

#### ◇ **FISCAL IMPACT** *(please include the proposal section that causes the fiscal impact and the anticipated impact)*

**Municipal** *(please include any municipal mandate that can be found within legislation)*

None

**State**

None

**Federal**

None

**Additional notes on fiscal impact**

[Click here to enter text.](#)

#### ◇ **POLICY and PROGRAMMATIC IMPACTS** *(Please specify the proposal section associated with the impact)*



Click here to enter text.



**Insert fully drafted bill here**

Sec. 2. Subsection (q) of section 32-9t of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2016*):

(q) (1) Any tax credits approved under this section that would constitute in excess of [twenty] forty million dollars in total for a single investment shall be submitted by the Commissioner of Economic and Community Development to the joint standing committee of the General Assembly having cognizance of matters relating to finance, revenue and bonding prior to the issuance of a certificate of eligibility for such investment. Said committee shall have thirty days from the date such project is submitted to convene a meeting to recommend approval or disapproval of such investment. If such submittal is withdrawn, altered, amended or otherwise changed, and resubmitted, said committee shall have thirty days from the date of such resubmittal to convene a meeting to recommend approval or disapproval of such investment. If said committee does not act on a submittal or resubmittal, as the case may be, within that time, the investment shall be deemed to be approved by said committee.

(2) While the General Assembly is in session, the House of Representatives or the Senate, or both, may meet not later than thirty days following the date said committee makes a recommendation pursuant to subdivision (1) of this subsection. If such submission is not disapproved by the House of Representatives or the Senate, or both, within such time, the commissioner may issue such certificate.

(3) While the General Assembly is not in regular session, the House of Representatives or the Senate, or both, may meet not later than thirty days following the date said committee makes a recommendation pursuant to subdivision (1) of this subsection. If such submission is not disapproved by the House of Representatives, the Senate, or both, within such time, the commissioner may issue such certificate.

Sec. 3. Section 32-462 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective July 1, 2016*):

(a) As used in this section:

(1) "Agency" means the Department of Economic and Community Development or Connecticut Innovations, Incorporated.

(2) "Financial assistance" means grants, loans, loan guarantees, contracts of insurance, investments, or combinations thereof, which are provided from the proceeds of bonds, notes or other obligations of the state or an agency [which] that constitute a debt or liability of the



state or [which] that are secured by a special capital reserve fund payable from amounts appropriated or deemed appropriated from the General Fund.

(3) "Applicant" means any eligible applicant seeking financial assistance from an agency for a business project. The term "applicant" shall not include any political subdivision of the state.

(4) "Business project" means a business proposal undertaken by one or more applicants, but does not include housing unless undertaken in combination with another unrelated type of business.

(5) "Biotechnology business project" means any commercial project to be used or occupied by any person to conduct laboratory activity relating to, or the research, development or manufacture of, biologically active molecules or devices that apply to, affect or analyze biological processes.

(b) (1) No agency or agencies may award more than a total of [ten] twenty million dollars of financial assistance during any two-year period to an applicant or for a business project unless such financial assistance is specifically authorized by an act of the General Assembly. [which has been enacted before, on or after July 1, 1994.] (2) The provisions of subdivision (1) of this subsection shall not apply to any awards funded or to be funded by bonds authorized to be issued by the State Bond Commission before July 1, 1994.

(c) Notwithstanding the provisions of subsection (b) of this section, no agency or agencies may award more than [twenty] forty million dollars of financial assistance for a biotechnology business project during any two-year period unless such financial assistance is specifically authorized by an act of the General Assembly. [which has been enacted before, on or after July 1, 2001.]



## Agency Legislative Proposal - 2016 Session

**Document Name** (e.g. OPM1015Budget.doc; OTG1015Policy.doc): **AAC the Connecticut Arts Endowment Fund**

(If submitting electronically, please label with date, agency, and title of proposal – 092611\_SDE\_TechRevisions)

State Agency: DECD

**Liaison:** Rob Michalik

**Phone:** 860-270-8186

**E-mail:** rob.michalik@ct.gov

Lead agency division requesting this proposal:

Agency Analyst/Drafter of Proposal: [Click here to enter text.](#)

**Title of Proposal:** AAC the Connecticut Arts Endowment Fund

**Statutory Reference:** 10-406 & 10-407

**Proposal Summary:**

We propose making various changes to the Connecticut Arts Endowment Fund. Among the changes are:

- 1) Allow Treasury to invest this fund more like a regular endowment, providing more funds for arts organizations for operating expenses. This will increase our ability to draw more off the fund (which has fallen from \$800,000/year to \$375,000).
- 2) Reduce the minimum amount of money that arts organizations must raise in order to be eligible for endowment funding from \$25,000 in contributions to \$15,000. We have found that the current amount has been challenging for minority non-profit arts orgs to raise.
- 3) Set a floor for the fund payouts, as we are currently issuing checks for as little as \$100. Suggested base: \$500.

### PROPOSAL BACKGROUND

◇ **Reason for Proposal**

*Please consider the following, if applicable:*

- (1) *Have there been changes in federal/state/local laws and regulations that make this legislation necessary?*
- (2) *Has this proposal or something similar been implemented in other states? If yes, what is the outcome(s)?*
- (3) *Have certain constituencies called for this action?*
- (4) *What would happen if this was not enacted in law this session?*

The Arts Endowment provides any arts not-for-profit raising more than \$25,000/year to get a formulaic fund amount annually. Changes are needed to help make the endowment a greater source of operating funds for Arts and Cultural organizations in the state over time, eliminating the need for so many line items in the general fund:



**Origin of Proposal**       **New Proposal**       **Resubmission**

*If this is a resubmission, please share:*  
(1) *What was the reason this proposal did not pass, or if applicable, was not included in the Administration’s package?*  
(2) *Have there been negotiations/discussions during or after the previous legislative session to improve this proposal?*  
(3) *Who were the major stakeholders/advocates/legislators involved in the previous work on this legislation?*  
(4) *What was the last action taken during the past legislative session?*

[Click here to enter text.](#)

**PROPOSAL IMPACT**

**AGENCIES AFFECTED** *(please list for each affected agency)*

<b>Agency Name:</b> <b>Agency Contact (name, title, phone):</b> <b>Date Contacted:</b>  Approve of Proposal <input type="checkbox"/> <b>YES</b> <input type="checkbox"/> <b>NO</b> <input type="checkbox"/> <b>Talks Ongoing</b>
<b>Summary of Affected Agency’s Comments</b>
Will there need to be further negotiation? <input type="checkbox"/> <b>YES</b> <input type="checkbox"/> <b>NO</b>

**FISCAL IMPACT** *(please include the proposal section that causes the fiscal impact and the anticipated impact)*

<b>Municipal</b> <i>(please include any municipal mandate that can be found within legislation)</i> None
<b>State</b> To the extent that the Endowment is utilized in place of General Fund revenues to assist arts organizations, its impact would be positive.
<b>Federal</b> None
<b>Additional notes on fiscal impact</b> <a href="#">Click here to enter text.</a>



◇ **POLICY and PROGRAMMATIC IMPACTS** *(Please specify the proposal section associated with the impact)*

Click here to enter text.



**Insert fully drafted bill here**

Be it enacted by the Senate and House of Representatives in General Assembly convened:

Section 1. Sec. 10-406 of the general statutes is repealed and the following is substituted in lieu thereof (Effective July 1, 2016):

There is created a "Connecticut Arts Endowment Fund". The proceeds of any bonds issued for the purposes of sections 10-405 to 10-408, inclusive, shall be deposited in said fund. The State Treasurer shall invest the proceeds of the fund and the investment earnings shall be credited to and become part of the fund. Annually, on or before September first, the Treasurer shall notify the department and the Connecticut Arts Council of the total amount of investment earnings of the fund for the prior fiscal year. The Treasurer shall disburse to the department up to five percent of the market value of the fund as of June 30, as determined by the department, and such amount shall be available to the department for payments pursuant to sections 10-407 and 10-408. Any balance remaining in the fund at the end of each fiscal year shall be carried forward in the fund for the succeeding fiscal year.

Section 2. Sec. 10-407 of the general statutes is repealed and the following is substituted in lieu thereof (Effective July 1, 2016):

(a) To be eligible for a matching grant for a fiscal year pursuant to this section and section 10-408, total donor contributions for the fiscal year for which such amount is calculated shall be not less than ~~[twenty-five]~~ fifteen thousand dollars.

(b) For the portion of total donor contributions for the fiscal year which is equal to ~~[twenty-five]~~ fifteen thousand dollars or more but does not exceed the total donor contributions for the prior fiscal year, there shall be a match of twenty-five per cent of such amount, provided no match pursuant to this subsection shall exceed two hundred fifty thousand dollars.

(c) For the portion of total donor contributions for the fiscal year which exceeds the total donor contributions for the prior fiscal year, there shall be a match of one hundred per cent of such amount, provided no match pursuant to this subsection shall exceed one million dollars.

(d) If in any fiscal year the total amount of matching grants to be paid pursuant to the provisions of this section and section 10-408, exceed the investment earnings of the Arts Endowment Fund which are available for payments to arts organizations pursuant to section 10-406, all such matching grants shall be reduced on a pro rata basis, provided that no grant amount less than five hundred dollars shall be issued.

(e) No arts organization that has received or will receive funding pursuant to a line item within the budget of the Department of Economic and Community Development for the current fiscal year shall be eligible for a matching grant pursuant to this section and section 10-408.



## Agency Legislative Proposal - 2016 Session

**Document Name** (e.g. OPM1015Budget.doc; OTG1015Policy.doc): **AA Establishing a State Film Permitting Process**

(If submitting electronically, please label with date, agency, and title of proposal – 092611\_SDE\_TechRevisions)

State Agency: [Click here to enter text.](#)

**Liaison:** Rob Michalik

**Phone:** 860-270-8186

**E-mail:** rob.michalik@ct.gov

Lead agency division requesting this proposal: Office of Film Television and Digital Media

Agency Analyst/Drafter of Proposal: [Click here to enter text.](#)

**Title of Proposal:** AA Establishing a State Film Permitting Process

**Statutory Reference:** [Click here to enter text.](#)

**Proposal Summary:**

This legislation seeks to streamline the permitting process for film projects by making DECD's Office of Film Television and Digital Media the statewide point of contact.

### PROPOSAL BACKGROUND

◇ **Reason for Proposal**

*Please consider the following, if applicable:*

- (1) *Have there been changes in federal/state/local laws and regulations that make this legislation necessary?*
- (2) *Has this proposal or something similar been implemented in other states? If yes, what is the outcome(s)?*
- (3) *Have certain constituencies called for this action?*
- (4) *What would happen if this was not enacted in law this session?*

[Click here to enter text.](#)

◇ **Origin of Proposal**

**New Proposal**

**Resubmission**

*If this is a resubmission, please share:*

- (1) *What was the reason this proposal did not pass, or if applicable, was not included in the Administration's package?*
- (2) *Have there been negotiations/discussions during or after the previous legislative session to improve this proposal?*
- (3) *Who were the major stakeholders/advocates/legislators involved in the previous work on this legislation?*
- (4) *What was the last action taken during the past legislative session?*

[Click here to enter text.](#)



## **PROPOSAL IMPACT**

### ◇ **AGENCIES AFFECTED** *(please list for each affected agency)*

<b>Agency Name:</b> DOT <b>Agency Contact (<i>name, title, phone</i>):</b> Pam Sucato <b>Date Contacted:</b> 1/15/15  Approve of Proposal <input checked="" type="checkbox"/> <b>YES</b> <input type="checkbox"/> <b>NO</b> <input type="checkbox"/> <b>Talks Ongoing</b>
<b>Summary of Affected Agency's Comments</b> DOT signed off in 2015. I have a call into them to reconfirm their support.
Will there need to be further negotiation? <input type="checkbox"/> <b>YES</b> <input type="checkbox"/> <b>NO</b>

### ◇ **FISCAL IMPACT** *(please include the proposal section that causes the fiscal impact and the anticipated impact)*

<b>Municipal</b> <i>(please include any municipal mandate that can be found within legislation)</i> None
<b>State</b> None
<b>Federal</b> None
<b>Additional notes on fiscal impact</b> Click here to enter text.

### ◇ **POLICY and PROGRAMMATIC IMPACTS** *(Please specify the proposal section associated with the impact)*

Click here to enter text.
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**Insert fully drafted bill here**

Be it enacted by the Senate and House of Representatives in General Assembly convened:

(NEW) (Effective from passage) (a) Pursuant to C.G.S. 32-1p (7), the Commissioner of Economic and Community Development, shall establish guidelines to be used in working with state permitting authorities to issue and administer a Connecticut State Film Permit. Such state permit will indicate permit holder has submitted requisite documents and substantiation to the Department of Economic and Community Development that establish holder's ability to conduct indemnified production operations. Guidelines shall include, but are not limited to, the following: (1) an agency contact point for filing permit applications and for obtaining information on permit requirements; (2) identification of the individual or individuals within each respective agency who shall be a point of contact for an agency permit application; (3) a mandatory review process to reduce permitting issues and/or conflicts by providing guidance to applicants on (A) the permits needed from each agency, (B) specifications for desired onsite production and production-related activities, site suitability, limitations and facility design, and (C) steps the applicant can take to ensure expeditious permit application; (4) a single, coordinated production activity description form, including an equipment checklist, and personnel roster; and (5) if required, a permit fee structure.

(b) The Department of Economic and Community Development, through its Office of Film Television and Digital Media, shall serve as the statewide point of contact for all producers of film, television and digital media productions requesting permission to conduct production activities on state-owned property. This shall include, but is not limited to, all state roads and highways, railroads and train stations, state forests and parks, airports and seaports, hospitals, college and university campuses and the use of any and all other state-owned real or personal property.

(c) The Office of Film Television and Digital Media, at the request of the Commissioner of Economic and Community Development, may request the assistance of any other department, board, commission or other agency of the state to assist in providing information and assistance as may be necessary to expedite its duties and responsibilities under this section. Each officer or employee of such office, department, board, commission or other agency of the state shall make reasonable efforts to cooperate with the Office of Film Television and Digital Media.

(d) The Connecticut State Film Permit will identify and validate entities requesting permission to conduct production activities on Connecticut state property. Subsequent to the issuance of a Connecticut State Film Permit, if determined eligible, binding permission to conduct approved production activities on state-owned property may be granted from the statutorily charged agency or department.



## Agency Legislative Proposal - 2016 Session

**Document Name** (e.g. OPM1015Budget.doc; OTG1015Policy.doc): **AA Streamlining DECD’s Reporting Requirements**

(If submitting electronically, please label with date, agency, and title of proposal – 092611\_SDE\_TechRevisions)

State Agency: Department of Economic & Community Development

**Liaison:** Rob Michalik

**Phone:** 860-270-8186

**E-mail:** rob.michalik@ct.gov

Lead agency division requesting this proposal: [Click here to enter text.](#)

Agency Analyst/Drafter of Proposal: [Click here to enter text.](#)

**Title of Proposal:** AA Streamlining DECD’s Reporting Requirements

**Statutory Reference:** 32-1m, 32-1p, 32-1o, 12-263

**Proposal Summary:** Recent annual reports have been several hundred pages long, as have recent strategic plans. This proposal will streamline these documents and make them more customer-friendly. These documents currently require DECD to report on many items that are not part of its purview. The proposal will ensure that these documents provide useful information which will be presented in a more accessible fashion. As staffing at DECD has declined, reporting is taking longer and longer, taking staff away from programs. These new documents will provide critical information, but in a more clear and focused manner.

### PROPOSAL BACKGROUND

#### ◇ Reason for Proposal

Please consider the following, if applicable:

- (1) Have there been changes in federal/state/local laws and regulations that make this legislation necessary?
- (2) Has this proposal or something similar been implemented in other states? If yes, what is the outcome(s)?
- (3) Have certain constituencies called for this action?
- (4) What would happen if this was not enacted in law this session?

[Click here to enter text.](#)

◇ Origin of Proposal

New Proposal

Resubmission



If this is a resubmission, please share:

- (1) What was the reason this proposal did not pass, or if applicable, was not included in the Administration's package?
- (2) Have there been negotiations/discussions during or after the previous legislative session to improve this proposal?
- (3) Who were the major stakeholders/advocates/legislators involved in the previous work on this legislation?
- (4) What was the last action taken during the past legislative session?

HB6831 died in the Commerce Committee last year. We have a commitment from the Committee to work on these revisions for this upcoming legislative session.

### **PROPOSAL IMPACT**

◇ **AGENCIES AFFECTED** (please list for each affected agency)

<p><b>Agency Name:</b> Click here to enter text.</p> <p><b>Agency Contact (name, title, phone):</b> Click here to enter text.</p> <p><b>Date Contacted:</b> Click here to enter text.</p> <p>Approve of Proposal    <input type="checkbox"/> YES    <input type="checkbox"/> NO    <input type="checkbox"/> Talks Ongoing</p>
<p><b>Summary of Affected Agency's Comments</b></p> <p>Click here to enter text.</p>
<p>Will there need to be further negotiation?    <input type="checkbox"/> YES    <input type="checkbox"/> NO</p>

◇ **FISCAL IMPACT** (please include the proposal section that causes the fiscal impact and the anticipated impact)

<p><b>Municipal</b> (please include any municipal mandate that can be found within legislation)</p> <p>None</p>
<p><b>State</b></p> <p>None</p>
<p><b>Federal</b></p> <p>None</p>
<p><b>Additional notes on fiscal impact</b></p>



◇ **POLICY and PROGRAMMATIC IMPACTS** *(Please specify the proposal section associated with the impact)*

Click here to enter text.

**Insert fully drafted bill here**

**AN ACT STREAMLINING THE STATE ECONOMIC STRATEGIC PLAN AND THE REPORTING REQUIREMENTS OF THE DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT.**

Be it enacted by the Senate and House of Representatives in General Assembly convened:

Section 1. Section 32-1m of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2015*):

(a) Not later than February [**1, 2006, and annually thereafter**] first, annually, the Commissioner of Economic and Community Development shall submit a report to the Governor and the General Assembly, in accordance with the provisions of section 11-4a. Not later than thirty days after submission of the report to the Governor and the General Assembly, said commissioner shall post the report on the Department of Economic and Community Development's web site. Said report shall include, but not be limited to, the following information with regard to the activities of the Department of Economic and Community Development during the preceding state fiscal year:

**[(1) A brief description and assessment of the state's economy during such year, utilizing the most recent and reasonably available data, and including:**

- (A) Connecticut employment by industry;
- (B) Connecticut and national average unemployment;
- (C) Connecticut gross state product, by industry;
- (D) Connecticut productivity, by industry, compared to the national average;



(E) Connecticut manufacturing activity;

(F) Identification of economic and competitive conditions affecting Connecticut's industry sectors, problems resulting from these conditions and state efforts to address the problems;

(G) A brief summary of Connecticut's competitiveness as a place for business, which shall include, but not be limited to, an evaluation of (i) how the programs and policies of state government affect the state economy and state business environment, (ii) the ability of the state to retain and attract businesses, (iii) the steps taken by other states to improve the competitiveness of such states as places for business, and (iv) programs and policies the state could implement to improve the competitiveness of the state in order to encourage economic growth; and

(H) Any other economic information that the commissioner deems appropriate.

(2) A statement of the department's economic and community development objectives, measures of program success and standards for granting financial and nonfinancial assistance under programs administered by the department.]

[(3)] (1) An analysis of the economic development portfolio of the department, including:

(A) A list of the names, addresses and locations of all recipients of the department's assistance;

(B) The following information concerning each recipient of such assistance: (i) Business activities, (ii) standard industrial classification codes or North American industrial classification codes, (iii) [number of full-time jobs and part-time jobs at the time of application, (iv) number of actual full-time jobs and actual part-time jobs during the preceding state fiscal year, (v)] whether the recipient is a minority or woman-owned business, [(vi)] (iv) a summary of the terms and conditions for the assistance, including the type and amount of state financial assistance [,] and job creation or retention requirements, [and anticipated wage rates, (vii)] (v) the amount of investments from private and other nonstate sources that have been leveraged by the assistance, [(viii) the extent to which employees of the recipient participate in health benefit plans offered by such recipient, (ix) the



extent to which the recipient offers unique economic, social, cultural or aesthetic attributes to the municipality in which the recipient is located or to the state, and (x)] and (vi) the amount of state investment;

(C) A portfolio analysis, including [(i)] an analysis of the wages paid by recipients of financial assistance [, (ii) the average portfolio wage, median portfolio wage, highest and lowest portfolio wage, (iii) portfolio wage data] by industry; [, and (iv) portfolio wage data by municipality;]

(D) An investment analysis, including (i) total portfolio value, (ii) total investment by industry, (iii) portfolio dollar per job average, and (iv) portfolio leverage ratio; [, and (v) percentage of financial assistance which was provided to high performance work organizations in the preceding state fiscal year ;] and

(E) An overview of the job creation and retention programs administered by the department and an analysis of [the] their estimated economic [effects of the department's economic development investments] impact on the state's economy. [, including (i) contribution to gross state product for the total economic development portfolio and for any investment activity occurring in the preceding state fiscal year, (ii) direct and indirect employment created by the investments for the total portfolio and for any investment activity occurring in the preceding state fiscal year, (iii) productivity of recipients of financial assistance as a result of the department's investment occurring in the preceding state fiscal year, (iv) directly or indirectly increased property values in the municipalities in which the recipients of assistance are located, and (v) personal income.]

[(4)] (2) An analysis of the community development portfolio of the department, including:

(A) A list of the names, addresses and locations of all recipients of the department's assistance;

(B) The following information concerning each recipient of such assistance: (i) Amount of state investment, (ii) a summary of the terms and conditions for the department's assistance, including the type and amount of state financial assistance, and (iii) the amount of investments from private and other nonstate sources that have been leveraged by such assistance; and



(C) An investment analysis, including (i) total active portfolio value, (ii) total investments made in the preceding state fiscal year, [(iii) total portfolio by municipality, (iv) total investments made in the preceding state fiscal year categorized by municipality, (v)] and (iii) total portfolio leverage ratio. [, and (vi) leverage ratio of the total investments made in the preceding state fiscal year; and]

[(D) An analysis of the estimated economic effects of the department's economic development investments on the state's economy, including (i) contribution to gross state product for the total portfolio and for any investment activity occurring in the preceding state fiscal year, (ii) direct and indirect employment created by the investments for the total portfolio and for any investment activity occurring in the preceding state fiscal year, (iii) productivity of recipients of financial assistance as a result of the department's investment occurring in the preceding state fiscal year, (iv) directly or indirectly increased property values in the municipalities in which the recipients are located, and (v) personal income.

(5) A summary of the department's economic and community development marketing efforts in the preceding state fiscal year, a summary of the department's business recruitment strategies and activities in such year, and a summary of the department's efforts to assist small businesses and minority business enterprises in such year.]

[(6)] (3) A summary of the department's international trade efforts in the preceding state fiscal year, and, to the extent possible, a summary of foreign direct investment that occurred in the state in such year.

[(7) Identification of existing economic clusters, the formation of new economic clusters, the measures taken by the commissioner during the preceding state fiscal year to encourage the growth of economic clusters and the amount of bond funds expended by the department during the previous fiscal year on each economic cluster.

(8) (A) A summary of the department's brownfield-related efforts and activities within the Office of Brownfield Remediation and Development established pursuant to subsections (a) to (d), inclusive, of section 32-761 in the preceding state fiscal year, except for activity under the Special Contaminated Property Remediation and Insurance Fund program. Such efforts shall include, but not be



limited to, (i) total portfolio investment in brownfield remediation projects, (ii) total investment in brownfield remediation projects in the preceding state fiscal year, (iii) total number of brownfield remediation projects, (iv) total number of brownfield remediation projects in the preceding state fiscal year, (v) total of reclaimed and remediated acreage, (vi) total of reclaimed and remediated acreage in the preceding state fiscal year, (vii) leverage ratio for the total portfolio investment in brownfield remediation projects, and (viii) leverage ratio for the total portfolio investment in brownfield remediation projects in the preceding state fiscal year. Such summary shall include a list of such brownfield remediation projects and, for each such project, the name of the developer and the location by street address and municipality and a tracking of all funds administered through or by said office;

(B) A summary of the department's efforts with regard to the Special Contaminated Property Remediation and Insurance Fund, including, but not limited to, (i) the number of applications received in the preceding state fiscal year, (ii) the number and amounts of loans made in such year, (iii) the names of the applicants for such loans, (iv) the average time period between submission of application and the decision to grant or deny the loan, (v) a list of the applications approved and the applications denied and the reasons for such denials, and (vi) for each project, the location by street address and municipality; and

(C) A summary of the department's efforts with regard to the dry cleaning grant program, established pursuant to section 12-263m, including, but not limited to, (i) information as to the number of applications received, (ii) the number and amounts of grants made since the inception of the program, (iii) the names of the applicants, (iv) the time period between submission of application and the decision to grant or deny the loan, (v) which applications were approved and which applications were denied and the reasons for any denials, and (vi) a recommendation as to whether the surcharge and grant program established pursuant to section 12-263m should continue.

(9) The following information concerning enterprise zones designated under section 32-70:

(A) A statement of the current goals for enterprise zones;



- (B) A statement of the current performance standards to measure the progress of municipalities that have enterprise zones in attaining the goals for such zones;
- (C) A report from each municipality that has an enterprise zone, which evaluates the progress of the municipality in meeting the performance standards established under section 32-70a; and
- (D) An assessment of the performance of each enterprise zone based on information collected under subparagraph (C) of this subdivision.
- (10) With regard to the grant program designated pursuant to sections 32-324a to 32-324e, inclusive, an assessment of program performance.
- (11) With regard to the fuel diversification program designated pursuant to section 32-324g, an assessment of program performance.
- (12) An assessment of the performance of the Connecticut qualified biodiesel producer incentive account grant program established pursuant to sections 32-324a to 32-324e, inclusive.
- (13) An assessment of the performance of the fuel diversification grant program established pursuant to section 32-324g.]
- [(14)] (4) A summary of the total social and economic impact of the department's efforts and activities in the areas of economic and community development, and an assessment of the department's performance in terms of meeting its stated goals and objectives.
- [(15) With regard to the Connecticut Credit Consortium established pursuant to section 32-9yy, a summary of the activity of such program, including, but not limited to, the number of loans and lines of credit applied for and approved, the size of the businesses, the amount of the loans or lines of credit, and the amount repaid to date.
- (16) With regard to the office of the permit ombudsman, established pursuant to section 32-726:



- (A) The names of applicants for expedited review;
- (B) The date of request for expedited review;
- (C) The basis upon which the applicant claimed eligibility for expedited review;
- (D) State agencies that participated in the permit review process;
- (E) The dates on which the permit was granted or denied via the expedited review process or the date the applicant was determined not to be eligible for expedited review; and
- (F) If applicable, the reason the applicant was determined not to be eligible for the expedited review process.]

[(17)] (5) With regard to the Small Business Express program established pursuant to section 32-7g, data on (A) the number of small businesses that applied to the Small Business Express program, (B) the number of small businesses that received assistance under said program and the general categories of such businesses, (C) the amounts and types of assistance provided, (D) the total number of jobs on the date of application and the number proposed to be created or retained, and (E) the most recent employment figures of the small businesses receiving assistance.

[(18)] (6) With regard to airport development zones established pursuant to section 32-75d, a summary of the economic and cost benefits of each zone and, in consultation with the Connecticut Airport Authority, any recommended revisions to any such zones.

(7) An overview of the department's activities related to tourism, the arts and historic preservation.

(8) An overview of the department's activities concerning digital media, motion pictures and related production activity, and an analysis of the use of the film production tax credit established under section 12-217jj, the entertainment industry infrastructure tax credit established under section 12-217kk and the digital animation production tax credit established under section 12-217ll, including the amount of any tax credit issued under said sections and the total amount of



production expenses or costs incurred in the state by the taxpayer who was issued such a tax credit.

(9) A summary of the department's and the office of the permit ombudsman's brownfield-related efforts and activities in the preceding fiscal year.

(b) Any annual report that is required from the department by any provision of the general statutes shall be incorporated into the annual report provided pursuant to subsection (a) of this section.

Sec. 2. Section 32-1p of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2015*):

[(a)] With respect to digital media and motion picture activities, the Department of Economic and Community Development shall have the following powers and duties:

(1) To promote the use of Connecticut locations, structures, facilities and services for the production and postproduction of all digital media and motion pictures and other media-related products;

(2) To provide support services to visiting and in-state production companies, including assistance to digital media and motion picture producers in securing permits from state agencies, authorities or institutions or municipalities or other political subdivisions of the state;

(3) To develop and update a resource library concerning the many possible state sites which are suitable for production;

(4) To develop and update a production manual of available digital media and motion picture production facilities and services in the state;

(5) To conduct and attend trade shows and production workshops to promote Connecticut locations and facilities;

(6) To prepare an explanatory guide showing the impact of relevant state and municipal tax statutes, regulations and administrative opinions on typical



production activities and to implement the tax credits provided for in sections 12-217jj, 12-217kk and 12-217ll;

(7) To formulate and propose guidelines for state agencies for a "one stop permitting" process for matters, including, but not limited to, the use of state roads and highways, the use of state-owned real or personal property for production activities and the conduct of regulated activities, and to hold workshops to assist state agencies in implementing such process;

(8) To formulate and recommend to municipalities model local ordinances and forms to assist production activities, including, but not limited to, "one stop permitting" of digital media and motion picture and other production activity to be conducted in a municipality, and to hold workshops to assist municipalities in implementing such ordinances;

(9) To accept any funds, gifts, donations, bequests or grants of funds from private and public sources for the purposes of this section;

(10) To request and obtain from any state agency, authority or institution or any municipality or other political subdivision of the state such assistance and data as will enable the department to carry out the purposes of this section;

(11) To assist and promote cooperation among all segments of management and labor that are engaged in digital media and motion pictures; and

(12) To take any other administrative action which may improve the position of the state's digital media and motion picture production industries in national and international markets.

[(b) On or before January 1, 2010, and annually thereafter, the Department of Economic and Community Development shall submit to the joint standing committees of the General Assembly having cognizance of matters relating to commerce and finance, revenue and bonding, in accordance with section 11-4a, a report on the activities of the department under this section and the estimated direct and indirect economic impact of all digital media, motion pictures and related production activity in the state, during the preceding calendar year. Each such report shall include, but not be limited to, an analysis of the use of the film



production tax credit established under section 12-217jj, the entertainment industry infrastructure tax credit established under section 12-217kk and the digital animation production tax credit established under section 12-217ll, and shall include a description of each production or project for which a tax credit has been issued, the amount of any such tax credit and the total amount of production expenses or costs incurred in the state by the taxpayer who was issued such a tax credit and any other information that may be requested by a chairperson of the joint standing committees of the General Assembly having cognizance of matters relating to commerce and finance, revenue and bonding.]

Sec. 3. Section 32-1o of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2015*):

(a) On or before July 1, 2015, and every four years thereafter, the Commissioner of Economic and Community Development, within available appropriations, shall prepare an economic development strategic plan for the state in consultation with the Secretary of the Office of Policy and Management, the Commissioners of Energy and Environmental Protection and Transportation, the Labor Commissioner, the chairperson of the Culture and Tourism Advisory Committee, the executive directors of the Connecticut Housing Finance Authority and the Connecticut Health and Educational Facilities Authority, and the chief executive officer of Connecticut Innovations, Incorporated, or their respective designees, and any other agencies the Commissioner of Economic and Community Development deems appropriate.

(b) In developing the plan, the Commissioner of Economic and Community Development shall:

(1) Ensure that the plan is consistent with (A) the text and locational guide map of the state plan of conservation and development adopted pursuant to chapter 297, and (B) the state's consolidated plan for housing and community development prepared pursuant to section 8-37t;

[(2) Consult regional councils of governments, regional planning organizations, regional economic development agencies, interested state and local officials, entities involved in economic and community development, stakeholders and business, economic, labor, community and housing organizations;



(3) Consider (A) regional economic, community and housing development plans, and (B) applicable state and local workforce investment strategies;]

[(4)] (2) Assess and evaluate the economic development challenges and opportunities of the state and against the economic development competitiveness of other states and regions; and

[(5) Host regional forums to provide for public involvement in the planning process.]

(3) Provide for public input in the development of the plan.

(c) The strategic plan required under this section shall include, but not be limited to, the following:

(1) A review and evaluation of the economy of the state, [. Such review and evaluation shall include, but not be limited to, a sectoral analysis, housing market and housing affordability analysis, labor market and labor quality analysis, demographic analysis and historic trend analysis and projections] including its strengths;

(2) A review and analysis of factors, issues and forces that impact or impede economic development and responsible growth in Connecticut and its constituent regions; [. Such factors, issues or forces shall include, but not be limited to, transportation, including, but not limited to, commuter transit, rail and barge freight, technology transfer, brownfield remediation and development, health care delivery and costs, early education, primary education, secondary and postsecondary education systems and student performance, business regulation, labor force quality and sustainability, social services costs and delivery systems, affordable and workforce housing cost and availability, land use policy, emergency preparedness, taxation, availability of capital and energy costs and supply;]

[(3) Identification and analysis of economic clusters that are growing or declining within the state;]



[(4)] (3) An analysis of targeted industry sectors in the state that (A) identifies those industry sectors that are of current or future importance to the growth of the state's economy and to its global competitive position, (B) identifies what those industry sectors need for continued growth, and (C) identifies those industry sectors' current and potential impediments to growth;

[(5) A review and evaluation of the economic development structure in the state, including, but not limited to, (A) a review and analysis of the past and current economic, community and housing development structures, budgets and policies, efforts and responsibilities of its constituent parts in Connecticut, and (B) an analysis of the performance of the current economic, community and housing development structure, and its individual constituent parts, in meeting its statutory obligations, responsibilities and mandates and their impact on economic development and responsible growth in Connecticut;]

[(6)] (4) Establishment and articulation of a vision for Connecticut that identifies where the state should be in [five, ten, fifteen and twenty years] the future;

[(7)] (5) Establishment of prioritized, clear and measurable goals and objectives for the state and regions [, to meet the short and long-term goals established under this section and provide] and clear steps and strategies to achieve said goals and objectives; [, including, but not limited to, the following: (A) The promotion of economic development and opportunity, (B) the fostering of effective transportation access and choice including the use of airports and ports for economic development, (C) enhancement and protection of the environment, (D) maximization of the effective development and use of the workforce consistent with applicable state or local workforce investment strategy, (E) promotion of the use of technology in economic development, including access to high-speed telecommunications, and (F) the balance of resources through sound management of physical development;]

[(8) Prioritization of goals and objectives established under this section;]

[(9)] (6) Establishment of relevant measures that clearly identify and quantify (A) whether a goal and objective is being met at the state, regional, local and private sector level, and (B) cause and effect relationships, and provide a clear and replicable measurement methodology; and



[(10)] (7) Recommendations on how the state can best achieve goals under the strategic plan. [and provide cost estimates for implementation of the plan and the projected return on investment for those areas;]

[(11) A review and evaluation of the operation and efficacy of the urban jobs program established pursuant to sections 32-9i to 32-9l, inclusive, enterprise zones established pursuant to section 32-70, railroad depot zones established pursuant to section 32-75a, qualified manufacturing plants designated pursuant to section 32-75c, entertainment districts established pursuant to section 32-76 and enterprise corridor zones established pursuant to section 32-80. The review and evaluation of enterprise zones shall include an analysis of enterprise zones that have been expanded to include an area in a contiguous municipality or in which there are base or plant closures;

(12) An assessment of program performance with regard to the development, research and economic assistance matching grant program established pursuant to section 32-345; and

(13) Any other responsible growth information that the commissioner deems appropriate.]

(d) On or before July 1, [2009] 2015, and every [five] four years thereafter, the Commissioner of Economic and Community Development shall submit [an] the economic development strategic plan [for the state to the Governor for approval. The Governor shall review and approve or disapprove such plan not more than sixty days after submission. The plan shall be effective upon approval by the Governor or sixty days after the date of submission.

(e) Upon approval, the commissioner shall submit the economic development strategic plan] prepared pursuant to this section to the joint standing committees of the General Assembly having cognizance of matters relating to commerce, planning and development, appropriations and the budgets of state agencies and finance, revenue and bonding. Not later than thirty days after such submission, the commissioner shall post the plan on the web site of the Department of Economic and Community Development.



[(f) The commissioner from time to time, may revise and update the strategic plan upon approval of the Governor. The commissioner shall post any such revisions on the web site of the Department of Economic and Community Development.]

Sec. 4. Section 12-263m of the general statutes is repealed and the following is substituted in lieu thereof (*Effective October 1, 2015*):

(a) As used in this section: (1) "Eligible dry cleaning establishment" means any place of business engaged in the cleaning of clothing or other fabrics using tetrachlorethylene, Stoddard solvent or other chemicals or any place of business that accepts clothing or other fabrics to be cleaned by another establishment using such chemicals, (2) "gross receipts at retail" means the total amount accruing from dry cleaning services at retail, valued in money, without any deduction for the cost of the materials used, labor or service cost or any other expense, and (3) "eligible applicant" means (A) a business owner or operator of an eligible dry cleaning establishment, or (B) an owner of property that is or that was occupied by an eligible dry cleaning establishment.

(b) There shall be paid to the Commissioner of Revenue Services by each dry cleaning establishment a surcharge of one per cent of its gross receipts at retail for any dry cleaning service performed on or after January 1, 1995. Each such establishment shall register with the Commissioner of Revenue Services on forms prescribed by him. Each such establishment shall submit a return quarterly to the Commissioner of Revenue Services, applicable with respect to the calendar quarter beginning January 1, 1995, and each calendar quarter thereafter, on or before the last day of the month immediately following the end of each such calendar quarter, on a form prescribed by the commissioner, together with payment of the quarterly surcharge determined and payable in accordance with the provisions of this section. Whenever such surcharge is not paid when due, a penalty of ten per cent of the amount due or fifty dollars, whichever is greater, shall be imposed, and such surcharge shall bear interest at the rate of one per cent per month or fraction thereof until the same is paid. The Commissioner of Revenue Services shall cause copies of a form prescribed for submitting returns as required under this section to be distributed to persons subject to the surcharge. Failure to receive such form shall not be construed to relieve anyone subject to the surcharge under this section from the obligations of submitting a return, together with payment of such



surcharge within the time required. The provisions of sections 12-548 to 12-554, inclusive, and sections 12-555a and 12-555b shall apply to the provisions of this section in the same manner and with the same force and effect as if the language of said sections 12-548 to 12-554, inclusive, and sections 12-555a and 12-555b had been incorporated in full into this section and had expressly referred to the surcharge imposed under this section, except to the extent that any such provision is inconsistent with a provision of this section and except that the term "tax" shall be read as "dry cleaning establishment surcharge". Any moneys received by the state pursuant to this section shall be deposited into the account established pursuant to subsection (c) of this section.

(c) There is established an account within the General Fund to be known as the "dry cleaning establishment remediation account". Said account shall contain any moneys required by law to be deposited in the account. Any balance remaining in the account at the end of any fiscal year shall be carried forward in the account for the fiscal year next succeeding. The account shall be used by the Department of Economic and Community Development for grants made to owners or operators of eligible dry cleaning establishments or owners of property on which an eligible dry cleaning establishment has been in operation for at least a year prior to the approval of the application or was previously operated for at least a year prior to such approval.

(d) The state, acting through the Commissioner of Economic and Community Development, shall use the dry cleaning establishment remediation account to provide grants to applicants for the purposes of the containment and removal or mitigation of environmental pollution resulting from the discharge, spillage, uncontrolled loss, seepage or filtration of chemical liquids or solid, liquid or gaseous products or hazardous wastes on or at the site of an eligible dry cleaning establishment or for measures undertaken to prevent such pollution which are approved by the Commissioner of Energy and Environmental Protection. In order to qualify for a grant under the provisions of this section an eligible applicant must demonstrate to the satisfaction of the Commissioner of Economic and Community Development that (1) the eligible dry cleaning establishment is using or previously used, tetrachlorethylene or Stoddard solvent or other chemicals for the purpose of cleaning clothes or other fabrics, (2) the eligible dry cleaning establishment has been doing business or did business at the site for a period of at least one year



prior to the submission date or approval date of the application for assistance under this section, (3) the eligible dry cleaning establishment or owner of property is not in arrears with regard to any tax levied by the state or any political subdivision of the state and the dry cleaning surcharge imposed by subsection (b) of this section, and (4) the eligible applicant is not in arrears with regard to any tax levied by the state or any political subdivision of the state. Any funds disbursed as a grant under this section shall not be subject to attachment in the satisfaction of any judgment against the recipient of such grant in any civil action.

(e) Notwithstanding the terms of any grant made under this section, an eligible applicant shall bear all the costs of such pollution that are less than ten thousand dollars. The Commissioner of Economic and Community Development may provide a grant of up to three hundred thousand dollars to the eligible applicant where the eligible applicant has provided said commissioner with documentation satisfactory to said commissioner that the services for which payment is sought have been or will be completed. No eligible applicant shall receive more than three hundred thousand dollars per eligible dry cleaning establishment. In addition, the account may be used (1) to provide grants to the Department of Energy and Environmental Protection for expenditures made investigating dry cleaning establishments, (2) to provide potable water whenever necessary, and (3) to conduct environmental site assessments.

(f) Requests for grants shall be made to the Commissioner of Economic and Community Development. Any eligible applicant seeking a grant shall provide documentation supporting the need for the grant.

(g) Any dry cleaning establishment which unlawfully or intentionally discharges or spills any chemical liquids or solid, liquid or gaseous products or hazardous wastes shall not be eligible for a grant from the account.

(h) The Commissioner of Economic and Community Development shall establish procedures for distribution of the grants and shall adopt criteria to carry out the provisions of this section. Such criteria shall specify (1) who may apply for grants; (2) how establishments, whether owned or leased, will be determined to be eligible for grants; (3) the costs for which grants may be made; and (4) a method for ensuring timely payment of funds to grant recipients.



[(i) The Commissioner of Economic and Community Development shall include in the report required pursuant to section 32-1m an annual report that shall include information as to the number of applications received, and the number and amounts of grants made, since the inception of the program, the names of the applicants, the time period between submission of an application and the decision to approve or deny the grant, which applications were approved and which applications were denied and the reasons for denial. Such report shall further include a recommendation as to whether the surcharge and the grant program established under this section should continue.]