

CORRECTIONS

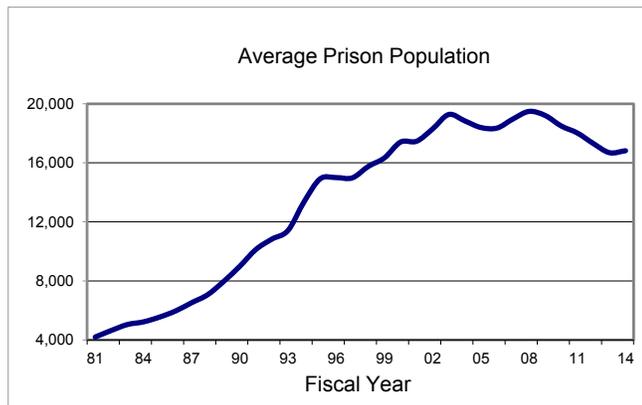
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DEPARTMENT OF CORRECTION

AGENCY DESCRIPTION

The Department of Correction is dedicated to the protection of the citizens of the state through a continual commitment to the safe, secure and orderly operation of its fifteen correctional facilities, which in recent years have remained free from major incident. That protection is also extended to the agency's professional staff of men and women as well as to the inmates placed in its care and custody.

The agency operates on a re-entry model of corrections, which emphasizes from the first day of incarceration the need to support the offenders' eventual re-entry into law-abiding society. By assessing and identifying each offender's needs and deficiencies and setting the expectation that those be addressed during incarceration through an offender accountability plan, the department hopes to better prepare offenders for successful reintegration as they return to their home communities.



For appropriate offenders, placement in the community under the supervision of a parole officer for a period of time toward the end of their sentence has been shown to not only reduce recidivism but also enhances public safety. The alternative of releasing an offender on the last day of sentence without supervision can double the potential for re-offending.

The re-entry model is effective in managing the offender population and can mitigate surges in inmate population.

Outcome Measure

Goals: Maintain order and security and provide a humane environment for offenders that reinforces order, safety, accountability and responsibility for behaviors, enhancing the effectiveness of the department to manage the challenging population. In addition, prepare offenders for eventual transition into the community by giving them the supervision, tools and access to services that will assist in a successful transition.

Objectives: Management focuses on making inmates more accountable for their behavior and responsible for the consequences of their actions. The implementation of the strategies to maintain good order in facilities through leadership and clearly defined expectations, policies and practices should result in a safer, more secure and humane environment. Measurable indicators of success include:

- 1.03% reduction in inmate on staff assaults.
- 5.45% reduction in inmate on inmate assaults.
- 3.47% reduction in offender disciplinary infractions.

Figures represent a comparison to 2013 data.

AGENCY PROGRAM INDEX

Custody
 Staff Training & Development
 Parole and Community Services
 CT Correctional Commissaries
 Agency Management Services

Programs and Treatment Services
 Health and Addiction Services
 Correctional Enterprises
 Consideration of Pardons and Parole

RECOMMENDED SIGNIFICANT CHANGES

| | <u>2015-2016</u> | <u>2016-2017</u> | |
|---|------------------|------------------|------------------|
| Reductions | | | |
| • Reduce Funding Based on Anticipated Decline in Prison Population through the Governor's Second Chance Society Initiatives | -18,713,404 | -18,873,159 | |
| • Reduce Funding in Probation and Alternatives to Incarceration for Anticipated Efficiencies | -10,715,959 | -11,076,279 | |
| • Reduce Inmate Medical Services Due to Declining Prison Population | -5,611,602 | -5,739,713 | |
| • Reduce Overtime through Managerial and Administrative Efforts <i>Reduce overtime through the utilization of new scheduling software and managerial efforts, including reassigning duties and modifying schedules.</i> | -5,206,077 | -5,206,077 | |
| • Remove or Limit Inflation | -2,941,987 | -6,291,771 | |
| • Eliminate Program Evaluation and Volunteer Services | -475,721 | -475,721 | |
| • Reduce Funds Due to GPS/Electronic Monitoring Contract Savings | -419,750 | -419,750 | |
| • Restructure the Parole Community Residential Unit | -98,022 | -98,022 | |
| • Annualize FY 2015 Rescissions | -16,951 | -16,951 | |
| | <u>2015-2016</u> | <u>2016-2017</u> | |
| Reallocations | | | |
| • Transfer Adult Probation and Related Funding from Judicial's Court Support Services Division | 133,949,491 | 138,453,486 | |
| • Consolidate Statewide Appropriations for Estimated Change in Accruals | -2,239,331 | -2,239,331 | |
| | <u>2015-2016</u> | <u>2016-2017</u> | <u>2017-2018</u> |
| Expansions | | | |
| • Increase Community Supervision for Offenders Diverted through the Governor's Second Chance Society Initiative <i>Provides funding for eighteen parole officers and electronic monitoring costs for up to 1,000 offenders to supervise those diverted from prison as a result of the Governor's Second Chance Society initiative.</i> | 2,594,532 | 2,594,532 | 2,594,532 |
| • Add Four Full-time Members to the Pardons and Parole Board <i>As part of the Governor's Second Chance Society initiative, adding an additional four full-time board members will allow for non-violent, low risk inmates to have their parole hearings, which have been delayed or, in some cases, never heard by the Board due to a backlog of cases and limited resources.</i> | 312,484 | 334,684 | 351,418 |

AGENCY PROGRAMS

| Personnel Summary | 06/30/2014 | 06/30/2014 | 2014-2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|--------------------------------|------------|------------|-----------|---------|-----------|-------------|-----------|-------------|
| | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Permanent Full-Time Positions | 5927 | 425 | 0 | 6352 | 6352 | 6894 | 6352 | 6894 |
| General Fund | 0 | 0 | 4 | 4 | 4 | 4 | 4 | 4 |
| Federal Funds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Private Funds | 0 | 0 | 76 | 76 | 76 | 76 | 76 | 76 |
| Special Non-Appropriated Funds | | | | | | | | |

| Agency Programs by Total Funds (Net of Reimbursements) | FY 2014 | FY 2015 | FY 2016 | Current | FY 2016 | FY 2017 | Current | FY 2017 |
|---|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| Agency Management Services | 14,999,030 | 15,247,421 | 15,564,805 | 15,304,634 | 13,053,148 | 15,511,880 | 15,249,166 | 12,984,351 |
| Custody | 475,654,236 | 476,266,029 | 507,395,587 | 500,756,990 | 475,753,368 | 510,528,350 | 505,709,193 | 477,367,079 |
| Programs and Treatment Services | 38,466,528 | 38,828,820 | 40,312,532 | 40,245,218 | 38,224,920 | 40,609,665 | 40,519,787 | 38,470,012 |
| Staff Training & Development | 2,523,801 | 2,392,732 | 2,494,306 | 2,493,248 | 2,476,584 | 2,528,248 | 2,526,075 | 2,487,354 |
| Health and Addiction Services | 89,857,950 | 89,032,652 | 101,017,109 | 99,473,400 | 93,383,988 | 103,002,572 | 100,903,087 | 94,684,760 |
| Parole and Community Services | 57,974,582 | 58,484,982 | 59,470,871 | 59,465,604 | 184,952,304 | 59,707,789 | 59,697,476 | 189,222,471 |
| Correctional Enterprises | 24,837,529 | 24,750,000 | 24,750,000 | 24,750,000 | 24,750,000 | 24,750,000 | 24,750,000 | 24,750,000 |
| Consideration of Pardons and Parole | 5,298,164 | 6,464,739 | 6,819,556 | 6,811,441 | 7,123,925 | 6,881,582 | 6,869,459 | 7,204,143 |
| TOTAL Agency Programs - All Fund Gross | 709,611,820 | 711,467,375 | 757,824,766 | 749,300,535 | 839,718,237 | 763,520,086 | 756,224,243 | 847,170,170 |

Summary of Funding

| | | | | | | | | |
|--|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| General Fund | 679,282,109 | 681,072,963 | 725,608,805 | 720,084,574 | 810,502,276 | 731,440,404 | 727,144,561 | 818,090,488 |
| Federal Funds | 3,466,310 | 2,419,937 | 1,596,461 | 1,596,461 | 1,596,461 | 1,460,182 | 1,460,182 | 1,460,182 |
| Private Funds | 2,025,952 | 3,224,475 | 2,869,500 | 2,869,500 | 2,869,500 | 2,869,500 | 2,869,500 | 2,869,500 |
| Special Non-Appropriated Funds | 24,837,449 | 24,750,000 | 24,750,000 | 24,750,000 | 24,750,000 | 24,750,000 | 24,750,000 | 24,750,000 |
| TOTAL Agency Programs - All Funds Net | 709,611,820 | 711,467,375 | 754,824,766 | 749,300,535 | 839,718,237 | 760,520,086 | 756,224,243 | 847,170,170 |

CUSTODY

Statutory Reference

C.G.S. Section 18-81.

Statement of Need and Program Objectives

To ensure the safe, secure, humane confinement of accused and sentenced inmates, who have been removed from the community by the criminal justice process.

The department operates fifteen facilities including long-term institutions and shorter-term correctional centers graded by security level and program function. Each facility has an administrator who translates department policy into operating procedures. The facilities receive and house adult and youthful accused and sentenced inmates. Generally, of those detained in the facilities, twenty-four percent are accused and seventy-six percent are sentenced inmates. Inmates are classified in level 2 (minimum) through level 5 (maximum) security statuses. Most facilities house inmates in several levels, while the newer celled facilities house predominantly level 4 and 5 offenders.

The K-9 Unit is currently composed of twenty handlers and their canine partners. The unit currently has sixteen patrol teams, two narcotic detection dogs and one bloodhound for tracking. In addition nine of the patrol dogs are cross-trained for narcotic detection.

The Correctional Transportation Unit (CTU) is responsible for statewide inmate transportation with offices in Cheshire and Enfield. The CTU securely transports inmates for sentence review hearings, court appearances, medical appointments, halfway house placements, discharges and in and out of state transfers.

The Tactical Operations Unit represents the department as a supporting agency to the Department of Emergency Services and Public Protection (DESPP) ensuring public safety in any emergency within the state during which the State Emergency Operations Command Center is activated.

Personnel Summary

| | 06/30/2014 Filled | 06/30/2014 Vacant | FY 2015 Change | FY 2015 Total | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
|---|----------------------|----------------------|-------------------|----------------------|----------------------|------------------------|----------------------|------------------------|
| <i>Permanent Full-Time Positions</i> | | | | | | | | |
| General Fund | 5069 | 371 | 0 | 5440 | 5440 | 5246 | 5440 | 5246 |
| <i>Other Positions Equated to Full-Time</i> | | | | | | | | |
| General Fund | | | Actual | FY 2015 Estimated | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
| | | | 0 | 0 | 0 | 0 | 0 | 0 |

**Financial Summary
(Net of Reimbursements)**

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
|---|--------------------|----------------------|----------------------|---------------------|------------------------|----------------------|---------------------|------------------------|
| General Fund | | | | | | | | |
| Personal Services | 378,489,907 | 375,705,650 | 400,624,023 | 395,992,359 | 376,483,341 | 400,955,392 | 398,299,100 | 378,647,052 |
| Other Expenses | 69,505,882 | 71,359,633 | 73,328,114 | 74,784,931 | 70,429,733 | 75,455,597 | 76,227,597 | 69,879,733 |
| <u>Capital Outlay</u> | | | | | | | | |
| Equipment | 0 | 1 | 463,751 | 1 | 0 | 590,841 | 1 | 0 |
| TOTAL - Capital Outlay | 0 | 1 | 463,751 | 1 | 0 | 590,841 | 1 | 0 |
| <u>Other Current Expenses</u> | | | | | | | | |
| Stress Management | 725 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Workers' Compensation Claims | 25,588,167 | 26,136,219 | 27,275,173 | 27,275,173 | 26,136,219 | 27,821,994 | 28,477,969 | 26,136,219 |
| TOTAL - Other Current Expenses | 25,588,892 | 26,136,219 | 27,275,173 | 27,275,173 | 26,136,219 | 27,821,994 | 28,477,969 | 26,136,219 |
| <u>Pmts to Other than Local Govts</u> | | | | | | | | |
| Aid to Paroled and Discharged Inmates | 1,050 | 9,026 | 9,026 | 9,026 | 8,575 | 9,026 | 9,026 | 8,575 |
| TOTAL - Pmts to Other than Local Govts | 1,050 | 9,026 | 9,026 | 9,026 | 8,575 | 9,026 | 9,026 | 8,575 |
| TOTAL - General Fund | 473,585,731 | 473,210,529 | 501,700,087 | 498,061,490 | 473,057,868 | 504,832,850 | 503,013,693 | 474,671,579 |

Additional Funds Available

| | | | | | | | | |
|---|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| Private Funds | 1,709,995 | 2,700,500 | 2,340,500 | 2,340,500 | 2,340,500 | 2,340,500 | 2,340,500 | 2,340,500 |
| Federal Contributions | | | | | | | | |
| 16606 State Criminal Alien Assistance Pgm | 351,795 | 350,000 | 350,000 | 350,000 | 350,000 | 350,000 | 350,000 | 350,000 |
| 84013 Title I Pgm Neglected / Delinquent Children | 50 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 99125 Other Federal Assistance | 6,665 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 | 5,000 |
| TOTAL - All Funds | 475,654,236 | 476,266,029 | 504,395,587 | 500,756,990 | 475,753,368 | 507,528,350 | 505,709,193 | 477,367,079 |

PROGRAMS AND TREATMENT SERVICES DIVISION

Statutory Reference

C.G.S. Sections 18-81 and 18-101.

Statement of Need and Program Objectives

To increase safety in institutions and in the community through provision of programs and services that emphasize offender accountability and responsibility.

Program Description

The Programs and Treatment Division supports the agency's goals through provision of a wide range of educational and vocational opportunities, treatment programs, religious and volunteer activities, re-entry services, victim services, offender classification and population management and sentence calculation and interstate management. Services are designed to

equip offenders to adapt to healthy and productive lifestyles within the correctional system and effect a successful transition back into the community following release. Development of the Offender Management Plan structures offenders' release preparation from the first day of incarceration to release into the community. Following a comprehensive risk and treatment need assessment upon admission, an Offender Accountability Plan is developed with the offender to identify objectives to be addressed through the duration of the sentence. The plan holds the offender accountable for conduct and treatment goals such as education, vocational training, mental health services, treatment and substance abuse programming, and reentry planning.

Program Measure

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Projected | FY 2017 Projected |
|---|-------------------|----------------------|----------------------|----------------------|
| EDUCATION | | | 0 | 0 |
| Students Enrolled in Educational Services | 6,057 | 6,000 | 6,000 | 6,000 |
| General Education Development Diplomas | 577 | 700 | 100 | 100 |
| Vocational Education Certificates | 430 | 500 | 500 | 500 |
| Special Education-Individualized Plans | 500 | 400 | 400 | 400 |
| VOLUNTEER SERVICES | | | 0 | 0 |
| Hours of Service by Volunteers | 42,638 | 40,000 | 40,000 | 40,000 |
| Number of Volunteers | 1,570 | 1,500 | 1,500 | 1,500 |
| Total number of average inmates involved weekly | 11,691 | 11,000 | 11,000 | 11,000 |
| Number of new volunteers | 1,283 | 1,283 | 1,283 | 1,283 |
| RELIGIOUS SERVICES | | | 0 | 0 |
| Worship services and studies | 18,009 | 17,900 | 17,725 | 17,500 |
| Total Worship services and studies participants | 284,253 | 281,400 | 278,500 | 278,500 |

Personnel Summary

| | 06/30/2014 Filled | 06/30/2014 Vacant | FY 2015 Change | FY 2015 Total | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
|--------------------------------------|----------------------|----------------------|-------------------|------------------|----------------------|------------------------|----------------------|------------------------|
| Permanent Full-Time Positions | | | | | | | | |
| General Fund | 469 | 29 | 0 | 498 | 498 | 477 | 498 | 477 |
| Federal Funds | 0 | 0 | 4 | 4 | 4 | 4 | 4 | 4 |

**Financial Summary
(Net of Reimbursements)**

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
|-------------------------------|-------------------|----------------------|----------------------|---------------------|------------------------|----------------------|---------------------|------------------------|
| General Fund | | | | | | | | |
| Personal Services | 35,389,785 | 36,625,672 | 38,473,291 | 38,405,977 | 36,733,452 | 38,757,672 | 38,667,794 | 36,978,544 |
| Other Expenses | 292,869 | 261,786 | 271,925 | 271,925 | 261,786 | 284,677 | 284,677 | 261,786 |
| <u>Other Current Expenses</u> | | | | | | | | |

| | | | | | | | | |
|---|------------|------------|------------|------------|------------|------------|------------|------------|
| Distance Learning | 54,209 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Program Evaluation | 0 | 330,000 | 330,000 | 330,000 | 0 | 330,000 | 330,000 | 0 |
| TOTAL - Other Current Expenses | 54,209 | 330,000 | 330,000 | 330,000 | 0 | 330,000 | 330,000 | 0 |
| <i>Pmts to Other than Local Govts</i> | | | | | | | | |
| Volunteer Services | 6,000 | 7,634 | 7,634 | 7,634 | 0 | 7,634 | 7,634 | 0 |
| TOTAL - Pmts to Other than Local Govts | 6,000 | 7,634 | 7,634 | 7,634 | 0 | 7,634 | 7,634 | 0 |
| TOTAL - General Fund | 35,742,863 | 37,225,092 | 39,082,850 | 39,015,536 | 36,995,238 | 39,379,983 | 39,290,105 | 37,240,330 |
| <i>Additional Funds Available</i> | | | | | | | | |
| Private Funds | 273,959 | 269,975 | 275,000 | 275,000 | 275,000 | 275,000 | 275,000 | 275,000 |
| Federal Contributions | | | | | | | | |
| 16593 Res Subs Abuse Trtmt State Prisoners | 217,900 | 209,928 | 225,000 | 225,000 | 225,000 | 225,000 | 225,000 | 225,000 |
| 16606 State Criminal Alien Assistance Pgm | 8,676 | 7,500 | 7,500 | 7,500 | 7,500 | 7,500 | 7,500 | 7,500 |
| 16738 E Byrne Memorial Justice Assistance Grant | 14,185 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84013 Title I Pgm Neglected / Delinquent Children | 1,881,909 | 829,143 | 500,000 | 500,000 | 500,000 | 500,000 | 500,000 | 500,000 |
| 84048 Vocational Education Basic Grants to States | 327,036 | 287,182 | 222,182 | 222,182 | 222,182 | 222,182 | 222,182 | 222,182 |
| TOTAL - All Funds | 38,466,528 | 38,828,820 | 40,312,532 | 40,245,218 | 38,224,920 | 40,609,665 | 40,519,787 | 38,470,012 |

STAFF TRAINING AND DEVELOPMENT

Statutory Reference

C.G.S. Section 18-81.

Statement of Need and Program Objectives

The mission of the Maloney Center for Training and Staff Development is to strive to be a global leader in the preparation of new correctional employees as they enter the corrections profession, with initiatives to support existing staff in their professional development to ensure the highest level of professionalism and where all employees are trained with sound correctional practices promoting the highest level of safety and security.

Program Description

The agency provides training and staff development programs for all employees to enhance the knowledge, skills, and abilities necessary to conform to department policies and procedures. Training shall be provided on a

planned and continuous basis to increase the overall proficiency of the workforce by contributing to personal and professional development. Training and staff development programs shall be provided in an equitable and nondiscriminatory manner to department employees.

Programs and services available include pre-service education for newly hired employees, in service training via the web and traditional classroom for staff, firearms instruction for those who are required to carry weapons and new supervisors training. In addition train the trainer classes exist for in service training and firearms to maintain a cadre of experienced instructors.

Program Measure

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Projected | FY 2017 Projected |
|--------------------------------|-------------------|----------------------|----------------------|----------------------|
| STAFF TRAINING & DEVELOPMENT | | | 0 | 0 |
| Orientation training graduates | 700 | 600 | 600 | 600 |
| In-service training hours | 230,000 | 230,000 | 230,000 | 230,000 |

Personnel Summary

| | 06/30/2014 Filled | 06/30/2014 Vacant | FY 2015 Change | FY 2015 Total | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
|--------------------------------------|----------------------|----------------------|-------------------|------------------|----------------------|------------------------|----------------------|------------------------|
| Permanent Full-Time Positions | | | | | | | | |
| General Fund | 29 | 2 | 0 | 31 | 31 | 31 | 31 | 31 |
| Other Positions Equated to Full-Time | | | | | | | | |
| General Fund | | | 0 | 0 | 0 | 0 | 0 | 0 |

**Financial Summary
(Net of Reimbursements)**

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
|---|-------------------|----------------------|----------------------|---------------------|------------------------|----------------------|---------------------|------------------------|
| General Fund | | | | | | | | |
| Personal Services | 1,620,759 | 1,654,308 | 1,739,218 | 1,738,160 | 1,738,160 | 1,751,103 | 1,748,930 | 1,748,930 |
| Other Expenses | 896,284 | 731,924 | 748,588 | 748,588 | 731,924 | 770,645 | 770,645 | 731,924 |
| TOTAL - General Fund | 2,517,043 | 2,386,232 | 2,487,806 | 2,486,748 | 2,470,084 | 2,521,748 | 2,519,575 | 2,480,854 |
| Additional Funds Available | | | | | | | | |
| Federal Contributions | | | | | | | | |
| 16606 State Criminal Alien Assistance Pgm | 6,758 | 6,500 | 6,500 | 6,500 | 6,500 | 6,500 | 6,500 | 6,500 |
| TOTAL - All Funds | 2,523,801 | 2,392,732 | 2,494,306 | 2,493,248 | 2,476,584 | 2,528,248 | 2,526,075 | 2,487,354 |

HEALTH AND ADDICTION SERVICES

Statutory Reference

C.G.S. Section 18-81.

Statement of Need and Program Objectives

To provide for the physical and behavioral health of offenders from admission through reintegration with the community. To support the agency mission of public safety and community reintegration through provision of evidence-based assessment, treatment and aftercare services.

Program Description

The Health and Addiction Services Unit collaborates with the University of Connecticut Health Center to provide comprehensive managed health care for the physical and behavioral health of offenders from admission through reintegration with the community.

Through the Memorandum of Agreement (MOA) with UCHC, inmates receive facility based primary care, inpatient infirmary care, psychiatric services, dental services and medications.

Inmates are sent to the UCHC for expanded testing such as MRIs and CAT scans and for specialty services such as cardiology and neurology as well as surgical procedures.

The DOC Health Services Unit has received national recognition for the hospice, bereavement and

palliative care program as well as for the integrated model of parole supervision for mental health offenders.

The Addiction Services Unit (ASU) operates on the understanding that substance abuse and addiction affect the whole person - physically, mentally, socially and spiritually - and that recovery is a developmental process in which the addicted offender learns and practices new skills, values and ways of thinking and behaving which will support successful re-entry into the community. To help the addicted offender achieve successful re-integration, the ASU offers a continuum of evidenced based treatment services delivered in prisons and the community.

In-prison services include Assessment and Orientation program and a nine-session substance abuse education program (Tier 1), targeted to the pre-sentenced population at the direct admission facilities; a ten week intensive outpatient program (Tier 2); and six month residential treatment program (Tier 4) for the long term, sentenced population. The ASU provides specialized programming for DUI offenders and those inmates at risk for parole violation. This program structure provides the offender with the opportunity to address their addiction along the continuum of their incarceration.

Program Measure

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Projected | FY 2017 Projected |
|----------------------------------|-------------------|----------------------|----------------------|----------------------|
| HEALTH SERVICES (Medical) | | | | |
| Intake Screening | 50,908 | 55,000 | 55,000 | 55,000 |
| Inpatient Bed Days | 24,604 | 25,000 | 25,000 | 25,000 |
| Medical Sick Call | 35,054 | 40,000 | 40,000 | 40,000 |

| | | | | |
|--|--------|--------|--------|--------|
| HIV tests | 8,707 | 10,000 | 10,000 | 10,000 |
| Tuberculin Skin Tests | 13,094 | 10,000 | 10,000 | 10,000 |
| MENTAL HEALTH | | | | |
| Referral Seen by MH | 68,585 | 70,000 | 70,000 | 70,000 |
| Suicide Assessments | 22,626 | 25,000 | 25,000 | 25,000 |
| Mental Health Caseload (Monthly) | 3,987 | 4,000 | 4,000 | 4,000 |
| MH Inpatient Bed Days | 23,327 | 25,000 | 25,000 | 25,000 |
| Psychotropic Medication Population (Average Monthly) | 2,633 | 2,800 | 2,800 | 2,800 |
| DENTAL | | | | |
| Dental Surgeries (Oral Surgeon and Dentist) | 3,213 | 4,000 | 4,000 | 4,000 |
| Dental X-Rays (Panorex/Routine) | 12,519 | 15,000 | 15,000 | 15,000 |
| ADDICTION SERVICES | | | | |
| Number Served in Tier 1 - Orientation and Assessment | 10,606 | 12,000 | 12,000 | 12,000 |
| Number Served in Tier 1 - Pre-release S/A Education | 731 | 800 | 800 | 800 |
| Number Served in Tier 2 - Intensive Outpatient | 1,864 | 2,200 | 2,200 | 2,200 |
| Number Served in Tier 3 - Residential Recovery Unit | 0 | 0 | 0 | 0 |
| Number Served in Tier 4 - Residential Treatment | 604 | 600 | 600 | 600 |
| Number Served in DUI Treatment | 602 | 650 | 650 | 650 |
| Number Served in Challenges Program - Youth | 90 | 90 | 100 | 100 |
| Number Served in Technical Violators Program | 357 | 360 | 360 | 360 |
| Number Served in Aftercare Program | 228 | 300 | 300 | 300 |
| Total individual counseling sessions | 5,299 | 5,500 | 5,500 | 5,500 |
| Total group counseling sessions | 6,725 | 7,000 | 7,500 | 7,500 |
| A.A.-Annual Meetings | 1,013 | 1,200 | 1,200 | 1,200 |
| N.A.-Annual Meetings | 1,048 | 1,200 | 1,200 | 1,200 |

| Personnel Summary | 06/30/2014 | 06/30/2014 | FY 2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|--|------------|------------|-------------|------------|-------------|-------------|-------------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 16 | 0 | 0 | 16 | 16 | 16 | 16 | 16 |
| | | | FY 2014 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
| | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 0 | 0 | 0 | 0 | 0 | 0 |
| Federal Funds | | | 0 | 0 | 0 | 0 | 0 | 0 |
| Financial Summary (Net of Reimbursements) | FY 2014 | FY 2015 | FY 2016 | Current | FY 2016 | FY 2017 | Current | FY 2017 |
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| General Fund | | | | | | | | |
| Personal Services | 1,174,919 | 1,202,071 | 1,272,768 | 1,272,771 | 1,272,771 | 1,279,630 | 1,279,434 | 1,279,434 |
| Other Expenses | 29,375 | 27,910 | 28,592 | 28,592 | 27,910 | 29,396 | 29,396 | 27,910 |
| <i>Other Current Expenses</i> | | | | | | | | |
| Inmate Medical Services | 88,513,923 | 87,767,101 | 99,715,749 | 98,172,037 | 92,083,307 | 101,693,546 | 99,594,257 | 93,377,416 |
| TOTAL - Other Current Expenses | 88,513,923 | 87,767,101 | 99,715,749 | 98,172,037 | 92,083,307 | 101,693,546 | 99,594,257 | 93,377,416 |
| TOTAL - General Fund | 89,718,217 | 88,997,082 | 101,017,109 | 99,473,400 | 93,383,988 | 103,002,572 | 100,903,087 | 94,684,760 |
| <i>Additional Funds Available</i> | | | | | | | | |
| Federal Contributions | | | | | | | | |
| 93243 Substance Abuse & Mental Health Services | 14,958 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93273 Alcohol Research Programs | 62,387 | 17,785 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93279 Drug Abuse Research Programs | 62,388 | 17,785 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - All Funds | 89,857,950 | 89,032,652 | 101,017,109 | 99,473,400 | 93,383,988 | 103,002,572 | 100,903,087 | 94,684,760 |

PAROLE & COMMUNITY SERVICES

Statutory Reference

C.G.S. Sections 18-81, 18-101h-101k and 54-124a – 54-129.

Statement of Need and Program Objectives

To protect the community and foster offenders' successful community reintegration.

Program Description

The Parole and Community Services Division enhances public safety, reduces recidivism, and assists offenders to become law abiding members of society by providing offenders with re-entry opportunities, consistent with public safety, informed by evidenced-based assessment, supervision, and treatment strategies. Preparation for community supervision begins on the first day of incarceration based on the Offender Accountability Plan (OAP), and continues with a consistent program methodology and monitoring in the community. The model relies on the parole officer to develop and monitor a comprehensive individual case management plan for all offenders incorporating evidence based gender responsive risk assessments, supervision and treatment strategies, as well as incremental sanctions and treatment interventions. The program is intended to assist the offender with community reintegration, ensure accountability, and use contracted community residential and non-residential programs.

The division provides supervision to those released under the authority of the Commissioner of Correction

and the Chair of the Board of Pardons and Paroles. Supervision and services are provided to those offenders who are presently on transfer parole, discretionary parole, special parole, transitional supervision, transitional placement, home confinement and re-entry furloughs or who are in a DOC contracted community residential program. The level of offender supervision in the community ranges from intensive (weekly reporting plus electronic monitoring) to minimum (monthly reporting).

The division is comprised of five district offices in Bridgeport, Hartford, New Haven, Norwich and Waterbury as well as seven specialized units: Central Intake; DUI/Home Confinement; Fugitive Investigations; Mental Health; Special Management; Support Services and Residential. The division is in the process of developing a specialized gender responsive trauma informed unit, the Women’s Re-entry Unit (WRU). Parole managers and officers in each district monitor the progress of offenders and their adherence to release conditions set forth when they are released from incarceration.

Program Measure

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Projected | FY 2017 Projected |
|---|-------------------|----------------------|----------------------|----------------------|
| PAROLE & COMMUNITY SERVICES | | | | |
| TRANSITIONAL SUPERVISION (TS) | | | | |
| Total TS Annual Admissions | 2,215 | 2,215 | 2,215 | 2,215 |
| Average TS monthly offenders supervised | 605 | 605 | 605 | 605 |
| TRANSITIONAL PLACEMENT (TP) | | | | |
| Total TP Annual Admissions | 360 | 360 | 360 | 360 |
| Average TP Monthly offenders supervised | 125 | 125 | 125 | 125 |
| DUI HOME CONFINEMENT (DUI HC) | | | | |
| Total DUI HC Annual Admissions | 367 | 370 | 370 | 370 |
| Average DUI HC Monthly offenders supervised | 94 | 100 | 100 | 100 |
| NURSING HOME RELEASE (NHR) | | | | |
| Total NHR Annual Admissions | 15 | 30 | 35 | 40 |
| Average NHR Monthly offenders supervised | 7 | 15 | 15 | 15 |
| RESIDENTIAL PROGRAMS | | | | |
| Budgeted Beds | 1,088 | 1,110 | 1,110 | 1,110 |
| Number of Admissions to Residential Programs | 2,066 | 2,100 | 2,100 | 2,100 |
| PAROLE (Includes Special Parole, PARCOM & Transfer Parole) | | | | |
| Total Parole Admissions | 2,070 | 2,173 | 2,281 | 2,395 |
| Average number of parolees supervised in community | 2,179 | 2,287 | 2,401 | 2,521 |
| Average number of parolees supervised out of state | 297 | 300 | 300 | 300 |

Personnel Summary

| | 06/30/2014 Filled | 06/30/2014 Vacant | FY 2015 Change | FY 2015 Total | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
|--------------------------------------|----------------------|----------------------|-------------------|----------------------|----------------------|------------------------|----------------------|------------------------|
| Permanent Full-Time Positions | | | | | | | | |
| General Fund | 156 | 4 | 0 | 160 | 160 | 913 | 160 | 913 |
| Other Positions Equated to Full-Time | | | FY 2016 Actual | FY 2015 Estimated | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |

| General Fund | 0 | | 0 | | 0 | | 0 | |
|--|-------------------|----------------------|----------------------|---------------------|------------------------|----------------------|---------------------|------------------------|
| Financial Summary (Net of Reimbursements) | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
| General Fund | | | | | | | | |
| Personal Services | 13,042,842 | 13,461,872 | 14,199,006 | 14,193,739 | 15,490,249 | 14,330,544 | 14,320,231 | 15,616,741 |
| Other Expenses | 3,478,649 | 3,467,746 | 3,556,501 | 3,556,501 | 4,667,746 | 3,661,881 | 3,661,881 | 4,667,746 |
| <u>Other Current Expenses</u> | | | | | | | | |
| Probation and Alternatives to Incarceration | 0 | 0 | 0 | 0 | 123,233,532 | 0 | 0 | 127,377,207 |
| TOTAL - Other Current Expenses | 0 | 0 | 0 | 0 | 123,233,532 | 0 | 0 | 127,377,207 |
| <u>Pmts to Other than Local Govts</u> | | | | | | | | |
| Volunteer Services | 121,500 | 154,587 | 154,587 | 154,587 | 0 | 154,587 | 154,587 | 0 |
| Community Support Services | 41,205,602 | 41,275,777 | 41,435,777 | 41,435,777 | 41,435,777 | 41,435,777 | 41,435,777 | 41,435,777 |
| TOTAL - Pmts to Other than Local Govts | 41,327,102 | 41,430,364 | 41,590,364 | 41,590,364 | 41,435,777 | 41,590,364 | 41,590,364 | 41,435,777 |
| TOTAL - General Fund | 57,848,593 | 58,359,982 | 59,345,871 | 59,340,604 | 184,827,304 | 59,582,789 | 59,572,476 | 189,097,471 |
| <u>Additional Funds Available</u> | | | | | | | | |
| Federal Contributions | | | | | | | | |
| 16606 State Criminal Alien Assistance Pgm | 125,989 | 125,000 | 125,000 | 125,000 | 125,000 | 125,000 | 125,000 | 125,000 |
| TOTAL - All Funds | 57,974,582 | 58,484,982 | 59,470,871 | 59,465,604 | 184,952,304 | 59,707,789 | 59,697,476 | 189,222,471 |

CORRECTIONAL ENTERPRISES

Statutory Reference

C.G.S. Section 18-88.

Statement of Need and Program Objectives

To provide a program that maximizes inmate employment and vocational education skill development by offering a work setting within institutional walls that replicates private industries while maintaining a safe and secure setting for staff and inmates. Correctional Enterprises of Connecticut (CEC) is self-supporting, generating sufficient revenues from the sale of products and services to meet the costs of operations.

Program Description

The Correctional Enterprises Unit's objective is to be a self-supporting business that offers vocational education and occupational development skills to

offenders while being engaged in the production of useful goods and services to state agencies, municipalities and nonprofit organizations. CEC operates twelve industry programs within four correctional institutions, offering inmates a realistic work experience in a business-like environment that stresses the same type of performance standards, accountability, and rewards used for workers in the community. This unit also serves the operational goals of the department by reducing inmate idleness by teaching meaningful job skills and work habits and in preparing inmates for release into the community workplace. Some products and services offered by CEC include license plates, clothing and textiles, furniture refinishing and re-upholstery, custom metal fabrication, trash liners, graphic arts and printing.

| Program Measure | FY 2014 Actual | FY 2015 Estimated | FY 2016 Projected | FY 2017 Projected |
|---|-------------------|----------------------|----------------------|----------------------|
| CORRECTIONAL ENTERPRISES OF CONNECTICUT | | | 0 | 0 |
| Sales (\$000) | 7,328 | 7,115 | 7,500 | 7,500 |
| Inmates Employed | 380 | 380 | 380 | 380 |
| CORRECTIONAL COMMISSARIES | | | 0 | 0 |
| Sales (\$000) | 15,435 | 15,550 | 15,550 | 15,550 |
| Inmates Served, weekly opportunities | 18,000 | 17,500 | 19,500 | 19,500 |
| Inmates Employed | 120 | 120 | 120 | 120 |

| Personnel Summary | 06/30/2014 Filled | 06/30/2014 Vacant | FY 2015 Change | FY 2015 Total | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
|---|----------------------|----------------------|-------------------|----------------------|----------------------|------------------------|----------------------|------------------------|
| Permanent Full-Time Positions | 0 | 0 | 76 | 76 | 76 | 76 | 76 | 76 |
| Special Non-Appropriated Funds | | | | | | | | |
| <i>Other Positions Equated to Full-Time</i> | | | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |

| | | | | | | | | |
|--|-------------------|----------------------|----------------------|---------------------|------------------------|----------------------|---------------------|------------------------|
| Special Non-Appropriated Funds | | | | | | | | |
| | | | 0 | 0 | 0 | 0 | 0 | 0 |
| Financial Summary (Net of Reimbursements) | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
| General Fund | | | | | | | | |
| Other Expenses | 80 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - General Fund | 80 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Additional Funds Available | | | | | | | | |
| Special Non-Appropriated Funds | 24,837,449 | 24,750,000 | 24,750,000 | 24,750,000 | 24,750,000 | 24,750,000 | 24,750,000 | 24,750,000 |
| TOTAL - All Funds | 24,837,529 | 24,750,000 | 24,750,000 | 24,750,000 | 24,750,000 | 24,750,000 | 24,750,000 | 24,750,000 |

CONNECTICUT CORRECTIONAL COMMISSARIES

Statutory Reference

C.G.S. Sections 4-57, 4-57a and 18-88.

Statement of Need and Program Objectives

To sell and deliver products each week to the more than 16,000 inmates incarcerated in the State of Connecticut, while maintaining a safe and secure environment for both staff and inmates. To provide inmates the best products available, with the lowest potential for misuse, at the lowest prices, for purchase with their own funds and earnings from inmate work opportunities, thus providing personal incentive rather than complete General Fund dependency. The commissary attempts to be sensitive to inmates' limited funds and has accommodated this by contracting for goods offered through competitive bidding. To operate the department's three district commissaries within the

constraints of the operating budget while maintaining profitability to support the operation and provide residual profit to the welfare fund for humanitarian purposes benefiting the incarcerated.

Program Description

The sales from products are designed to generate sufficient income to pay for cost of goods, supplies and salaries directly related to the operation of this self-supporting program. Inmates are encouraged to work in the commissaries to learn about retail and warehousing operations, ordering and inventory control. Approximately 120 inmates currently are employed. This program assists with re-entry into the community and provides usable skills for employment opportunities.

| | | | | | | | | |
|--|-------------------|----------------------|----------------------|---------------------|------------------------|----------------------|---------------------|------------------------|
| Financial Summary (Net of Reimbursements) | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
| TOTAL - All Funds | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

CONSIDERATION OF PARDONS AND PAROLES

Statutory Reference

C.G.S. Sections 18-24a, 18-26; 54-124a through 54-133.

Statement of Need and Program Objectives

To protect the public by facilitating the successful reintegration of suitable offenders into the community by: utilizing a structured decision-making framework to grant release only to those individuals who will likely live and remain at liberty without violating the law and only under those conditions which will maximize their chances of adopting a lawful lifestyle; authorizing the return to prison of any parolee who does not comply with the conditions of parole or who pose a danger to the community; sharing release decisions with all areas

of the criminal justice system in order to ensure that welfare of society is protected; giving victims a voice through notifications of pending hearings and advising them of their rights and options within the process; monitoring those offenders who enter into this state or are released to out of state plans through the Interstate Compact of Adult Offender Supervision (ICAOS); reviewing confined offenders applications for relief through clemency; reviewing prior offenders applications for pardons (full and conditional) as well as granting certificates of employability to provide for relief from barriers to employment and licensure; and analyzing and providing statistical information and assistance in the distribution of data to criminal justice

stakeholders and the general public as well as collaborating on a State-wide offender risk-assessment strategy.

Program Description

The members of the Board of Pardons and Paroles are appointed by the Governor. The parole board members decide whether to release or continue confinement of offenders after carefully evaluating case factors. The Board continues to maintain its interest in the public safety and in the rehabilitation of the offender by setting parole conditions and approving the return of supervised offenders to confinement when deemed necessary via the parole revocation process. The pardons board members decide whether to grant pardons, either conditional, provisional (certificates of employability) or absolute. They also can decide to grant leniency to currently incarcerated offenders through the clemency process. The agency is comprised of four specific programming Divisions: Hearings; Pardons; Planning, Research and Development; and Victim Services.

The Hearings Division ensures that all eligible offenders are reviewed for parole consideration in an organized and timely manner and that release decisions are based on accurate, comprehensive, and thorough case investigations. The division is also responsible for the timely scheduling of all revocation and rescission hearings. Each case being reviewed for parole consideration requires a detailed summary. These comprehensive summaries provide board members with information regarding applicant's criminal, social, and correctional history as well as identifying risks and needs among several criminogenic domains. The summaries detail each parole eligible inmate's offense(s), adjustments and achievements during incarceration, and contain letters from interested parties supporting or opposing parole. If the offender is granted parole, these summaries then form the basis of information upon which the supervision officer develops case management, treatment and supervision plans. The Board retains the authority to rescind or modify a previously granted parole in the event of new information or behavior resulting in either Department of Correction disciplinary action or new criminal charges. In this case, rescission hearings are conducted

prior to release. Revocation hearings are also conducted in cases of persons who have allegedly violated conditions of their parole. Who have been returned to custody and are entitled to a hearing before a panel of the Board. The interstate compact unit of the division guides the transfer of offenders in a manner that promotes effective supervision strategies consistent with public safety, offender accountability, and crime victims' rights. It provides for offenders to be paroled to other state, federal and immigration detainers.

The Pardons Division ensures that all eligible applications are reviewed and processed in a timely manner. Pardon applications are submitted for action by the Board at its scheduled sessions. Consideration is given for pardon based on mercy and the end result if granted can be a full expungement of a person's criminal record. In deciding on whether to grant a pardon, the Board reviews the following: the nature of the offense, time since the occurrence, the person's behavior since the offense, lack of criminal record since the offense, efforts to rehabilitate and any other pertinent information. The Board also decides on whether to commute the sentence of a person sentenced to death to a lesser penalty or grant clemency to currently incarcerated offenders. Lastly, the Board reviews applications and grants certificates of relief from barriers to employment and licensure.

The Planning, Research and Development (PRD) Division assists the agency with the state-wide collaborative offender risk-assessment strategy and risk-based structured decision-making. The focus of the PRD Division is directed toward the maintenance of agency processes that consistently produce responsible pardons and evidence-based parole release decisions. In addition, measuring the effectiveness of the Board's policies and processes, the division assists in streamlining the agency's organizational structure to ensure maximum cost-effectiveness.

The Victim Services Division ensures that all victims' rights and concerns are being addressed. Two victim advocates from the Office of Victim Services (OVS) are available to assist crime victims who choose to participate in the decision-making processes of the Board.

| | Actual | Estimated | Projected | Projected |
|---|-----------------------|-----------------------|-----------------------|-----------------------|
| Consideration of Pardons | Inmates / Non-Inmates | Inmates / Non-Inmates | Inmates / Non-Inmates | Inmates / Non-Inmates |
| Applicants for consideration Inmates/Non-Inmates | 14/556 | 15/560 | 16/590 | 17/620 |
| Pardons granted Inmates/Non-Inmates | 0/241 | 2/245 | 3/250 | 3/255 |
| Number of Hearings Conducted Inmates/Non-Inmates | 2/8 | 2/8 | 2/8 | 2/8 |
| Request for applications (*Non-inmate applications not trackable) | 56 / * | 56/* | 60/* | 62/* |
| Applications received | 14/832 | 15/840 | 16/882 | 17/930 |
| Consideration of Parole | | | 0 | 0 |
| Number of offenders granted parole | 1,246 | 1,370 | 1,535 | 1,690 |
| Number of offenders denied parole | 498 | 550 | 615 | 680 |
| Rescinded Parole | 90 | 100 | 110 | 120 |
| Revoked parole | 294 | 325 | 365 | 400 |
| Successful completion of parole | 940 | 1,040 | 1,165 | 1,280 |

| Personnel Summary | 06/30/2014 | 06/30/2014 | FY 2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|---|------------|------------|---------|-----------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 57 | 10 | 0 | 67 | 67 | 71 | 67 | 71 |
| | | | FY 2014 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
| <i>Other Positions Equated to Full-Time</i> | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 0 | 0 | 0 | 0 | 0 | 0 |

| Financial Summary (Net of Reimbursements) | FY 2014 | FY 2015 | FY 2016 | Current | FY 2016 | FY 2017 | Current | FY 2017 |
|--|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |

| General Fund | | | | | | | | |
|--------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| <i>Other Current Expenses</i> | | | | | | | | |
| Board of Pardons and Paroles | 5,298,164 | 6,464,739 | 6,819,556 | 6,811,441 | 7,123,925 | 6,881,582 | 6,869,459 | 7,204,143 |
| TOTAL - Other Current Expenses | 5,298,164 | 6,464,739 | 6,819,556 | 6,811,441 | 7,123,925 | 6,881,582 | 6,869,459 | 7,204,143 |
| TOTAL - General Fund | 5,298,164 | 6,464,739 | 6,819,556 | 6,811,441 | 7,123,925 | 6,881,582 | 6,869,459 | 7,204,143 |
| TOTAL - All Funds | 5,298,164 | 6,464,739 | 6,819,556 | 6,811,441 | 7,123,925 | 6,881,582 | 6,869,459 | 7,204,143 |

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Section 18-81.

Statement of Need and Program Objectives

To ensure that the statewide correction system effectively and efficiently accomplishes its mission by the setting of uniform policies, centralizing certain support services and providing overall agency management.

Program Description

The primary areas of Management Services include the office of the commissioner, legal services, management information systems, fiscal services, human resources, external communications, affirmative action, best practices unit, Prison Rape Elimination Act (PREA) unit and facilities management and engineering services.

| Personnel Summary | 06/30/2014 | 06/30/2014 | FY 2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|--------------------------------------|------------|------------|---------|---------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 131 | 9 | 0 | 140 | 140 | 140 | 140 | 140 |

| Financial Summary (Net of Reimbursements) | FY 2014 | FY 2015 | FY 2016 | Current | FY 2016 | FY 2017 | Current | FY 2017 |
|--|---------|-----------|-----------|----------|-------------|-----------|----------|-------------|
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |

| General Fund | | | | | | | | |
|-------------------------------|------------|------------|------------|------------|------------|------------|------------|------------|
| Personal Services | 10,635,079 | 10,898,783 | 11,489,912 | 11,352,676 | 11,352,676 | 11,559,937 | 11,420,158 | 11,420,158 |
| Other Expenses | 491,966 | 464,128 | 476,283 | 476,283 | 464,128 | 489,612 | 489,612 | 464,128 |
| <i>Other Current Expenses</i> | | | | | | | | |
| Stress Management | 8,948 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | | | |
|---|------------|------------|------------|------------|------------|------------|------------|------------|
| TOTAL - Other Current Expenses | 8,948 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <u>Pmts to Other than Local Govts</u> | | | | | | | | |
| Legal Services to Prisoners | 827,065 | 827,065 | 950,000 | 827,065 | 827,065 | 950,000 | 827,065 | 827,065 |
| Community Support Services | 70,175 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - Pmts to Other than Local Govts | 897,240 | 827,065 | 950,000 | 827,065 | 827,065 | 950,000 | 827,065 | 827,065 |
| <u>Nonfunctional - Change to Accruals</u> | | | | | | | | |
| TOTAL - General Fund | 2,538,185 | 2,239,331 | 2,239,331 | 2,239,331 | 0 | 2,239,331 | 2,239,331 | 0 |
| <u>Additional Funds Available</u> | | | | | | | | |
| Private Funds | 41,998 | 254,000 | 254,000 | 254,000 | 254,000 | 254,000 | 254,000 | 254,000 |
| Federal Contributions | | | | | | | | |
| 16606 State Criminal Alien Assistance Pgm | 4,500 | 4,000 | 4,000 | 4,000 | 4,000 | 4,000 | 4,000 | 4,000 |
| 16738 E Byrne Memorial Justice Assistance Grant | 11,106 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16812 Second Chance Act Prisoner Reentry Initiative | 341,116 | 545,114 | 136,279 | 136,279 | 136,279 | 0 | 0 | 0 |
| 93701 Trans-Nih Recovery Act Research Support | 5,929 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 99125 Other Federal Assistance | 22,963 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 |
| TOTAL - All Funds | 14,999,030 | 15,247,421 | 15,564,805 | 15,304,634 | 13,053,148 | 15,511,880 | 15,249,166 | 12,984,351 |

AGENCY FINANCIAL SUMMARY - General Fund

| Current Expenses by Minor Object | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
|---|-------------------|----------------------|----------------------|------------------------|----------------------|------------------------|
| <u>Personal Services</u> | | | | | | |
| Permanent Fulltime Positions | 321,074,720 | 336,728,680 | 353,518,257 | 346,383,207 | 355,688,936 | 348,513,972 |
| Other Positions | 2,084,674 | 2,099,751 | 2,206,695 | 2,204,582 | 2,221,821 | 2,219,751 |
| Other | 24,517,731 | 18,986,708 | 22,063,342 | 18,986,708 | 21,464,040 | 18,986,708 |
| Overtime | 92,676,166 | 81,933,217 | 90,209,924 | 75,696,152 | 89,459,481 | 76,170,428 |
| Reimbursements | 0 | -200,000 | -200,000 | -200,000 | -200,000 | -200,000 |
| TOTAL - Personal Services | 440,353,291 | 439,548,356 | 467,798,218 | 443,070,649 | 468,634,278 | 445,690,859 |
| <u>Other Expenses</u> | | | | | | |
| Advertising and Marketing | 4,450 | 6,167 | 6,309 | 6,167 | 6,491 | 6,167 |
| Agriculture, Horticulture, Dairy & Food | 17,362,800 | 17,465,379 | 17,652,206 | 16,977,893 | 18,056,815 | 16,977,893 |
| Books | 49,287 | 58,930 | 60,295 | 58,930 | 62,037 | 58,930 |
| Clothing and Personal Supplies | 4,089,854 | 4,416,286 | 4,518,741 | 4,342,417 | 4,649,331 | 4,342,417 |
| DP Services, Rentals and Maintenance | 1,065,090 | 1,699,693 | 2,583,959 | 2,544,528 | 3,112,218 | 2,294,528 |
| Dues and Subscriptions | 25,030 | 25,988 | 26,587 | 25,988 | 27,356 | 25,988 |
| Fees for Non-Professional Services | 374,415 | 424,127 | 433,960 | 424,127 | 446,498 | 424,127 |
| Fees for Outside Professional Services | 864,028 | 639,302 | 954,129 | 939,302 | 673,031 | 639,302 |
| Fuel | 4,262,898 | 4,098,551 | 4,186,385 | 3,797,686 | 4,395,408 | 3,797,686 |
| General Repairs | 3,450,880 | 3,305,058 | 3,531,726 | 3,301,816 | 3,629,457 | 3,301,816 |
| Maintenance and Motor Vehicle Supplies | 9,980,441 | 10,343,491 | 10,661,557 | 10,001,520 | 10,893,425 | 10,001,520 |
| Medical Supplies | 43,789 | 25,196 | 25,778 | 25,196 | 26,522 | 25,196 |
| Motor Vehicle Expenses | 2,060,707 | 2,029,523 | 2,236,603 | 2,156,845 | 2,296,616 | 2,156,845 |
| Office Supplies | 3,062,052 | 2,781,163 | 2,845,675 | 2,725,689 | 2,927,915 | 2,725,689 |
| Other Contractual Services | 588,966 | 2,109,557 | 2,158,489 | 3,309,557 | 2,220,869 | 3,309,557 |
| Postage | 215,905 | 290,483 | 297,220 | 290,483 | 305,810 | 290,483 |
| Printing & Binding | 6,657 | 9,333 | 9,548 | 9,333 | 9,824 | 9,333 |
| Reimbursements | 0 | -1,846,000 | -1,846,000 | -1,846,000 | -1,846,000 | -1,846,000 |
| Rentals, Storage and Leasing | 1,415,786 | 1,453,795 | 1,487,518 | 1,426,986 | 1,530,508 | 1,426,986 |
| Sundry - Other Items | 2,058,540 | 1,896,608 | 2,055,608 | 1,888,940 | 2,111,691 | 1,888,940 |
| Telecommunication Services | 3,047,199 | 3,067,471 | 3,161,480 | 2,644,625 | 3,252,184 | 2,644,625 |
| Travel | 288,509 | 245,380 | 251,070 | 245,380 | 258,324 | 245,380 |
| Utility Services | 20,377,822 | 21,767,646 | 21,111,160 | 21,285,819 | 21,645,478 | 21,285,819 |
| TOTAL - Other Expenses | 74,695,105 | 76,313,127 | 78,410,003 | 76,583,227 | 80,691,808 | 76,033,227 |
| <u>Equipment</u> | | | | | | |
| Equipment | 0 | 1 | 463,751 | 0 | 590,841 | 0 |
| TOTAL - Equipment | 0 | 1 | 463,751 | 0 | 590,841 | 0 |
| <u>Other Current Expenses</u> | | | | | | |
| Stress Management | 9,673 | 0 | 0 | 0 | 0 | 0 |
| Workers' Compensation Claims | 25,588,167 | 26,136,219 | 27,275,173 | 26,136,219 | 27,821,994 | 26,136,219 |
| Inmate Medical Services | 88,513,923 | 87,767,101 | 99,715,749 | 92,083,307 | 101,693,546 | 93,377,416 |
| Board of Pardons and Paroles | 5,298,164 | 6,464,739 | 6,819,556 | 7,123,925 | 6,881,582 | 7,204,143 |
| Distance Learning | 54,209 | 0 | 0 | 0 | 0 | 0 |
| Program Evaluation | 0 | 330,000 | 330,000 | 0 | 330,000 | 0 |
| Probation and Alt to Incarceration | 0 | 0 | 0 | 123,233,532 | 0 | 127,377,207 |
| TOTAL - Other Current Expenses | 119,464,136 | 120,698,059 | 134,140,478 | 248,576,983 | 136,727,122 | 254,094,985 |

Pmts to Other Than Local Govts

| | | | | | | |
|---|------------|------------|------------|------------|------------|------------|
| Aid to Paroled and Discharged Inmates | 1,050 | 9,026 | 9,026 | 8,575 | 9,026 | 8,575 |
| Legal Services to Prisoners | 827,065 | 827,065 | 950,000 | 827,065 | 950,000 | 827,065 |
| Volunteer Services | 127,500 | 162,221 | 162,221 | 0 | 162,221 | 0 |
| Community Support Services | 41,275,777 | 41,275,777 | 41,435,777 | 41,435,777 | 41,435,777 | 41,435,777 |
| TOTAL - Pmts to Other Than Local Govts | 42,231,392 | 42,274,089 | 42,557,024 | 42,271,417 | 42,557,024 | 42,271,417 |
| <u>Nonfunctional - Change to Accruals</u> | 2,538,185 | 2,239,331 | 2,239,331 | 0 | 2,239,331 | 0 |

Character & Major Object Summary

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
|------------------------------------|-------------------|----------------------|----------------------|---------------------|------------------------|----------------------|---------------------|------------------------|
| General Fund | | | | | | | | |
| Personal Services | 440,353,291 | 439,548,356 | 467,798,218 | 462,955,682 | 443,070,649 | 468,634,278 | 465,735,647 | 445,690,859 |
| Other Expenses | 74,695,105 | 76,313,127 | 78,410,003 | 79,866,820 | 76,583,227 | 80,691,808 | 81,463,808 | 76,033,227 |
| Capital Outlay | 0 | 1 | 463,751 | 1 | 0 | 590,841 | 1 | 0 |
| Other Current Expenses | 119,464,136 | 120,698,059 | 134,140,478 | 132,588,651 | 248,576,983 | 136,727,122 | 135,271,685 | 254,094,985 |
| Pmts to Other than Local Govts | 42,231,392 | 42,274,089 | 42,557,024 | 42,434,089 | 42,271,417 | 42,557,024 | 42,434,089 | 42,271,417 |
| Nonfunctional - Change to Accruals | 2,538,185 | 2,239,331 | 2,239,331 | 2,239,331 | 0 | 2,239,331 | 2,239,331 | 0 |
| TOTAL - General Fund | 679,282,109 | 681,072,963 | 725,608,805 | 720,084,574 | 810,502,276 | 731,440,404 | 727,144,561 | 818,090,488 |
| Federal and Other Activities | 3,466,310 | 2,419,937 | 1,596,461 | 1,596,461 | 1,596,461 | 1,460,182 | 1,460,182 | 1,460,182 |
| Private Funds | 2,025,952 | 3,224,475 | 2,869,500 | 2,869,500 | 2,869,500 | 2,869,500 | 2,869,500 | 2,869,500 |
| Special Non-Appropriated Funds | 24,837,449 | 24,750,000 | 24,750,000 | 24,750,000 | 24,750,000 | 24,750,000 | 24,750,000 | 24,750,000 |
| TOTAL - All Funds Net | 709,611,820 | 711,467,375 | 754,824,766 | 749,300,535 | 839,718,237 | 760,520,086 | 756,224,243 | 847,170,170 |

DEPARTMENT OF CHILDREN AND FAMILIES

AGENCY DESCRIPTION

The department is empowered under Section 17a-3 of the Connecticut General Statutes as a comprehensive, consolidated agency serving children and families. Its mandates include child protective and family services, juvenile justice services, behavioral health services, prevention and educational services.

DCF directly serves approximately 30,000 children and 14,500 families at any point in time. On any given day, approximately:

- 14,500 cases are open;
- 3,000 families with children living at home receive services;
- 2,600 investigations and 1,700 family assessments are underway;
- 4,000 children are in various types of placements;
- 400 children receive services voluntarily – about 360 at home, the balance are served out-of-home.

TRANSFORMING THE AGENCY

Policy and practice reforms led to substantially improved outcomes between January 2011 and December 2014:

- 17.8 percent reduction in children in care;
- 96.7 percent fewer out-of-state placements;
- 46.0 percent reduction in children in congregate care;
- 70.3 percent increase in children in a relative home; and

AGENCY PROGRAM INDEX

Child Protection Svcs Community Based Services
Child Protection Services Administration
Behavioral Health Out of Home Services
Behavioral Health Administration
Juvenile Services Out of Home Services
Juvenile Services Administration
Management Services

- 70.4 percent more children in a kinship home.
Reduced Reliance on Congregate Care.

Practice changes, administrative mandates and the use of team decision making (TDM) reduced the number of children living in congregate care settings by 790 from January 2011 to December 2014.

Foster Care and Adoption

During FY 2014, 483 adoptions were completed, guardianship was transferred for 269 children, and approximately 200 new foster and adoptive homes were licensed. The percentage of children in care living with a relative increased 70.3 percent from January 2011 to December 2014.

Improved Behavioral Health System

DCF played an integral role in the development of an immediate action plan to improve Connecticut's behavioral health system for children. The department is moving forward with building capacity to address increased needs of children and youth with behavioral health issues.

The final *Connecticut Behavioral Health Plan for Children* was submitted to the legislature on October 1, 2014. The plan presents recommendations in seven thematic areas aimed at improving the children's behavioral health service system in Connecticut.

Child Protection Svcs Out of Home Services
Behavioral Health Community Based Services
Behavioral Health State Operated Facilities
Juvenile Services Community Based Services
Juvenile Services State Operated Facilities
Prevention

RECOMMENDED SIGNIFICANT CHANGES

| | <u>2015-2016</u> | <u>2016-2017</u> |
|---|------------------|------------------|
| Reductions | | |
| • Achieve Efficiencies in the Provision of Pre-Adjudicated Delinquency Services | -9,942,668 | -10,275,537 |
| • Eliminate Private Residential Treatment Rate Increases | -3,352,785 | -4,686,124 |
| • Rightsize Congregate Care Bed Capacity <i>Funding is removed for two therapeutic group homes and one short term assessment and respite home as more children are being served in their communities.</i> | -2,621,233 | -2,621,233 |
| • Annualize FY 2015 Rescissions | -1,827,351 | -1,827,351 |
| • Remove or Limit Inflation | -1,820,365 | -4,124,842 |
| • Achieve Savings through Credentialing of Court-Ordered Evaluation Providers | -1,552,000 | -1,552,000 |
| • Reduce Youth Employment and Training Contracts | -887,203 | -887,203 |
| • Eliminate Funding for Therapeutic Group Home Performance Improvement Center | -646,342 | -646,342 |
| • Eliminate or Reduce Funding for Lower Priority Contracted Services <i>Grants-in-aid are eliminated for Covenant to Care, Neighborhood Centers, Consultation to the Safe Harbors Task Force, a Fatherhood Initiative, Services for Pregnant Incarcerated Women, Family Support Services, and Support for a Restraint and Seclusion Panel. A five percent reduction is recommended for a grant-in-aid to African Caribbean American Parents of Children with Disabilities.</i> | -586,365 | -586,365 |
| • Achieve Savings in Voluntary Services Program Due to Increased Insured Population | -500,000 | -500,000 |
| • Eliminate Funding for Support Services for Foster Children Over Eighteen with Military Experience | -200,000 | -200,000 |
| • Reduce Support for Wraparound Funding Contract | -69,431 | -69,431 |
| • Reinstate DCF Licensure of Department Employees as Foster Parents | -40,000 | -40,000 |
| • Fund Equipment Needs Through CEPF | -1 | -1 |
| | <u>2015-2016</u> | <u>2016-2017</u> |
| Reallocations | | |
| • Transfer Judicial Department's Court Support Services Division's Juvenile Programming to DCF | 124,283,350 | 128,444,215 |
| • Reallocate Youth Service Bureaus Program from State Department of Education | 2,300,000 | 2,300,000 |
| • Consolidate Statewide Appropriations for Estimated Change in Accruals | -1,574,776 | -1,574,776 |

AGENCY PROGRAMS

| Personnel Summary | 06/30/2014 | 06/30/2014 | 2014-2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|---|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 2902 | 306 | 32 | 3240 | 3240 | 3995 | 3240 | 3995 |
| Federal Funds | 19 | 0 | 0 | 19 | 19 | 19 | 19 | 19 |
| | | | | | | | | |
| <i>Other Positions Equated to Full-Time</i> | | | FY 2014 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
| General Fund | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| | | | 0 | 0 | 0 | 0 | 0 | 0 |
| | | | | | | | | |
| Agency Programs by Total Funds (Net of Reimbursements) | FY 2014 | FY 2015 | FY 2016 | Current | FY 2016 | FY 2017 | Current | FY 2017 |
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| Management Services | 39,597,090 | 38,697,295 | 41,220,214 | 39,397,943 | 37,633,223 | 40,173,417 | 38,577,332 | 36,572,906 |
| Juvenile Services Community Based Services | 11,042,313 | 13,424,523 | 13,424,628 | 13,521,707 | 12,879,543 | 13,424,764 | 13,535,458 | 12,893,153 |
| Juvenile Services Out of Home Services | 8,659,248 | 9,142,741 | 9,149,701 | 9,364,386 | 5,990,127 | 9,158,572 | 10,008,637 | 5,288,848 |
| Juvenile Services State Operated Facilities | 30,720,533 | 32,951,294 | 34,716,562 | 34,476,625 | 34,386,743 | 35,364,029 | 35,041,689 | 34,812,426 |
| Juvenile Services Administration | 1,697,186 | 1,706,111 | 1,766,191 | 1,736,719 | 116,077,401 | 1,770,799 | 1,740,969 | 119,909,647 |
| Child Protection Services Community Based Services | 21,623,834 | 23,030,676 | 23,231,840 | 22,626,581 | 21,792,800 | 23,488,174 | 22,868,495 | 21,792,800 |
| Child Protection Services Out of Home Services | 255,322,356 | 260,757,736 | 318,130,142 | 270,688,151 | 266,890,756 | 332,537,273 | 274,073,644 | 269,386,882 |
| Prevention | 8,728,279 | 10,250,845 | 10,253,982 | 10,278,366 | 11,920,201 | 10,257,671 | 10,282,842 | 11,920,866 |
| Child Protection Services Administration | 179,317,555 | 188,562,808 | 197,740,041 | 196,773,739 | 196,320,891 | 199,688,667 | 198,696,612 | 197,783,742 |
| Behavioral Health Community Based Services | 90,754,846 | 103,534,932 | 102,172,601 | 101,539,820 | 100,642,365 | 101,514,937 | 100,877,711 | 99,980,256 |
| Behavioral Health Out of Home Services | 96,430,872 | 95,538,521 | 94,035,061 | 87,373,421 | 84,362,483 | 94,911,171 | 88,197,622 | 85,038,026 |
| Behavioral Health State Operated Facilities | 44,626,630 | 48,629,690 | 51,523,310 | 51,241,224 | 51,105,120 | 52,243,372 | 51,971,858 | 51,692,141 |

| | | | | | | | | |
|--|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| Behavioral Health Administration | 4,033,437 | 4,601,719 | 4,802,399 | 4,754,217 | 4,734,076 | 4,758,092 | 4,708,829 | 4,663,015 |
| TOTAL Agency Programs - All Fund Gross | 792,554,179 | 830,828,891 | 902,166,672 | 843,772,899 | 944,735,729 | 919,290,938 | 850,581,698 | 951,734,708 |
| <i>Summary of Funding</i> | | | | | | | | |
| General Fund | 775,159,789 | 815,057,739 | 886,892,435 | 828,498,662 | 929,461,492 | 904,742,786 | 836,033,546 | 937,186,556 |
| Federal Funds | 14,761,502 | 13,112,073 | 14,537,737 | 14,537,737 | 14,537,737 | 13,811,652 | 13,811,652 | 13,811,652 |
| Private Funds | 2,632,888 | 2,659,079 | 736,500 | 736,500 | 736,500 | 736,500 | 736,500 | 736,500 |
| TOTAL Agency Programs - All Funds Net | 792,554,179 | 830,828,891 | 902,166,672 | 843,772,899 | 944,735,729 | 919,290,938 | 850,581,698 | 951,734,708 |

CHILD PROTECTION SERVICES - COMMUNITY BASED SERVICES

Statutory Reference

C.G.S Section 17a-90.

Statement of Purpose and Program Objectives

To protect children from abuse or injury, provide in-home services to children and their families, and maintain or reunify children with their families when possible.

Program Description

Careline - The Careline received approximately 92,000 calls in 2014. Of those, 48,949 were accepted reports and 30,015 were investigated. Of these investigations, 98 percent were commenced in a timely manner and 94 percent were completed within 45 days. Substantiated cases are typically transferred to ongoing services when the children are assessed to be at significant risk without the department's continued

involvement. Services are identified to address the issues that precipitated DCF intervention. The department often refers unsubstantiated cases to community services through the Differential Response System.

Community-based, In-Home Services - Approximately 3,000 families with children living at home receive community-based, in-home services provided through private, non-profit providers under department contract. Services include intensive family preservation, parent aide and substance abuse screening. In part due to these in-home services, there are approximately 18 percent fewer children in placement as of December 2014 as compared with January 2011.

| <i>Financial Summary (Net of Reimbursements)</i> | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
|--|-------------------|----------------------|----------------------|---------------------|------------------------|----------------------|---------------------|------------------------|
| General Fund | | | | | | | | |
| <i>Pmts to Other than Local Govts</i> | | | | | | | | |
| Child Abuse and Neglect Intervention | 6,844,695 | 7,435,623 | 7,435,623 | 7,435,623 | 7,015,643 | 7,435,623 | 7,435,623 | 7,015,643 |
| Community Based Prevention Programs | 571,532 | 210,532 | 210,532 | 210,532 | 153,022 | 210,532 | 210,532 | 153,022 |
| Family Violence Outreach and Counseling | 1,062,962 | 1,892,201 | 1,892,201 | 1,892,201 | 1,797,591 | 1,892,201 | 1,892,201 | 1,797,591 |
| Supportive Housing | 76,849 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Family Preservation Services | 5,689,151 | 5,735,278 | 5,735,278 | 5,735,278 | 5,735,278 | 5,735,278 | 5,735,278 | 5,735,278 |
| Individualized Family Supports | 6,429,372 | 6,784,646 | 6,985,810 | 6,380,551 | 6,118,870 | 7,242,144 | 6,622,465 | 6,118,870 |
| TOTAL - Pmts to Other than Local Govts | 20,674,561 | 22,058,280 | 22,259,444 | 21,654,185 | 20,820,404 | 22,515,778 | 21,896,099 | 20,820,404 |
| TOTAL - General Fund | 20,674,561 | 22,058,280 | 22,259,444 | 21,654,185 | 20,820,404 | 22,515,778 | 21,896,099 | 20,820,404 |
| <i>Additional Funds Available</i> | | | | | | | | |
| Private Funds | 47,512 | 63,350 | 63,350 | 63,350 | 63,350 | 63,350 | 63,350 | 63,350 |
| Federal Contributions | | | | | | | | |
| 93556 Promoting Safe & Stable Families | 0 | 57,297 | 57,297 | 57,297 | 57,297 | 57,297 | 57,297 | 57,297 |
| 93643 Children's Justice Grants to States | 240,937 | 190,437 | 190,437 | 190,437 | 190,437 | 190,437 | 190,437 | 190,437 |
| 93645 Child Welfare Services State Grants | 440,562 | 436,050 | 436,050 | 436,050 | 436,050 | 436,050 | 436,050 | 436,050 |
| 93669 Child Abuse & Neglect State Grants | 220,262 | 225,262 | 225,262 | 225,262 | 225,262 | 225,262 | 225,262 | 225,262 |
| TOTAL - All Funds | 21,623,834 | 23,030,676 | 23,231,840 | 22,626,581 | 21,792,800 | 23,488,174 | 22,868,495 | 21,792,800 |

CHILD PROTECTION SERVICES - OUT-OF-HOME SERVICES

Statutory Reference

C.G.S. Sections 46b-129 (j), 17a-3, 17a-101g, 17a-117 and 17a-126.

Statement of Purpose and Program Objectives

To protect abused and neglected children and meet their individual developmental needs through an out-of-home placement while a child's biological family cannot care for them or, in cases where reunification is not possible, while a child awaits a permanent placement. In addition, to provide permanency through subsidized guardianship, adoption and independent living.

Program Description

Adoptive homes serve children who cannot return to their biological families. The department provides services on behalf of children entering these homes, including: legally freeing a child for adoption, preparing adoptive home studies, pre-placement planning, helping children prepare for adoption, placement planning with the child and adoptive family and providing financial/medical subsidies for children with special needs.

Subsidized Guardianship provides a permanent home for children with relatives who function as adoptive parents but without parental rights being terminated. Subsidized guardianship offers relatives subsidies to assist them in providing care.

Relative Caregivers offer the best option when children cannot live safely in their homes. The department has made the use of relatives as a resource for children who must be in care a top priority. Children in care who lived with a relative increased 70 percent from January 2011 to December 2014.

Foster Care provides a substitute family experience that, together with other services provided to the foster parents, families and children, facilitates reunification of children with their families or establishes another permanent family for children. Increasing the share of children in care who live in a family setting over congregate care is a priority of the department.

Independent Living programs provide permanency for older adolescents. They provide youth who have been in foster care or other placement settings opportunities to live on their own with supportive services provided by DCF and other community programs, as well as assist in their successful transition to adulthood. The department's post-secondary (2 or 4 year colleges or other full time school) program provided financial support for 599 youths up to age 23 in 2014.

Personnel Summary

| | 06/30/2014 | 06/30/2014 | FY 2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|---|------------|------------|---------|-----------|-----------|-------------|-----------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 200 | 7 | 0 | 207 | 207 | 207 | 207 | 207 |
| Federal Funds | 4 | 0 | 0 | 4 | 4 | 4 | 4 | 4 |
| | | | FY 2014 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
| <i>Other Positions Equated to Full-Time</i> | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 0 | 0 | 0 | 0 | 0 | 0 |

Financial Summary (Net of Reimbursements)

| | FY 2014 | FY 2015 | FY 2016 | Current | FY 2016 | FY 2017 | Current | FY 2017 |
|--|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| General Fund | | | | | | | | |
| Personal Services | 16,894,656 | 18,015,123 | 19,103,632 | 18,974,754 | 18,974,754 | 19,351,102 | 19,221,847 | 19,221,847 |
| Other Expenses | 236,063 | 206,125 | 204,717 | 206,125 | 206,125 | 210,635 | 206,125 | 206,125 |
| <i>Pmts to Other than Local Govts</i> | | | | | | | | |
| Health Assessment and Consultation | 920,400 | 975,002 | 975,002 | 975,002 | 975,002 | 975,002 | 975,002 | 975,002 |
| Juvenile Justice Outreach Services | 515,101 | 851,315 | 851,315 | 851,315 | 851,315 | 851,315 | 851,315 | 851,315 |
| Child Abuse and Neglect Intervention | 1,006,995 | 1,004,374 | 1,004,374 | 1,004,374 | 954,155 | 1,004,374 | 1,004,374 | 954,155 |
| Child Welfare Support Services | 2,474,870 | 2,501,872 | 2,501,872 | 2,501,872 | 1,591,373 | 2,501,872 | 2,501,872 | 1,591,373 |
| Board and Care for Children - Adoption | 91,011,781 | 94,088,769 | 119,192,027 | 94,437,336 | 94,356,756 | 125,114,146 | 95,858,336 | 95,666,397 |
| Board and Care for Children - Foster | 113,083,559 | 115,563,309 | 145,599,748 | 125,558,542 | 122,962,259 | 153,789,456 | 127,261,390 | 123,887,099 |

| | | | | | | | | |
|--|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| Board and Care for Children - Residential Covenant to Care | 23,874,733 | 23,869,810 | 23,869,810 | 21,357,232 | 21,357,232 | 23,869,810 | 21,329,868 | 21,329,868 |
| | 159,814 | 159,814 | 159,814 | 159,814 | 0 | 159,814 | 159,814 | 0 |
| TOTAL - Pmts to Other than Local Govts | 233,047,253 | 239,014,265 | 294,153,962 | 246,845,487 | 243,048,092 | 308,265,789 | 249,941,971 | 245,255,209 |
| TOTAL - General Fund | 250,177,972 | 257,235,513 | 313,462,311 | 266,026,366 | 262,228,971 | 327,827,526 | 269,369,943 | 264,683,181 |
| <u>Additional Funds Available</u> | | | | | | | | |
| Private Funds | 431,900 | 537,900 | 0 | 0 | 0 | 0 | 0 | 0 |
| Federal Contributions | | | | | | | | |
| 93556 Promoting Safe & Stable Families | 1,925,309 | 1,814,281 | 1,814,281 | 1,814,281 | 1,814,281 | 1,814,281 | 1,814,281 | 1,814,281 |
| 93599 Chafee Education & Training Vouchers | 347,783 | 261,857 | 277,568 | 277,568 | 277,568 | 294,223 | 294,223 | 294,223 |
| 93645 Child Welfare Services State Grants | 277,047 | 300,802 | 318,850 | 318,850 | 318,850 | 337,981 | 337,981 | 337,981 |
| 93674 Chafee Foster Care Independent Living | 1,833,753 | 181,388 | 1,825,091 | 1,825,091 | 1,825,091 | 1,831,221 | 1,831,221 | 1,831,221 |
| 93958 Block Grants for Community Mental Health | 328,592 | 425,995 | 425,995 | 425,995 | 425,995 | 425,995 | 425,995 | 425,995 |
| TOTAL - All Funds | 255,322,356 | 260,757,736 | 318,124,096 | 270,688,151 | 266,890,756 | 332,531,227 | 274,073,644 | 269,386,882 |

CHILD PROTECTION SERVICES ADMINISTRATION

The department has 14 area offices in six regions in order to realize efficiencies and support standards while also maintaining a local structure that enables the department to be responsive to families and the community. Each region has program directors for

administration and quality improvement, clinical services and for systems development. These staff report to the regional administrator and are responsible for overseeing and managing services and supports across the entire region.

| Program Measure | FY 2014 | FY 2015 | FY 2016 | FY 2017 |
|--|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Average number of subsidized guardianship placements | 250 | 225 | 200 | 210 |
| Average number of subsidized adoptions | 647 | 640 | 600 | 620 |
| Number of children in foster care at end of fiscal year | 2,238 | 2,240 | 3,574 | 3,899 |
| Training hours devoted to increasing staff knowledge and skills | 75,000 | 75,000 | 75,000 | 75,000 |
| Number of treatment planning conferences/administrative case reviews completed | 17,095 | 17,095 | 15,100 | 15,100 |

| Personnel Summary | 06/30/2014 | 06/30/2014 | FY 2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|--|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Permanent Full-Time Positions | | | | | | | | |
| General Fund | 1765 | 158 | 0 | 1923 | 1923 | 1923 | 1923 | 1923 |
| | | | FY 2014 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
| Other Positions Equated to Full-Time | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 0 | 0 | 0 | 0 | 0 | 0 |
| Financial Summary (Net of Reimbursements) | | | | | | | | |
| | FY 2014 | FY 2015 | FY 2016 | Current | FY 2016 | FY 2017 | Current | FY 2017 |
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| General Fund | | | | | | | | |
| Personal Services | 149,652,677 | 160,501,031 | 168,542,919 | 167,090,937 | 167,090,937 | 170,009,803 | 168,544,906 | 168,544,906 |
| Other Expenses | 18,303,728 | 15,982,398 | 16,819,112 | 17,594,548 | 17,201,895 | 17,291,972 | 18,054,570 | 17,201,895 |
| Other Current Expenses | | | | | | | | |
| Workers' Compensation Claims | 1,937,282 | 2,100,524 | 2,100,524 | 2,100,524 | 2,100,524 | 2,100,524 | 2,100,524 | 2,100,524 |
| Differential Response System | 7,879,514 | 8,346,386 | 8,346,386 | 8,346,386 | 8,286,191 | 8,346,386 | 8,346,386 | 8,286,191 |
| TOTAL - Other Current Expenses | 9,816,796 | 10,446,910 | 10,446,910 | 10,446,910 | 10,386,715 | 10,446,910 | 10,446,910 | 10,386,715 |
| Pmts to Other than Local Govts | | | | | | | | |
| Individualized Family Supports | 1,338,081 | 1,388,614 | 1,388,614 | 1,388,614 | 1,388,614 | 1,388,614 | 1,388,614 | 1,388,614 |
| Community KidCare | 39,539 | 93,995 | 93,995 | 93,995 | 93,995 | 93,995 | 93,995 | 93,995 |
| TOTAL - Pmts to Other than Local Govts | 1,377,620 | 1,482,609 |
| TOTAL - General Fund | 179,150,821 | 188,412,948 | 197,291,550 | 196,615,004 | 196,162,156 | 199,231,294 | 198,528,995 | 197,616,125 |
| <u>Additional Funds Available</u> | | | | | | | | |
| Private Funds | 4,816 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Federal Contributions | | | | | | | | |
| 93643 Children's Justice Grants to States | 827 | 710 | 710 | 710 | 710 | 710 | 710 | 710 |
| 93645 Child Welfare Services State Grants | 156,223 | 147,910 | 156,785 | 156,785 | 156,785 | 166,192 | 166,192 | 166,192 |

| | | | | | | | | |
|--|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| 93652 Adoption Opportunities | 699 | 700 | 700 | 700 | 700 | 175 | 175 | 175 |
| 93669 Child Abuse & Neglect State Grants | 2,135 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93670 Child Abuse & Neglect Discretionary | 270 | 540 | 540 | 540 | 540 | 540 | 540 | 540 |
| 93674 Chafee Foster Care Independent Living | 1,536 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93958 Block Grants for Community Mental Health | 228 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - All Funds | 179,317,555 | 188,562,808 | 197,450,285 | 196,773,739 | 196,320,891 | 199,398,911 | 198,696,612 | 197,783,742 |

BEHAVIORAL HEALTH - COMMUNITY BASED SERVICES

The significant reduction in the use of congregate care, increase in use of family settings and the reduction in the number of children in care overall are largely attributable to the development of in-home and community-based services for children with mental health and substance abuse treatment needs.

The three largest in-home and community-based programs provide nearly 42,000 episodes of care annually as set out below:

| Service | Episodes |
|--|----------|
| Psychiatric Outpatient Clinics for Children | 26,000 |
| Emergency Mobile Psychiatric Crisis Service (EMPS) | 14,000 |
| Intensive In-Home Psychiatric Services (IICAPS) | 2,000 |

Connecticut now has the capacity to serve approximately 3,000 children a year in intensive home-based programs. This capacity largely did not exist only a few years ago. Some of the initiatives that help children and families with mental health and substance abuse treatment needs in their homes include:

- “Wrap around” services that help both children and parents on an individualized basis, including non-traditional help such as mentoring and respite.
- Intensive in-home psychiatric services for children returning home from psychiatric hospitals or residential care or at risk of requiring hospitalization or out-of-home placement. DCF has also partnered with the Judicial Department’s Court Support Services Division to make this service available statewide to court-involved youth; and

- Specialized programs for families in which parents are also provided with intensive substance abuse treatment and other clinical services.

ACCESS-Mental Health CT provides children who visit primary care providers the benefit of a new behavioral health program that offers consultative psychiatric services to pediatric and family care practitioners. The program, known as "Access to all of Connecticut’s Children of Every Socioeconomic Status – Mental Health Connecticut" (ACCESS-MH CT), improves how pediatricians and other medical providers recognize and respond to a child who may have behavioral health needs. In addition, it helps physicians identify available services that meet the child’s needs. The program will greatly improve access to behavioral health services for all of Connecticut’s children and adolescents under age 19 regardless of insurance coverage. As of October 2014, 217 pediatric and family care practices with 836 primary care providers enrolled in the program.

Therapeutic Group Homes provide intensive clinical services and allow children who would otherwise need a more institutional treatment setting to live in a home-like environment and attend school in the community. This initiative has been instrumental in enabling children to reside in home-like community-based settings.

Connecticut Community KidCare provides a variety of family-focused community-based, mental health programs for children throughout the state including: emergency mobile psychiatric services; care coordination services; parent advocacy services, child guidance clinics, extended day treatment programs and substance abuse treatment programs for youth, including innovative family-focused treatment and supportive housing programs.

| Personnel Summary | 06/30/2014 | 06/30/2014 | FY 2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|--|------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| <i>Permanent Full-Time Positions</i> | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 4 | 1 | 0 | 5 | 5 | 5 | 5 | 5 |
| | | | FY 2014 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
| <i>Other Positions Equated to Full-Time</i> | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 0 | 0 | 0 | 0 | 0 | 0 |
| Financial Summary | FY 2014 | FY 2015 | FY 2016 | Current | FY 2016 | FY 2017 | Current | FY 2017 |
| (Net of Reimbursements) | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| General Fund | | | | | | | | |
| Personal Services | 578,746 | 301,394 | 534,854 | 321,791 | 321,791 | 546,091 | 328,583 | 328,583 |
| <u>Other Current Expenses</u> | | | | | | | | |
| Family Support Services | 928,321 | 986,402 | 986,402 | 1,036,402 | 984,582 | 986,402 | 1,036,402 | 984,582 |
| Homeless Youth | 0 | 2,515,707 | 2,515,707 | 2,515,707 | 2,515,707 | 2,515,707 | 2,515,707 | 2,515,707 |
| Regional Behavioral Health Consultation | 1,055,495 | 1,810,000 | 1,810,000 | 1,810,000 | 1,719,500 | 1,810,000 | 1,810,000 | 1,719,500 |
| TOTAL - Other Current Expenses | 1,983,816 | 5,312,109 | 5,312,109 | 5,362,109 | 5,219,789 | 5,312,109 | 5,362,109 | 5,219,789 |
| <u>Pmts to Other than Local Govts</u> | | | | | | | | |
| Health Assessment and Consultation | 37,450 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 |
| Grants for Psychiatric Clinics for Children | 13,765,849 | 15,483,393 | 15,483,393 | 15,483,393 | 15,483,393 | 15,483,393 | 15,483,393 | 15,483,393 |
| Day Treatment Centers for Children | 6,643,218 | 6,783,292 | 6,783,292 | 6,783,292 | 6,783,292 | 6,783,292 | 6,783,292 | 6,783,292 |
| Juvenile Justice Outreach Services | 652,535 | 741,340 | 741,340 | 741,340 | 741,340 | 741,340 | 741,340 | 741,340 |
| Child Abuse and Neglect Intervention | 662,504 | 662,504 | 662,504 | 662,504 | 629,379 | 662,504 | 662,504 | 629,379 |
| Supportive Housing | 15,187,499 | 13,980,158 | 13,980,158 | 13,980,158 | 13,908,020 | 13,980,158 | 13,980,158 | 13,908,020 |
| Substance Abuse Treatment | 8,365,783 | 9,631,153 | 9,631,153 | 9,631,153 | 9,631,153 | 9,631,153 | 9,631,153 | 9,631,153 |
| Board and Care for Children - Residential | 3,945,014 | 6,276,726 | 6,276,726 | 5,608,831 | 4,958,959 | 6,276,726 | 5,608,831 | 4,958,959 |
| Individualized Family Supports | 1,635,073 | 1,905,840 | 1,905,840 | 1,905,840 | 1,905,840 | 1,905,840 | 1,905,840 | 1,905,840 |
| Community KidCare | 32,370,358 | 37,622,725 | 37,622,725 | 37,622,725 | 37,622,725 | 37,622,725 | 37,622,725 | 37,622,725 |
| TOTAL - Pmts to Other than Local Govts | 83,265,283 | 93,127,131 | 93,127,131 | 92,459,236 | 91,704,101 | 93,127,131 | 92,459,236 | 91,704,101 |
| TOTAL - General Fund | 85,827,845 | 98,740,634 | 98,974,094 | 98,143,136 | 97,245,681 | 98,985,331 | 98,149,928 | 97,252,473 |
| <u>Additional Funds Available</u> | | | | | | | | |
| Private Funds | 1,491,716 | 1,441,179 | 59,000 | 59,000 | 59,000 | 59,000 | 59,000 | 59,000 |
| Federal Contributions | | | | | | | | |
| 93243 Substance Abuse & Mental Health Services | 248,609 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93551 Abandoned Infants | 237,699 | 326,503 | 326,503 | 326,503 | 326,503 | 81,626 | 81,626 | 81,626 |
| 93556 Promoting Safe & Stable Families | 50,000 | 179,420 | 179,420 | 179,420 | 179,420 | 179,420 | 179,420 | 179,420 |
| 93645 Child Welfare Services State Grants | 637,415 | 315,000 | 315,000 | 315,000 | 315,000 | 315,000 | 315,000 | 315,000 |
| 93652 Adoption Opportunities | 532,260 | 565,365 | 565,365 | 565,365 | 565,365 | 141,341 | 141,341 | 141,341 |
| 93669 Child Abuse & Neglect State Grants | 27,621 | 36,828 | 36,828 | 36,828 | 36,828 | 36,828 | 36,828 | 36,828 |
| 93670 Child Abuse & Neglect Discretionary | 911,429 | 931,391 | 931,391 | 931,391 | 931,391 | 931,391 | 931,391 | 931,391 |
| 93674 Chafee Foster Care Independent Living | 64,187 | 79,000 | 79,000 | 79,000 | 79,000 | 79,000 | 79,000 | 79,000 |
| 93958 Block Grants for Community Mental Health | 726,065 | 919,612 | 904,177 | 904,177 | 904,177 | 904,177 | 904,177 | 904,177 |
| TOTAL - All Funds | 90,754,846 | 103,534,932 | 102,370,778 | 101,539,820 | 100,642,365 | 101,713,114 | 100,877,711 | 99,980,256 |

BEHAVIORAL HEALTH - OUT-OF-HOME SERVICES

Statutory Reference

C.G.S. Section 17a-90.

Statement of Purpose and Program Objectives

To treat children whose behavioral health needs are too acute to address in the community. To provide foster home placements for some of those youth who are unable to return to their families.

Program Description

Residential Treatment Programs are licensed and monitored by DCF to provide structured out-of-home treatment. DCF contracts with a number of types of

residential and/or treatment programs to meet the myriad needs of children and adolescents: residential treatment, group homes and therapeutic group homes; specialized foster care and treatment foster care; professional parent programs; transitional programs for youth about to receive services from the Department of Mental Health and Addiction Services; and residential drug treatment and short-term residential substance abuse treatment.

Short Term Assessment and Respite Centers are temporary congregate care programs that provide

short-term care, assessment and a range of clinical and nursing services to children removed from their homes due to abuse, neglect or other high-risk circumstances. Staff provides empathic professional care for youth within a routine of daily activities similar to a nurturing family structure. The youth receive assessment

services, individual and group therapy, and educational support in a structured setting. Care coordination is provided to support family reunification or transition to foster care, congregate care, or other settings.

Program Measure

Number of children in behavioral health residential treatment facilities at end of fiscal year

| FY 2014 Actual | FY 2015 Estimated | FY 2016 Projected | FY 2017 Projected |
|-------------------|----------------------|----------------------|----------------------|
| 238 | 235 | 235 | 235 |

**Financial Summary
(Net of Reimbursements)**

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
|---|-------------------|----------------------|----------------------|---------------------|------------------------|----------------------|---------------------|------------------------|
| General Fund | | | | | | | | |
| Personal Services | 1,069 | 0 | 1,106 | 0 | 0 | 1,106 | 0 | 0 |
| <u>Pmts to Other than Local Govts</u> | | | | | | | | |
| No Nexus Special Education | 2,344,572 | 3,768,279 | 2,187,648 | 2,233,340 | 2,233,340 | 2,187,648 | 2,316,642 | 2,316,642 |
| Board and Care for Children - Residential | 90,265,967 | 87,950,978 | 88,028,149 | 81,320,817 | 78,309,879 | 88,904,259 | 82,061,716 | 78,902,120 |
| TOTAL - Pmts to Other than Local Govts | 92,610,539 | 91,719,257 | 90,215,797 | 83,554,157 | 80,543,219 | 91,091,907 | 84,378,358 | 81,218,762 |
| TOTAL - General Fund | 92,611,608 | 91,719,257 | 90,216,903 | 83,554,157 | 80,543,219 | 91,093,013 | 84,378,358 | 81,218,762 |
| <u>Additional Funds Available</u> | | | | | | | | |
| Private Funds | 609,650 | 609,650 | 609,650 | 609,650 | 609,650 | 609,650 | 609,650 | 609,650 |
| Federal Contributions | | | | | | | | |
| 93667 Social Services Block Grant | 3,209,614 | 3,209,614 | 3,209,614 | 3,209,614 | 3,209,614 | 3,209,614 | 3,209,614 | 3,209,614 |
| TOTAL - All Funds | 96,430,872 | 95,538,521 | 94,036,167 | 87,373,421 | 84,362,483 | 94,912,277 | 88,197,622 | 85,038,026 |

BEHAVIORAL HEALTH - STATE OPERATED FACILITIES

Statutory Reference

C.G.S. Sections 17a-79, 17a-94.

Statement of Purpose and Program Objectives

To provide intensive residential treatment and acute psychiatric care for children with behavioral health needs. To maintain a full range of services required for a behavioral health continuum of care.

State-Operated Treatment Facilities

DCF's behavioral health facility is the Albert J. Solnit Children's Center. The North Campus (formerly Connecticut Children's Place) is located in East Windsor and the South Campus (formerly Riverview Hospital) is located in Middletown.

The North Campus is a Psychiatric Residential Treatment Facility (PRTF) providing residential care, medical services, clinical assessment, evaluation and therapy, and an education program. Services are provided in partnership with the child/youth, families and community in order to meet the individual needs

of each child/youth and prepare them for transition to home or a less restrictive environment. The age range of children served is 13 to 18 years old.

The South Campus offers inpatient psychiatric care and psychiatric residential treatment (sub-acute) services for children and youth up to 18 years old.

Interdisciplinary teams (consisting of child/adolescent psychiatrist, nurse, clinician, rehabilitation therapist, direct care and educational staff) work in concert with the patients and their families to provide individualized treatment aimed at stabilization and to prepare them for a return home or a placement to a less-restrictive setting. The facility is a teaching and education center for child psychiatry, psychology, social work, rehab therapy and nursing. It is also a training site for both Yale University and UCONN and is accredited by the Joint Commission on Accreditation of Health Care Organizations.

| Personnel Summary | 06/30/2014 | 06/30/2014 | FY 2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|--|------------|------------|------------|------------|-------------|-------------|------------|-------------|
| | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| <i>Permanent Full-Time Positions</i> | | | | | | | | |
| General Fund | 332 | 47 | 0 | 379 | 379 | 379 | 379 | 379 |
| Federal Funds | 15 | 0 | 0 | 15 | 15 | 15 | 15 | 15 |
| | | | FY 2014 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
| <i>Other Positions Equated to Full-Time</i> | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 0 | 0 | 0 | 0 | 0 | 0 |
| Financial Summary (Net of Reimbursements) | FY 2014 | FY 2015 | FY 2016 | Current | FY 2016 | FY 2017 | Current | FY 2017 |
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| General Fund | | | | | | | | |
| Personal Services | 34,294,703 | 38,305,773 | 40,518,236 | 40,311,934 | 40,311,934 | 41,027,712 | 40,830,235 | 40,830,235 |
| Other Expenses | 5,367,034 | 4,686,369 | 5,156,458 | 5,218,133 | 5,082,029 | 5,313,107 | 5,376,529 | 5,096,812 |
| <u>Other Current Expenses</u> | | | | | | | | |
| Workers' Compensation Claims | 4,065,652 | 4,408,236 | 4,408,236 | 4,408,236 | 4,408,236 | 4,408,236 | 4,408,236 | 4,408,236 |
| TOTAL - Other Current Expenses | 4,065,652 | 4,408,236 | 4,408,236 | 4,408,236 | 4,408,236 | 4,408,236 | 4,408,236 | 4,408,236 |
| TOTAL - General Fund | 43,727,389 | 47,400,378 | 50,082,930 | 49,938,303 | 49,802,199 | 50,749,055 | 50,615,000 | 50,335,283 |
| <u>Additional Funds Available</u> | | | | | | | | |
| Private Funds | 2,500 | 2,500 | 2,500 | 2,500 | 2,500 | 2,500 | 2,500 | 2,500 |
| Federal Contributions | | | | | | | | |
| 84013 Title I Pgm Neglected / Delinquent Children | 60,627 | 54,930 | 58,226 | 58,226 | 58,226 | 61,719 | 61,719 | 61,719 |
| 84027 Special Education Grants to States | 93,881 | 149,760 | 158,746 | 158,746 | 158,746 | 168,270 | 168,270 | 168,270 |
| 93645 Child Welfare Services State Grants | 742,233 | 1,022,122 | 1,083,449 | 1,083,449 | 1,083,449 | 1,124,369 | 1,124,369 | 1,124,369 |
| TOTAL - All Funds | 44,626,630 | 48,629,690 | 51,385,851 | 51,241,224 | 51,105,120 | 52,105,913 | 51,971,858 | 51,692,141 |

BEHAVIORAL HEALTH ADMINISTRATION

The Clinical and Community Consultation and Support Division and the Adolescent and Juvenile Justice Division oversee the department's array of behavioral health and medical programs and services. The divisions have primary responsibility for the management of clinical programs that are operated or contracted by the state. Activities include the development of programmatic contract expectations

and standards, assessment of the need for behavioral health services, clinical case consultation, development and procurement of new services, monitoring and evaluation of program performance, provider relations, coordination with other state agencies and entities and development of data and reports regarding program utilization and outcomes.

| Program Measure | FY 2014 | FY 2015 | FY 2016 | FY 2017 |
|---|---------|-----------|-----------|-----------|
| | Actual | Estimated | Projected | Projected |
| Total number of supportive housing subsidies for recovering families obtained | 250 | 250 | 250 | 250 |

| Personnel Summary | 06/30/2014 | 06/30/2014 | FY 2015 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
|--|------------|------------|-----------|-----------|-------------|-------------|-----------|-------------|
| | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| <i>Permanent Full-Time Positions</i> | | | | | | | | |
| General Fund | 26 | 5 | 0 | 31 | 31 | 31 | 31 | 31 |
| | | | FY 2014 | FY 2015 | FY 2016 | FY 2016 | FY 2017 | FY 2017 |
| <i>Other Positions Equated to Full-Time</i> | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 0 | 0 | 0 | 0 | 0 | 0 |
| Financial Summary (Net of Reimbursements) | FY 2014 | FY 2015 | FY 2016 | Current | FY 2016 | FY 2017 | Current | FY 2017 |
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| General Fund | | | | | | | | |
| Personal Services | 3,200,583 | 3,560,761 | 3,753,508 | 3,705,326 | 3,705,326 | 3,773,967 | 3,724,703 | 3,724,703 |
| Other Expenses | 701,887 | 868,173 | 888,314 | 888,314 | 868,173 | 913,986 | 913,987 | 868,173 |
| TOTAL - General Fund | 3,902,470 | 4,428,934 | 4,641,822 | 4,593,640 | 4,573,499 | 4,687,953 | 4,638,690 | 4,592,876 |

Additional Funds Available

Federal Contributions

| | | | | | | | | | |
|--|------------------|------------------|------------------|------------------|------------------|------------------|------------------|------------------|--------|
| 93243 Substance Abuse & Mental Health Services | 6,271 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93551 Abandoned Infants | 50,919 | 122,647 | 122,647 | 122,647 | 122,647 | 30,662 | 30,662 | 30,662 | 30,662 |
| 93645 Child Welfare Services State Grants | 28,825 | 29,863 | 31,655 | 31,655 | 31,655 | 33,554 | 33,554 | 33,554 | 33,554 |
| 93652 Adoption Opportunities | 469 | 470 | 470 | 470 | 470 | 118 | 118 | 118 | 118 |
| 93958 Block Grants for Community Mental Health | 44,483 | 19,805 | 5,805 | 5,805 | 5,805 | 5,805 | 5,805 | 5,805 | 5,805 |
| TOTAL - All Funds | 4,033,437 | 4,601,719 | 4,802,399 | 4,754,217 | 4,734,076 | 4,758,092 | 4,708,829 | 4,663,015 | |

JUVENILE SERVICES - COMMUNITY BASED SERVICES

Statutory Reference

C.G.S. Section 17a-3 (h).

Statement of Purpose and Program Objectives

To help children committed to DCF as delinquents by the juvenile court to successfully re-integrate back into their communities after discharge from a facility or residential program. To serve and supervise committed delinquents who have completed out-of-home treatment, are living at home and are on parole.

Program Description

Parole Services are provided for committed delinquents who reside in the community or in residential treatment centers.

Re-entry Care for Committed Delinquents helps children who have been committed to the department as delinquent to successfully re-integrate back to their communities through a combination of re-entry programs, including:

Multi-Systemic Therapy (MST) offers intensive, in-home therapy and counseling that treats the whole

family. Studies show it can be as effective as residential services in reducing recidivism at less than one-third the cost.

Multi-Systemic Therapy: Building Stronger Families (MST-BSF) is a specialized form of MST that focuses on damage done to the parent-child relationship by the parent's substance abuse in addition to abuse and neglect issues. Parents take responsibility for the pain they have caused in their children's lives as a step in repairing family relationships.

Fostering Responsibility Education and Employment (FREE) provides an array of services for 15 - 19 year old male and female committed delinquents. The services support the adolescent's growth in all areas of functioning through family focused interventions and natural supports provided through the local continuum of services. Client supervision will be achieved, in part, through participation in pro-social activities and skill building.

Personnel Summary

| | 06/30/2014 Filled | 06/30/2014 Vacant | FY 2015 Change | FY 2015 Total | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
|---|-------------------|-------------------|----------------|-------------------|-------------------|---------------------|-------------------|---------------------|
| <i>Permanent Full-Time Positions</i> | | | | | | | | |
| General Fund | 37 | 0 | 0 | 37 | 37 | 37 | 37 | 37 |
| <i>Other Positions Equated to Full-Time</i> | | | | | | | | |
| General Fund | | | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
| | | | 0 | 0 | 0 | 0 | 0 | 0 |

Financial Summary (Net of Reimbursements)

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
|--|----------------|-------------------|-------------------|------------------|---------------------|-------------------|------------------|---------------------|
| General Fund | | | | | | | | |
| Personal Services | 3,034,182 | 3,290,433 | 3,290,433 | 3,387,507 | 3,387,507 | 3,290,433 | 3,401,117 | 3,401,117 |
| Other Expenses | 5,442 | 4,751 | 4,717 | 4,861 | 4,751 | 4,853 | 5,002 | 4,751 |
| <i>Other Current Expenses</i> | | | | | | | | |
| Workers' Compensation Claims | 121,182 | 131,393 | 131,393 | 131,393 | 131,393 | 131,393 | 131,393 | 131,393 |
| TOTAL - Other Current Expenses | 121,182 | 131,393 | 131,393 | 131,393 | 131,393 | 131,393 | 131,393 | 131,393 |
| <i>Pmts to Other than Local Govts</i> | | | | | | | | |
| Juvenile Justice Outreach Services | 7,739,775 | 9,811,796 | 9,811,796 | 9,811,796 | 9,169,742 | 9,811,796 | 9,811,796 | 9,169,742 |
| Substance Abuse Treatment | 139,082 | 186,150 | 186,150 | 186,150 | 186,150 | 186,150 | 186,150 | 186,150 |
| TOTAL - Pmts to Other than Local Govts | 7,878,857 | 9,997,946 | 9,997,946 | 9,997,946 | 9,355,892 | 9,997,946 | 9,997,946 | 9,355,892 |
| TOTAL - General Fund | 11,039,663 | 13,424,523 | 13,424,489 | 13,521,707 | 12,879,543 | 13,424,625 | 13,535,458 | 12,893,153 |

Additional Funds Available

Federal Contributions

| | | | | | | | | |
|---|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| 93674 Chafee Foster Care Independent Living | 2,650 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - All Funds | 11,042,313 | 13,424,523 | 13,424,489 | 13,521,707 | 12,879,543 | 13,424,625 | 13,535,458 | 12,893,153 |

JUVENILE SERVICES - OUT-OF-HOME SERVICES

Statutory Reference

C.G.S. Section 17a-3(h).

Statement of Purpose and Program Objectives

To treat youth in the juvenile justice system whose behavioral health needs are too acute to address in the community. To treat children who cannot be treated in the community because of family or legal issues.

Program Description

Residential Treatment Programs are licensed and monitored by DCF to provide out-of-home treatment. DCF contracts with a number of different kinds of residential and/or treatment centers to meet the varied needs of children: residential treatment; group homes; specialized foster care; treatment foster care; professional parent programs; inpatient drug treatment; and short-term residential substance abuse treatment.

Financial Summary

(Net of Reimbursements)

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
|---|-------------------|----------------------|----------------------|---------------------|------------------------|----------------------|---------------------|------------------------|
| General Fund | | | | | | | | |
| Other Expenses | 54,788 | 300,000 | 306,960 | 6,960 | 0 | 315,831 | 15,831 | 0 |
| <i>Pmts to Other than Local Govts</i> | | | | | | | | |
| Board and Care for Children - Foster | 1,276,024 | 1,681,384 | 1,681,384 | 1,681,384 | 1,681,384 | 1,681,384 | 1,681,384 | 1,681,384 |
| Board and Care for Children - Residential | 7,328,436 | 7,161,357 | 7,161,357 | 7,676,042 | 4,308,743 | 7,161,357 | 8,311,422 | 3,607,464 |
| TOTAL - Pmts to Other than Local Govts | 8,604,460 | 8,842,741 | 8,842,741 | 9,357,426 | 5,990,127 | 8,842,741 | 9,992,806 | 5,288,848 |
| TOTAL - General Fund | 8,659,248 | 9,142,741 | 9,149,701 | 9,364,386 | 5,990,127 | 9,158,572 | 10,008,637 | 5,288,848 |
| TOTAL - All Funds | 8,659,248 | 9,142,741 | 9,149,701 | 9,364,386 | 5,990,127 | 9,158,572 | 10,008,637 | 5,288,848 |

JUVENILE SERVICES - STATE OPERATED FACILITIES

Statutory Reference

C.G.S. Section 17a-3 (a).

Statement of Purpose and Program Objectives

To serve the most challenging boys in the juvenile justice system by providing innovative programming in education, treatment, and rehabilitation services in a secure, state-operated juvenile justice facility. Services promote the boys' successful re-entry into the community by focusing on core competencies, accountability and community safety.

Program Description

The *Connecticut Juvenile Training School (CJTS)* serves males convicted as delinquent and committed to the department by Juvenile Court. The *Pueblo Unit* on the grounds of Solnit Center-South Campus serves delinquent females. A full array of programming is offered focusing on innovative vocational and academic education, treatment and rehabilitative services while maintaining public safety.

Personnel Summary

Permanent Full-Time Positions

| | 06/30/2014 Filled | 06/30/2014 Vacant | FY 2015 Change | FY 2015 Total | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
|---|----------------------|----------------------|-------------------|------------------|----------------------|------------------------|----------------------|------------------------|
| General Fund | 271 | 44 | 32 | 347 | 347 | 347 | 347 | 347 |
| <i>Other Positions Equated to Full-Time</i> | | | | | | | | |
| General Fund | | | 0 | 0 | 0 | 0 | 0 | 0 |

**Financial Summary
(Net of Reimbursements)**

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
|---|-------------------|----------------------|----------------------|---------------------|------------------------|----------------------|---------------------|------------------------|
| General Fund | | | | | | | | |
| Personal Services | 22,294,345 | 25,963,262 | 27,513,787 | 27,394,225 | 27,394,225 | 27,969,399 | 27,815,153 | 27,815,153 |
| Other Expenses | 5,228,208 | 3,565,151 | 4,545,395 | 3,655,033 | 3,565,151 | 4,732,495 | 3,794,414 | 3,565,151 |
| Other Current Expenses | | | | | | | | |
| Workers' Compensation Claims | 3,086,998 | 3,347,118 | 3,347,118 | 3,347,118 | 3,347,118 | 3,347,118 | 3,347,118 | 3,347,118 |
| TOTAL - Other Current Expenses | 3,086,998 | 3,347,118 | 3,347,118 | 3,347,118 | 3,347,118 | 3,347,118 | 3,347,118 | 3,347,118 |
| TOTAL - General Fund | 30,609,551 | 32,875,531 | 35,406,300 | 34,396,376 | 34,306,494 | 36,049,012 | 34,956,685 | 34,727,422 |
| Additional Funds Available | | | | | | | | |
| Private Funds | 9,130 | 1,000 | 1,000 | 1,000 | 1,000 | 1,000 | 1,000 | 1,000 |
| Federal Contributions | | | | | | | | |
| 84013 Title I Pgm Neglected / Delinquent Children | 82,785 | 74,763 | 79,249 | 79,249 | 79,249 | 84,004 | 84,004 | 84,004 |
| 84027 Special Education Grants to States | 1,455 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84048 Vocational Education Basic Grants to States | 16,986 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93674 Chafee Foster Care Independent Living | 626 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - All Funds | 30,720,533 | 32,951,294 | 35,486,549 | 34,476,625 | 34,386,743 | 36,134,016 | 35,041,689 | 34,812,426 |

JUVENILE SERVICES ADMINISTRATION

The department has a regionalized approach to program review and oversight of community-based, as well as out-of-home services for youths in the juvenile justice system. Over the last few years, significant efforts have focused on the development of community-based services, redesigning of residential programs, and participation in various committees that will impact the future of juvenile services in the state of Connecticut.

Additionally, the Adolescent and Juvenile Justice Division continues its collaboration with the Judicial Branch in leading a multi-year, multi-partner joint juvenile justice strategic plan that has begun to jointly drive the juvenile justice system in a coordinated manner.

Program Measure

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Projected | FY 2017 Projected |
|---|-------------------|----------------------|----------------------|----------------------|
| Youth confined for > 60 days receiving required health, mental health and substance abuse treatment | 100% | 100% | 100% | 100% |
| Increased grade level performance due to year round education and special education services | One grade | One grade | One grade | One grade |
| Number of children in juvenile justice residential treatment facilities at end of fiscal year | 78 | 87 | 95 | 95 |

Personnel Summary

| | 06/30/2014 Filled | 06/30/2014 Vacant | FY 2015 Change | FY 2015 Total | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
|--------------------------------------|----------------------|----------------------|-------------------|------------------|----------------------|------------------------|----------------------|------------------------|
| Permanent Full-Time Positions | 14 | 1 | 0 | 15 | 15 | 770 | 15 | 770 |
| General Fund | | | | | | | | |
| Other Positions Equated to Full-Time | | | | | | | | |
| General Fund | | | 0 | 0 | 0 | 0 | 0 | 0 |

**Financial Summary
(Net of Reimbursements)**

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
|---|-------------------|----------------------|----------------------|---------------------|------------------------|----------------------|---------------------|------------------------|
| General Fund | | | | | | | | |
| Personal Services | 1,546,073 | 1,359,826 | 1,599,909 | 1,402,645 | 1,402,645 | 1,604,517 | 1,406,895 | 1,406,895 |
| Other Current Expenses | | | | | | | | |
| Pre-Adjudicated Juvenile and Family Svcs | 0 | 0 | 0 | 0 | 114,340,682 | 0 | 0 | 118,168,678 |
| TOTAL - Other Current Expenses | 0 | 0 | 0 | 0 | 114,340,682 | 0 | 0 | 118,168,678 |
| Pmts to Other than Local Govts | | | | | | | | |
| Juvenile Justice Outreach Services | 0 | 231,526 | 231,526 | 231,526 | 231,526 | 231,526 | 231,526 | 231,526 |
| Board and Care for Children - Residential | 151,113 | 114,759 | 114,759 | 102,548 | 102,548 | 114,759 | 102,548 | 102,548 |
| TOTAL - Pmts to Other than Local Govts | 151,113 | 346,285 | 346,285 | 334,074 | 334,074 | 346,285 | 334,074 | 334,074 |
| TOTAL - General Fund | 1,697,186 | 1,706,111 | 1,946,194 | 1,736,719 | 116,077,401 | 1,950,802 | 1,740,969 | 119,909,647 |
| TOTAL - All Funds | 1,697,186 | 1,706,111 | 1,946,194 | 1,736,719 | 116,077,401 | 1,950,802 | 1,740,969 | 119,909,647 |

PREVENTION

Statutory Reference

C.G.S. Sections 17a-3, 17a-38, 17a-49, 17a-54, and 17a-56.

Statement of Purpose and Program Objectives

To promote positive development in children, youths, families and communities. To build on the strengths of children, youths, families and communities. To respect and value children, youths, families and communities as partners with DCF. To support comprehensive, collaborative and coordinated strategies that are rooted in communities. To respond to and respect the cultural and unique personal identities of children, youths, families and communities. To provide families and communities with tools and resources to thrive. To ensure that services are inclusive, accessible and affordable to all.

Program Description

DCF funds prevention programs offered by community providers and offers prevention services directly.

Programs include child abuse prevention, parent education and support programs, positive youth development programs, early childhood services, juvenile review boards, juvenile criminal diversion projects, public awareness campaigns and mentoring programs.

The Wilderness School, located in East Hartland, is a prevention, intervention and transition program for troubled youth. It offers high-impact wilderness programs intended to foster positive youth development. Courses range from one-day experiences to 20-day expeditions. Designed as a journey experience, the program is based upon the philosophies of experiential learning and is considered therapeutic for the participant. Studies have documented the Wilderness School's positive impact upon self-esteem, personal responsibility and interpersonal skills.

Program Measure

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Projected | FY 2017 Projected |
|--|-------------------|----------------------|----------------------|----------------------|
| Children referred to early childhood programs for high-risk families experiencing increase in safety | 85% | 85% | 85% | 85% |
| Parents referred to early childhood programs for high-risk families demonstrating improved parenting | 85% | 85% | 85% | 85% |

Personnel Summary

| | 06/30/2014 Filled | 06/30/2014 Vacant | FY 2015 Change | FY 2015 Total | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
|---|----------------------|----------------------|-------------------|------------------|----------------------|------------------------|----------------------|------------------------|
| <i>Permanent Full-Time Positions</i> | | | | | | | | |
| General Fund | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |
| <i>Other Positions Equated to Full-Time</i> | | | | | | | | |
| General Fund | | | 0 | 0 | 0 | 0 | 0 | 0 |

Financial Summary

(Net of Reimbursements)

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
|---|-------------------|----------------------|----------------------|---------------------|------------------------|----------------------|---------------------|------------------------|
| General Fund | | | | | | | | |
| Personal Services | 55,643 | 526,262 | 64,054 | 530,543 | 530,543 | 64,054 | 531,208 | 531,208 |
| Other Expenses | 150,378 | 131,307 | 130,593 | 134,547 | 131,307 | 134,282 | 138,358 | 131,307 |
| <i>Pmts to Other than Local Govts</i> | | | | | | | | |
| Juvenile Justice Outreach Services | 818,929 | 1,205,104 | 1,205,104 | 1,205,104 | 1,205,104 | 1,205,104 | 1,205,104 | 1,205,104 |
| Community Based Prevention Programs | 7,379,768 | 8,090,258 | 8,090,258 | 8,110,258 | 7,705,747 | 8,090,258 | 8,110,258 | 7,705,747 |
| Neighborhood Center | 250,414 | 250,414 | 250,414 | 250,414 | 0 | 250,414 | 250,414 | 0 |
| TOTAL - Pmts to Other than Local Govts | 8,449,111 | 9,545,776 | 9,545,776 | 9,565,776 | 8,910,851 | 9,545,776 | 9,565,776 | 8,910,851 |
| <i>Pmts to Local Governments</i> | | | | | | | | |
| Youth Service Bureaus | 0 | 0 | 0 | 0 | 2,300,000 | 0 | 0 | 2,300,000 |
| TOTAL - Pmts to Local Governments | 0 | 0 | 0 | 0 | 2,300,000 | 0 | 0 | 2,300,000 |
| TOTAL - General Fund | 8,655,132 | 10,203,345 | 9,740,423 | 10,230,866 | 11,872,701 | 9,744,112 | 10,235,342 | 11,873,366 |
| <i>Additional Funds Available</i> | | | | | | | | |
| Private Funds | 1,005 | 1,000 | 1,000 | 1,000 | 1,000 | 1,000 | 1,000 | 1,000 |

| | | | | | | | | |
|--|------------------|-------------------|------------------|-------------------|-------------------|------------------|-------------------|-------------------|
| Federal Contributions | | | | | | | | |
| 93652 Adoption Opportunities | 18,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93958 Block Grants for Community Mental Health | 54,142 | 46,500 | 46,500 | 46,500 | 46,500 | 46,500 | 46,500 | 46,500 |
| TOTAL - All Funds | 8,728,279 | 10,250,845 | 9,787,923 | 10,278,366 | 11,920,201 | 9,791,612 | 10,282,842 | 11,920,866 |

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Sections 17a-2, 17a-3, 17a-6, 17a-9, 17a-15 and 17a-37.

Statement of Purpose and Program Objectives

To ensure the effective and efficient delivery of service to children and youth through strategic planning, quality assurance, support services, training and overall management and guidance to the department's area offices and facilities.

Program Description

The Office of the Commissioner determines the agency's course by establishing priorities and setting

policy and regulations necessary for the overall management of services and ensuring the proper training of all staff.

Supporting the department's programs in achieving its objectives is an administrative infrastructure that includes: administrative law and policy, quality improvement, contract management, fiscal services, human resources, engineering, office of the ombudsman, public information and revenue enhancement.

Program Measure

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Projected | FY 2017 Projected |
|--------------------------------|-------------------|----------------------|----------------------|----------------------|
| Number of facility inspections | 574 | 574 | 574 | 574 |

Personnel Summary

| | 06/30/2014 Filled | 06/30/2014 Vacant | FY 2015 Change | FY 2015 Total | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
|---|----------------------|----------------------|-------------------|----------------------|----------------------|------------------------|----------------------|------------------------|
| <i>Permanent Full-Time Positions</i> | | | | | | | | |
| General Fund | 252 | 43 | 0 | 295 | 295 | 295 | 295 | 295 |
| <i>Other Positions Equated to Full-Time</i> | | | | | | | | |
| General Fund | | | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
| | | | 0 | 0 | 0 | 0 | 0 | 0 |

Financial Summary (Net of Reimbursements)

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
|---|-------------------|----------------------|----------------------|---------------------|------------------------|----------------------|---------------------|------------------------|
| General Fund | | | | | | | | |
| Personal Services | 25,193,759 | 26,888,242 | 28,170,207 | 27,927,572 | 27,927,572 | 28,336,722 | 28,100,477 | 28,100,477 |
| Other Expenses | 9,753,949 | 8,516,923 | 9,821,688 | 8,491,866 | 8,301,923 | 8,684,612 | 7,574,586 | 7,144,937 |
| Capital Outlay | | | | | | | | |
| Equipment | 0 | 1 | 1 | 1 | 0 | 1 | 1 | 0 |
| TOTAL - Capital Outlay | 0 | 1 | 1 | 1 | 0 | 1 | 1 | 0 |
| Other Current Expenses | | | | | | | | |
| Workers' Compensation Claims | 672,902 | 729,602 | 729,602 | 729,602 | 729,602 | 729,602 | 729,602 | 729,602 |
| TOTAL - Other Current Expenses | 672,902 | 729,602 | 729,602 | 729,602 | 729,602 | 729,602 | 729,602 | 729,602 |
| Nonfunctional - Change to Accruals | 2,805,733 | 1,574,776 | 1,574,776 | 1,574,776 | 0 | 1,574,776 | 1,574,776 | 0 |
| TOTAL - General Fund | 38,426,343 | 37,709,544 | 40,296,274 | 38,723,817 | 36,959,097 | 39,325,713 | 37,979,442 | 35,975,016 |
| Additional Funds Available | | | | | | | | |
| Private Funds | 34,659 | 2,500 | 0 | 0 | 0 | 0 | 0 | 0 |
| Federal Contributions | | | | | | | | |
| 84013 Title I Pgm Neglected / Delinquent Children | 6,801 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 |
| 84027 Special Education Grants to States | 65,656 | 101,463 | 50,000 | 50,000 | 50,000 | 40,000 | 40,000 | 40,000 |
| 84367 Improving Teacher Quality State Grants | 12,500 | 11,674 | 11,674 | 11,674 | 11,674 | 11,674 | 11,674 | 11,674 |
| 93104 Comprehensive Community Mental Health | 164,889 | 235,091 | 0 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | | | |
|--|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| 93110 Maternal & Child Health | 79,983 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93551 Abandoned Infants | 4,232 | 27,951 | 14,850 | 14,850 | 14,850 | 3,713 | 3,713 | 3,713 |
| 93556 Promoting Safe & Stable Families | 123,763 | 128,544 | 128,544 | 128,544 | 128,544 | 128,544 | 128,544 | 128,544 |
| 93599 Chafee Education & Training | 280,684 | 205,738 | 205,738 | 205,738 | 205,738 | 205,738 | 205,738 | 205,738 |
| Vouchers | | | | | | | | |
| 93603 Adoption Incentive Payments | 14,794 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93643 Children's Justice Grants to States | 539 | 540 | 540 | 540 | 540 | 540 | 540 | 540 |
| 93645 Child Welfare Services State Grants | 34,890 | 90,000 | 90,000 | 90,000 | 90,000 | 90,000 | 90,000 | 90,000 |
| 93652 Adoption Opportunities | 94,971 | 73,465 | 73,465 | 73,465 | 73,465 | 18,366 | 18,366 | 18,366 |
| 93670 Child Abuse & Neglect Discretionary | 4,565 | 4,565 | 4,565 | 4,565 | 4,565 | 4,565 | 4,565 | 4,565 |
| 93674 Chafee Foster Care Independent Living | 176,949 | 11,470 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93958 Block Grants for Community Mental Health | 70,872 | 79,750 | 79,750 | 79,750 | 79,750 | 79,750 | 79,750 | 79,750 |
| TOTAL - All Funds | 39,597,090 | 38,697,295 | 40,970,400 | 39,397,943 | 37,633,223 | 39,923,603 | 38,577,332 | 36,572,906 |

AGENCY FINANCIAL SUMMARY - General Fund

| <i>Current Expenses by Minor Object</i> | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | FY 2016 Recommended | FY 2017 Requested | FY 2017 Recommended |
|--|--------------------|----------------------|----------------------|------------------------|----------------------|------------------------|
| <u>Personal Services</u> | | | | | | |
| Permanent Fulltime Positions | 216,908,609 | 238,128,998 | 248,378,298 | 248,607,834 | 250,822,647 | 251,047,939 |
| Other Positions | 12,927,477 | 14,515,641 | 15,342,479 | 15,231,056 | 15,519,585 | 15,407,225 |
| Other | 6,463,301 | 4,700,304 | 6,860,225 | 4,700,304 | 6,877,402 | 4,700,304 |
| Overtime | 20,447,049 | 21,367,164 | 22,511,643 | 22,508,040 | 22,755,272 | 22,749,656 |
| TOTAL - Personal Services | 256,746,436 | 278,712,107 | 293,092,645 | 291,047,234 | 295,974,906 | 293,905,124 |
| <u>Other Expenses</u> | | | | | | |
| Advertising and Marketing | 35,573 | 30,886 | 30,588 | 30,886 | 31,472 | 30,886 |
| Agriculture, Horticulture, Dairy & Food | 1,035,948 | 1,036,116 | 1,059,715 | 1,036,116 | 1,084,406 | 1,036,116 |
| Books | 193,723 | 130,689 | 123,803 | 130,689 | 127,380 | 130,689 |
| Clothing and Personal Supplies | 273,872 | 161,332 | 148,079 | 161,332 | 152,359 | 161,332 |
| DP Services, Rentals and Maintenance | 5,664,634 | 4,939,684 | 6,263,928 | 4,939,684 | 5,026,805 | 3,782,698 |
| Dues and Subscriptions | 77,576 | 64,506 | 63,427 | 64,506 | 65,261 | 64,506 |
| Fees for Non-Professional Services | 4,335,546 | 2,923,482 | 4,075,393 | 4,142,979 | 4,193,168 | 4,142,979 |
| Fees for Outside Professional Services | 1,659,676 | 2,118,056 | 2,230,324 | 1,740,383 | 2,294,778 | 1,742,328 |
| Fuel | 2,860,656 | 1,902,408 | 2,981,074 | 1,902,408 | 3,130,766 | 1,902,408 |
| General Repairs | 1,830,302 | 1,337,130 | 1,267,153 | 1,337,130 | 1,303,776 | 1,337,130 |
| Maintenance and Motor Vehicle Supplies | 2,330,247 | 1,964,286 | 1,966,996 | 1,964,286 | 2,011,016 | 1,964,286 |
| Medical Supplies | 864,926 | 836,618 | 1,184,811 | 1,164,951 | 1,230,409 | 1,177,789 |
| Motor Vehicle Expenses | 3,411,679 | 3,048,024 | 2,943,337 | 3,048,024 | 3,028,399 | 3,048,024 |
| Office Supplies | 1,315,148 | 1,050,310 | 1,007,585 | 1,050,310 | 1,036,701 | 1,050,310 |
| Other Contractual Services | 611,056 | 485,426 | 471,653 | 485,426 | 485,289 | 485,426 |
| Postage | 293,172 | 260,598 | 251,235 | 260,598 | 258,495 | 260,598 |
| Printing & Binding | 39,814 | 32,451 | 31,362 | 32,451 | 32,267 | 32,451 |
| Rentals, Storage and Leasing | 7,876,693 | 7,083,840 | 6,844,922 | 7,083,840 | 7,042,741 | 7,083,840 |
| Sundry - Other Items | 1,076,045 | 917,990 | 975,347 | 847,990 | 1,001,895 | 847,990 |
| Telecommunication Services | 1,813,556 | 1,564,695 | 1,534,422 | 1,564,695 | 1,578,770 | 1,564,695 |
| Travel | 239,496 | 216,279 | 209,208 | 216,279 | 215,253 | 216,279 |
| Utility Services | 1,962,139 | 2,156,391 | 2,213,592 | 2,156,391 | 2,270,367 | 2,156,391 |
| TOTAL - Other Expenses | 39,801,477 | 34,261,197 | 37,877,954 | 35,361,354 | 37,601,773 | 34,219,151 |
| <u>Equipment</u> | | | | | | |
| Equipment | 0 | 1 | 1 | 0 | 1 | 0 |
| TOTAL - Equipment | 0 | 1 | 1 | 0 | 1 | 0 |
| <u>Other Current Expenses</u> | | | | | | |
| Workers' Compensation Claims | 9,884,016 | 10,716,873 | 10,716,873 | 10,716,873 | 10,716,873 | 10,716,873 |
| Family Support Services | 928,321 | 986,402 | 986,402 | 984,582 | 986,402 | 984,582 |
| Homeless Youth | 0 | 2,515,707 | 2,515,707 | 2,515,707 | 2,515,707 | 2,515,707 |
| Differential Response System | 7,879,514 | 8,346,386 | 8,346,386 | 8,286,191 | 8,346,386 | 8,286,191 |
| Regional Behavioral Health Consultation | 1,055,495 | 1,810,000 | 1,810,000 | 1,719,500 | 1,810,000 | 1,719,500 |
| Pre-Adjudicated Juvenile and Family Svcs | 0 | 0 | 0 | 114,340,682 | 0 | 118,168,678 |
| TOTAL - Other Current Expenses | 19,747,346 | 24,375,368 | 24,375,368 | 138,563,535 | 24,375,368 | 142,391,531 |
| <u>Pmts to Other Than Local Govts</u> | | | | | | |
| Health Assessment and Consultation | 957,850 | 1,015,002 | 1,015,002 | 1,015,002 | 1,015,002 | 1,015,002 |
| Grants for Psych Clinics for Children | 13,765,849 | 15,483,393 | 15,483,393 | 15,483,393 | 15,483,393 | 15,483,393 |
| Day Treatment Centers for Children | 6,643,218 | 6,783,292 | 6,783,292 | 6,783,292 | 6,783,292 | 6,783,292 |
| Juvenile Justice Outreach Services | 9,726,340 | 12,841,081 | 12,841,081 | 12,199,027 | 12,841,081 | 12,199,027 |
| Child Abuse and Neglect Intervention | 8,514,194 | 9,102,501 | 9,102,501 | 8,599,177 | 9,102,501 | 8,599,177 |
| Community Based Prevention Programs | 7,951,300 | 8,300,790 | 8,300,790 | 7,858,769 | 8,300,790 | 7,858,769 |
| Family Violence Outreach and Counseling | 1,062,962 | 1,892,201 | 1,892,201 | 1,797,591 | 1,892,201 | 1,797,591 |
| Supportive Housing | 15,264,348 | 13,980,158 | 13,980,158 | 13,908,020 | 13,980,158 | 13,908,020 |

| | | | | | | |
|---|-------------|-------------|-------------|-------------|-------------|-------------|
| No Nexus Special Education | 2,344,572 | 3,768,279 | 2,187,648 | 2,233,340 | 2,187,648 | 2,316,642 |
| Family Preservation Services | 5,689,151 | 5,735,278 | 5,735,278 | 5,735,278 | 5,735,278 | 5,735,278 |
| Substance Abuse Treatment | 8,504,865 | 9,817,303 | 9,817,303 | 9,817,303 | 9,817,303 | 9,817,303 |
| Child Welfare Support Services | 2,474,870 | 2,501,872 | 2,501,872 | 1,591,373 | 2,501,872 | 1,591,373 |
| Board and Care for Children - Adoption | 91,011,781 | 94,088,769 | 119,192,027 | 94,356,756 | 125,114,146 | 95,666,397 |
| Board and Care for Children - Foster | 114,359,583 | 117,244,693 | 147,281,132 | 124,643,643 | 155,470,840 | 125,568,483 |
| Board and Care - Residential | 125,565,263 | 125,373,630 | 125,450,801 | 109,037,361 | 126,326,911 | 108,900,959 |
| Individualized Family Supports | 9,402,526 | 10,079,100 | 10,280,264 | 9,413,324 | 10,536,598 | 9,413,324 |
| Community KidCare | 32,409,897 | 37,716,720 | 37,716,720 | 37,716,720 | 37,716,720 | 37,716,720 |
| Covenant to Care | 159,814 | 159,814 | 159,814 | 0 | 159,814 | 0 |
| Neighborhood Center | 250,414 | 250,414 | 250,414 | 0 | 250,414 | 0 |
| TOTAL - Pmts to Other Than Local Govts | 456,058,797 | 476,134,290 | 529,971,691 | 462,189,369 | 545,215,962 | 464,370,750 |
| <i>Pmts to Local Governments</i> | | | | | | |
| Youth Service Bureaus | 0 | 0 | 0 | 2,300,000 | 0 | 2,300,000 |
| TOTAL - Pmts to Local Governments | 0 | 0 | 0 | 2,300,000 | 0 | 2,300,000 |
| <i>Nonfunctional - Change to Accruals</i> | 2,805,733 | 1,574,776 | 1,574,776 | 0 | 1,574,776 | 0 |

Character & Major Object Summary

| | FY 2014 Actual | FY 2015 Estimated | FY 2016 Requested | Current Services | FY 2016 Recommended | FY 2017 Requested | Current Services | FY 2017 Recommended |
|------------------------------------|-------------------|----------------------|----------------------|---------------------|------------------------|----------------------|---------------------|------------------------|
| General Fund | | | | | | | | |
| Personal Services | 256,746,436 | 278,712,107 | 293,092,645 | 291,047,234 | 291,047,234 | 295,974,906 | 293,905,124 | 293,905,124 |
| Other Expenses | 39,801,477 | 34,261,197 | 37,877,954 | 36,200,387 | 35,361,354 | 37,601,773 | 36,079,402 | 34,219,151 |
| Capital Outlay | 0 | 1 | 1 | 1 | 0 | 1 | 1 | 0 |
| Other Current Expenses | 19,747,346 | 24,375,368 | 24,375,368 | 24,425,368 | 138,563,535 | 24,375,368 | 24,425,368 | 142,391,531 |
| Pmts to Other than Local Govts | 456,058,797 | 476,134,290 | 529,971,691 | 475,250,896 | 462,189,369 | 545,215,962 | 480,048,875 | 464,370,750 |
| Pmts to Local Governments | 0 | 0 | 0 | 0 | 2,300,000 | 0 | 0 | 2,300,000 |
| Nonfunctional - Change to Accruals | 2,805,733 | 1,574,776 | 1,574,776 | 1,574,776 | 0 | 1,574,776 | 1,574,776 | 0 |
| TOTAL - General Fund | 775,159,789 | 815,057,739 | 886,892,435 | 828,498,662 | 929,461,492 | 904,742,786 | 836,033,546 | 937,186,556 |
| Federal and Other Activities | 14,761,502 | 13,112,073 | 14,537,737 | 14,537,737 | 14,537,737 | 13,811,652 | 13,811,652 | 13,811,652 |
| Private Funds | 2,632,888 | 2,659,079 | 736,500 | 736,500 | 736,500 | 736,500 | 736,500 | 736,500 |
| TOTAL - All Funds Net | 792,554,179 | 830,828,891 | 902,166,672 | 843,772,899 | 944,735,729 | 919,290,938 | 850,581,698 | 951,734,708 |