

## **THE EFFECTS AND IMPACT OF A FEDERAL GOVERNMENT SHUTDOWN ON THE CONNECTICUT DEPARTMENT OF CORRECTION**

---

The Connecticut Department of Correction receives Federal Grant funding from various Federal Agencies and receives reimbursements from the Federal Government for Federal Inmates housed in Connecticut Correctional facilities and funds from Social Security for each incarcerated individual.

Grant funds are used to fund specific programs and/or for the procurement of equipment and supplies related to the purpose of the grant.

The Federal funds received for the Federal Inmates DOC houses, as well as the Social Security funds DOC receives for identifying Social Security eligible individuals to the Social Security Administration, are used to cover the costs associated with the incarceration of those inmates.

A shut down of the Federal Government could impact the DOC in one of two ways (or a combination of both):

1. A shut down could lead to a rescission of grant awards.
2. A shut down could make it impossible to draw down funds and halt the processing of pending grant applications.

### **RESCISSION OF EXISTING GRANT AWARDS**

A rescission of existing awards would make it difficult to impossible to continue the employment of the approximately 30 individuals currently funded under Federal grants. Additionally the loss of such funding would negatively impact the quantity and quality of services provided by the agency to offenders, primarily in the areas of reentry and educational services.

### **LOSS OF ACCESS TO EXISTING GRANT FUNDS**

The agency should be able to cover the personal services costs associated with the currently staff funded through the Federal Grants by using other agency funds, however this can only be sustained over a short period of time and is contingent upon prompt receipt of the Federal funds as soon as the shutdown is conclude (these Federal funds would be used to reimburse the agency for the other funds used to cover costs during the shutdown).

The agency should also be able to cover the costs associated with the incarceration of the Federal Inmates DOC houses using existing other funds, however this can only be sustained over a short period of time and is contingent upon prompt receipt of the Federal funds as soon as the shutdown is conclude (these Federal funds would be used to reimburse the agency for the other funds used to cover costs during the shutdown).

## FEDERAL GRANTS

The following table lists the current Federal awards to DOC. This list contains the amount remaining on the award, the number of staff funded by the award, the corresponding biweekly payroll amount, the total amount remaining on the award and the amount anticipated to be drawn down over the next six months.

Grant Name	Award Date	Number of Federally Funded Positions	Full or Partially Funded	Average Bi-Weekly Salary and Fringe Expenditure	Remaining Grant Balance Through 6/30/14	Projected Draw Down for next 6 months
Title I	07/01/12 - 06/30/14	23	Full	\$39,176	\$991,114	\$833,900
Title II	07/01/12 - 06/30/14	3	Full	\$4,075	\$27,728	\$27,728
IDEA Part B	07/01/12 - 06/30/14	3	Full	\$4,091	\$217,660	\$163,188
IDEA Part B	07/01/13 - 06/30/15	0	N/A		\$146,330	
RSAT 15	10/15/11 - 09/30/14	1	Partial - 75%	\$2,706	\$136,404	\$ 131,880
Second Chance Act	10/01/11 - 09/30/13	0	N/A		\$136,529	\$126,757
HIV Homeless	09/01/12 - 08/31/13	0	N/A		\$20,631	
Choices	09/30/12 - 09/29/13	0	N/A		\$17,165	\$8,026
New Hope	09/01/12 - 08/31/13	0	N/A		\$22,991	\$14,852
Inspire	09/01/12 - 08/31/13	0	N/A		\$22,993	\$14,851
* HIV Homeless	09/01/13 - 08/31/14	1*	Partial - 12%	\$573	\$15,195	\$7,605
* New Hope	09/01/13 - 08/31/14	1*	Partial - 44%	\$2,103	\$53,878	\$27,835
* Inspire	09/01/13 - 08/31/14	1*	Partial - 44%	\$ 2,103	\$53,878	\$27,835
<b>Totals</b>		<b>31</b>		<b>\$54,827</b>	<b>\$1,862,496</b>	<b>\$1,384,458</b>

\* One person's salary is being paid partially by each of these grants ( 12%, 44% and 44% )

### State Dept. of Education – pass through grants

There's not been any communication from USDOE to SDOE, but James Dargati at SDOE is not expecting Title I and II funds, which are already committed, to be reduced or rescinded.

**Title I** - SDE expects to send out new funding notices within the next 2 weeks with funding remaining around the current level \$1,855,974. Per Superintendent Holley, the grant supplements state teachers and provides for tutors. A reduction in the number of federally funded teachers could result in greater reliance on state funded staff. Again, SDE is not anticipating that happening.

**Title II** - DOC's current award of \$117,553 in hand is through 6/30/14 and is not anticipated to be impacted.

**IDEA** - SDOE contacted us and informed us that SDOE has enough upfront funds from DOE that they don't anticipate a problem paying grantees. A prolonged shutdown would be a cause for concern.

### Yale University – sub-awards

DOC does not yet have three continuation federal sub awards in hand from Yale that started September 1st. Combined, they fund 100% salary and fringe for 1 DOC employee. The sub awards from Yale to DOC fund this staff person's involvement in HIV/AIDS studies and care for those inmates. Yale's Grants Office has stated to us that the awards are in process and they will try expediting them. This is not unusual with Yale at this time of the year. And while DOC typically can expect the retroactive awards to be fully executed before the end of the calendar year, and feel good that Yale has gotten their award notices, the grants are from different federal agencies, so that the prospect and timing of a federal shutdown could become a problem. Yale has informed us that they have not received any notification from government agencies about cuts in funding.

### **OPM – pass through grants**

**RSAT 15** (*Residential Substance Abuse Treatment for State Prisoners*) - There hasn't been any communication from DOJ to OPM. Since these are FFY 2010 funds that don't expire until 9/30/14, no impact is anticipated other than a delay in the processing of draw down requests until the government is reopened.

### **Dept of Justice – direct awards**

**SCAAP** (*State Criminal Alien Assistance Program*) - According to the SCAAP program officer, unless there is a significant shutdown, they should have the FY 13 SCAAP announcement out by the middle of October. If a extended shutdown were to occur it could delay the start by DOC of new projects, contractors and equipment purchases. DOC could use prior year SCAAP funding, but DOJ approval is needed. If DOJ employees are furloughed there will be no one to approve the change.

### **Second Chance Act**

DOC received a communication with instructions relative to managing the new FFY 2013 award, but nothing was mentioned about rescinding the award. A shutdown could delay processing of draw down requests, action being taken on Grant Adjustment Notices (GANs), and access to DOJ staff. If the grant is cut, contracts with Easter Seals, Family ReEntry and UConn would be impacted. No DOC employees are funded through this grant.

### **FEDERAL INMATES**

#### **US Marshals**

DOC receives an estimated \$130,000 per month for Federal Inmates its houses in Connecticut Correctional facilities. DOC expects to receive approximately \$1,170,000 over the next 9 months.

### **OTHER**

#### **Social Security - Incentive Payments For State And Local Institutions**

DOC receives \$400 for every newly incarcerated Social Security eligible inmate the agency identifies to the Social Security Administration. DOC receives approximately \$30,000 per month under this program and expects to receive approximately \$270,000 over the next 9 months.