

EDUCATION

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DEPARTMENT OF EDUCATION

AGENCY DESCRIPTION

The Department of Education is the administrative arm of the State Board of Education, which is responsible for implementing the educational interests of the state from preschool through Grade 12.

The State Board of Education provides leadership and promotes the improvement of education in the state. Specific functions carried out by the Department of Education include leadership and communication with the state's 166 school districts, research, planning, evaluation, educational technology (including telecommunications), the publishing of guides to curriculum development and other technical assistance materials, the presentation of workshops, and assessment.

A Superior Education for Connecticut's 21st Century Learners: the State Board of Education's comprehensive plan for 2006-11, serves as a guide for the Board's legislative and budget proposals for the pre-k-12 system. The plan's objectives and strategies focus on the following three goals: high academic achievement by all students in reading, writing, math and science; high-quality preschool education for all students; and secondary school reform.

The Connecticut Technical High School System (CTHSS), which is overseen by the State Board of Education as a division of SDE, is the state's largest secondary school system serving approximately 10,500 high school students and about 3,750 adult learners. PA 10-76 required that the CTHSS be a separate budgeted agency from SDE; however, the intent of the act was to provide more transparency and better accountability of the budgetary needs of the CTHSS. This can be accomplished within the existing agency structure because the CTHSS is a separate program within SDE; therefore, the budget for CTHSS is recommended within the overall budget for SDE.

The department, through technical support and funding, helps to ensure equal educational opportunity and excellence in education for all Connecticut students – 567,813 (pre-kindergarten through Grade 12). The State Board and Department of Education protect the educational interests of the state by providing leadership and service to the 166 school districts and to the teacher preparation programs at Connecticut's public and private higher education institutions. Included among the department's many partners are parent and teacher groups; the six regional educational service centers; nonpublic schools; public and independent colleges and universities; and the Connecticut Departments of Children and Families, Developmental Services and Correction.

Energy Conservation Statement

The department plans to continue energy conservation efforts as part of its routine maintenance of equipment and facilities. The department has established energy conservation goals as part of its master plan for facilities. In addition, the use of energy management systems is planned. These activities continue the conservation efforts undertaken in the last three years.

AGENCY PROGRAM INDEX

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RECOMMENDED SIGNIFICANT CHANGES

| | 2011-2012 | 2012-2013 |
|---|------------------|------------------|
| Reductions to Current Services | | |
| • Fund Equipment Through CEPF | -405,399 | -147,199 |
| • Remove or Limit Inflation | -3,570,588 | -7,761,927 |
| • Reduce Transportation Grant Based on Results from FY 2012 RESC Alliance Study | 50,000 | -1,000,000 |
| • Flat Fund Public Transportation of School Children at the FY 2011 Level | -19,314,280 | -19,314,280 |
| • Reduce LEAP and Neighborhood Youth Centers Grants by 10% | -233,700 | -233,700 |
| • Reduce Priority School District and Transportation Grants by an Additional 10% | -7,296,405 | -7,143,766 |
| • Extend Cap on State Expenditures for the Transportation of Public School Children Grant | -40,784,594 | -46,180,509 |
| • Extend Cap on State Expenditures for the Excess Costs-Student Based Grant | -30,046,534 | -43,566,774 |
| • Extend Cap on State Expenditures for the Nonpublic Health Services Grant | -3,049,758 | -3,560,392 |
| • Extend Cap on State Expenditures for the Nonpublic School Transportation Grant | -1,384,762 | -1,578,971 |
| • Extend Cap on State Expenditures for the Adult Education Grant | -1,321,202 | -2,008,452 |
| Reallocations or Transfers | | |
| • Transfer Information Technology Managers from DOIT to Line Agencies | 0 | 0 |
| • Incorporate the Budget for the Technical High School System Within SDE | 149,543,414 | 143,627,045 |
| • Reallocate School Readiness Enhancement Grant from DSS to SDE | 1,158,608 | 1,158,608 |
| • Reallocate School Facilities Bureau from SDE to the Department of Construction Services | -865,275 | -836,740 |
| • Reallocate BESB Education Grants to SDE | 4,839,899 | 4,821,904 |

| | | | |
|--|------------------|------------------|------------------|
| • Phase in the Operation of CTHSS to District- or RESC-Run With New Technical High School Grant <i>This would phase in the operation of the Connecticut Technical High School System (CTHSS) over a four year period to be operated by the districts in which the schools are located or by the Regional Education Service Center (RESC) that serves the area. A new Technical High School Grant will be provided to districts and/or RESCs for the operation of such schools.</i> | 0 | 0 | |
| • Transfer OPM Education Related Grants to SDE | 2,337,000 | 2,337,000 | |
| • Transfer the DSS Child Day Care Program to SDE | 16,445,153 | 16,442,252 | |
| New or Expanded Services | 2011-2012 | 2012-2013 | 2013-2014 |
| • Increase Child Care Services Slot Rates <i>Additional funding is added in order to equalize the DSS preschool slot rates with the SDE preschool slot rates. The remaining funding from this pool of money would go to increase the infant/toddler slot rates in order to maintain the level of those slots.</i> | 1,977,500 | 1,977,500 | 1,977,500 |
| • Provide Additional Funding to Match Private Early Childhood Funding <i>With this additional funding the state will appropriate a total of \$1.7 million over the biennium to finance the state portion of an important public/private partnership to support early childhood education, parent leadership training and early literacy. With this state investment, about \$4.1 million will be matched from private philanthropic sources, including the Graustein and Annie Casey Foundations as well as the Child Health and Development Institute.</i> | 22,500 | 22,500 | 22,500 |

AGENCY PROGRAMS

| Personnel Summary | | As of 06/30/2010 | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 | |
|---|--|------------------|------------------|------------------|------------------|--------------------|--------------------|--------------------|--------------------|
| | | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| <i>Permanent Full-Time Positions</i> | | | | | | | | | |
| General Fund | | 1,608 | 179 | -81 | 1,706 | 307 | 1,739 | 307 | 1,741 |
| Bond Funds | | 2 | 0 | 0 | 2 | 0 | 0 | 0 | 0 |
| Federal Contributions | | 124 | 14 | 0 | 138 | 103 | 140 | 103 | 140 |
| | | | 2009-2010 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 | |
| <i>Other Positions Equated to Full Time</i> | | | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> | |
| General Fund | | | 0 | 1 | 0 | 0 | 0 | 0 | |
| Agency Programs by Total Funds (Net of Reimbursements) | | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
| | | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| Basic School Program | | 1,846,182,747 | 2,070,511,379 | 2,022,713,116 | 2,022,666,214 | 1,954,253,580 | 2,028,681,464 | 2,028,625,261 | 1,952,558,058 |
| Special Education | | 430,599,750 | 367,761,910 | 331,494,661 | 331,695,266 | 306,478,927 | 326,780,357 | 326,978,214 | 288,219,993 |
| Equal Education Opportunity | | 724,975,217 | 785,312,106 | 761,426,386 | 758,988,657 | 787,325,355 | 778,268,448 | 774,695,274 | 799,701,209 |
| Vocational Training and Job Preparation | | 44,872,783 | 44,558,737 | 46,585,093 | 46,580,980 | 45,118,191 | 47,981,948 | 47,976,840 | 45,667,903 |
| CT Tech High School System | | 152,227,455 | 158,930,469 | 0 | 0 | 172,725,414 | 0 | 0 | 167,214,045 |
| Agency Management Services | | 29,564,473 | 22,624,597 | 26,954,209 | 27,978,643 | 29,027,820 | 26,322,164 | 26,109,045 | 27,371,099 |
| Teacher Preparation, Professional & Curriculum Development | | 40,020,422 | 41,494,082 | 42,005,598 | 41,990,385 | 41,907,316 | 42,824,186 | 42,805,264 | 42,694,440 |
| TOTAL Agency Programs - All Funds Gross | | 3,268,442,847 | 3,491,193,280 | 3,231,179,063 | 3,229,900,145 | 3,336,836,603 | 3,250,858,567 | 3,247,189,898 | 3,323,426,747 |
| Less Turnover | | 0 | 0 | 0 | -250,000 | -1,500,000 | 0 | -250,000 | -1,500,000 |
| TOTAL Agency Programs - All Funds Net | | 3,268,442,847 | 3,491,193,280 | 3,231,179,063 | 3,229,650,145 | 3,335,336,603 | 3,250,858,567 | 3,246,939,898 | 3,321,926,747 |
| Summary of Funding | | | | | | | | | |
| General Fund Net | | 2,662,756,977 | 2,726,691,162 | 2,728,264,563 | 2,726,735,645 | 2,794,792,173 | 2,779,175,067 | 2,775,256,398 | 2,812,208,317 |
| Federal and Other Activities | | 591,135,159 | 749,906,849 | 500,129,000 | 500,129,000 | 524,354,930 | 468,834,000 | 468,834,000 | 493,153,930 |
| Bond Funds | | 2,672,304 | 2,260,769 | 0 | 0 | 3,504,000 | 0 | 0 | 3,518,000 |
| Private Funds | | 11,878,407 | 12,334,500 | 2,785,500 | 2,785,500 | 12,685,500 | 2,849,500 | 2,849,500 | 13,046,500 |
| TOTAL Agency Programs - All Funds Net | | 3,268,442,847 | 3,491,193,280 | 3,231,179,063 | 3,229,650,145 | 3,335,336,603 | 3,250,858,567 | 3,246,939,898 | 3,321,926,747 |

BASIC SCHOOL PROGRAM

Statutory Reference

C. G. S. Sections 10-4, 10-4a; 10-14n; 10-54, 10-97, 10-266m, 10-266ee, 10-273a, 10-277, 10-281; 10-262 through 10-262i; 10-217a.

Statement of Need and Program Objectives:

To ensure that every child in Connecticut has an equal opportunity to receive a suitable program of educational experiences. To ensure that the state's public schools offer a planned, ongoing and

systematic program of instruction that guarantees a breadth and depth of curriculum provided by competent professionals in a safe and supportive school setting.

Program Description

The primary purpose of this program is to ensure that each of Connecticut's 567,813 public school children, of whom 36.3 percent are minority, has an equal opportunity to receive a suitable and high-

Budget-in-Detail

quality program of educational experiences. The department provides significant support to local education agencies for this purpose through various grant programs and technical assistance.

While there is a mandate to provide suitable educational programming, the availability of local resources to accomplish this task is anything but uniform. The disparities in local tax bases and personal income place many communities at a significant disadvantage in financing public schools. To compensate for these differences, the state grant formulas in the basic school program group are designed specifically to distribute more funds to the towns with the greatest need.

The Education Cost Sharing (ECS) grant accounts for more than two-thirds of the Department’s general fund appropriation. The primary components of the ECS grant are the foundation spending level per student; the “need student” count of each town, which adds weighting based on poverty and limited English; the wealth of the town determined by its tax base and the income of its residents, and a state-guaranteed wealth level. In this manner, the ECS formula equalizes each town’s ability to finance school programs at the foundation level with a comparable tax effort.

Other basic program grants with equalizing formulas include public and nonpublic school transportation, school construction (funded

through the capital budget), and nonpublic health services (more than 69,000 children attend nonpublic schools). Each of these programs has its own statutorily set scale of reimbursement rates, which assigns the highest rates to the neediest districts and the lowest rates to the most affluent. Combined, these programs compose about 20 percent of total department funding (general funds and bond funds), with school construction responsible for 90 percent of the combined amount.

The Connecticut Mastery Test (CMT) is administered statewide to students in Grades 3 through 8 to measure their performance in mathematics, writing and reading. About 250,000 students take the tests each year. Science testing was added in 2008 for students in Grades 5 and 8.

The Connecticut Academic Performance Test (CAPT) is administered annually to all students in Grade 10 (about 45,000). The CAPT assesses students in the areas of mathematics, science, and interdisciplinary writing and reading. Students who meet or exceed these standards receive certification of mastery on their high school transcripts. Students who do not meet the standards in one or more areas may voluntarily retake those portions of the test in Grade 11 and/or Grade 12.

| <i>Program Measure</i> | 2009-2010 Actual | 2010-2011 Estimated | 2011-2012 Projected | 2012-2013 Projected |
|--------------------------------|---------------------|------------------------|------------------------|------------------------|
| BASIC SCHOOL PROGRAM | | | | |
| ECS Grant per Need Pupil (\$): | 3,417 | 3,443 | 3,469 | 3,469 |

Personnel Summary

| | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|-----------------------|------------------|---------------|---------------|--------------|------------------|--------------------|------------------|--------------------|
| | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| General Fund | 47 | 10 | -9 | 48 | 48 | 48 | 48 | 48 |
| Federal Contributions | 1 | 0 | 0 | 1 | 1 | 1 | 1 | 1 |

Other Positions Equated to Full Time

| | 2009-2010 <u>Actual</u> | 2010-2011 <u>Estimated</u> | 2011-2012 <u>Requested</u> | 2011-2012 <u>Recommended</u> | 2012-2013 <u>Requested</u> | 2012-2013 <u>Recommended</u> |
|--------------|----------------------------|-------------------------------|-------------------------------|---------------------------------|-------------------------------|---------------------------------|
| General Fund | 0 | 1 | 0 | 0 | 0 | 0 |

Financial Summary

| | 2009-2010 <u>Actual</u> | 2010-2011 <u>Estimated</u> | 2011-2012 <u>Requested</u> | Current <u>Services</u> | 2011-2012 <u>Recommended</u> | 2012-2013 <u>Requested</u> | Current <u>Services</u> | 2012-2013 <u>Recommended</u> |
|---|----------------------------|-------------------------------|-------------------------------|----------------------------|---------------------------------|-------------------------------|----------------------------|---------------------------------|
| (Net of Reimbursements) | | | | | | | | |
| Personal Services | 2,608,035 | 2,749,086 | 3,036,637 | 3,010,851 | 2,909,534 | 2,978,184 | 2,945,872 | 2,846,960 |
| Other Expenses | 49,861 | 47,674 | 48,866 | 48,866 | 47,674 | 50,381 | 50,381 | 47,674 |
| <u>Other Current Expenses</u> | | | | | | | | |
| Early Childhood Program | 89,414 | 96,000 | 101,164 | 95,411 | 95,403 | 100,008 | 90,645 | 90,627 |
| Develop of Mastery Exams Grades 4,6&8 | 9,041,583 | 18,409,575 | 19,136,843 | 19,136,843 | 18,717,416 | 19,637,221 | 19,637,221 | 18,683,621 |
| Admin - Youth Service Bureaus | 8,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Longitudinal Data Systems | 129,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| School Accountability | 446,589 | 194,967 | 300,134 | 284,771 | 279,751 | 291,683 | 277,155 | 266,374 |
| <u>Pmts to Other Than Local Governments</u> | | | | | | | | |
| Health Foods Initiative | 0 | 3,512,146 | 3,701,801 | 3,701,801 | 3,613,997 | 3,816,558 | 3,816,558 | 3,613,997 |
| <u>Pmts to Local Governments</u> | | | | | | | | |
| Transportation of School Children | 28,728,720 | 28,649,720 | 88,748,594 | 88,748,594 | 25,784,748 | 94,144,509 | 94,144,509 | 24,884,748 |
| Health Serv for Pupils Private Schools | 4,755,000 | 4,277,500 | 7,347,258 | 7,347,258 | 4,297,500 | 7,857,892 | 7,857,892 | 4,297,500 |
| Education Equalization Grants | 1,788,070,477 | 1,889,609,057 | 1,889,609,057 | 1,889,609,057 | 1,889,609,057 | 1,889,609,057 | 1,889,609,057 | 1,889,609,057 |
| Non-Public School Transportation | 3,995,000 | 3,995,000 | 5,379,762 | 5,379,762 | 3,595,500 | 5,573,971 | 5,573,971 | 3,595,500 |
| Magnet Schools | 893,732 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL-General Fund | 1,838,815,411 | 1,951,540,725 | 2,017,410,116 | 2,017,363,214 | 1,948,950,580 | 2,024,059,464 | 2,024,003,261 | 1,947,936,058 |
| <u>Additional Funds Available</u> | | | | | | | | |
| Private Funds | 366,320 | 364,000 | 375,000 | 375,000 | 375,000 | 386,000 | 386,000 | 386,000 |

Federal Contributions

| | | | | | | | | |
|---|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| 84010 Title I Grants to Local Educational Agencies | 72,469 | 72,000 | 74,000 | 74,000 | 74,000 | 76,000 | 76,000 | 76,000 |
| 84027 Special Education Grants to States | 956,853 | 947,000 | 975,000 | 975,000 | 975,000 | 1,004,000 | 1,004,000 | 1,004,000 |
| 84368 Enhanced Assessment | 117,827 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84369 Grants for State Assessments & Related Activities | 1,922,734 | 1,904,000 | 1,961,000 | 1,961,000 | 1,961,000 | 2,020,000 | 2,020,000 | 2,020,000 |
| 84372 Statewide Data Systems | 888,071 | 879,000 | 905,000 | 905,000 | 905,000 | 932,000 | 932,000 | 932,000 |
| 84397 ARRA Stabilization - Gov Serv | 2,830,768 | 4,076,000 | 815,000 | 815,000 | 815,000 | 0 | 0 | 0 |
| 84410 Education Jobs Fund | 0 | 110,486,654 | 0 | 0 | 0 | 0 | 0 | 0 |
| 99125 EDEN Task Order | 32,971 | 50,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 99125 NAEP | 193,495 | 192,000 | 198,000 | 198,000 | 198,000 | 204,000 | 204,000 | 204,000 |
| 99125 NCES (Nat'l Coop Education Stats Sys) | -14,172 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - All Funds | 1,846,182,747 | 2,070,511,379 | 2,022,713,116 | 2,022,666,214 | 1,954,253,580 | 2,028,681,464 | 2,028,625,261 | 1,952,558,058 |

Basic School Program**DEDICATED SPECIAL EDUCATION RESOURCES****Statutory Reference**

C.G.S. Sections 10-76a through 10-76s, 10-253 and 10-262f through 10-262j.

Statement of Need and Program Objectives:

To assure that each eligible child with a disability receives a free, appropriate public education. The state provides training and technical assistance to localities, conducts oversight activities to ensure compliance with federal and state requirements and distributes grants to support local special education and support services for students with disabilities.

Program Description

Towns receive reimbursement for special education costs through the Excess Cost grant and through funding from the federal Individuals with Disabilities Education Act. For extraordinary special education costs, towns are paid on a current basis.

For the most current year of which audited data is available (2008-09), a total of \$1.57 billion was spent on the provision of special education and related services to students with disabilities. This represents 20.7 percent of the total expenditures for education.

| <i>Program Measure</i> | 2009-2010 Actual | 2010-2011 Estimated | 2011-2012 Projected | 2012-2013 Projected |
|---|---------------------|------------------------|------------------------|------------------------|
| DEDICATED SPECIAL EDUCATION RESOURCES | | | 0 | 0 |
| Special Education - Percentage of all Students | 11.5 | 11.5 | 11.5 | 11.4 |
| Percentage of Special Education Students being served in regular classrooms | 75 | 75 | 75 | 75 |

Personnel Summary

| | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|-------------------------------|------------------|--------|-----------|-----------|-----------|-------------|-----------|-------------|
| | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Permanent Full-Time Positions | | | | | | | | |
| General Fund | 1 | 1 | 0 | 2 | 2 | 35 | 2 | 35 |
| Federal Contributions | 38 | 6 | 0 | 44 | 44 | 44 | 44 | 44 |

Financial Summary

| (Net of Reimbursements) | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|--|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| Personal Services | 66,192 | 70,343 | 1 | 200,606 | 193,855 | 0 | 197,857 | 191,213 |
| Other Expenses | 19,308 | 18,462 | 18,924 | 18,924 | 18,462 | 19,511 | 19,511 | 18,462 |
| <i>Other Current Expenses</i> | | | | | | | | |
| Educ Aid Blind/Visually Handicap Child | 0 | 0 | 0 | 0 | 4,839,899 | 0 | 0 | 4,821,904 |
| Resource Equity Assessment | 91,250 | 283,654 | 304,471 | 304,471 | 301,980 | 305,341 | 305,341 | 299,683 |
| <i>Pmts to Local Governments</i> | | | | | | | | |
| Education Equalization Grants | 95,787,935 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Excess Cost - Student Based | 120,505,117 | 120,491,451 | 169,852,265 | 169,852,265 | 139,805,731 | 183,372,505 | 183,372,505 | 139,805,731 |
| TOTAL-General Fund | 216,469,802 | 120,863,910 | 170,175,661 | 170,376,266 | 145,159,927 | 183,697,357 | 183,895,214 | 145,136,993 |
| <i>Additional Funds Available</i> | | | | | | | | |
| Private Funds | 70 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Federal Contributions | | | | | | | | |
| 10560 State Admin Expenses Child Nutrition | 1,994 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 |
| 84027 Special Education Grants to States | 130,272,451 | 128,970,000 | 132,839,000 | 132,839,000 | 132,839,000 | 136,824,000 | 136,824,000 | 136,824,000 |

Budget-in-Detail

| | | | | | | | | |
|--|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
| 84126 Rehabilitation Services Vocational | 23,601 | 23,000 | 24,000 | 24,000 | 24,000 | 25,000 | 25,000 | 25,000 |
| 84173 Special Education Preschool Grants | 4,681,238 | 4,622,000 | 4,761,000 | 4,761,000 | 4,761,000 | 4,904,000 | 4,904,000 | 4,904,000 |
| 84224 Assistive Technology | 21,000 | 21,000 | 22,000 | 22,000 | 22,000 | 23,000 | 23,000 | 23,000 |
| 84323 Special Education - State Personnel Development | 1,053,852 | 1,043,000 | 1,074,000 | 1,074,000 | 1,074,000 | 1,106,000 | 1,106,000 | 1,106,000 |
| 84337 International Education Technological | 26,000 | 10,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84357 Reading First State Grants | 48,219 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84391 Special Education Grants to States, Recovery Act | 74,626,695 | 107,462,000 | 21,492,000 | 21,492,000 | 21,492,000 | 0 | 0 | 0 |
| 84392 ARRA IDEA Part B Preschool | 3,165,585 | 4,558,000 | 912,000 | 912,000 | 912,000 | 0 | 0 | 0 |
| 93110 Maternal & Child Health | 20,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93576 Refugee & Entrant Assistance Grants | 171,875 | 170,000 | 175,000 | 175,000 | 175,000 | 180,000 | 180,000 | 180,000 |
| 99125 NAEP | 17,368 | 17,000 | 18,000 | 18,000 | 18,000 | 19,000 | 19,000 | 19,000 |
| TOTAL - All Funds | 430,599,750 | 367,761,910 | 331,494,661 | 331,695,266 | 306,478,927 | 326,780,357 | 326,978,214 | 288,219,993 |
| Special Education | | | | | | | | |

EQUAL EDUCATIONAL OPPORTUNITY

Statutory Reference

C.G.S. Sections 10-215, 10-215a through 10-215c, 10-266w; 10-74c, 10-76t through 10-76x; 10-17a through 10-17j; 10-4 and 10-4a, 10-265f, 10-265g, 10-266p through 10-266u; 10-74d, 10-266aa, 10-266bb, 10-276b; 10-264l; 10-16n; 10-40; 10-66aa; 10-16x; 10-16o through 10-16u; 10-19m through 10-19p; 10-66a through 10-66n; 10-245, 10-245a and 10-215b.

Statement of Need and Program Objectives:

To provide quality early childhood programs, particularly when families cannot afford preschool programs; and to ensure that young mothers and fathers have access to a suitable educational program while fulfilling their obligations to their young children. To increase learning capability by providing at least one balanced meal per day to those students who would not otherwise receive adequate daily nutrition. To improve students' English proficiency and to assist local school districts with the extra costs incurred when serving students who have limited English proficiency. To ensure that the state's public school instructional program is adequate to enable students to meet expectations as defined by state and national measures. To meet the requirements of the Stipulated Agreement under *Sheff v. O'Neill*.

In order to improve the effectiveness of teachers and teaching, the state continually reviews and revises the certification and beginning teacher induction process to ensure that prospective teachers have requisite job-related competencies.

Program Description

Nutrition Programs provide healthy breakfasts, lunches and/or snacks to children in a number of ways. Variable prices allow children from low-income families to purchase reduced-price meals or receive free meals.

School Readiness Programs for Children 3 to 5 provide early education and care to eligible children. The Department of Education and Department of Social Services issue grants to increase slot availability in priority school districts and in some towns with either a priority school or low wealth ranking. The department also funds enhanced quality in participating grant programs.

The Young Parents Program allows teenage parents to continue their education, including courses on parenting skills, while providing school-based day care for their children.

Priority School District Grants help school districts with the greatest demonstrated need to improve student academic achievement and enhance educational opportunities.

Bilingual Education Grants are distributed to local school districts in recognition of the extra costs associated with educating pupils with language barriers. Districts required to offer bilingual education are those with 20 or more pupils in a given school from a single non-English speaking background.

Interdistrict Grant Programs provide funding for interdistrict programs that increase student achievement and reduce racial, ethnic and economic isolation while also promoting a greater understanding and appreciation of cultural diversity. It is a highly successful program, with more than 58,353 students participating in the 2009-10 school year.

Interdistrict Magnet School Grants are distributed to magnet schools which support racial, ethnic and economic diversity and offer a unique, high-quality curriculum.

| Program Measure | 2009-2010 Actual | 2010-2011 Estimated | 2011-2012 Projected | 2012-2013 Projected |
|---|---------------------|------------------------|------------------------|------------------------|
| EQUAL EDUCATION OPPORTUNITY | | | 0 | 0 |
| Students to be served in Interdistrict programs | 25,900 | 29,350 | 30,016 | 32,922 |
| Preschool slot availability in Priority School District Towns: | | | 0 | 0 |
| Number of state-funded slots (19 PSD) | 9,751 | 9,751 | 9,751 | 9,751 |
| Child Nutrition: | | | 0 | 0 |
| Number of Schools Participating in the National School Breakfast Program in the state | 655 | 675 | 700 | 725 |
| Percent of total lunches served at free and reduced rates statewide | 48 | 48 | 48 | 48 |

Personnel Summary

| | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|--------------------------------------|------------------|---------------|---------------|--------------|------------------|--------------------|------------------|--------------------|
| <i>Permanent Full-Time Positions</i> | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| General Fund | 38 | 9 | -9 | 38 | 38 | 39 | 38 | 39 |
| Federal Contributions | 23 | 6 | 0 | 29 | 31 | 31 | 31 | 31 |

Financial Summary

| | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|--------------------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| (Net of Reimbursements) | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| Personal Services | 2,356,780 | 2,509,089 | 2,542,559 | 2,520,968 | 2,436,136 | 2,482,188 | 2,455,258 | 2,372,819 |
| Other Expenses | 14,307 | 18,115 | 18,568 | 18,568 | 18,115 | 19,144 | 19,144 | 18,115 |

Other Current Expenses

| | | | | | | | | |
|---|-----------|------------|-----------|-----------|------------|-----------|-----------|------------|
| Early Childhood Program | 4,842,863 | 4,911,354 | 5,227,190 | 4,929,933 | 4,929,503 | 5,442,346 | 4,932,838 | 4,931,862 |
| Admin - Magnet Schools | 204,672 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Admin - Adult Basic Education | 140 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Develop of Mastery Exams Grades 4,6&8 | 279,398 | 285,092 | 300,082 | 300,082 | 293,505 | 288,767 | 288,767 | 274,744 |
| Admin - Interdistrict Cooperative Program | 139,243 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Primary Mental Health | 448,132 | 507,294 | 519,976 | 519,976 | 507,294 | 536,095 | 536,095 | 507,294 |
| Readers as Leaders | 57,000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| School Accountability | 1,031,393 | 1,569,273 | 1,608,505 | 1,526,169 | 1,499,266 | 1,658,369 | 1,575,768 | 1,514,474 |
| Sheff Settlement | 5,061,193 | 26,572,131 | 8,573,311 | 8,573,311 | 8,573,311 | 9,614,758 | 9,614,758 | 9,614,758 |
| Admin - After School Program | 76,260 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Community Plans for Early Childhood | 0 | 427,500 | 438,188 | 438,188 | 450,000 | 451,772 | 451,772 | 450,000 |
| Improving Early Literacy | 0 | 150,000 | 153,750 | 153,750 | 150,000 | 158,516 | 158,516 | 150,000 |
| Parent Trust | 0 | 500,000 | 500,000 | 500,000 | 500,000 | 500,000 | 500,000 | 500,000 |
| Child Care Services | 0 | 0 | 0 | 0 | 18,422,653 | 0 | 0 | 18,419,752 |

Pmts to Other Than Local Governments

| | | | | | | | | |
|--|------------|------------|------------|------------|------------|------------|------------|------------|
| American School for the Deaf | 9,480,242 | 9,480,242 | 9,768,242 | 9,768,242 | 9,768,242 | 10,264,242 | 10,264,242 | 10,264,242 |
| Regional Education Services | 1,720,254 | 1,384,613 | 1,419,228 | 1,419,228 | 1,434,613 | 1,463,224 | 1,463,224 | 1,384,613 |
| Omnibus Education Grants State Support | 6,558,117 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Head Start Services | 2,610,742 | 2,748,150 | 2,816,854 | 2,816,854 | 2,748,150 | 2,904,176 | 2,904,176 | 2,748,150 |
| Head Start Enhancement | 1,684,349 | 1,773,000 | 1,817,325 | 1,817,325 | 1,773,000 | 1,873,662 | 1,873,662 | 1,773,000 |
| Family Resource Centers | 5,739,238 | 6,041,488 | 6,192,525 | 6,192,525 | 6,041,488 | 6,384,493 | 6,384,493 | 6,041,488 |
| Charter Schools | 48,081,000 | 53,047,200 | 56,460,300 | 56,460,300 | 56,460,300 | 59,994,300 | 59,994,300 | 59,994,300 |
| Youth Service Bureau Enhancement | 620,300 | 625,000 | 620,300 | 620,300 | 620,300 | 620,300 | 620,300 | 620,300 |
| Head Start - Early Childhood Link | 2,090,000 | 2,090,000 | 2,142,250 | 2,142,250 | 2,090,000 | 2,208,660 | 2,208,660 | 2,090,000 |
| Institutional Student Aid | 0 | 882,000 | 904,050 | 904,050 | 882,000 | 932,076 | 932,076 | 882,000 |
| Child Nutrition State Match | 0 | 2,354,000 | 2,354,000 | 2,354,000 | 2,354,000 | 2,354,000 | 2,354,000 | 2,354,000 |

Pmts to Local Governments

| | | | | | | | | |
|--------------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| Education Equalization Grants | 85,354 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Bilingual Education | 2,113,153 | 1,916,130 | 1,964,033 | 1,964,033 | 1,916,130 | 2,024,918 | 2,024,918 | 1,916,130 |
| Priority School Districts | 115,509,156 | 117,237,188 | 118,534,657 | 118,534,657 | 112,595,033 | 120,432,947 | 120,432,947 | 112,121,287 |
| Young Parents Program | 165,394 | 229,330 | 235,063 | 235,063 | 229,330 | 242,350 | 242,350 | 229,330 |
| Interdistrict Cooperation | 13,989,781 | 11,127,369 | 11,411,575 | 11,411,575 | 11,136,173 | 11,407,337 | 11,407,337 | 11,131,935 |
| School Breakfast Program | 1,611,349 | 1,634,103 | 1,674,956 | 1,674,956 | 1,634,103 | 1,726,880 | 1,726,880 | 1,634,103 |
| Excess Cost - Student Based | 19,316,240 | 19,314,280 | 0 | 0 | 0 | 0 | 0 | 0 |
| Youth Service Bureaus | 2,887,490 | 2,947,268 | 3,020,950 | 3,020,950 | 2,947,268 | 3,114,599 | 3,114,599 | 2,947,268 |
| OPEN Choice Program | 14,948,868 | 14,465,002 | 21,113,611 | 19,839,066 | 19,839,066 | 23,619,941 | 22,090,956 | 22,090,956 |
| Magnet Schools | 154,139,178 | 174,131,395 | 216,617,338 | 215,855,338 | 215,855,338 | 236,989,401 | 235,564,251 | 235,564,251 |
| After School Program | 4,700,066 | 4,500,000 | 4,612,500 | 4,612,500 | 4,500,000 | 4,755,487 | 4,755,487 | 4,500,000 |
| School Readiness Quality Enhancement | 0 | 0 | 0 | 0 | 1,158,608 | 0 | 0 | 1,158,608 |
| TOTAL-General Fund | 422,561,652 | 465,377,606 | 483,561,886 | 481,124,157 | 493,762,925 | 514,464,948 | 510,891,774 | 520,199,779 |

Additional Funds Available

| | | | | | | | | |
|---------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Private Funds | 1,160,932 | 1,720,500 | 1,754,500 | 1,754,500 | 1,754,500 | 1,789,500 | 1,789,500 | 1,789,500 |
|---------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|

Federal Contributions

| | | | | | | | | |
|---|------------|------------|------------|------------|------------|------------|------------|------------|
| 10553 School Breakfast Program | 19,882,080 | 19,683,000 | 20,274,000 | 20,274,000 | 20,274,000 | 20,883,000 | 20,883,000 | 20,883,000 |
| 10555 National School Lunch Program | 75,232,441 | 74,480,000 | 76,714,000 | 76,714,000 | 76,714,000 | 79,015,000 | 79,015,000 | 79,015,000 |
| 10556 Special Milk Program for Children | 282,271 | 279,000 | 287,000 | 287,000 | 287,000 | 296,000 | 296,000 | 296,000 |
| 10558 Child & Adult Care Food Program | 14,150,043 | 14,009,000 | 14,429,000 | 14,429,000 | 14,429,000 | 14,862,000 | 14,862,000 | 14,862,000 |
| 10559 Summer Food Svc Pgm for Children | 1,623,567 | 1,608,000 | 1,656,000 | 1,656,000 | 1,656,000 | 1,705,000 | 1,705,000 | 1,705,000 |

Budget-in-Detail

| | | | | | | | | |
|---|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| 10560 State Admin Expenses Child Nutrition | 965,964 | 956,000 | 985,000 | 985,000 | 985,000 | 1,015,000 | 1,015,000 | 1,015,000 |
| 10574 Team Nutrition Grants | 49,050 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10579 Child Nutrition Discretionary Grants | 785,875 | 1,132,000 | 226,000 | 226,000 | 226,000 | 0 | 0 | 0 |
| 84002 Adult Education State Grant Program | 6,247 | 6,000 | 6,000 | 6,000 | 6,000 | 6,000 | 6,000 | 6,000 |
| 84010 Title I Grants to Local Educational Agencies | 120,737,314 | 119,530,000 | 123,116,000 | 123,116,000 | 123,116,000 | 126,809,000 | 126,809,000 | 126,809,000 |
| 84013 Title I Pgm Neglected / Delinquent Children | 964,150 | 955,000 | 984,000 | 984,000 | 984,000 | 1,014,000 | 1,014,000 | 1,014,000 |
| 84027 Special Education Grants to States | 3,056,148 | 3,026,000 | 3,117,000 | 3,117,000 | 3,117,000 | 3,211,000 | 3,211,000 | 3,211,000 |
| 84048 Vocational Education Basic Grants to States | 13 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84181 Special Education Grants for Infants | 84,926 | 84,000 | 87,000 | 87,000 | 87,000 | 90,000 | 90,000 | 90,000 |
| 84185 Byrd Honors Scholarships | 456,000 | 451,000 | 465,000 | 465,000 | 465,000 | 479,000 | 479,000 | 479,000 |
| 84186 Safe & Drug-Free Schools & Communities | 157,345 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84196 Education for Homeless Children & Youth | 287,943 | 285,000 | 294,000 | 294,000 | 294,000 | 303,000 | 303,000 | 303,000 |
| 84213 Even Start State Educational Agencies | 449,248 | 445,000 | 458,000 | 458,000 | 458,000 | 472,000 | 472,000 | 472,000 |
| 84282 Charter Schools | 589,416 | 1,440,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84287 Twenty-First Century Community Learning | 106,679 | 106,000 | 109,000 | 109,000 | 109,000 | 112,000 | 112,000 | 112,000 |
| 84318 Education Technology State Grants | 2,318,036 | 2,295,000 | 2,364,000 | 2,364,000 | 2,364,000 | 2,435,000 | 2,435,000 | 2,435,000 |
| 84330 Advanced Placement Program | 1,114,527 | 1,104,000 | 1,137,000 | 1,137,000 | 1,137,000 | 1,171,000 | 1,171,000 | 1,171,000 |
| 84357 Reading First State Grants | 2,704,824 | 300,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84365 English Language Acquisition Grants | 5,666,817 | 5,610,000 | 5,778,000 | 5,778,000 | 5,778,000 | 5,951,000 | 5,951,000 | 5,951,000 |
| 84366 Mathematics & Science Partnerships | 10,986 | 11,000 | 11,000 | 11,000 | 11,000 | 11,000 | 11,000 | 11,000 |
| 84367 Improving Teacher Quality State Grants | 8,363 | 8,000 | 8,000 | 8,000 | 8,000 | 8,000 | 8,000 | 8,000 |
| 84369 Grants for State Assessments & Related Activities | 68,792 | 68,000 | 70,000 | 70,000 | 70,000 | 72,000 | 72,000 | 72,000 |
| 84377 School Improvement Grants | 1,492,119 | 1,477,000 | 1,521,000 | 1,521,000 | 1,521,000 | 1,567,000 | 1,567,000 | 1,567,000 |
| 84386 ARRA Education Technology | 1,843,897 | 2,655,000 | 531,000 | 531,000 | 531,000 | 0 | 0 | 0 |
| 84387 ARRA McKinney-Vento Homeless Child & Youth | 333,021 | 480,000 | 96,000 | 96,000 | 96,000 | 0 | 0 | 0 |
| 84389 ARRA Title I Grants to LEAs | 45,301,120 | 65,234,000 | 20,875,000 | 20,875,000 | 20,875,000 | 0 | 0 | 0 |
| 93069 Public Health Emergency Preparedness | 23,927 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93630 Developmental Disabilities Basic Support | 0 | 3,000 | 3,000 | 3,000 | 3,000 | 3,000 | 3,000 | 3,000 |
| 93667 Social Services Block Grant | 0 | 0 | 0 | 0 | 15,697,930 | 0 | 0 | 15,697,930 |
| 93938 Coop Agree-School Health Pgm | 335,706 | 332,000 | 342,000 | 342,000 | 342,000 | 352,000 | 352,000 | 352,000 |
| 94004 Learn & Serve America School & Community Pgm | 163,778 | 162,000 | 167,000 | 167,000 | 167,000 | 172,000 | 172,000 | 172,000 |
| TOTAL - All Funds | 724,975,217 | 785,312,106 | 761,426,386 | 758,988,657 | 787,325,355 | 778,268,448 | 774,695,274 | 799,701,209 |

Equal Education Opportunity

VOCATIONAL TRAINING AND JOB PREPARATION

Statutory Reference

C. G. S. Sections 10-5, 10-67 through 10-73c, 10-64 through 10-66; Sections 10-20a through 10-20f.

Statement of Need and Program Objectives:

To increase employability skills and opportunities to gain a Connecticut Career Certificate in the eight identified occupational cluster areas for youth and adults. To provide a vocational course of study for those who need and desire opportunities to be skill-trained or retrained. To reduce unemployment rates by targeting supportive services to populations most in need of them. To increase the literacy levels of the adult population by ensuring accessibility to high-quality adult education programs, including secondary school completion programs, family literacy, citizenship, English as a Second Language, and workplace programs. To increase the number of

Connecticut adults who have the basic and employability skills for economic self-sufficiency.

Program Description

The School-to-Career Program gives students the academic, technical and employability skills needed for success in higher education and the workplace. It allows students to explore a range of careers and acquire specific knowledge or experience in one of eight career cluster areas. Since the inception of the Connecticut Career Certificate program in 2002, 4,294 high school senior graduates have received this award across all cluster areas.

Career and Technical Education programs offered by local and regional boards of education and the community technical colleges under the Carl D. Perkins Vocational and Technical Education Act prepare students with skills needed to enter the labor market upon

graduation or continue in postsecondary education. Programs measure attainment of academic and vocational skills.

The State Vocational Agriculture Grant The State assists local or regional school districts operating a vocational agriculture center through reimbursements of about \$1,400 to \$1,700 per agriculture student.

Local School District Adult Education classes and activities, supported by federal, state and local funds, provide learning opportunities in citizenship, English for the limited English proficient and elementary and secondary school completion. State grants, based on relative town wealth, range from 0 to 65 percent of annual expenditures. Annually, over 31,000 individuals statewide enroll in about 74,000 mandated adult education classes.

High School Diplomas are awarded to those adults who demonstrate their competence in a variety of subject areas on the General Educational Development (GED) examination to a level considered equivalent to that of a high school graduate. High school diplomas are also awarded to adults who complete a high school credit diploma program or an external diploma program. Annually, over 3,000 individuals are awarded State High School Diplomas for passing the General Educational Development (GED) Tests. Local adult education diplomas are awarded to nearly 1,900 individuals for completing the Adult High School Credit Diploma program and to around 250 individuals for completing the National External Diploma Program.

| Personnel Summary | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|---|------------------|------------|------------|------------|-------------|-------------|------------|-------------|
| | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| <i>Permanent Full-Time Positions</i> | | | | | | | | |
| General Fund | 8 | 0 | 0 | 8 | 8 | 8 | 8 | 8 |
| Federal Contributions | 10 | 0 | 0 | 10 | 10 | 10 | 10 | 10 |
| Financial Summary | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
| (Net of Reimbursements) | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| Personal Services | 449,023 | 477,184 | 484,300 | 480,187 | 464,029 | 470,774 | 465,666 | 450,031 |
| Other Expenses | 2,280 | 2,180 | 2,234 | 2,234 | 2,180 | 2,303 | 2,303 | 2,180 |
| <u>Other Current Expenses</u> | | | | | | | | |
| Admin - Adult Basic Education | 753,691 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Adult Education Action | 236,985 | 240,687 | 246,704 | 246,704 | 240,687 | 254,352 | 254,352 | 240,687 |
| <u>Pmts to Local Governments</u> | | | | | | | | |
| Vocational Agriculture | 4,560,565 | 4,560,565 | 4,674,579 | 4,674,579 | 4,560,565 | 4,819,491 | 4,819,491 | 4,560,565 |
| Adult Education | 19,564,652 | 20,594,371 | 21,934,182 | 21,934,182 | 20,612,980 | 22,614,142 | 22,614,142 | 20,605,690 |
| School to Work Opportunities | 213,750 | 213,750 | 219,094 | 219,094 | 213,750 | 225,886 | 225,886 | 213,750 |
| TOTAL-General Fund | 25,780,946 | 26,088,737 | 27,561,093 | 27,556,980 | 26,094,191 | 28,386,948 | 28,381,840 | 26,072,903 |
| <u>Additional Funds Available</u> | | | | | | | | |
| Private Funds | 536,946 | 532,000 | 548,000 | 548,000 | 548,000 | 564,000 | 564,000 | 564,000 |
| Federal Contributions | | | | | | | | |
| 84002 Adult Education State Grant Program | 7,019,658 | 6,949,000 | 7,157,000 | 7,157,000 | 7,157,000 | 7,372,000 | 7,372,000 | 7,372,000 |
| 84048 Vocational Education Basic Grants to States | 11,081,951 | 10,971,000 | 11,300,000 | 11,300,000 | 11,300,000 | 11,639,000 | 11,639,000 | 11,639,000 |
| 84173 Special Education Preschool Grants | -11,751 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84243 Tech-Prep Education | 465,033 | 18,000 | 19,000 | 19,000 | 19,000 | 20,000 | 20,000 | 20,000 |
| TOTAL - All Funds | 44,872,783 | 44,558,737 | 46,585,093 | 46,580,980 | 45,118,191 | 47,981,948 | 47,976,840 | 45,667,903 |
| Vocational Training and Job Preparation | | | | | | | | |

CONNECTICUT TECHNICAL HIGH SCHOOLS

Statutory Reference

C. G. S. Sections 10-15d, and 10-95 through 10-99.

Statement of Need and Program Objectives:

The Connecticut Technical High School System (CTHSS) is committed to providing quality and challenging academic and technical programs. Its mission is to ensure that students are successful in the workplace, take advantage of postsecondary educational opportunities, and secure advanced apprenticeship training that prepare them for the 21st century workplace. Therefore, the CTHSS has developed a challenging program of study for each of the 37 technical programs (secondary and adult). These areas include: construction, manufacturing, electronics, information technology, culinary arts, health tech, and other service areas. Each program of study meets or exceeds state-mandated graduation requirements

and prepares students to demonstrate the knowledge and skills that they require as reflected in Connecticut's Common Core of Learning.

Program Description

The Connecticut Technical High School System (CTHSS) is the seventh largest school district in the state of Connecticut. The 16 schools serve 10,484 high school students of which 45 percent are racially diverse and 37 percent are female. The high school experience includes extracurricular activities, including National Honor Society, male and female varsity sports and numerous clubs and community service organizations. About 44 percent of CTHSS graduates go on to higher education and another 47 percent are employed or in the military. The system serves an additional 3,750 adult students in evening courses that lead to apprenticeships and trade and technology skills.

Budget-in-Detail

| <i>Program Measure</i> | 2009-2010 Actual | 2010-2011 Estimated | 2011-2012 Projected | 2012-2013 Projected |
|------------------------------|---------------------|------------------------|------------------------|------------------------|
| VOCATIONAL-TECHNICAL SCHOOLS | | | | |
| Percent Continuing Education | 44 | 44 | 44 | 44 |
| Percent Employment/Military | 47 | 47 | 47 | 47 |

Personnel Summary

| | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|--------------------------------------|------------------|---------------|---------------|--------------|------------------|--------------------|------------------|--------------------|
| <i>Permanent Full-Time Positions</i> | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| General Fund | 1,404 | 125 | -124 | 1,405 | 0 | 1,410 | 0 | 1,412 |
| Bond Funds | 2 | 0 | 0 | 2 | 0 | 0 | 0 | 0 |
| Federal Contributions | 36 | 1 | 0 | 37 | 0 | 37 | 0 | 37 |

Financial Summary

| | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|--|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| (Net of Reimbursements) | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| Personal Services | 113,303,456 | 121,366,801 | 0 | 0 | 103,060,515 | 0 | 0 | 69,576,029 |
| Other Expenses | 16,297,332 | 15,679,615 | 0 | 0 | 12,497,656 | 0 | 0 | 8,445,788 |
| <u>Capital Outlay</u> | | | | | | | | |
| Equipment | 198,039 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| <u>Other Current Expenses</u> | | | | | | | | |
| Vocational Technical School Textbooks | 473,040 | 500,000 | 0 | 0 | 385,031 | 0 | 0 | 269,330 |
| Repair of Instructional Equipment | 172,820 | 232,386 | 0 | 0 | 178,951 | 0 | 0 | 125,176 |
| Minor Repairs to Plant | 308,002 | 370,702 | 0 | 0 | 285,463 | 0 | 0 | 199,682 |
| Insurance Recovery Allotments | 677 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| <u>Pmts to Local Governments</u> | | | | | | | | |
| Technical High Schools | 0 | 0 | 0 | 0 | 34,385,798 | 0 | 0 | 66,261,040 |
| TOTAL-General Fund | 130,753,366 | 138,149,505 | 0 | 0 | 150,793,414 | 0 | 0 | 144,877,045 |
| <u>Additional Funds Available</u> | | | | | | | | |
| Bond Funds | 2,672,304 | 2,260,769 | 0 | 0 | 3,504,000 | 0 | 0 | 3,518,000 |
| Private Funds | 9,708,441 | 9,612,000 | 0 | 0 | 9,900,000 | 0 | 0 | 10,197,000 |
| Federal Contributions | | | | | | | | |
| 10555 National School Lunch Program | 1,589,820 | 1,574,000 | 0 | 0 | 1,621,000 | 0 | 0 | 1,670,000 |
| 10579 Child Nutrition Discretionary Grants | 50,268 | 72,000 | 0 | 0 | 14,000 | 0 | 0 | 0 |
| 84010 Title I Grants to Local Educational Agencies | 3,044,872 | 3,014,000 | 0 | 0 | 3,104,000 | 0 | 0 | 3,197,000 |
| 84027 Special Education Grants to States | 2,288,700 | 2,266,000 | 0 | 0 | 2,334,000 | 0 | 0 | 2,404,000 |
| 84048 Vocational Education Basic Grants to States | 350,737 | 90,195 | 0 | 0 | 93,000 | 0 | 0 | 96,000 |
| 84063 Federal Pell Grant Program | 436,671 | 432,000 | 0 | 0 | 445,000 | 0 | 0 | 458,000 |
| 84186 Safe & Drug-Free Schools & Communities | 4,378 | 4,000 | 0 | 0 | 4,000 | 0 | 0 | 4,000 |
| 84215 Fund for the Improvement of Education | 205,840 | 125,000 | 0 | 0 | 129,000 | 0 | 0 | 133,000 |
| 84298 Innovative Education Program Strategies | 5,798 | 6,000 | 0 | 0 | 6,000 | 0 | 0 | 6,000 |
| 84318 Education Technology State Grants | 10,893 | 10,000 | 0 | 0 | 10,000 | 0 | 0 | 10,000 |
| 84337 International Education Technological | 47,309 | 47,000 | 0 | 0 | 48,000 | 0 | 0 | 49,000 |
| 84365 English Language Acquisition Grants | 29,664 | 29,000 | 0 | 0 | 30,000 | 0 | 0 | 31,000 |
| 84367 Improving Teacher Quality State Grants | 537,678 | 532,000 | 0 | 0 | 548,000 | 0 | 0 | 564,000 |
| 84386 ARRA Ed Tech - Intra Agency | 119 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84387 ARRA Homeless - Intra Agency | 6,667 | 10,000 | 0 | 0 | 2,000 | 0 | 0 | 0 |
| 84389 ARRA Title I - IntraAgency | 75,645 | 109,000 | 0 | 0 | 22,000 | 0 | 0 | 0 |
| 84391 Special Education Grants to States, Recovery Act | 408,285 | 588,000 | 0 | 0 | 118,000 | 0 | 0 | 0 |
| TOTAL - All Funds | 152,227,455 | 158,930,469 | 0 | 0 | 172,725,414 | 0 | 0 | 167,214,045 |
| CT Tech High School System | | | | | | | | |

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Sections 10-3a, 10-4 and 10-292o.

Statement of Need and Program Objectives:

To promote the State Board of Education's goals and policies efficiently and effectively by providing instructional leadership and a coordinated administrative direction.

Program Description

The primary purpose of this program is to provide for the efficient and effective administration, coordination and supervision of the activities of the Department that have been defined by the State Board of Education through its five-year comprehensive plan for elementary, secondary, vocational, career and adult education. In addition, funds are received for the continuation of major pass-through grants and the American School for the Deaf.

Personnel Summary

| | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|-------------------------------|------------------|---------------|---------------|--------------|------------------|--------------------|------------------|--------------------|
| | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| Permanent Full-Time Positions | | | | | | | | |
| General Fund | 85 | 26 | 69 | 180 | 186 | 174 | 186 | 174 |
| Federal Contributions | 9 | 1 | 0 | 10 | 10 | 10 | 10 | 10 |

Financial Summary

| (Net of Reimbursements) | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|-------------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| Personal Services | 14,678,112 | 15,959,686 | 17,876,313 | 17,724,513 | 17,128,072 | 17,318,431 | 17,130,536 | 16,555,351 |
| Other Expenses | 1,778,097 | 1,707,655 | 1,829,882 | 3,028,483 | 2,985,655 | 1,885,077 | 1,882,790 | 1,785,655 |

Capital Outlay

| | | | | | | | | |
|-----------|---|---|---------|---------|---|---------|---------|---|
| Equipment | 0 | 0 | 405,400 | 405,400 | 1 | 147,200 | 147,200 | 1 |
|-----------|---|---|---------|---------|---|---------|---------|---|

Other Current Expenses

| | | | | | | | | |
|---|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| Admin - Magnet Schools | 225 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Develop of Mastery Exams Grades 4,6&8 | 7,263,970 | 91,997 | 97,936 | 97,936 | 95,790 | 96,900 | 96,900 | 92,194 |
| Admin - Interdistrict Cooperative Program | 265 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Leadership, Educ, Athletics-Partnership | 0 | 0 | 0 | 0 | 765,000 | 0 | 0 | 765,000 |
| Neighborhood Youth Centers | 0 | 0 | 0 | 0 | 1,338,300 | 0 | 0 | 1,338,300 |
| Early Childhood Advisory Cabinet | 177,322 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Longitudinal Data Systems | 1,290,823 | 648,502 | 1,500,000 | 1,500,000 | 1,500,000 | 1,500,000 | 1,500,000 | 1,500,000 |
| School Accountability | 29,704 | 39,044 | 436,977 | 414,610 | 407,301 | 460,515 | 437,578 | 420,557 |
| Sheff Settlement | 153,302 | 90,713 | 691,701 | 691,701 | 691,701 | 679,041 | 679,041 | 679,041 |
| TOTAL-General Fund | 25,371,820 | 18,537,597 | 22,838,209 | 23,862,643 | 24,911,820 | 22,087,164 | 21,874,045 | 23,136,099 |

Additional Funds Available

| | | | | | | | | |
|---------------|--------|--------|--------|--------|--------|--------|--------|--------|
| Private Funds | 56,833 | 57,000 | 58,000 | 58,000 | 58,000 | 59,000 | 59,000 | 59,000 |
|---------------|--------|--------|--------|--------|--------|--------|--------|--------|

Federal Contributions

| | | | | | | | | |
|--|---------|---------|---------|---------|---------|---------|---------|---------|
| 10558 Child & Adult Care Food Program | 12,227 | 12,000 | 12,000 | 12,000 | 12,000 | 12,000 | 12,000 | 12,000 |
| 10559 Summer Food Svc Pgm for Children | 3,924 | 4,000 | 4,000 | 4,000 | 4,000 | 4,000 | 4,000 | 4,000 |
| 10560 State Admin Expenses Child Nutrition | 64,888 | 64,000 | 66,000 | 66,000 | 66,000 | 68,000 | 68,000 | 68,000 |
| 10574 Team Nutrition Grants | 5,882 | 1,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84002 Adult Education State Grant Program | 15,899 | 16,000 | 16,000 | 16,000 | 16,000 | 16,000 | 16,000 | 16,000 |
| 84010 Title I Grants to Local Educational Agencies | 148,549 | 148,000 | 152,000 | 152,000 | 152,000 | 156,000 | 156,000 | 156,000 |
| 84013 Title I Pgm Neglected / Delinquent Children | 1,997 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 |
| 84027 Special Education Grants to States | 628,969 | 623,000 | 642,000 | 642,000 | 642,000 | 661,000 | 661,000 | 661,000 |
| 84048 Vocational Education Basic Grants to States | 92,374 | 91,000 | 94,000 | 94,000 | 94,000 | 97,000 | 97,000 | 97,000 |
| 84126 Rehabilitation Services Vocational | 2,006 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 |
| 84173 Special Education Preschool Grants | 37,705 | 37,000 | 38,000 | 38,000 | 38,000 | 39,000 | 39,000 | 39,000 |
| 84186 Safe & Drug-Free Schools & Communities | 27,323 | 33,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84196 Education for Homeless Children & Youth | 6,061 | 6,000 | 6,000 | 6,000 | 6,000 | 6,000 | 6,000 | 6,000 |
| 84215 Fund for the Improvement of Education | 1,547 | 1,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84243 Tech-Prep Education | -22 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84282 Charter Schools | 279 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84287 Twenty-First Century Community Learning | 13,549 | 13,000 | 13,000 | 13,000 | 13,000 | 13,000 | 13,000 | 13,000 |

Budget-in-Detail

| | | | | | | | | |
|---|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| 84293 Foreign Language Assistance | 150 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84298 Innovative Education Program Strategies | 3,430 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84318 Education Technology State Grants | 15,549 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 |
| 84323 Special Education - State Personnel Development | 15,407 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 |
| 84330 Advanced Placement Program | 3,124 | 3,000 | 3,000 | 3,000 | 3,000 | 3,000 | 3,000 | 3,000 |
| 84337 International Education Technological | 5,410 | 10,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84357 Reading First State Grants | 109,683 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84365 English Language Acquisition Grants | 24,586 | 24,000 | 25,000 | 25,000 | 25,000 | 26,000 | 26,000 | 26,000 |
| 84366 Mathematics & Science Partnerships | 2,408 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 |
| 84367 Improving Teacher Quality State Grants | 228,264 | 227,000 | 234,000 | 234,000 | 234,000 | 241,000 | 241,000 | 241,000 |
| 84368 Enhanced Assessment | 2,125 | 10,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84369 Grants for State Assessments & Related Activities | 2,590,660 | 2,565,000 | 2,642,000 | 2,642,000 | 2,642,000 | 2,721,000 | 2,721,000 | 2,721,000 |
| 84372 Statewide Data Systems | -25,549 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84377 School Improvement Grants | 14,156 | 14,000 | 14,000 | 14,000 | 14,000 | 14,000 | 14,000 | 14,000 |
| 93938 Coop Agree-School Health Pgm | 37,629 | 37,000 | 38,000 | 38,000 | 38,000 | 39,000 | 39,000 | 39,000 |
| 94004 Learn & Serve America School & Community Pgms | 3,287 | 3,000 | 3,000 | 3,000 | 3,000 | 3,000 | 3,000 | 3,000 |
| 99125 EDEN Task Order | 22,731 | 33,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 99125 NAEP | 17,583 | 17,000 | 18,000 | 18,000 | 18,000 | 19,000 | 19,000 | 19,000 |
| 99125 NCES (Nat'l Coop Education Stats Sys) | 2,030 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 | 2,000 |
| TOTAL - All Funds | 29,564,473 | 22,624,597 | 26,954,209 | 27,978,643 | 29,027,820 | 26,322,164 | 26,109,045 | 27,371,099 |

Agency Management Services

TEACHER PREPARATION, PROFESSIONAL AND CURRICULUM DEVELOPMENT

Statutory Reference

C. G. S. Section 10-14m through 10-14r; 10-145 through 10-146c; 10-155 through 10-155gg; 10-220a.

Statement of Need and Program Objectives:

To help districts ensure that high-quality educators are appropriately prepared to teach Connecticut's public school students. To identify educational needs early enough to allow students to receive extra help and, at the same time, to implement desirable changes to curriculum in order to enrich future students' learning.

Program Description

The state continually reviews and revises the teacher preparation approval and certification process to ensure that prospective

teachers have requisite job-related competencies, subject knowledge and teaching skills under the new teacher certification requirements. Additionally, the state is changing the induction process for beginning teachers by requiring them to be mentored for a 2-year period while they successfully complete five professional growth modules in classroom management, planning, instruction, assessment of learning and professional responsibility. Initiatives include cooperative efforts with Connecticut's 16 (plus 3 alternate routes to initial certification) teacher preparation programs; an entrance examination for prospective teachers to assess essential skills in reading, writing and mathematics; and subject matter knowledge and successful completion of the state's newly developed Teacher Education And Mentoring (TEAM) Program.

Personnel Summary

| Permanent Full-Time Positions | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|-------------------------------|------------------|--------|-----------|-----------|-----------|-------------|-----------|-------------|
| | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 25 | 8 | -8 | 25 | 25 | 25 | 25 | 25 |
| Federal Contributions | 7 | 0 | 0 | 7 | 7 | 7 | 7 | 7 |

Financial Summary

| (Net of Reimbursements) | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|--|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| Personal Services | 1,565,124 | 1,662,095 | 1,791,563 | 1,776,350 | 1,716,574 | 1,744,084 | 1,725,162 | 1,667,237 |
| Other Expenses | 2,531 | 2,420 | 2,480 | 2,480 | 2,420 | 2,557 | 2,557 | 2,420 |
| <i>Other Current Expenses</i> | | | | | | | | |
| Basic Skills Exam Teachers in Training | 962,391 | 1,239,559 | 1,306,734 | 1,306,734 | 1,291,314 | 1,305,794 | 1,305,794 | 1,270,775 |
| Teachers' Standards Implementation Pgm | 0 | 2,896,508 | 3,296,508 | 3,296,508 | 3,296,508 | 3,096,508 | 3,096,508 | 3,096,508 |
| Admin - Adult Basic Education | 50,944 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Connecticut Pre-Engineering Program | 332,500 | 262,500 | 269,063 | 269,063 | 262,500 | 277,404 | 277,404 | 262,500 |
| Connecticut Writing Project | 47,500 | 50,000 | 51,250 | 51,250 | 50,000 | 52,839 | 52,839 | 50,000 |
| School Accountability | 22,990 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Pmts to Local Governments

| | | | | | | | | |
|--|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Health Serv for Pupils Private Schools | 20,000 | 20,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL-General Fund | 3,003,980 | 6,133,082 | 6,717,598 | 6,702,385 | 6,619,316 | 6,479,186 | 6,460,264 | 6,349,440 |

Additional Funds Available

| | | | | | | | | |
|---------------|--------|--------|--------|--------|--------|--------|--------|--------|
| Private Funds | 48,865 | 49,000 | 50,000 | 50,000 | 50,000 | 51,000 | 51,000 | 51,000 |
|---------------|--------|--------|--------|--------|--------|--------|--------|--------|

Federal Contributions

| | | | | | | | | |
|---|------------|------------|------------|------------|------------|------------|------------|------------|
| 10560 State Admin Expenses Child Nutrition | 14,106 | 14,000 | 14,000 | 14,000 | 14,000 | 14,000 | 14,000 | 14,000 |
| 84186 Safe & Drug-Free Schools & Communities | 2,043,233 | 850,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84287 Twenty-First Century Community Learning | 9,155,259 | 9,064,000 | 9,336,000 | 9,336,000 | 9,336,000 | 9,616,000 | 9,616,000 | 9,616,000 |
| 84298 Innovative Education Program Strategies | -19,530 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84357 Reading First State Grants | 253,108 | 251,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84366 Mathematics & Science Partnerships | 693,747 | 687,000 | 708,000 | 708,000 | 708,000 | 729,000 | 729,000 | 729,000 |
| 84367 Improving Teacher Quality State Grants | 24,192,412 | 23,950,000 | 24,669,000 | 24,669,000 | 24,669,000 | 25,409,000 | 25,409,000 | 25,409,000 |
| 84369 Grants for State Assessments & Related Activities | 109,687 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84372 Statewide Data Systems | 31,000 | 6,000 | 6,000 | 6,000 | 6,000 | 6,000 | 6,000 | 6,000 |
| 93938 Coop Agree-School Health Pgm | 494,555 | 490,000 | 505,000 | 505,000 | 505,000 | 520,000 | 520,000 | 520,000 |
| TOTAL - All Funds | 40,020,422 | 41,494,082 | 42,005,598 | 41,990,385 | 41,907,316 | 42,824,186 | 42,805,264 | 42,694,440 |

Teacher Prep, Professional/Curriculum Development**AGENCY FINANCIAL SUMMARY - GENERAL FUND**

| <i>Current Expenses by Minor Object</i> | 2009-2010 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|--|---------------|------------------|------------------|--------------------|------------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| <u>Personal Services</u> | | | | | | |
| Permanent Fulltime Positions | 120,870,945 | 125,880,110 | 24,123,864 | 110,479,709 | 23,447,872 | 82,439,909 |
| Other Positions | 12,452,484 | 15,145,047 | 939,884 | 13,064,881 | 918,132 | 9,198,981 |
| Other | 1,263,129 | 3,303,976 | 661,171 | 3,979,319 | 621,557 | 1,748,425 |
| Overtime | 440,164 | 465,151 | 6,454 | 384,806 | 6,100 | 272,325 |
| TOTAL-Personal Services Gross | 135,026,722 | 144,794,284 | 25,731,373 | 127,908,715 | 24,993,661 | 93,659,640 |
| Less Reimbursements | | | | | | |
| Less Turnover | 0 | 0 | 0 | -1,500,000 | 0 | -1,500,000 |
| TOTAL-Personal Services Net | 135,026,722 | 144,794,284 | 25,731,373 | 126,408,715 | 24,993,661 | 92,159,640 |
| <u>Other Expenses-Contractual Services</u> | | | | | | |
| Dues and Subscriptions | 375,748 | 359,276 | 181,168 | 317,377 | 186,785 | 275,215 |
| Utility Services | 6,996,798 | 6,878,915 | 0 | 5,299,872 | 0 | 3,710,930 |
| Rentals, Storage and Leasing | 810,102 | 774,586 | 533,806 | 776,858 | 547,936 | 700,657 |
| Telecommunication Services | 435,477 | 416,385 | 5,763 | 322,095 | 5,941 | 227,214 |
| General Repairs | 791,124 | 756,439 | 9,398 | 584,904 | 9,691 | 412,296 |
| Motor Vehicle Expenses | 134,376 | 132,257 | 30,750 | 108,784 | 31,703 | 85,163 |
| Insurance | 29,950 | 28,637 | 0 | 22,063 | 0 | 15,449 |
| Fees for Outside Professional Services | 780,688 | 746,462 | 122,632 | 1,802,261 | 127,278 | 457,160 |
| Fees for Non-Professional Services | 588,262 | 547,304 | 13,955 | 424,795 | 14,388 | 301,520 |
| DP Services, Rentals and Maintenance | 462,664 | 442,381 | 412,177 | 433,140 | 424,955 | 423,841 |
| Postage | 264,648 | 257,727 | 90,774 | 218,895 | 93,588 | 179,820 |
| Travel | 217,162 | 212,077 | 124,184 | 191,205 | 128,034 | 170,205 |
| Other Contractual Services | 440,117 | 420,823 | 12,933 | 750,869 | 13,334 | 232,831 |
| Advertising | 11,321 | 10,825 | 1,549 | 8,687 | 1,597 | 6,536 |
| Printing & Binding | 23,500 | 22,469 | 16,911 | 21,099 | 17,435 | 19,720 |
| <u>Other Expenses-Commodities</u> | | | | | | |
| Agriculture, Horticulture, Dairy & Food | 51,975 | 506 | 0 | 390 | 0 | 273 |
| Books | 223,261 | 213,472 | 909 | 164,674 | 937 | 115,569 |
| Clothing and Personal Supplies | 44,849 | 42,882 | 0 | 33,039 | 0 | 23,134 |

Budget-in-Detail

| | | | | | | |
|--|-----------|-----------|---------|-----------|---------|-----------|
| Maintenance and Motor Vehicle Supplies | 764,084 | 730,489 | 3,186 | 563,351 | 3,294 | 395,352 |
| Medical Supplies | 36,517 | 34,916 | 0 | 26,901 | 0 | 18,836 |
| Fuel | 2,992,797 | 2,861,587 | 0 | 2,204,715 | 0 | 1,543,724 |
| Office Supplies | 784,362 | 749,975 | 103,417 | 600,979 | 106,623 | 451,050 |
| Refunds of Expenditures Not Otherwise Classified | 570,634 | 545,618 | 0 | 420,373 | 0 | 294,341 |

Other Expenses-Sundry

| | | | | | | |
|----------------------------|------------|------------|-----------|------------|-----------|------------|
| Sundry - Other Items | 333,300 | 318,688 | 258,428 | 303,411 | 266,440 | 288,033 |
| TOTAL-Other Expenses Gross | 18,163,716 | 17,504,696 | 1,921,940 | 15,600,737 | 1,979,959 | 10,348,869 |
| Less Reimbursements | 0 | -28,575 | -986 | -28,575 | -986 | -28,575 |
| TOTAL-Other Expenses Net | 18,163,716 | 17,476,121 | 1,920,954 | 15,572,162 | 1,978,973 | 10,320,294 |

Other Current Expenses

| | | | | | | |
|---|------------|------------|------------|------------|------------|------------|
| Educ Aid Blind/Visually Handicap Child | 0 | 0 | 0 | 4,839,899 | 0 | 4,821,904 |
| Basic Skills Exam Teachers in Training | 962,391 | 1,239,559 | 1,306,734 | 1,291,314 | 1,305,794 | 1,270,775 |
| Teachers' Standards Implementation Pgm | 0 | 2,896,508 | 3,296,508 | 3,296,508 | 3,096,508 | 3,096,508 |
| Early Childhood Program | 4,932,277 | 5,007,354 | 5,328,354 | 5,024,906 | 5,542,354 | 5,022,489 |
| Admin - Magnet Schools | 204,897 | 0 | 0 | 0 | 0 | 0 |
| Admin - Adult Basic Education | 804,775 | 0 | 0 | 0 | 0 | 0 |
| Develop of Mastery Exams Grades 4,6&8 | 16,584,951 | 18,786,664 | 19,534,861 | 19,106,711 | 20,022,888 | 19,050,559 |
| Admin - Interdistrict Cooperative Program | 139,508 | 0 | 0 | 0 | 0 | 0 |
| Primary Mental Health | 448,132 | 507,294 | 519,976 | 507,294 | 536,095 | 507,294 |
| Admin - Youth Service Bureaus | 8,000 | 0 | 0 | 0 | 0 | 0 |
| Leadership, Educ, Athletics-Partnership | 0 | 0 | 0 | 765,000 | 0 | 765,000 |
| Adult Education Action | 236,985 | 240,687 | 246,704 | 240,687 | 254,352 | 240,687 |
| Vocational Technical School Textbooks | 473,040 | 500,000 | 0 | 385,031 | 0 | 269,330 |
| Repair of Instructional Equipment | 172,820 | 232,386 | 0 | 178,951 | 0 | 125,176 |
| Minor Repairs to Plant | 308,002 | 370,702 | 0 | 285,463 | 0 | 199,682 |
| Connecticut Pre-Engineering Program | 332,500 | 262,500 | 269,063 | 262,500 | 277,404 | 262,500 |
| Connecticut Writing Project | 47,500 | 50,000 | 51,250 | 50,000 | 52,839 | 50,000 |
| Insurance Recovery Allotments | 677 | 0 | 0 | 0 | 0 | 0 |
| Resource Equity Assessment | 91,250 | 283,654 | 304,471 | 301,980 | 305,341 | 299,683 |
| Readers as Leaders | 57,000 | 0 | 0 | 0 | 0 | 0 |
| Neighborhood Youth Centers | 0 | 0 | 0 | 1,338,300 | 0 | 1,338,300 |
| Early Childhood Advisory Cabinet | 177,322 | 0 | 0 | 0 | 0 | 0 |
| Longitudinal Data Systems | 1,419,823 | 648,502 | 1,500,000 | 1,500,000 | 1,500,000 | 1,500,000 |
| School Accountability | 1,530,676 | 1,803,284 | 2,345,616 | 2,186,318 | 2,410,567 | 2,201,405 |
| Sheff Settlement | 5,214,495 | 26,662,844 | 9,265,012 | 9,265,012 | 10,293,799 | 10,293,799 |
| Admin - After School Program | 76,260 | 0 | 0 | 0 | 0 | 0 |
| Community Plans for Early Childhood | 0 | 427,500 | 438,188 | 450,000 | 451,772 | 450,000 |
| Improving Early Literacy | 0 | 150,000 | 153,750 | 150,000 | 158,516 | 150,000 |
| Parent Trust | 0 | 500,000 | 500,000 | 500,000 | 500,000 | 500,000 |
| Child Care Services | 0 | 0 | 0 | 18,422,653 | 0 | 18,419,752 |
| TOTAL-Other Current Expenses | 34,223,281 | 60,569,438 | 45,060,487 | 70,348,527 | 46,708,229 | 70,834,843 |

Pmts to Other Than Local Govts

| | | | | | | |
|--|------------|------------|------------|------------|------------|------------|
| American School for the Deaf | 9,480,242 | 9,480,242 | 9,768,242 | 9,768,242 | 10,264,242 | 10,264,242 |
| Regional Education Services | 1,720,254 | 1,384,613 | 1,419,228 | 1,434,613 | 1,463,224 | 1,384,613 |
| Omnibus Education Grants State Support | 6,558,117 | 0 | 0 | 0 | 0 | 0 |
| Head Start Services | 2,610,742 | 2,748,150 | 2,816,854 | 2,748,150 | 2,904,176 | 2,748,150 |
| Head Start Enhancement | 1,684,349 | 1,773,000 | 1,817,325 | 1,773,000 | 1,873,662 | 1,773,000 |
| Family Resource Centers | 5,739,238 | 6,041,488 | 6,192,525 | 6,041,488 | 6,384,493 | 6,041,488 |
| Charter Schools | 48,081,000 | 53,047,200 | 56,460,300 | 56,460,300 | 59,994,300 | 59,994,300 |
| Youth Service Bureau Enhancement | 620,300 | 625,000 | 620,300 | 620,300 | 620,300 | 620,300 |
| Head Start - Early Childhood Link | 2,090,000 | 2,090,000 | 2,142,250 | 2,090,000 | 2,208,660 | 2,090,000 |
| Institutional Student Aid | 0 | 882,000 | 904,050 | 882,000 | 932,076 | 882,000 |
| Child Nutrition State Match | 0 | 2,354,000 | 2,354,000 | 2,354,000 | 2,354,000 | 2,354,000 |
| Health Foods Initiative | 0 | 3,512,146 | 3,701,801 | 3,613,997 | 3,816,558 | 3,613,997 |
| TOTAL-Pmts to Other Than Local Govts | 78,584,242 | 83,937,839 | 88,196,875 | 87,786,090 | 92,815,691 | 91,766,090 |

Pmts to Local Governments

| | | | | | | |
|--|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| Vocational Agriculture | 4,560,565 | 4,560,565 | 4,674,579 | 4,560,565 | 4,819,491 | 4,560,565 |
| Transportation of School Children | 28,728,720 | 28,649,720 | 88,748,594 | 25,784,748 | 94,144,509 | 24,884,748 |
| Adult Education | 19,564,652 | 20,594,371 | 21,934,182 | 20,612,980 | 22,614,142 | 20,605,690 |
| Health Serv for Pupils Private Schools | 4,775,000 | 4,297,500 | 7,347,258 | 4,297,500 | 7,857,892 | 4,297,500 |
| Education Equalization Grants | 1,883,943,766 | 1,889,609,057 | 1,889,609,057 | 1,889,609,057 | 1,889,609,057 | 1,889,609,057 |
| Bilingual Education | 2,113,153 | 1,916,130 | 1,964,033 | 1,916,130 | 2,024,918 | 1,916,130 |
| Priority School Districts | 115,509,156 | 117,237,188 | 118,534,657 | 112,595,033 | 120,432,947 | 112,121,287 |
| Young Parents Program | 165,394 | 229,330 | 235,063 | 229,330 | 242,350 | 229,330 |
| Interdistrict Cooperation | 13,989,781 | 11,127,369 | 11,411,575 | 11,136,173 | 11,407,337 | 11,131,935 |
| School Breakfast Program | 1,611,349 | 1,634,103 | 1,674,956 | 1,634,103 | 1,726,880 | 1,634,103 |
| Excess Cost - Student Based | 139,821,357 | 139,805,731 | 169,852,265 | 139,805,731 | 183,372,505 | 139,805,731 |
| Non-Public School Transportation | 3,995,000 | 3,995,000 | 5,379,762 | 3,595,500 | 5,573,971 | 3,595,500 |
| School to Work Opportunities | 213,750 | 213,750 | 219,094 | 213,750 | 225,886 | 213,750 |
| Youth Service Bureaus | 2,887,490 | 2,947,268 | 3,020,950 | 2,947,268 | 3,114,599 | 2,947,268 |
| OPEN Choice Program | 14,948,868 | 14,465,002 | 21,113,611 | 19,839,066 | 23,619,941 | 22,090,956 |
| Magnet Schools | 155,032,910 | 174,131,395 | 216,617,338 | 215,855,338 | 236,989,401 | 235,564,251 |
| After School Program | 4,700,066 | 4,500,000 | 4,612,500 | 4,500,000 | 4,755,487 | 4,500,000 |
| School Readiness Quality Enhancement | 0 | 0 | 0 | 1,158,608 | 0 | 1,158,608 |
| Technical High Schools | 0 | 0 | 0 | 34,385,798 | 0 | 66,261,040 |
| TOTAL-Pmts to Local Governments | 2,396,560,977 | 2,419,913,479 | 2,566,949,474 | 2,494,676,678 | 2,612,531,313 | 2,547,127,449 |

Character & Major Object Summary

| | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|--|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| Personal Services Net | 135,026,722 | 144,794,284 | 25,731,373 | 25,463,475 | 126,408,715 | 24,993,661 | 24,670,351 | 92,159,640 |
| Other Expenses Net | 18,163,716 | 17,476,121 | 1,920,954 | 3,119,555 | 15,572,162 | 1,978,973 | 1,976,686 | 10,320,294 |
| Capital Outlay | 198,039 | 1 | 405,400 | 405,400 | 1 | 147,200 | 147,200 | 1 |
| Other Current Expenses | 34,223,281 | 60,569,438 | 45,060,487 | 44,637,411 | 70,348,527 | 46,708,229 | 46,069,292 | 70,834,843 |
| Payments to Other Than Local Governments | 78,584,242 | 83,937,839 | 88,196,875 | 88,196,875 | 87,786,090 | 92,815,691 | 92,815,691 | 91,766,090 |
| Payments to Local Governments | 2,396,560,977 | 2,419,913,479 | 2,566,949,474 | 2,564,912,929 | 2,494,676,678 | 2,612,531,313 | 2,609,577,178 | 2,547,127,449 |
| TOTAL-General Fund Net | 2,662,756,977 | 2,726,691,162 | 2,728,264,563 | 2,726,735,645 | 2,794,792,173 | 2,779,175,067 | 2,775,256,398 | 2,812,208,317 |
| <u>Additional Funds Available</u> | | | | | | | | |
| Federal and Other Activities | 591,135,159 | 749,906,849 | 500,129,000 | 500,129,000 | 524,354,930 | 468,834,000 | 468,834,000 | 493,153,930 |
| Bond Funds | 2,672,304 | 2,260,769 | 0 | 0 | 3,504,000 | 0 | 0 | 3,518,000 |
| Private Funds | 11,878,407 | 12,334,500 | 2,785,500 | 2,785,500 | 12,685,500 | 2,849,500 | 2,849,500 | 13,046,500 |
| TOTAL-All Funds Net | 3,268,442,847 | 3,491,193,280 | 3,231,179,063 | 3,229,650,145 | 3,335,336,603 | 3,250,858,567 | 3,246,939,898 | 3,321,926,747 |

CONNECTICUT TECHNICAL HIGH SCHOOL SYSTEM

CONNECTICUT TECHNICAL HIGH SCHOOLS

Statutory Reference

C. G. S. Sections 10-15d, and 10-95 through 10-99.

Statement of Need and Program Objectives:

The Connecticut Technical High School System (CTHSS) is committed to providing quality and challenging academic and technical programs. Its mission is to ensure that students are successful in the workplace, take advantage of postsecondary educational opportunities, and secure advanced apprenticeship training that prepare them for the 21st century workplace. Therefore, the CTHSS has developed a challenging program of study for each of the 37 technical programs (secondary and adult). These areas include: construction, manufacturing, electronics, information technology, culinary arts, health tech, and other service areas. Each program of study meets or exceeds state-mandated graduation requirements

and prepares students to demonstrate the knowledge and skills that they require as reflected in Connecticut's Common Core of Learning.

Program Description

The Connecticut Technical High School System (CTHSS) is the seventh largest school district in the state of Connecticut. The 16 schools serve 10,484 high school students of which 45 percent are racially diverse and 37 percent are female. The high school experience includes extracurricular activities, including National Honor Society, male and female varsity sports and numerous clubs and community service organizations. About 44 percent of CTHSS graduates go on to higher education and another 47 percent are employed or in the military. The system serves an additional 3,750 adult students in evening courses that lead to apprenticeships and trade and technology skills.

The Connecticut Technical High School System (CTHSS), which is overseen by the State Board of Education as a division of SDE, is the state's largest secondary school system serving approximately 10,500 high school students and about 3,750 adult learners. PA 10-76 required that the CTHSS be a separate budgeted agency from SDE; however, the intent of the act was to provide more transparency and better accountability of the budgetary needs of the CTHSS. This can be accomplished within the existing agency structure because the CTHSS is a separate program within SDE; therefore, the budget for CTHSS is recommended within the overall budget for SDE.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

| | 2011-2012 | 2012-2013 |
|-------------------------------|------------------|------------------|
| • Fund Equipment Through CEPF | -388,500 | -388,500 |
| • Remove or Limit Inflation | -642,473 | -1,302,254 |

Reallocations or Transfers

| | | |
|--|--------------|--------------|
| • Incorporate the Budget for the Technical High School System Within SDE | -149,543,414 | -143,627,045 |
|--|--------------|--------------|

AGENCY PROGRAMS

| <i>Personnel Summary</i> | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|--------------------------------------|------------------|---------------|---------------|--------------|------------------|--------------------|------------------|--------------------|
| | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| <i>Permanent Full-Time Positions</i> | | | | | | | | |
| General Fund | 0 | 0 | 0 | 0 | 1,412 | 0 | 1,414 | 0 |
| Federal Contributions | 0 | 0 | 0 | 0 | 37 | 0 | 37 | 0 |

Agency Programs by Total Funds (Net of Reimbursements)

| | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|--|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| Connecticut Technical High School System | 0 | 0 | 174,133,570 | 173,756,387 | 0 | 168,002,080 | 168,904,799 | 0 |
| TOTAL Agency Programs - All Funds Gross | 0 | 0 | 174,133,570 | 173,756,387 | 0 | 168,002,080 | 168,904,799 | 0 |
| Less Turnover | 0 | 0 | 0 | -1,250,000 | 0 | 0 | -1,250,000 | 0 |
| TOTAL Agency Programs - All Funds Net | 0 | 0 | 174,133,570 | 172,506,387 | 0 | 168,002,080 | 167,654,799 | 0 |

Summary of Funding

| | | | | | | | | |
|---------------------------------------|---|---|-------------|-------------|---|-------------|-------------|---|
| General Fund Net | 0 | 0 | 152,201,570 | 150,574,387 | 0 | 145,665,080 | 145,317,799 | 0 |
| Federal and Other Activities | 0 | 0 | 8,528,000 | 8,528,000 | 0 | 8,622,000 | 8,622,000 | 0 |
| Bond Funds | 0 | 0 | 3,504,000 | 3,504,000 | 0 | 3,518,000 | 3,518,000 | 0 |
| Private Funds | 0 | 0 | 9,900,000 | 9,900,000 | 0 | 10,197,000 | 10,197,000 | 0 |
| TOTAL Agency Programs - All Funds Net | 0 | 0 | 174,133,570 | 172,506,387 | 0 | 168,002,080 | 167,654,799 | 0 |

Personnel Summary

| | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|--------------------------------------|------------------|---------------|---------------|--------------|------------------|--------------------|------------------|--------------------|
| | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| <i>Permanent Full-Time Positions</i> | | | | | | | | |
| General Fund | 0 | 0 | 0 | 0 | 1,412 | 0 | 1,414 | 0 |
| Federal Contributions | 0 | 0 | 0 | 0 | 37 | 0 | 37 | 0 |

| Financial Summary (Net of Reimbursements) | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|--|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| Personal Services | 0 | 0 | 132,493,420 | 133,460,899 | 0 | 127,158,662 | 128,094,530 | 0 |
| Other Expenses | 0 | 0 | 17,856,984 | 16,856,822 | 0 | 16,952,202 | 16,931,940 | 0 |
| <u>Capital Outlay</u> | | | | | | | | |
| Equipment | 0 | 0 | 388,500 | 388,500 | 0 | 388,500 | 388,500 | 0 |
| <u>Other Current Expenses</u> | | | | | | | | |
| Vocational Technical School Textbooks | 0 | 0 | 762,500 | 500,000 | 0 | 528,387 | 515,500 | 0 |
| Repair of Instructional Equipment | 0 | 0 | 238,196 | 238,196 | 0 | 245,580 | 245,580 | 0 |
| Minor Repairs to Plant | 0 | 0 | 461,970 | 379,970 | 0 | 391,749 | 391,749 | 0 |
| TOTAL-General Fund | 0 | 0 | 152,201,570 | 151,824,387 | 0 | 145,665,080 | 146,567,799 | 0 |
| <u>Additional Funds Available</u> | | | | | | | | |
| Bond Funds | 0 | 0 | 3,504,000 | 3,504,000 | 0 | 3,518,000 | 3,518,000 | 0 |
| Private Funds | 0 | 0 | 9,900,000 | 9,900,000 | 0 | 10,197,000 | 10,197,000 | 0 |
| Federal Contributions | | | | | | | | |
| 10555 National School Lunch Program | 0 | 0 | 1,621,000 | 1,621,000 | 0 | 1,670,000 | 1,670,000 | 0 |
| 10579 Child Nutrition Discretionary Grants | 0 | 0 | 14,000 | 14,000 | 0 | 0 | 0 | 0 |
| 84002 Adult Education State Grant Program | 0 | 0 | 93,000 | 93,000 | 0 | 96,000 | 96,000 | 0 |
| 84010 Title I Grants to Local Educational Agencies | 0 | 0 | 3,104,000 | 3,104,000 | 0 | 3,197,000 | 3,197,000 | 0 |
| 84027 Special Education Grants to States | 0 | 0 | 2,334,000 | 2,334,000 | 0 | 2,404,000 | 2,404,000 | 0 |
| 84063 Federal Pell Grant Program | 0 | 0 | 445,000 | 445,000 | 0 | 458,000 | 458,000 | 0 |
| 84186 Safe & Drug-Free Schools & Communities | 0 | 0 | 4,000 | 4,000 | 0 | 4,000 | 4,000 | 0 |
| 84215 Fund for the Improvement of Education | 0 | 0 | 129,000 | 129,000 | 0 | 133,000 | 133,000 | 0 |
| 84298 Innovative Education Program Strategies | 0 | 0 | 6,000 | 6,000 | 0 | 6,000 | 6,000 | 0 |
| 84318 Education Technology State Grants | 0 | 0 | 10,000 | 10,000 | 0 | 10,000 | 10,000 | 0 |
| 84365 English Language Acquisition Grants | 0 | 0 | 30,000 | 30,000 | 0 | 31,000 | 31,000 | 0 |
| 84367 Improving Teacher Quality State Grants | 0 | 0 | 548,000 | 548,000 | 0 | 564,000 | 564,000 | 0 |
| 84377 School Improvement Grants | 0 | 0 | 48,000 | 48,000 | 0 | 49,000 | 49,000 | 0 |
| 84387 ARRA Homeless - Intra Agency | 0 | 0 | 2,000 | 2,000 | 0 | 0 | 0 | 0 |
| 84389 ARRA Title I - IntraAgency | 0 | 0 | 22,000 | 22,000 | 0 | 0 | 0 | 0 |
| 84391 Special Education Grants to States, Recovery Act | 0 | 0 | 118,000 | 118,000 | 0 | 0 | 0 | 0 |
| TOTAL - All Funds | 0 | 0 | 174,133,570 | 173,756,387 | 0 | 168,002,080 | 168,904,799 | 0 |

Connecticut Technical High School System

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | 2009-2010 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|--|---------------|------------------|------------------|--------------------|------------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| <u>Personal Services</u> | | | | | | |
| Permanent Fulltime Positions | 0 | 0 | 111,569,749 | 0 | 108,698,964 | 0 |
| Other Positions | 0 | 0 | 15,701,581 | 0 | 15,245,645 | 0 |
| Other | 0 | 0 | 4,732,133 | 0 | 2,723,913 | 0 |
| Overtime | 0 | 0 | 489,957 | 0 | 490,140 | 0 |
| TOTAL-Personal Services Gross | 0 | 0 | 132,493,420 | 0 | 127,158,662 | 0 |
| Less Reimbursements | | | | | | |
| Less Turnover | | | | | | |
| TOTAL-Personal Services Net | 0 | 0 | 132,493,420 | 0 | 127,158,662 | 0 |
| <u>Other Expenses-Contractual Services</u> | | | | | | |
| Dues and Subscriptions | 0 | 0 | 187,091 | 0 | 192,891 | 0 |
| Utility Services | 0 | 0 | 7,207,925 | 0 | 7,511,252 | 0 |
| Rentals, Storage and Leasing | 0 | 0 | 338,145 | 0 | 348,628 | 0 |

Budget-in-Detail

| | | | | | | |
|--|---|---|------------|---|------------|---|
| Telecommunication Services | 0 | 0 | 421,031 | 0 | 434,082 | 0 |
| General Repairs | 0 | 0 | 765,950 | 0 | 789,694 | 0 |
| Motor Vehicle Expenses | 0 | 0 | 104,814 | 0 | 108,063 | 0 |
| Insurance | 0 | 0 | 29,353 | 0 | 30,263 | 0 |
| Fees for Outside Professional Services | 0 | 0 | 651,097 | 0 | 675,636 | 0 |
| Fees for Non-Professional Services | 0 | 0 | 547,035 | 0 | 563,994 | 0 |
| DP Services, Rentals and Maintenance | 0 | 0 | 41,264 | 0 | 42,543 | 0 |
| Postage | 0 | 0 | 173,396 | 0 | 178,771 | 0 |
| Travel | 0 | 0 | 93,195 | 0 | 96,084 | 0 |
| Other Contractual Services | 0 | 0 | 968,411 | 0 | 431,382 | 0 |
| Advertising | 0 | 0 | 9,547 | 0 | 9,843 | 0 |
| Printing & Binding | 0 | 0 | 6,119 | 0 | 6,309 | 0 |
| <i><u>Other Expenses-Commodities</u></i> | | | | | | |
| Agriculture, Horticulture, Dairy & Food | 0 | 0 | 519 | 0 | 535 | 0 |
| Books | 0 | 0 | 217,899 | 0 | 224,653 | 0 |
| Clothing and Personal Supplies | 0 | 0 | 43,954 | 0 | 45,316 | 0 |
| Maintenance and Motor Vehicle Supplies | 0 | 0 | 748,439 | 0 | 771,894 | 0 |
| Medical Supplies | 0 | 0 | 36,450 | 0 | 37,979 | 0 |
| Fuel | 0 | 0 | 3,001,810 | 0 | 3,130,888 | 0 |
| Office Supplies | 0 | 0 | 665,308 | 0 | 685,933 | 0 |
| Refunds of Expenditures Not Otherwise Classified | 0 | 0 | 1,559,258 | 0 | 596,595 | 0 |
| <i><u>Other Expenses-Sundry</u></i> | | | | | | |
| Sundry - Other Items | 0 | 0 | 66,563 | 0 | 66,563 | 0 |
| TOTAL-Other Expenses Gross | 0 | 0 | 17,884,573 | 0 | 16,979,791 | 0 |
| Less Reimbursements | 0 | 0 | -27,589 | 0 | -27,589 | 0 |
| TOTAL-Other Expenses Net | 0 | 0 | 17,856,984 | 0 | 16,952,202 | 0 |
| <i><u>Other Current Expenses</u></i> | | | | | | |
| Vocational Technical School Textbooks | 0 | 0 | 762,500 | 0 | 528,387 | 0 |
| Repair of Instructional Equipment | 0 | 0 | 238,196 | 0 | 245,580 | 0 |
| Minor Repairs to Plant | 0 | 0 | 461,970 | 0 | 391,749 | 0 |
| TOTAL-Other Current Expenses | 0 | 0 | 1,462,666 | 0 | 1,165,716 | 0 |

Character & Major Object Summary

| | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|--|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| Personal Services Net | 0 | 0 | 132,493,420 | 132,210,899 | 0 | 127,158,662 | 126,844,530 | 0 |
| Other Expenses Net | 0 | 0 | 17,856,984 | 16,856,822 | 0 | 16,952,202 | 16,931,940 | 0 |
| Capital Outlay | 0 | 0 | 388,500 | 388,500 | 0 | 388,500 | 388,500 | 0 |
| Other Current Expenses | 0 | 0 | 1,462,666 | 1,118,166 | 0 | 1,165,716 | 1,152,829 | 0 |
| TOTAL-General Fund Net | 0 | 0 | 152,201,570 | 150,574,387 | 0 | 145,665,080 | 145,317,799 | 0 |
| <i><u>Additional Funds Available</u></i> | | | | | | | | |
| Federal and Other Activities | 0 | 0 | 8,528,000 | 8,528,000 | 0 | 8,622,000 | 8,622,000 | 0 |
| Bond Funds | 0 | 0 | 3,504,000 | 3,504,000 | 0 | 3,518,000 | 3,518,000 | 0 |
| Private Funds | 0 | 0 | 9,900,000 | 9,900,000 | 0 | 10,197,000 | 10,197,000 | 0 |
| TOTAL-All Funds Net | 0 | 0 | 174,133,570 | 172,506,387 | 0 | 168,002,080 | 167,654,799 | 0 |

BOARD OF EDUCATION AND SERVICES FOR THE BLIND

AGENCY DESCRIPTION

The Board of Education and Services for the Blind (BESB) provides statewide comprehensive, community-based rehabilitative services to adults who are legally blind or deaf and blind, and children who are visually impaired, legally blind or deaf and blind in order to maximize inclusion and participation in education, independent living, employment and community activities.

Through public education, including training programs to educators, senior centers, employers and community rehabilitation providers, the agency increases awareness of matters pertaining to blindness.

The agency also offers specialized programs for clients including mentoring, independent living camps, and telephone access services to news media information.

The Board of Education and Services for the Blind is recommended for consolidation with the Department of Social Services and the State Department of Education in the Governor's budget as part of his proposal to restructure state government.

AGENCY PROGRAM INDEX

| | | | |
|---------------------------|-----|----------------------|-----|
| Children's Services | 408 | Business Enterprises | 410 |
| Vocational Rehabilitation | 408 | Management Services | 410 |
| Adult Services | 409 | | |

RECOMMENDED SIGNIFICANT CHANGES

| Reductions to Current Services | 2011-2012 | 2012-2013 |
|--|------------------|------------------|
| • Fund Equipment Through CEPF | -20,999 | -5,999 |
| • Remove or Limit Inflation | -128,712 | -304,253 |
| • Remove Funding for Vacant Positions | -146,993 | -144,385 |
| • Restructure State Government | -410,000 | -396,000 |
| <i>The agency is consolidated with the Department of Social Services and the State Department of Education. Personal Services savings are anticipated from the consolidation of operating functions resulting from the mergers.</i> | | |
| Reallocations or Transfers | | |
| • Restructure State Government | -6,956,043 | -6,839,580 |
| <i>The agency, excluding the Children's Services program, is consolidated with the Department of Social Services.</i> | | |
| • Restructure State Government | -4,839,899 | -4,821,904 |
| <i>The Children's Services program is consolidated with the State Department of Education. This program provides specialized training, adaptive materials and services to children who are legally blind, visually impaired or deaf-blind.</i> | | |

AGENCY PROGRAMS

| Personnel Summary | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|---|------------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| <i>Permanent Full-Time Positions</i> | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| General Fund | 76 | 20 | -1 | 95 | 90 | 0 | 90 | 0 |
| Federal Contributions | 30 | 0 | 0 | 30 | 30 | 0 | 30 | 0 |
| Private Funds | 14 | 0 | 0 | 14 | 14 | 0 | 14 | 0 |
| Agency Programs by Total Funds (Net of Reimbursements) | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| Children's Services | 6,413,572 | 6,613,633 | 6,942,784 | 7,010,143 | 0 | 6,964,517 | 7,028,587 | 0 |
| Vocational Rehabilitation | 4,554,117 | 4,756,929 | 5,399,532 | 5,399,532 | 0 | 4,202,189 | 4,202,189 | 0 |
| Adult Services | 2,379,552 | 2,263,348 | 2,243,353 | 2,296,111 | 0 | 2,262,479 | 2,312,606 | 0 |
| Business Enterprises | 2,989,292 | 2,307,420 | 2,339,825 | 2,339,825 | 0 | 2,361,210 | 2,361,210 | 0 |
| Management Services | 1,803,963 | 2,151,827 | 2,405,768 | 2,360,068 | 0 | 2,333,497 | 2,285,625 | 0 |
| TOTAL Agency Programs - All Funds Gross | 18,140,496 | 18,093,157 | 19,331,262 | 19,405,679 | 0 | 18,123,892 | 18,190,217 | 0 |
| Less Turnover | 0 | 0 | 0 | -94,800 | 0 | 0 | -94,800 | 0 |
| TOTAL Agency Programs - All Funds Net | 18,140,496 | 18,093,157 | 19,331,262 | 19,310,879 | 0 | 18,123,892 | 18,095,417 | 0 |
| Summary of Funding | | | | | | | | |
| General Fund Net | 11,006,287 | 11,791,974 | 12,523,029 | 12,502,646 | 0 | 12,540,596 | 12,512,121 | 0 |
| Federal and Other Activities | 4,666,707 | 4,545,425 | 4,979,383 | 4,979,383 | 0 | 3,753,746 | 3,753,746 | 0 |

Budget-in-Detail

| | | | | | | | | |
|---------------------------------------|------------|------------|------------|------------|---|------------|------------|---|
| Bond Funds | 18,598 | 6,328 | 7,000 | 7,000 | 0 | 8,000 | 8,000 | 0 |
| Private Funds | 2,448,904 | 1,749,430 | 1,821,850 | 1,821,850 | 0 | 1,821,550 | 1,821,550 | 0 |
| TOTAL Agency Programs - All Funds Net | 18,140,496 | 18,093,157 | 19,331,262 | 19,310,879 | 0 | 18,123,892 | 18,095,417 | 0 |

CHILDREN'S SERVICES

Statutory Reference

C.G.S. Sections 10-295(a) and 10-295(b).

Statement of Need and Program Objectives

The Children's Services Division provides specialized training, adaptive materials and services to children who are legally blind, visually impaired or deaf and blind to promote successful integration into educational, social, recreational and vocational settings.

Program Description

Certified Teachers of the Visually Impaired provide specialized training and consultation to classroom and special education

teachers, parents, paraprofessionals and local school district staff. Mobility Instructors provide training in safe travel techniques in the school and community. Rehabilitation Teachers provide training in activities of daily living and utilization of adaptive technology devices. The division maintains a full-scope lending library of Braille and large print textbooks and materials and provides adaptive aids for the vision-related educational needs of the children served by the agency. Direct services to students include Braille instruction, independent living skills training, and transition school-to-work activities.

| Program Measure | 2009-2010 Actual | 2010-2011 Estimated | 2011-2012 Projected | 2012-2013 Projected |
|--|---------------------|------------------------|------------------------|------------------------|
| Children Registered for Services | 1,097 | 1,120 | 0 | 0 |
| School Districts Receiving BESB Teacher Services | 114 | 116 | 0 | 0 |

Personnel Summary

| | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|--------------|------------------|--------|-----------|-----------|-----------|-------------|-----------|-------------|
| | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 44 | 16 | -1 | 59 | 58 | 0 | 58 | 0 |

Financial Summary

| (Net of Reimbursements) | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|--|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| Personal Services | 1,722,925 | 1,901,265 | 1,955,908 | 2,031,493 | 0 | 1,908,506 | 1,980,840 | 0 |
| Other Expenses | 56,487 | 78,425 | 80,386 | 72,160 | 0 | 82,878 | 74,614 | 0 |
| <i>Other Current Expenses</i> | | | | | | | | |
| Educ Aid Blind/Visually Handicap Child | 4,633,458 | 4,633,943 | 4,906,490 | 4,906,490 | 0 | 4,973,133 | 4,973,133 | 0 |
| TOTAL-General Fund | 6,412,870 | 6,613,633 | 6,942,784 | 7,010,143 | 0 | 6,964,517 | 7,028,587 | 0 |
| <i>Additional Funds Available</i> | | | | | | | | |
| Private Funds | 702 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - All Funds | 6,413,572 | 6,613,633 | 6,942,784 | 7,010,143 | 0 | 6,964,517 | 7,028,587 | 0 |
| Children's Services | | | | | | | | |

VOCATIONAL REHABILITATION

Statutory Reference

C.G.S. Sections 10-297 and 10-306 through 10-309.

Statement of Need and Program Objectives

The Vocational Rehabilitation Division administers the federal Rehabilitation Act for eligible clients who are legally blind by directly providing and coordinating a broad scope of services to enable the achievement and maintenance of integrated, competitive employment.

Program Description

Clients of the division work with vocational rehabilitation counselors to develop individualized employment plans that identify career goals and the services necessary to achieve these goals. Through the utilization of a myriad of services such as post-secondary education, skills training, rehabilitation technology, low vision, and independent living training, clients acquire marketable vocational skills. Specialized job retention services to clients and employers assist individuals who are employed at the time of vision loss to receive specialized training and adapted equipment to enable successful continuation of employment.

| Program Measure | 2009-2010 Actual | 2010-2011 Estimated | 2011-2012 Projected | 2012-2013 Projected |
|---|---------------------|------------------------|------------------------|------------------------|
| Individuals Achieving Employment Goal | 103 | 110 | 0 | 0 |
| Individuals in Vocational Training and Employment Program | 640 | 650 | 0 | 0 |

| <i>Personnel Summary</i> | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|--|------------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| <i>Permanent Full-Time Positions</i> | | | | | | | | |
| Federal Contributions | 26 | 0 | 0 | 26 | 26 | 0 | 26 | 0 |
| <i>Financial Summary</i> | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
| (Net of Reimbursements) | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| <i>Pmts to Other Than Local Governments</i> | | | | | | | | |
| Vocational Rehabilitation | 890,454 | 890,454 | 912,715 | 912,715 | 0 | 941,009 | 941,009 | 0 |
| TOTAL-General Fund | 890,454 | 890,454 | 912,715 | 912,715 | 0 | 941,009 | 941,009 | 0 |
| <i>Additional Funds Available</i> | | | | | | | | |
| Federal Contributions | 196,332 | 303,848 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84126 Rehabilitation Services Vocational | 3,465,511 | 3,562,627 | 4,486,817 | 4,486,817 | 0 | 3,261,180 | 3,261,180 | 0 |
| 84265 Rehabilitation Training State Vocation | 1,820 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - All Funds | 4,554,117 | 4,756,929 | 5,399,532 | 5,399,532 | 0 | 4,202,189 | 4,202,189 | 0 |
| Vocational Rehabilitation | | | | | | | | |

ADULT SERVICES

Statutory Reference

C.G.S. Sections 10-295(c) through 10-295(e), 10-297 and 10-298.

Statement of Need and Program Objectives

The Adult Services Division is responsible for providing specialized social, educational and rehabilitative services that enable eligible clients of the agency to achieve and maintain the highest level of independence and productivity in daily living activities. This division also serves as the single point of intake for all agency services.

Program Description

Social workers issue certificates of legal blindness for property/income tax purposes and provide counseling and referral to

support services within the agency and to community based organizations. Rehabilitation teachers assist clients to increase independence in personal and home management, leisure time activities and communications. Orientation and Mobility Instructors teach safe community travel techniques and provide long white canes for use and identification. The division also coordinates volunteer services to assist clients with activities of daily living. The Deaf Blind Program administered through this division provides for specialized community inclusion activities through third party vendors. Public education programs are offered to senior centers and other community providers to increase awareness and access to agency services.

| <i>Program Measure</i> | 2009-2010 Actual | 2010-2011 Estimated | 2011-2012 Projected | 2012-2013 Projected |
|--|---------------------|------------------------|------------------------|------------------------|
| Adults Registered for Services | 11,329 | 11,350 | 0 | 0 |
| Individuals Receiving Independent Living Skills Training | 866 | 875 | 0 | 0 |
| Individuals Receiving Orientation and Mobility training | 817 | 825 | 0 | 0 |
| Individuals Receiving Low Vision Services | 1,006 | 1,020 | 0 | 0 |
| Certificates of Legal Blindness Provided | 1,035 | 1,100 | 0 | 0 |

| <i>Personnel Summary</i> | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|---|------------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| <i>Permanent Full-Time Positions</i> | | | | | | | | |
| General Fund | 18 | 2 | 0 | 20 | 18 | 0 | 18 | 0 |
| Federal Contributions | 4 | 0 | 0 | 4 | 4 | 0 | 4 | 0 |
| <i>Financial Summary</i> | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
| (Net of Reimbursements) | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| Personal Services | 1,176,120 | 1,257,845 | 1,392,713 | 1,446,534 | 0 | 1,350,728 | 1,401,922 | 0 |
| Other Expenses | 7,297 | 10,647 | 10,384 | 9,321 | 0 | 10,705 | 9,638 | 0 |
| <i>Pmts to Other Than Local Governments</i> | | | | | | | | |
| Supplementary Relief and Services | 66,538 | 103,925 | 106,523 | 106,523 | 0 | 109,825 | 109,825 | 0 |
| Special Training for the Deaf Blind | 246,823 | 298,585 | 306,050 | 306,050 | 0 | 315,538 | 315,538 | 0 |
| TOTAL-General Fund | 1,496,778 | 1,671,002 | 1,815,670 | 1,868,428 | 0 | 1,786,796 | 1,836,923 | 0 |
| <i>Additional Funds Available</i> | | | | | | | | |
| Private Funds | 0 | 0 | 22,000 | 22,000 | 0 | 70,000 | 70,000 | 0 |
| Federal Contributions | | | | | | | | |
| 84126 Rehabilitation Services Vocational | 168,465 | 20,000 | 20,000 | 20,000 | 0 | 20,000 | 20,000 | 0 |
| 84177 Rehab Svcs Independent Living | 714,309 | 572,346 | 385,683 | 385,683 | 0 | 385,683 | 385,683 | 0 |
| TOTAL - All Funds | 2,379,552 | 2,263,348 | 2,243,353 | 2,296,111 | 0 | 2,262,479 | 2,312,606 | 0 |
| Adult Services | | | | | | | | |

BUSINESS ENTERPRISES**Statutory Reference**

C.G.S. Sections 10-303.

Statement of Need and Program Objectives

The Business Enterprise Program (BEP) provides entrepreneurial opportunities for adults who are legally blind to operate businesses on federal, state and municipal properties to achieve financial independence and career success.

Program Description

The BEP is responsible for the development of high-quality business ventures for participants who desire to become entrepreneurs. The entrepreneurs derive full profits from the operation of businesses that range from gift shops to full service cafeterias in federal, state and municipal locations. Entrepreneurs receive training in business management and follow-up services once placed at a location. The program is funded entirely through revenues from vending machines installed at municipal, state and federal locations.

| <i>Program Measure</i> | 2009-2010 Actual | 2010-2011 Estimated | 2011-2012 Projected | 2012-2013 Projected |
|----------------------------|---------------------|------------------------|------------------------|------------------------|
| Vending Facility Operators | 47 | 48 | 0 | 0 |
| Vending Machine Sites | 884 | 890 | 0 | 0 |

Personnel Summary

| <i>Permanent Full-Time Positions</i> | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|--------------------------------------|------------------|---------------|---------------|--------------|------------------|--------------------|------------------|--------------------|
| | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| Private Funds | 14 | 0 | 0 | 14 | 14 | 0 | 14 | 0 |

Financial Summary

| <i>(Net of Reimbursements)</i> | 2009-2010 <u>Actual</u> | 2010-2011 <u>Estimated</u> | 2011-2012 <u>Requested</u> | Current <u>Services</u> | 2011-2012 <u>Recommended</u> | 2012-2013 <u>Requested</u> | Current <u>Services</u> | 2012-2013 <u>Recommended</u> |
|-----------------------------------|----------------------------|-------------------------------|-------------------------------|----------------------------|---------------------------------|-------------------------------|----------------------------|---------------------------------|
| <i>Other Current Expenses</i> | | | | | | | | |
| Enhanced Employment Opportunities | 646,105 | 673,000 | 689,825 | 689,825 | 0 | 711,210 | 711,210 | 0 |
| TOTAL-General Fund | 646,105 | 673,000 | 689,825 | 689,825 | 0 | 711,210 | 711,210 | 0 |
| <i>Additional Funds Available</i> | | | | | | | | |
| Private Funds | 2,343,187 | 1,634,420 | 1,650,000 | 1,650,000 | 0 | 1,650,000 | 1,650,000 | 0 |
| TOTAL - All Funds | 2,989,292 | 2,307,420 | 2,339,825 | 2,339,825 | 0 | 2,361,210 | 2,361,210 | 0 |

Business Enterprises**MANAGEMENT SERVICES****Statutory Reference**

C.G.S. Sections 10-293, 10-294 and 10-298.

Statement of Need and Program Objectives

Management Services provides oversight to ensure the efficient and effective operation of programs and services to Connecticut's adult citizens who are legally blind or deaf and blind, and to children who are legally blind, deaf and blind or visually impaired.

Program Description

Management services includes oversight of strategic planning for the agency, budget development and program planning, general facility and resource support, communications and community relations, administrative data processing and telecommunications operations, affirmative action, procurement and inventory control.

Personnel Summary

| <i>Permanent Full-Time Positions</i> | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|--------------------------------------|------------------|---------------|---------------|--------------|------------------|--------------------|------------------|--------------------|
| | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| General Fund | 14 | 2 | 0 | 16 | 14 | 0 | 14 | 0 |

Financial Summary

| <i>(Net of Reimbursements)</i> | 2009-2010 <u>Actual</u> | 2010-2011 <u>Estimated</u> | 2011-2012 <u>Requested</u> | Current <u>Services</u> | 2011-2012 <u>Recommended</u> | 2012-2013 <u>Requested</u> | Current <u>Services</u> | 2012-2013 <u>Recommended</u> |
|---|----------------------------|-------------------------------|-------------------------------|----------------------------|---------------------------------|-------------------------------|----------------------------|---------------------------------|
| <i>Capital Outlay</i> | | | | | | | | |
| Equipment | 0 | 1 | 21,000 | 21,000 | 0 | 6,000 | 6,000 | 0 |
| <i>Pmts to Other Than Local Governments</i> | | | | | | | | |
| Connecticut Radio Information Service | 66,500 | 87,640 | 89,831 | 89,831 | 0 | 92,616 | 92,616 | 0 |
| TOTAL-General Fund | 1,560,080 | 1,943,885 | 2,162,035 | 2,116,335 | 0 | 2,137,064 | 2,089,192 | 0 |
| <i>Additional Funds Available</i> | | | | | | | | |
| Bond Funds | 18,598 | 6,328 | 7,000 | 7,000 | 0 | 8,000 | 8,000 | 0 |
| Private Funds | 105,015 | 115,010 | 149,850 | 149,850 | 0 | 101,550 | 101,550 | 0 |

Federal Contributions

| | | | | | | | | |
|--|-----------|-----------|-----------|-----------|---|-----------|-----------|---|
| 84169 Independent Living State Grants | 81,620 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84187 Supported Employment Services | 3,763 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84265 Rehabilitation Training State Vocation | 25,603 | 18,883 | 18,883 | 18,883 | 0 | 18,883 | 18,883 | 0 |
| 93667 Social Services Block Grant | 9,284 | 67,721 | 68,000 | 68,000 | 0 | 68,000 | 68,000 | 0 |
| TOTAL - All Funds | 1,803,963 | 2,151,827 | 2,405,768 | 2,360,068 | 0 | 2,333,497 | 2,285,625 | 0 |

Management Services

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | 2009-2010 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|--|---------------|------------------|------------------|--------------------|------------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| <u>Personal Services</u> | | | | | | |
| Permanent Fulltime Positions | 3,779,783 | 4,074,517 | 4,234,843 | 0 | 4,116,180 | 0 |
| Other Positions | 49,787 | 82,169 | 123,853 | 0 | 123,853 | 0 |
| Other | 49,285 | 117,969 | 128,503 | 0 | 120,616 | 0 |
| Overtime | 0 | 24,700 | 26,183 | 0 | 27,754 | 0 |
| TOTAL-Personal Services Gross | 3,878,855 | 4,299,355 | 4,513,382 | 0 | 4,388,403 | 0 |
| Less Reimbursements | | | | | | |
| Less Turnover | | | | | | |
| TOTAL-Personal Services Net | 3,878,855 | 4,299,355 | 4,513,382 | 0 | 4,388,403 | 0 |
| | 0 | 516 | 0 | 0 | 0 | 0 |
| <u>Other Expenses-Contractual Services</u> | | | | | | |
| Rentals, Storage and Leasing | 283,702 | 393,895 | 555,542 | 0 | 568,058 | 0 |
| Telecommunication Services | 38,330 | 55,895 | 57,293 | 0 | 59,069 | 0 |
| General Repairs | 30,202 | 41,933 | 42,982 | 0 | 44,314 | 0 |
| Motor Vehicle Expenses | 51,986 | 72,177 | 73,982 | 0 | 76,275 | 0 |
| Fees for Outside Professional Services | 3,105 | 4,311 | 4,419 | 0 | 4,556 | 0 |
| Fees for Non-Professional Services | 40,764 | 56,597 | 58,012 | 0 | 59,810 | 0 |
| DP Services, Rentals and Maintenance | 23,263 | 32,299 | 33,106 | 0 | 34,133 | 0 |
| Postage | 6,725 | 9,337 | 9,570 | 0 | 9,866 | 0 |
| Travel | 64,821 | 89,996 | 92,246 | 0 | 95,105 | 0 |
| Other Contractual Services | 2,000 | 2,776 | 2,846 | 0 | 2,934 | 0 |
| Printing & Binding | 1,710 | 2,374 | 2,433 | 0 | 2,508 | 0 |
| <u>Other Expenses-Commodities</u> | | | | | | |
| Books | 130 | 180 | 185 | 0 | 191 | 0 |
| Maintenance and Motor Vehicle Supplies | 16,529 | 22,948 | 24,263 | 0 | 25,079 | 0 |
| Office Supplies | 9,890 | 13,732 | 14,076 | 0 | 14,512 | 0 |
| <u>Other Expenses-Sundry</u> | | | | | | |
| Sundry - Other Items | 4,397 | 6,105 | 6,258 | 0 | 6,452 | 0 |
| TOTAL-Other Expenses Gross | 577,554 | 805,071 | 977,213 | 0 | 1,002,862 | 0 |
| Less Reimbursements | | | | | | |
| TOTAL-Other Expenses Net | 577,554 | 805,071 | 977,213 | 0 | 1,002,862 | 0 |
| <u>Other Current Expenses</u> | | | | | | |
| Educ Aid Blind/Visually Handicap Child | 4,633,458 | 4,633,943 | 4,906,490 | 0 | 4,973,133 | 0 |
| Enhanced Employment Opportunities | 646,105 | 673,000 | 689,825 | 0 | 711,210 | 0 |
| TOTAL-Other Current Expenses | 5,279,563 | 5,306,943 | 5,596,315 | 0 | 5,684,343 | 0 |
| <u>Pmts to Other Than Local Govts</u> | | | | | | |
| Supplementary Relief and Services | 66,538 | 103,925 | 106,523 | 0 | 109,825 | 0 |
| Vocational Rehabilitation | 890,454 | 890,454 | 912,715 | 0 | 941,009 | 0 |
| Special Training for the Deaf Blind | 246,823 | 298,585 | 306,050 | 0 | 315,538 | 0 |
| Connecticut Radio Information Service | 66,500 | 87,640 | 89,831 | 0 | 92,616 | 0 |
| TOTAL-Pmts to Other Than Local Govts | 1,270,315 | 1,380,604 | 1,415,119 | 0 | 1,458,988 | 0 |

Budget-in-Detail

| <i>Character & Major Object Summary</i> | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|---|-------------------|-------------------|-------------------|-------------------|--------------------|-------------------|-------------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| Personal Services Net | 3,878,855 | 4,299,355 | 4,513,382 | 4,592,999 | 0 | 4,388,403 | 4,459,928 | 0 |
| Other Expenses Net | 577,554 | 805,071 | 977,213 | 877,213 | 0 | 1,002,862 | 902,862 | 0 |
| Capital Outlay | 0 | 1 | 21,000 | 21,000 | 0 | 6,000 | 6,000 | 0 |
| Other Current Expenses | 5,279,563 | 5,306,943 | 5,596,315 | 5,596,315 | 0 | 5,684,343 | 5,684,343 | 0 |
| Payments to Other Than Local Governments | 1,270,315 | 1,380,604 | 1,415,119 | 1,415,119 | 0 | 1,458,988 | 1,458,988 | 0 |
| TOTAL-General Fund Net | <u>11,006,287</u> | <u>11,791,974</u> | <u>12,523,029</u> | <u>12,502,646</u> | <u>0</u> | <u>12,540,596</u> | <u>12,512,121</u> | <u>0</u> |
| <i><u>Additional Funds Available</u></i> | | | | | | | | |
| Federal and Other Activities | 4,666,707 | 4,545,425 | 4,979,383 | 4,979,383 | 0 | 3,753,746 | 3,753,746 | 0 |
| Bond Funds | 18,598 | 6,328 | 7,000 | 7,000 | 0 | 8,000 | 8,000 | 0 |
| Private Funds | 2,448,904 | 1,749,430 | 1,821,850 | 1,821,850 | 0 | 1,821,550 | 1,821,550 | 0 |
| TOTAL-All Funds Net | <u>18,140,496</u> | <u>18,093,157</u> | <u>19,331,262</u> | <u>19,310,879</u> | <u>0</u> | <u>18,123,892</u> | <u>18,095,417</u> | <u>0</u> |

COMMISSION ON THE DEAF & HEARING IMPAIRED

AGENCY DESCRIPTION

The Commission on the Deaf and Hearing Impaired (CDHI) advocates, strengthens and implements state policies affecting deaf and hard of hearing individuals and their interactions and relationships with the public, industry, health care and educational sectors.

CDHI provides counseling, employment support, case management, interpreting services, outreach, advocacy and in service training

programs to consumers and their families as a means of enhancing their abilities and broadening their opportunities.

CDHI also provides liaison support, assistance, education and training to primary consumers - deaf and hard of hearing individuals, and to secondary consumers - business, industry, education and health care providers and state, local and federal agencies, as well as the general public.

The Commission on the Deaf and Hearing Impaired is recommended for consolidation with the Department of Social Services in the Governor's budget as part of his proposal to restructure state government.

RECOMMENDED SIGNIFICANT CHANGES

| Reductions to Current Services | 2011-2012 | 2012-2013 |
|--|------------------|------------------|
| • Fund Equipment Through CEPF | -6,399 | 0 |
| • Remove or Limit Inflation | -3,138 | -7,116 |
| • Restructure State Government | -177,000 | -170,000 |
| <i>The agency is consolidated with the Department of Social Services. Personal Services savings are anticipated from the consolidation of operating functions resulting from the merger.</i> | | |
| Reallocations or Transfers | | |
| • Restructure State Government | -618,147 | -608,708 |
| <i>The agency is consolidated with the Department of Social Services.</i> | | |

AGENCY PROGRAMS

| Personnel Summary | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|---|------------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| <i>Permanent Full-Time Positions</i> | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| General Fund | 7 | 1 | -1 | 7 | 7 | 0 | 7 | 0 |
| Federal Contributions | 2 | 0 | 0 | 2 | 2 | 0 | 2 | 0 |
| Agency Programs by Total Funds (Net of Reimbursements) | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| Serv for Deaf & Hard of Hearing | 712,630 | 1,112,837 | 1,018,432 | 1,012,752 | 0 | 1,009,455 | 1,004,344 | 0 |
| TOTAL Agency Programs - All Funds Gross | 712,630 | 1,112,837 | 1,018,432 | 1,012,752 | 0 | 1,009,455 | 1,004,344 | 0 |
| Less Turnover | | | | | | | | |
| TOTAL Agency Programs - All Funds Net | 712,630 | 1,112,837 | 1,018,432 | 1,012,752 | 0 | 1,009,455 | 1,004,344 | 0 |
| Summary of Funding | | | | | | | | |
| General Fund Net | 592,111 | 913,299 | 810,364 | 804,684 | 0 | 790,935 | 785,824 | 0 |
| Federal and Other Activities | 118,419 | 195,064 | 206,100 | 206,100 | 0 | 216,405 | 216,405 | 0 |
| Private Funds | 2,100 | 4,474 | 1,968 | 1,968 | 0 | 2,115 | 2,115 | 0 |
| TOTAL Agency Programs - All Funds Net | 712,630 | 1,112,837 | 1,018,432 | 1,012,752 | 0 | 1,009,455 | 1,004,344 | 0 |

SERVICES FOR THE DEAF AND HARD OF HEARING

Statutory Reference

C.G.S. Sections 46a-27 through 46a-33.

Statement of Need and Program Objectives

To increase self-sufficiency, employability and independence of individuals who are deaf and hard of hearing through the provision of interpreting and counseling services.

Interpreting services make it possible for the residents of Connecticut who have a hearing disability to obtain equal communication access within the community.

Program Description

The Administrative Management Unit is responsible for maintaining the registration of all interpreters who work for compensation throughout the State of Connecticut. It also provides legislative and constituent advocacy and coordination of services with other state agencies and the private sector.

The Interpreting Unit provides Certified Interpreting Services for individuals who are deaf and hard of hearing in situations involving the person's legal and constitutional rights, health, safety, employment and educational opportunities.

The Counseling Unit provides individual, marital, family and group counseling, crisis intervention and consultation services.

Budget-in-Detail

Personnel Summary

| | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|--------------------------------------|------------------|---------------|---------------|--------------|------------------|--------------------|------------------|--------------------|
| | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| <i>Permanent Full-Time Positions</i> | | | | | | | | |
| General Fund | 7 | 1 | -1 | 7 | 7 | 0 | 7 | 0 |
| Federal Contributions | 2 | 0 | 0 | 2 | 2 | 0 | 2 | 0 |

Financial Summary

| | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|-----------------------------------|----------------|------------------|------------------|------------------|--------------------|------------------|------------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| <i>(Net of Reimbursements)</i> | | | | | | | | |
| Personal Services | 410,914 | 471,155 | 480,301 | 474,706 | 0 | 466,893 | 461,875 | 0 |
| Other Expenses | 115,892 | 125,199 | 128,422 | 128,337 | 0 | 132,409 | 132,315 | 0 |
| <u>Capital Outlay</u> | | | | | | | | |
| Equipment | 0 | 1 | 6,400 | 6,400 | 0 | 0 | 1 | 0 |
| <u>Other Current Expenses</u> | | | | | | | | |
| Part-Time Interpreters | 65,305 | 316,944 | 195,241 | 195,241 | 0 | 191,633 | 191,633 | 0 |
| TOTAL-General Fund | 592,111 | 913,299 | 810,364 | 804,684 | 0 | 790,935 | 785,824 | 0 |
| <u>Additional Funds Available</u> | | | | | | | | |
| Private Funds | 2,100 | 4,474 | 1,968 | 1,968 | 0 | 2,115 | 2,115 | 0 |
| Federal Contributions | | | | | | | | |
| 93667 Social Services Block Grant | 118,419 | 195,064 | 206,100 | 206,100 | 0 | 216,405 | 216,405 | 0 |
| TOTAL - All Funds | 712,630 | 1,112,837 | 1,018,432 | 1,012,752 | 0 | 1,009,455 | 1,004,344 | 0 |

Serv for Deaf & Hard of Hearing

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object

| | 2009-2010 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|--|----------------|------------------|------------------|--------------------|------------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| <u>Personal Services</u> | | | | | | |
| Permanent Fulltime Positions | 389,766 | 438,279 | 451,502 | 0 | 438,656 | 0 |
| Other Positions | 8,575 | 0 | 0 | 0 | 0 | 0 |
| Other | 12,064 | 32,367 | 28,279 | 0 | 27,728 | 0 |
| Overtime | 509 | 509 | 520 | 0 | 509 | 0 |
| TOTAL-Personal Services Gross | 410,914 | 471,155 | 480,301 | 0 | 466,893 | 0 |
| Less Reimbursements | | | | | | |
| Less Turnover | | | | | | |
| TOTAL-Personal Services Net | 410,914 | 471,155 | 480,301 | 0 | 466,893 | 0 |
| <u>Other Expenses-Contractual Services</u> | | | | | | |
| Rentals, Storage and Leasing | 85,487 | 92,367 | 94,677 | 0 | 97,612 | 0 |
| Telecommunication Services | 21,304 | 18,452 | 13,384 | 0 | 13,804 | 0 |
| General Repairs | 137 | 148 | 152 | 0 | 157 | 0 |
| Motor Vehicle Expenses | 232 | 0 | 4,008 | 0 | 4,132 | 0 |
| Fees for Outside Professional Services | 816 | 881 | 903 | 0 | 931 | 0 |
| Fees for Non-Professional Services | 460 | 497 | 509 | 0 | 525 | 0 |
| Postage | 3,563 | 4,846 | 4,967 | 0 | 5,121 | 0 |
| Travel | 476 | 4,317 | 4,425 | 0 | 4,562 | 0 |
| Other Contractual Services | 324 | 350 | 359 | 0 | 370 | 0 |
| Printing & Binding | 249 | 369 | 378 | 0 | 390 | 0 |
| <u>Other Expenses-Commodities</u> | | | | | | |
| Agriculture, Horticulture, Dairy & Food | 34 | 37 | 38 | 0 | 39 | 0 |
| Maintenance and Motor Vehicle Supplies | 91 | 0 | 1,618 | 0 | 1,674 | 0 |
| Office Supplies | 2,554 | 2,757 | 2,826 | 0 | 2,914 | 0 |
| <u>Other Expenses-Sundry</u> | | | | | | |
| Sundry - Other Items | 165 | 178 | 178 | 0 | 178 | 0 |
| TOTAL-Other Expenses Gross | 115,892 | 125,199 | 128,422 | 0 | 132,409 | 0 |
| Less Reimbursements | | | | | | |
| TOTAL-Other Expenses Net | 115,892 | 125,199 | 128,422 | 0 | 132,409 | 0 |

Other Current Expenses

| | | | | | | |
|------------------------------|---------------|----------------|----------------|----------|----------------|----------|
| Part-Time Interpreters | 65,305 | 316,944 | 195,241 | 0 | 191,633 | 0 |
| TOTAL-Other Current Expenses | <u>65,305</u> | <u>316,944</u> | <u>195,241</u> | <u>0</u> | <u>191,633</u> | <u>0</u> |

Character & Major Object Summary

| | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|------------------------|----------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| Personal Services Net | 410,914 | 471,155 | 480,301 | 474,706 | 0 | 466,893 | 461,875 | 0 |
| Other Expenses Net | 115,892 | 125,199 | 128,422 | 128,337 | 0 | 132,409 | 132,315 | 0 |
| Capital Outlay | 0 | 1 | 6,400 | 6,400 | 0 | 0 | 1 | 0 |
| Other Current Expenses | 65,305 | 316,944 | 195,241 | 195,241 | 0 | 191,633 | 191,633 | 0 |
| TOTAL-General Fund Net | <u>592,111</u> | <u>913,299</u> | <u>810,364</u> | <u>804,684</u> | <u>0</u> | <u>790,935</u> | <u>785,824</u> | <u>0</u> |

Additional Funds Available

| | | | | | | | | |
|------------------------------|----------------|------------------|------------------|------------------|----------|------------------|------------------|----------|
| Federal and Other Activities | 118,419 | 195,064 | 206,100 | 206,100 | 0 | 216,405 | 216,405 | 0 |
| Private Funds | 2,100 | 4,474 | 1,968 | 1,968 | 0 | 2,115 | 2,115 | 0 |
| TOTAL-All Funds Net | <u>712,630</u> | <u>1,112,837</u> | <u>1,018,432</u> | <u>1,012,752</u> | <u>0</u> | <u>1,009,455</u> | <u>1,004,344</u> | <u>0</u> |

CONNECTICUT STATE LIBRARY

AGENCY DESCRIPTION

The mission of the Connecticut State Library (CSL) is to provide high quality library and information services to state government and the citizens of Connecticut. The CSL preserves and makes accessible the records of Connecticut's history and heritage and promotes the development and growth of high quality information services in order to advance the development of library services statewide.

Energy Conservation Statement

The Library does not have a stated energy conservation goal. However, it has commissioned a study of the energy needs of its vault space at the State Records Center. Regular maintenance of HVAC systems is planned in the next biennium. This is a continuation of past efforts made.

AGENCY PROGRAM INDEX

| | | | |
|-------------------------------|-----|---|-----|
| The Library | 417 | State Archives and Office of Public Records | 419 |
| Library Development | 417 | Administrative Services Group | 420 |
| Museum of Connecticut History | 418 | | |

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

| | 2011-2012 | 2012-2013 |
|--|-----------|-----------|
| • Fund Equipment Through CEPP | -110,499 | -249,999 |
| • Remove or Limit Inflation | -171,499 | -387,330 |
| • Eliminate Funding for the Info Anytime Program | -42,500 | -42,500 |
| • Eliminate Funding for the Interlibrary Loan Delivery Service | -281,392 | -273,594 |
| • Reduce Connecticut Payments | -704,483 | -704,483 |

AGENCY PROGRAMS

| <i>Personnel Summary</i> | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|---|------------------|------------|------------|------------|-------------|-------------|------------|-------------|
| | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| <i>Permanent Full-Time Positions</i> | | | | | | | | |
| General Fund | 58 | 14 | -11 | 61 | 69 | 55 | 69 | 55 |
| Private Funds | 1 | 1 | 0 | 2 | 2 | 0 | 2 | 0 |
| | | | | | | | | |
| <i>Other Positions Equated to Full Time</i> | | | 2009-2010 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
| | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| General Fund | | | 24 | 24 | 24 | 24 | 24 | 24 |
| Federal Contributions | | | 1 | 2 | 0 | 0 | 0 | 0 |
| | | | | | | | | |
| <i>Agency Programs by Total Funds (Net of Reimbursements)</i> | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| The Library | 2,463,847 | 2,489,176 | 822,566 | 740,960 | 740,960 | 826,058 | 717,322 | 717,322 |
| Library Development | 7,801,168 | 8,742,831 | 10,513,794 | 10,264,929 | 9,108,899 | 10,599,747 | 10,375,667 | 9,040,227 |
| Museum of Connecticut History | 879,734 | 927,351 | 808,630 | 791,503 | 791,434 | 802,622 | 786,664 | 786,517 |
| State Archives and Office of Public Records | 467,780 | 525,019 | 116,422 | 104,872 | 104,872 | 118,537 | 101,526 | 101,526 |
| Administrative Services Group | 2,411,989 | 2,542,539 | 4,520,304 | 4,178,602 | 4,024,328 | 4,531,121 | 4,172,361 | 3,850,042 |
| TOTAL Agency Programs - All Funds Gross | 14,024,518 | 15,226,916 | 16,781,716 | 16,080,866 | 14,770,493 | 16,878,085 | 16,153,540 | 14,495,634 |
| Less Turnover | 0 | 0 | 0 | -117,303 | -117,303 | 0 | -117,303 | -117,303 |
| TOTAL Agency Programs - All Funds Net | 14,024,518 | 15,226,916 | 16,781,716 | 15,963,563 | 14,653,190 | 16,878,085 | 16,036,237 | 14,378,331 |
| <i>Summary of Funding</i> | | | | | | | | |
| General Fund Net | 10,911,205 | 12,184,654 | 13,783,341 | 12,965,188 | 11,654,815 | 13,967,460 | 13,125,612 | 11,467,706 |
| Federal and Other Activities | 1,887,172 | 1,976,118 | 1,920,000 | 1,920,000 | 1,920,000 | 1,920,000 | 1,920,000 | 1,920,000 |
| Private Funds | 1,226,141 | 1,066,144 | 1,078,375 | 1,078,375 | 1,078,375 | 990,625 | 990,625 | 990,625 |
| TOTAL Agency Programs - All Funds Net | 14,024,518 | 15,226,916 | 16,781,716 | 15,963,563 | 14,653,190 | 16,878,085 | 16,036,237 | 14,378,331 |

THE LIBRARY

Statutory Reference

Sections 11-1a, 11-3, 11-4, 11-9c, 11-9d, 11-10a thru 11-19c.

Statement of Need and Program Objectives

To provide comprehensive library information in the areas of law and legislation; public administration and policy; state, federal and local government; Connecticut history, genealogy, newspapers, and the State's archives to state government decision-makers and to the citizens of the state.

Program Description

The Library provides information services to state government and the public by acquiring and organizing local, state and federal documents, legal and legislative resources, newspaper, historical, genealogical and special format resource collections; by using the latest technologies to classify, index, search, retrieve and deliver the information contained in these collections to the citizens of the state.

Access Services Group: Government Information Unit organizes and delivers information services to state government and citizens by developing public policy collections; managing the U.S. document depository system of 29 libraries in Connecticut and Rhode Island; administering a Connecticut documents network of 12 libraries

throughout the state; and identifying and adding electronic publications to the Connecticut Digital Archive.

Access Services Group: History and Genealogy Unit collects information resources related to the history of Connecticut and New England; assists clients performing historical and genealogical research and provides access services including reference, retrieval and shelving for the State Archives collection.

Access Services Group: Law/Legislative Unit serves as the law library for state government agencies and the Supreme Court; paginates, indexes and provides access to the transcripts of the General Assembly; provides legislative bill status information for state agencies and the public; indexes all General Assembly bills and maintains a permanent file of all proposed legislation.

Library for the Blind and Physically Handicapped circulates talking books, tape players and Braille materials to over 10,000 Connecticut citizens each year and provides toll-free reader advisory assistance and information services.

Discovery & Delivery Services Group and Collection Services Group manage the acquisition, classification and processing of materials for all agency collections; and repairs and preserves library materials, including archives, manuscripts, maps, photographs and government documents.

| <i>Program Measure</i> | 2009-2010 Actual | 2010-2011 Estimated | 2011-2012 Projected | 2012-2013 Projected |
|-------------------------------|---------------------|------------------------|------------------------|------------------------|
| Items Added to the Collection | 67,975 | 63,896 | 74,000 | 80,000 |
| Patron Visits | 50,000 | 50,000 | 50,000 | 50,000 |

Personnel Summary

| | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|-------------------------------|------------------|---------------|---------------|--------------|------------------|--------------------|------------------|--------------------|
| | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| Permanent Full-Time Positions | | | | | | | | |
| General Fund | 23 | 9 | -2 | 30 | 0 | 25 | 0 | 25 |

Other Positions Equated to Full Time

| | 2009-2010 <u>Actual</u> | 2010-2011 <u>Estimated</u> | 2011-2012 <u>Requested</u> | 2011-2012 <u>Recommended</u> | 2012-2013 <u>Requested</u> | 2012-2013 <u>Recommended</u> |
|--------------|----------------------------|-------------------------------|-------------------------------|---------------------------------|-------------------------------|---------------------------------|
| General Fund | 16 | 16 | 16 | 16 | 16 | 16 |

Financial Summary

| | 2009-2010 <u>Actual</u> | 2010-2011 <u>Estimated</u> | 2011-2012 <u>Requested</u> | Current <u>Services</u> | 2011-2012 <u>Recommended</u> | 2012-2013 <u>Requested</u> | Current <u>Services</u> | 2012-2013 <u>Recommended</u> |
|-------------------------|----------------------------|-------------------------------|-------------------------------|----------------------------|---------------------------------|-------------------------------|----------------------------|---------------------------------|
| (Net of Reimbursements) | | | | | | | | |
| Personal Services | 2,463,847 | 2,489,176 | 822,566 | 740,960 | 740,960 | 826,058 | 717,322 | 717,322 |
| TOTAL-General Fund | 2,463,847 | 2,489,176 | 822,566 | 740,960 | 740,960 | 826,058 | 717,322 | 717,322 |
| The Library | | | | | | | | |

LIBRARY DEVELOPMENT

Statutory Reference

Sections 4d-80(C), 4d-82, 11-1a, 11-2a, 11-9c thru 11-9f, 11-23a thru 11-26.

Statement of Need and Program Objectives

The Division of Library Development provides leadership, funding, education and statewide services that enhance a local library's ability to deliver high-quality library service to the community. The State Library, through this division, administers the federal Library Services and Technology Act.

Program Description

iCONN, www.iconn.org the Connecticut Research Engine, provides all students, faculty and residents with online access to essential library and information resources. Through iCONN, a core level of information resources, including secured access to licensed databases, is available to every citizen in Connecticut. In FY 2010 Connecticut residents and students viewed a full text article or other resource 8,158,172 times. iCONN also provides web access to a statewide catalog of library holdings and interlibrary loan services. In FY 2010 the catalog was searched over 2.3 million times and over 200 libraries lent 130,448 items through the system. The catalog contains records of 22.1 million items in Connecticut libraries.

Budget-in-Detail

Connecticut is a statewide delivery service for library materials. Handling over 2.75 million items each year and is the backbone of resource sharing among Connecticut's public and academic libraries. *Connecticard* is a cooperative program among the state's public libraries, administered by the State Library under Section 11-31 of the General Statutes of Connecticut that allows any resident of the state to use the borrower card issued by his or her home public library to borrow from any other public library in the state. The division administers an annual grant program to reimburse libraries

for services to non-residents. In FY 2010 Connecticut citizens borrowed 4.9 million items through Connecticut.

Library Service Centers support the development of Connecticut public and school libraries by providing training, consultation and professional materials; providing access to essential library resources and maximizing local library funding through resource sharing.

Public Library Construction grant program provides funds for public library construction and consulting assistance to libraries on space planning, accessibility and building programs.

| <i>Program Measure</i> | 2009-2010 Actual | 2010-2011 Estimated | 2011-2012 Projected | 2012-2013 Projected |
|-----------------------------------|---------------------|------------------------|------------------------|------------------------|
| Page Views on ICONN | 11,997,358 | 13,796,961 | 14,500,000 | 16,000,000 |
| Library Staff Trained (Statewide) | 2,586 | 2,716 | 2,800 | 2,900 |

Personnel Summary

Permanent Full-Time Positions

| | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|--------------|------------------|--------|-----------|-----------|-----------|-------------|-----------|-------------|
| | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 13 | 1 | -2 | 12 | 33 | 8 | 33 | 8 |

Other Positions Equated to Full Time

| | 2009-2010 Actual | 2010-2011 Estimated | 2011-2012 Requested | 2011-2012 Recommended | 2012-2013 Requested | 2012-2013 Recommended |
|-----------------------|---------------------|------------------------|------------------------|--------------------------|------------------------|--------------------------|
| General Fund | 3 | 3 | 3 | 3 | 3 | 3 |
| Federal Contributions | 1 | 2 | 0 | 0 | 0 | 0 |

Financial Summary

(Net of Reimbursements)

| | 2009-2010 Actual | 2010-2011 Estimated | 2011-2012 Requested | Current Services | 2011-2012 Recommended | 2012-2013 Requested | Current Services | 2012-2013 Recommended |
|-------------------------------------|---------------------|------------------------|------------------------|---------------------|--------------------------|------------------------|---------------------|--------------------------|
| Personal Services | 716,107 | 783,833 | 2,389,686 | 2,152,608 | 2,152,608 | 2,302,264 | 2,083,936 | 2,083,936 |
| Other Expenses | 113,301 | 141,956 | 145,503 | 136,847 | 132,800 | 150,013 | 141,372 | 132,800 |
| <i>Other Current Expenses</i> | | | | | | | | |
| State-Wide Digital Library | 1,523,806 | 1,973,516 | 2,022,854 | 2,022,854 | 1,973,516 | 2,085,562 | 2,085,562 | 1,973,516 |
| Interlibrary Loan Delivery Service | 241,454 | 245,434 | 265,709 | 262,578 | 0 | 253,559 | 256,448 | 0 |
| Legal/Legislative Library Materials | 931,512 | 1,083,000 | 1,110,075 | 1,110,075 | 1,083,000 | 1,144,487 | 1,144,487 | 1,083,000 |
| State-Wide Data Base Program | 575,506 | 674,696 | 691,563 | 691,563 | 674,696 | 713,001 | 713,001 | 674,696 |
| Info Anytime | 4,208 | 42,500 | 43,563 | 43,563 | 0 | 44,913 | 44,913 | 0 |

Pmts to Other Than Local Governments

| | | | | | | | | |
|--|---------|---------|---------|---------|---------|---------|---------|---------|
| Support Cooperating Library Serv Units | 265,611 | 350,000 | 358,750 | 358,750 | 350,000 | 369,871 | 369,871 | 350,000 |
|--|---------|---------|---------|---------|---------|---------|---------|---------|

Pmts to Local Governments

| | | | | | | | | |
|----------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| Grants to Public Libraries | 347,109 | 347,109 | 355,787 | 355,787 | 347,109 | 366,816 | 366,816 | 347,109 |
| Connecticard Payments | 1,226,028 | 1,226,028 | 1,256,679 | 1,256,679 | 521,545 | 1,295,636 | 1,295,636 | 521,545 |
| TOTAL-General Fund | 5,944,642 | 6,868,072 | 8,640,169 | 8,391,304 | 7,235,274 | 8,726,122 | 8,502,042 | 7,166,602 |

Additional Funds Available

| | | | | | | | | |
|---------------|--------|--------|--------|--------|--------|--------|--------|--------|
| Private Funds | 76,647 | 24,759 | 23,625 | 23,625 | 23,625 | 23,625 | 23,625 | 23,625 |
|---------------|--------|--------|--------|--------|--------|--------|--------|--------|

Federal Contributions

| | | | | | | | | |
|-------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| 84034 Public Library Services | 1,779,879 | 1,850,000 | 1,850,000 | 1,850,000 | 1,850,000 | 1,850,000 | 1,850,000 | 1,850,000 |
|-------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|

| | | | | | | | | |
|-------------------|-----------|-----------|------------|------------|-----------|------------|------------|-----------|
| TOTAL - All Funds | 7,801,168 | 8,742,831 | 10,513,794 | 10,264,929 | 9,108,899 | 10,599,747 | 10,375,667 | 9,040,227 |
|-------------------|-----------|-----------|------------|------------|-----------|------------|------------|-----------|

Library Development

MUSEUM OF CONNECTICUT HISTORY

Statutory Reference

Sections 11-6a.

Statement of Need and Program Objectives

To connect the experience of residents and museum visitors to the artifacts, images and documents of the past, increasing awareness of and pride in the state's political, military and industrial history and building commitment to preserving and sharing its cultural heritage.

Program Description

The Raymond E. Baldwin Museum of Connecticut History and Heritage collects, preserves and exhibits artifacts relevant to the political, industrial and military history of Connecticut from the colonial era to the present. Through permanent, temporary and traveling exhibitions, the museum provides its 28,000 annual visitors the opportunity to explore a wide variety of topics in Connecticut history emphasizing original objects, images and written materials.

| <i>Program Measure</i> | 2009-2010 Actual | 2010-2011 Estimated | 2011-2012 Projected | 2012-2013 Projected |
|------------------------|---------------------|------------------------|------------------------|------------------------|
| Museum Visitors | 28,000 | 28,500 | 28,500 | 29,000 |

| <i>Personnel Summary</i> | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|---|------------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| <i>Permanent Full-Time Positions</i> | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| General Fund | 3 | 1 | -3 | 1 | 2 | 3 | 2 | 3 |
| <i>Financial Summary</i> | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
| (Net of Reimbursements) | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| Personal Services | 243,846 | 247,971 | 171,131 | 154,153 | 154,153 | 165,046 | 149,236 | 149,236 |
| Other Expenses | 1,946 | 2,438 | 2,499 | 2,350 | 2,281 | 2,576 | 2,428 | 2,281 |
| TOTAL-General Fund | 245,792 | 250,409 | 173,630 | 156,503 | 156,434 | 167,622 | 151,664 | 151,517 |
| <u><i>Additional Funds Available</i></u> | | | | | | | | |
| Private Funds | 618,611 | 629,635 | 635,000 | 635,000 | 635,000 | 635,000 | 635,000 | 635,000 |
| Federal Contributions | | | | | | | | |
| 89003 National Historical Publications and Records Grants | 15,331 | 47,307 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - All Funds | 879,734 | 927,351 | 808,630 | 791,503 | 791,434 | 802,622 | 786,664 | 786,517 |

Museum of Connecticut History

STATE ARCHIVES AND OFFICE OF PUBLIC RECORDS

Statutory Reference

Sections 1-7 through 1-18,4-193, 7-14, 7-22a, 7-23 thru 7-32, 7-109, 7-110, 11-1c, 11-4c, 11-6, 11-6a, 11-8 to 11-8n, 45a-10.

Statement of Need and Program Objectives

Serves as the Public Records Office and the Archives for the state of Connecticut with responsibility for managing and preserving the state's historical record.

Program Description

The public records and archival program addresses the life cycle of public records from inception through access to preservation and storage.

Public Records preserves and conserves state and local historical documents and vital records essential to the conduct of government business; develops records management standards for state and local government agencies and administers the Historic Documents Preservation Grant program.

State Archives appraises, acquires, organizes, preserves and makes available for research records of Connecticut state and local governments and maintains a collection of non-governmental records that document Connecticut history.

| <i>Personnel Summary</i> | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|---|------------------|------------------|----------------------------|-------------------------------|-------------------------------|---------------------------------|-------------------------------|---------------------------------|
| <i>Permanent Full-Time Positions</i> | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| General Fund | 6 | 1 | -2 | 5 | 0 | 6 | 0 | 6 |
| <i>Other Positions Equated to Full Time</i> | | | | | | | | |
| General Fund | | | 2009-2010 <u>Actual</u> | 2010-2011 <u>Estimated</u> | 2011-2012 <u>Requested</u> | 2011-2012 <u>Recommended</u> | 2012-2013 <u>Requested</u> | 2012-2013 <u>Recommended</u> |
| | | | 2 | 2 | 2 | 2 | 2 | 2 |
| <i>Financial Summary</i> | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
| (Net of Reimbursements) | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| Personal Services | 467,780 | 525,019 | 116,422 | 104,872 | 104,872 | 118,537 | 101,526 | 101,526 |
| TOTAL-General Fund | 467,780 | 525,019 | 116,422 | 104,872 | 104,872 | 118,537 | 101,526 | 101,526 |

State Archives and Office of Public Records

ADMINISTRATIVE SERVICES GROUP

Statutory Reference

Sections 4-24, 11-1 thru 11-43.

Statement of Need and Program Objectives

To ensure that the State Library's services and mandates are provided in the most efficient and productive manner.

Program Description

Under the immediate supervision of the State Librarian and Director of Administrative Services a group of offices and operational support services monitor the agency's programs, provide overall direction, strategic planning, financial, human resource management, and information technology and maintain the highest possible standards for effective operation.

Personnel Summary

| | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|---|------------------|--------|-----------|-----------|-----------|-------------|-----------|-------------|
| | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| <i>Permanent Full-Time Positions</i> | | | | | | | | |
| General Fund | 13 | 2 | -2 | 13 | 34 | 13 | 34 | 13 |
| Private Funds | 1 | 1 | 0 | 2 | 2 | 0 | 2 | 0 |
| <i>Other Positions Equated to Full Time</i> | | | | | | | | |
| General Fund | | | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| | | | 3 | 3 | 3 | 3 | 3 | 3 |

Financial Summary

| | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|------------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| (Net of Reimbursements) | | | | | | | | |
| Personal Services | 1,079,103 | 1,168,260 | 3,011,293 | 2,712,547 | 2,712,547 | 2,903,671 | 2,626,011 | 2,626,011 |
| Other Expenses | 515,263 | 672,717 | 692,486 | 651,291 | 632,030 | 715,471 | 674,260 | 632,030 |
| <u>Capital Outlay</u> | | | | | | | | |
| Equipment | 0 | 1 | 110,500 | 110,500 | 1 | 287,000 | 250,000 | 1 |
| <u>Other Current Expenses</u> | | | | | | | | |
| State-Wide Digital Library | 40,092 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Interlibrary Loan Delivery Service | 22,246 | 21,000 | 21,525 | 19,764 | 0 | 22,192 | 19,303 | 0 |
| Computer Access | 132,440 | 190,000 | 194,750 | 194,750 | 190,000 | 200,787 | 200,787 | 190,000 |
| TOTAL-General Fund | 1,789,144 | 2,051,978 | 4,030,554 | 3,688,852 | 3,534,578 | 4,129,121 | 3,770,361 | 3,448,042 |
| <u>Additional Funds Available</u> | | | | | | | | |
| Private Funds | 530,883 | 411,750 | 419,750 | 419,750 | 419,750 | 332,000 | 332,000 | 332,000 |
| Federal Contributions | | | | | | | | |
| 45312 National Leadership Grants | 23,376 | 8,811 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84034 Public Library Services | 68,586 | 70,000 | 70,000 | 70,000 | 70,000 | 70,000 | 70,000 | 70,000 |
| TOTAL - All Funds | 2,411,989 | 2,542,539 | 4,520,304 | 4,178,602 | 4,024,328 | 4,531,121 | 4,172,361 | 3,850,042 |

Administrative Services Group

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | 2009-2010 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|--|-----------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| <u>Personal Services</u> | | | | | | |
| Permanent Fulltime Positions | 3,843,954 | 4,053,325 | 5,226,103 | 4,580,145 | 5,076,823 | 4,443,307 |
| Other Positions | 1,063,000 | 1,093,198 | 1,157,519 | 1,157,519 | 1,161,548 | 1,157,519 |
| Other | 58,694 | 59,206 | 119,926 | 119,926 | 70,005 | 70,005 |
| Overtime | 5,035 | 8,530 | 7,550 | 7,550 | 7,200 | 7,200 |
| TOTAL-Personal Services Gross | 4,970,683 | 5,214,259 | 6,511,098 | 5,865,140 | 6,315,576 | 5,678,031 |
| Less Reimbursements | | | | | | |
| Less Turnover | 0 | 0 | 0 | -117,303 | 0 | -117,303 |
| TOTAL-Personal Services Net | 4,970,683 | 5,214,259 | 6,511,098 | 5,747,837 | 6,315,576 | 5,560,728 |
| <u>Other Expenses-Contractual Services</u> | | | | | | |
| Dues and Subscriptions | 9,000 | 13,782 | 14,127 | 12,942 | 14,565 | 12,942 |
| Utility Services | 65,381 | 81,918 | 85,890 | 76,812 | 89,549 | 76,812 |
| Rentals, Storage and Leasing | 165,368 | 218,468 | 223,929 | 205,146 | 230,871 | 205,146 |
| Telecommunication Services | 56,367 | 73,129 | 74,957 | 68,671 | 77,281 | 68,671 |
| General Repairs | 54,238 | 69,208 | 70,937 | 64,988 | 73,137 | 64,988 |
| Motor Vehicle Expenses | 11,433 | 14,324 | 14,682 | 13,451 | 15,137 | 13,451 |
| Fees for Outside Professional Services | 3,583 | 4,489 | 4,601 | 4,215 | 4,744 | 4,215 |

| | | | | | | |
|--|-----------|-----------|-----------|-----------|-----------|-----------|
| Fees for Non-Professional Services | 44,512 | 55,769 | 57,163 | 52,369 | 58,935 | 52,369 |
| DP Services, Rentals and Maintenance | 129,351 | 167,903 | 172,101 | 157,664 | 177,435 | 157,664 |
| Postage | 17,554 | 23,246 | 23,827 | 21,828 | 24,566 | 21,828 |
| Travel | 4,510 | 5,651 | 5,792 | 5,306 | 5,972 | 5,306 |
| Other Contractual Services | 6,010 | 7,529 | 7,718 | 7,071 | 7,957 | 7,071 |
| Printing & Binding | 3,694 | 4,628 | 4,743 | 4,346 | 4,890 | 4,346 |
| <u>Other Expenses-Commodities</u> | | | | | | |
| Agriculture, Horticulture, Dairy & Food | 1,640 | 2,055 | 2,092 | 1,931 | 2,130 | 1,931 |
| Maintenance and Motor Vehicle Supplies | 4,192 | 5,251 | 5,382 | 4,930 | 5,548 | 4,930 |
| Fuel | 34,743 | 43,530 | 45,663 | 40,814 | 47,626 | 40,814 |
| Office Supplies | 18,611 | 25,826 | 26,469 | 24,247 | 27,289 | 24,247 |
| Refunds of Expenditures Not Otherwise Classified | 323 | 405 | 415 | 380 | 428 | 380 |
| TOTAL-Other Expenses Gross | 630,510 | 817,111 | 840,488 | 767,111 | 868,060 | 767,111 |
| Less Reimbursements | | | | | | |
| TOTAL-Other Expenses Net | 630,510 | 817,111 | 840,488 | 767,111 | 868,060 | 767,111 |
| <u>Other Current Expenses</u> | | | | | | |
| State-Wide Digital Library | 1,563,898 | 1,973,516 | 2,022,854 | 1,973,516 | 2,085,562 | 1,973,516 |
| Interlibrary Loan Delivery Service | 263,700 | 266,434 | 287,234 | 0 | 275,751 | 0 |
| Legal/Legislative Library Materials | 931,512 | 1,083,000 | 1,110,075 | 1,083,000 | 1,144,487 | 1,083,000 |
| State-Wide Data Base Program | 575,506 | 674,696 | 691,563 | 674,696 | 713,001 | 674,696 |
| Info Anytime | 4,208 | 42,500 | 43,563 | 0 | 44,913 | 0 |
| Computer Access | 132,440 | 190,000 | 194,750 | 190,000 | 200,787 | 190,000 |
| TOTAL-Other Current Expenses | 3,471,264 | 4,230,146 | 4,350,039 | 3,921,212 | 4,464,501 | 3,921,212 |
| <u>Pmts to Other Than Local Govts</u> | | | | | | |
| Support Cooperating Library Serv Units | 265,611 | 350,000 | 358,750 | 350,000 | 369,871 | 350,000 |
| TOTAL-Pmts to Other Than Local Govts | 265,611 | 350,000 | 358,750 | 350,000 | 369,871 | 350,000 |
| <u>Pmts to Local Governments</u> | | | | | | |
| Grants to Public Libraries | 347,109 | 347,109 | 355,787 | 347,109 | 366,816 | 347,109 |
| Connecticard Payments | 1,226,028 | 1,226,028 | 1,256,679 | 521,545 | 1,295,636 | 521,545 |
| TOTAL-Pmts to Local Governments | 1,573,137 | 1,573,137 | 1,612,466 | 868,654 | 1,662,452 | 868,654 |

Character & Major Object Summary

| | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|--|------------|------------|------------|------------|-------------|------------|------------|-------------|
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| Personal Services Net | 4,970,683 | 5,214,259 | 6,511,098 | 5,747,837 | 5,747,837 | 6,315,576 | 5,560,728 | 5,560,728 |
| Other Expenses Net | 630,510 | 817,111 | 840,488 | 790,488 | 767,111 | 868,060 | 818,060 | 767,111 |
| Capital Outlay | 0 | 1 | 110,500 | 110,500 | 1 | 287,000 | 250,000 | 1 |
| Other Current Expenses | 3,471,264 | 4,230,146 | 4,350,039 | 4,345,147 | 3,921,212 | 4,464,501 | 4,464,501 | 3,921,212 |
| Payments to Other Than Local Governments | 265,611 | 350,000 | 358,750 | 358,750 | 350,000 | 369,871 | 369,871 | 350,000 |
| Payments to Local Governments | 1,573,137 | 1,573,137 | 1,612,466 | 1,612,466 | 868,654 | 1,662,452 | 1,662,452 | 868,654 |
| TOTAL-General Fund Net | 10,911,205 | 12,184,654 | 13,783,341 | 12,965,188 | 11,654,815 | 13,967,460 | 13,125,612 | 11,467,706 |
| <u>Additional Funds Available</u> | | | | | | | | |
| Federal and Other Activities | 1,887,172 | 1,976,118 | 1,920,000 | 1,920,000 | 1,920,000 | 1,920,000 | 1,920,000 | 1,920,000 |
| Private Funds | 1,226,141 | 1,066,144 | 1,078,375 | 1,078,375 | 1,078,375 | 990,625 | 990,625 | 990,625 |
| TOTAL-All Funds Net | 14,024,518 | 15,226,916 | 16,781,716 | 15,963,563 | 14,653,190 | 16,878,085 | 16,036,237 | 14,378,331 |

BOARD OF REGENTS FOR HIGHER EDUCATION

AGENCY DESCRIPTION

The Department of Higher Education, working with the Board of Governors for Higher Education, serves as the state policy-making and coordinating agency for higher education. Its mission is to increase lifelong access to, and success in, higher education to serve the needs of the state, its employers and its citizens.

The Department of Higher Education works to build a postsecondary system of distinctive strengths that, through targeted state investment, will increase the educational attainment level of Connecticut citizens and, in so doing, advance the prosperity of the state as a whole.

In fall of 2009, a record 191,134 students enrolled in Connecticut's public and independent colleges and universities for an annual growth of 3.6 percent, the largest in 20 years. At the same time, the state's institutions of higher education award about 38,047 degrees.

Since 1990, the last enrollment peak, the number of degrees awarded per 100,000 population have increased 27 percent. More people – high school graduates, adults, women and members of minority groups – are entering and completing post-secondary education than ever before. Maintaining accessibility and affordability in higher education in these difficult economic times is crucial for the benefit of the citizenry and the entire state.

| Outcome Measure | | |
|--|------|-------|
| | 1990 | 2010 |
| Degrees Conferred per 100,000 Population | 850 | 1,081 |

The Connecticut State University System, the Regional Community-Technical Colleges, and Charter Oak State College are recommended for consolidation with the Department of Higher Education in the Governor's budget as part of his proposal to restructure state government. The combined agency is named the Board of Regents for Higher Education.

AGENCY PROGRAM INDEX

| | |
|----------------------------------|-----|
| Coordination of Higher Education | 423 |
| Scholarships & Fellowships | 425 |

RECOMMENDED SIGNIFICANT CHANGES

| | 2011-2012 | 2012-2013 |
|---|-------------|-------------|
| Reductions to Current Services | | |
| • Transfer Equipment Through CEPP | -6,299 | -6,299 |
| • Remove or Limit Inflation | -1,684,118 | -3,824,627 |
| • Reduce Funding for the Connecticut Independent College Student Grant <i>Funding for the Connecticut Independent College Student Grant is reduced by 25% in FY 2012 and by 50% in FY 2013.</i> | -5,853,465 | -11,706,930 |
| • Suspend Funding for the Kirklyn M. Kerr Grant Program | -500,000 | -500,000 |
| • Suspend Funding for the Washington Center | -1,250 | -1,250 |
| Reallocations or Transfers | | |
| • Restructure Higher Education Governance <i>Funding for the Connecticut State University System, the Regional Community-Technical Colleges and Charter Oak State College is transferred to the newly created Board of Regents for Higher Education.</i> | 310,164,630 | 303,191,876 |

AGENCY PROGRAMS

| Personnel Summary | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|--------------------------------------|------------------|--------|---------------------|------------------------|------------------------|--------------------------|------------------------|--------------------------|
| | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| Permanent Full-Time Positions | | | | | | | | |
| General Fund | 26 | 4 | -2 | 28 | 28 | 4,478 | 28 | 4,515 |
| Federal Contributions | 13 | 2 | 5 | 20 | 20 | 20 | 20 | 20 |
| Private Funds | 5 | 2 | 0 | 7 | 7 | 7 | 7 | 7 |
| Other Positions Equated to Full Time | | | | | | | | |
| General Fund | | | 2009-2010 Actual | 2010-2011 Estimated | 2011-2012 Requested | 2011-2012 Recommended | 2012-2013 Requested | 2012-2013 Recommended |
| | | | 0 | 1 | 1 | 1 | 1 | 1 |

| <i>Agency Programs by Total Funds</i> | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|---|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| (Net of Reimbursements) | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| Coordination of Higher Education | 12,318,108 | 14,912,816 | 28,840,040 | 15,216,168 | 1,201,534,739 | 15,313,995 | 15,276,554 | 1,205,350,725 |
| Scholarships & Fellowships | 65,065,877 | 65,564,565 | 114,980,049 | 67,138,868 | 59,209,851 | 115,275,083 | 69,139,805 | 53,356,386 |
| TOTAL Agency Programs - All Funds Gross | 77,383,985 | 80,477,381 | 143,820,089 | 82,355,036 | 1,260,744,590 | 130,589,078 | 84,416,359 | 1,258,707,111 |
| Less Turnover | 0 | 0 | -21,894 | -53,790 | -53,790 | -29,145 | -51,775 | -51,775 |
| TOTAL Agency Programs - All Funds Net | 77,383,985 | 80,477,381 | 143,798,195 | 82,301,246 | 1,260,690,800 | 130,559,933 | 84,364,584 | 1,258,655,336 |
| <i>Summary of Funding</i> | | | | | | | | |
| General Fund Net | 69,261,253 | 70,322,244 | 133,634,977 | 72,138,028 | 374,257,526 | 120,389,715 | 74,194,366 | 361,347,136 |
| State University Operating/Tuition Fd | 0 | 0 | 0 | 0 | 512,599,817 | 0 | 0 | 516,534,690 |
| Community Tech College Operating Fund | 0 | 0 | 0 | 0 | 212,324,532 | 0 | 0 | 216,224,621 |
| Federal and Other Activities | 7,067,256 | 8,501,718 | 8,501,718 | 8,501,718 | 140,547,422 | 8,501,718 | 8,501,718 | 142,653,346 |
| Special Funds, Non-Appropriated | 0 | 0 | 0 | 0 | 10,388,375 | 0 | 0 | 10,710,415 |
| Bond Funds | 0 | 16,919 | 17,000 | 17,000 | 8,912,000 | 17,000 | 17,000 | 9,517,000 |
| Private Funds | 1,055,476 | 1,636,500 | 1,644,500 | 1,644,500 | 1,661,128 | 1,651,500 | 1,651,500 | 1,668,128 |
| TOTAL Agency Programs - All Funds Net | 77,383,985 | 80,477,381 | 143,798,195 | 82,301,246 | 1,260,690,800 | 130,559,933 | 84,364,584 | 1,258,655,336 |

COORDINATION OF HIGHER EDUCATION

Statutory Reference

C.G.S. Section 10a-1 through 10a-53.

Statement of Need and Program Objectives

To provide a vision for the future of higher education in Connecticut based on evaluation of the state's needs.

To establish statewide policy and guidelines for the Connecticut system of public higher education through the decisions and recommendations of the Board of Governors for Higher Education.

To staff the Board of Governors and, under the policy direction of that board, to coordinate the development and operation of the state higher education system under the leadership of the commissioner of higher education.

Program Description

The department coordinates policy-making for higher education primarily by developing policies on tuition, fees and student aid, licensing and accrediting academic programs and institutions (both public and independent), reviewing and approving institutions' missions, evaluating institutions' effectiveness and administering several state and federal student financial aid programs. The department also manages the following specific programs:

The *Alternate Route to Certification Program* conducts summer and academic year programs to prepare career-changers to become teachers, especially in shortage fields. ARC is the sixth largest preparer of teacher candidates among 17 in-state programs.

The *Educational and Employment Information Center (EEIC)* is a statewide referral and information service for anyone who has

questions about learning and careers. It provides free information about courses, job training, student financial aid and college preparation.

The *Minority Advancement Program (MAP)* provides early intervention programs at the high school level (ConnCAP) to increase the pool of qualified minority students for higher education and provides a performance-based grant program to focus on retention. During the 2009 program year, 98 percent of ConnCAP's 200 high school seniors graduated, and 92 percent planned to enroll in a college or university.

The *Higher Education State Matching Grant Fund* is administered by the Department of Higher Education and provides funding for a match of endowment fund eligible gifts to the constituent units of higher education. The state has provided matching funds totaling over \$76 million since the program's inception in 1998.

Private Occupational School oversight provides a means to ensure the overall quality and financial viability of some 72 institutions, with an identified 22 branches.

The department, as the state's Approving Agency for the U.S. Department of Veteran Affairs, renders necessary services to inspect, approve and provide technical assistance to those educational institutions qualified to furnish instruction to veterans and other eligible persons through the relevant provisions of the GI Bill.

The state's *National Service Initiative*, which is administered and staffed by the department, underwrites service jobs in areas of community need as well as funding the Connecticut State Employee Mentoring and Tutoring Program.

Personnel Summary

| <i>Permanent Full-Time Positions</i> | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|---|------------------|---------------|---------------|------------------|------------------|--------------------|------------------|--------------------|
| | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| General Fund | 26 | 4 | -2 | 28 | 28 | 4,478 | 28 | 4,515 |
| Federal Contributions | 13 | 2 | 5 | 20 | 20 | 20 | 20 | 20 |
| Private Funds | 5 | 2 | 0 | 7 | 7 | 7 | 7 | 7 |
| | | | 2009-2010 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
| <i>Other Positions Equated to Full Time</i> | | | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| General Fund | | | 0 | 1 | 1 | 1 | 1 | 1 |

Budget-in-Detail

| Financial Summary | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|--|------------------|------------------|-------------------|------------------|--------------------|------------------|------------------|--------------------|
| (Net of Reimbursements) | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| Personal Services | 2,376,196 | 2,458,648 | 2,694,973 | 2,637,805 | 2,637,805 | 2,588,758 | 2,551,619 | 2,551,619 |
| Other Expenses | 96,877 | 166,939 | 171,142 | 171,139 | 166,939 | 176,481 | 176,476 | 166,939 |
| Capital Outlay | | | | | | | | |
| Equipment | 0 | 1 | 9,550 | 6,300 | 1 | 9,550 | 6,300 | 1 |
| Other Current Expenses | | | | | | | | |
| Minority Advancement Program | 2,340,381 | 2,405,666 | 2,465,808 | 2,465,808 | 2,405,666 | 2,542,248 | 2,542,248 | 2,405,666 |
| Alternate Route to Certification | 82,429 | 100,000 | 102,378 | 102,500 | 100,000 | 102,725 | 105,678 | 100,000 |
| National Service Act | 243,303 | 328,365 | 336,574 | 336,574 | 328,365 | 347,008 | 347,008 | 328,365 |
| International Initiatives | 61,812 | 66,500 | 68,163 | 68,163 | 66,500 | 70,275 | 70,275 | 66,500 |
| Higher Educ State Matching Grant Fd | 0 | 0 | 10,563,573 | 0 | 0 | 0 | 0 | 0 |
| Education and Health Initiatives | 248,188 | 522,500 | 535,563 | 535,563 | 522,500 | 552,165 | 552,165 | 522,500 |
| CommPACT Schools | 526,875 | 712,500 | 730,313 | 730,313 | 712,500 | 752,953 | 752,953 | 712,500 |
| Charter Oak State College | 0 | 0 | 0 | 0 | 2,722,225 | 0 | 0 | 2,670,543 |
| Regional Community - Technical Colleges | 0 | 0 | 0 | 0 | 149,130,964 | 0 | 0 | 145,667,984 |
| Connecticut State University | 0 | 0 | 0 | 0 | 158,311,441 | 0 | 0 | 154,853,349 |
| Endowed Chairs | 0 | 0 | 3,000,000 | 0 | 0 | 0 | 0 | 0 |
| Pmts to Other Than Local Governments | | | | | | | | |
| Capitol Scholarship Program | 53,421 | 89,028 | 91,253 | 91,253 | 89,027 | 94,082 | 94,082 | 89,027 |
| New England Board of Higher Education | 139,446 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL-General Fund | 6,168,928 | 6,850,147 | 20,769,290 | 7,145,418 | 317,193,933 | 7,236,245 | 7,198,804 | 310,134,993 |
| Additional Funds Available | | | | | | | | |
| State University Operating/Tuition Fd | 0 | 0 | 0 | 0 | 512,599,817 | 0 | 0 | 516,534,690 |
| Community Tech College Operating Fund | 0 | 0 | 0 | 0 | 212,324,532 | 0 | 0 | 216,224,621 |
| Special Funds, Non-Appropriated | 0 | 0 | 0 | 0 | 10,388,375 | 0 | 0 | 10,710,415 |
| Bond Funds | 0 | 16,919 | 17,000 | 17,000 | 8,912,000 | 17,000 | 17,000 | 9,517,000 |
| Private Funds | 1,031,919 | 1,602,000 | 1,610,000 | 1,610,000 | 1,626,628 | 1,617,000 | 1,617,000 | 1,633,628 |
| Federal Contributions | | | | | | | | |
| | 518,351 | 1,500,000 | 1,500,000 | 1,500,000 | 1,500,000 | 1,500,000 | 1,500,000 | 1,500,000 |
| 17258 WIA Adult Program | 0 | 0 | 0 | 0 | 53,095 | 0 | 0 | 54,332 |
| 16525 Grt-Red Violent Crimes Against Women | 0 | 0 | 0 | 0 | 400,000 | 0 | 0 | 0 |
| 17259 WIA Youth Activities | 0 | 0 | 0 | 0 | 199,160 | 0 | 0 | 203,800 |
| 43001 Aerospace Education Services Program | 0 | 0 | 0 | 0 | 187,338 | 0 | 0 | 78,574 |
| 47049 Mathematical & Physical Sciences | 0 | 0 | 0 | 0 | 338,998 | 0 | 0 | 0 |
| 47076 Education & Human Resources | 0 | 0 | 0 | 0 | 1,317,125 | 0 | 0 | 1,193,365 |
| 47082 Trans-NSF Recovery Act Reasearch Support (B) | 0 | 0 | 0 | 0 | 100,000 | 0 | 0 | 100,000 |
| 64124 All-Volunteer Force Educational Assist | 144,444 | 278,750 | 278,750 | 278,750 | 278,750 | 278,750 | 278,750 | 278,750 |
| 84002 Adult Education State Grant Program | 0 | 0 | 0 | 0 | 370,261 | 0 | 0 | 372,527 |
| 84007 Federal Supplemental Educational Opport Grants | 0 | 0 | 0 | 0 | 1,649,127 | 0 | 0 | 1,685,661 |
| 84010 Title I Grants to Local Educational Agencies | 0 | 0 | 0 | 0 | 83,856 | 0 | 0 | 85,810 |
| 84032 Federal Family Education Loans | 0 | 0 | 0 | 0 | 2,377,902 | 0 | 0 | 2,377,902 |
| 84033 Federal Work-Study Program | 0 | 0 | 0 | 0 | 2,179,080 | 0 | 0 | 2,225,755 |
| 84047 TRIO Upward Bound | 0 | 0 | 0 | 0 | 225,000 | 0 | 0 | 225,000 |
| 84048 Vocational Education Basic Grants to States | 0 | 0 | 0 | 0 | 780,222 | 0 | 0 | 798,401 |
| 84063 Federal Pell Grant Program | 0 | 0 | 0 | 0 | 120,019,237 | 0 | 0 | 122,969,789 |
| 84116 Improvement of Postsecondary Education | -1,705 | 0 | 0 | 0 | 258,930 | 0 | 0 | 260,315 |
| 84243 Tech-Prep Education | 0 | 0 | 0 | 0 | 79,209 | 0 | 0 | 81,055 |
| 8431A US Dept of Ed Title III | 0 | 0 | 0 | 0 | 300,000 | 0 | 0 | 300,000 |
| 84334 Gaining Early Awareness & Readiness | 3,255,155 | 3,250,000 | 3,250,000 | 3,250,000 | 3,250,000 | 3,250,000 | 3,250,000 | 3,250,000 |
| 84367 Improving Teacher Quality State Grants | 23,417 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 |
| 84375 Academic Competitiveness Grants | 0 | 0 | 0 | 0 | 397,380 | 0 | 0 | 405,765 |
| 84376 SMART Grants | 0 | 0 | 0 | 0 | 55,000 | 0 | 0 | 55,000 |
| 84379 Teacher Education Assistance for College and Highe | 0 | 0 | 0 | 0 | 32,000 | 0 | 0 | 32,000 |
| 84950 Training All Teachers | 0 | 0 | 0 | 0 | 400,000 | 0 | 0 | 400,000 |

| | | | | | | | | |
|---|------------|------------|------------|------------|---------------|------------|------------|---------------|
| 93244 Mental Health Clinical & AIDS Servic | 0 | 0 | 0 | 0 | 80,000 | 0 | 0 | 80,000 |
| 93913 Grants for Operation of Offices of Rural Health | 0 | 0 | 0 | 0 | 162,784 | 0 | 0 | 166,577 |
| 94003 State Commissions | 230,701 | 230,000 | 230,000 | 230,000 | 230,000 | 230,000 | 230,000 | 230,000 |
| 94006 AmeriCorps | 919,030 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 |
| 94009 Training & Technical Assistance | 27,868 | 160,000 | 160,000 | 160,000 | 160,000 | 160,000 | 160,000 | 160,000 |
| TOTAL - All Funds | 12,318,108 | 14,912,816 | 28,840,040 | 15,216,168 | 1,201,534,739 | 15,313,995 | 15,276,554 | 1,205,350,725 |

Coordination of Higher Education

STUDENT FINANCIAL ASSISTANCE

Statutory Reference

C.G.S. Sections 10a-6, 10a-22, 10a-40, 10a-65, and 10a-163 through 10a-169.

Statement of Need and Program Objectives

To increase access for qualified and needy residents to educational opportunities at public and private post-secondary educational institutions by providing various forms of student financial assistance grants, scholarships, loans, and part-time employment.

To aid in meeting the state's workforce needs through targeted grant and loan assistance programs to residents enrolled in a variety of academic programs.

Program Description

The student financial assistance program administered by the department is comprised of a diversity of specially tailored programs that provide direct and indirect state and federal grant and loan reimbursement aid to Connecticut residents. There are six major components of the program.

The *Capitol Scholarship Program* provides awards to students based on academic merit and financial need. The maximum award for students attending in-state institutions is \$3,000. Recipients who take their awards to institutions in the eight states with reciprocal agreements receive a maximum award of \$500. More than 91 percent of program funds go to students attending in-state institutions and current funding generates a federal match of nearly

\$1 million. In 2009, 5,432 awards were made at an average award of \$1,767.

The *Minority Teacher Incentive Program* provides annual \$5,000 awards to minority students in teacher training programs and provides those graduates who teach in Connecticut schools with annual \$2,500 stipends to assist in repayment of college loans. The maximum award, grants and loans combined, for each participant is \$20,000. In 2009, the program's twelfth year, 158 awards were made, including stipends to 65 recipients teaching in Connecticut schools.

Awards to Children of Deceased, Disabled, and Missing in Action Veterans is designed to provide assistance to the sons and daughters of armed forces veterans who died, were totally disabled, or became missing in action during time of war. The annual grant per student is \$400 and students must demonstrate financial need.

The *Connecticut Independent College Student Grant Program (CICSG)* provides grant assistance to Connecticut residents attending private institutions in the state. In 2009, this program funded an average award of \$4,030 to 5,816 students.

The *Connecticut Aid for Public College Students Program (CAPCS)* provides grant assistance to Connecticut residents attending state supported colleges in Connecticut. In 2009, this program funded an average award of \$1,662 to 18,173 students.

Federal programs include the State Student Incentive Grant Program, the Paul Douglas Teacher Loan Program and the Robert C. Byrd Scholarship Program.

| Financial Summary | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|---|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| (Net of Reimbursements) | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| Other Current Expenses | | | | | | | | |
| Minority Teacher Incentive Program | 401,000 | 471,374 | 483,158 | 483,158 | 471,374 | 498,136 | 498,136 | 471,374 |
| Pmts to Other Than Local Governments | | | | | | | | |
| Capitol Scholarship Program | 8,534,603 | 8,813,751 | 9,034,095 | 9,034,095 | 8,813,752 | 9,314,151 | 9,314,151 | 8,813,752 |
| Awards Children Deceased/Disabled Vets | 0 | 4,000 | 4,000 | 4,100 | 4,000 | 4,000 | 4,227 | 4,000 |
| CT Independent College Student Grant | 23,413,860 | 23,413,860 | 30,858,845 | 23,999,207 | 17,560,395 | 30,858,845 | 24,743,182 | 11,706,930 |
| CT Aid for Public College Students | 30,208,469 | 30,208,469 | 71,904,929 | 30,963,681 | 30,208,469 | 71,904,929 | 31,923,555 | 30,208,469 |
| Connecticut Aid to Charter Oak | 59,393 | 59,393 | 101,304 | 60,878 | 59,393 | 101,304 | 62,765 | 59,393 |
| Kirklyn M. Kerr Grant Program | 475,000 | 500,000 | 500,000 | 500,000 | 0 | 500,000 | 500,000 | 0 |
| Washington Center | 0 | 1,250 | 1,250 | 1,281 | 0 | 1,250 | 1,321 | 0 |
| TOTAL-General Fund | 63,092,325 | 63,472,097 | 112,887,581 | 65,046,400 | 57,117,383 | 113,182,615 | 67,047,337 | 51,263,918 |
| Additional Funds Available | | | | | | | | |
| Private Funds | 23,557 | 34,500 | 34,500 | 34,500 | 34,500 | 34,500 | 34,500 | 34,500 |
| Federal Contributions | | | | | | | | |
| 84069 Leveraging Educational Assistance Partnership | 930,656 | 930,656 | 930,656 | 930,656 | 930,656 | 930,656 | 930,656 | 930,656 |
| 84185 Byrd Honors Scholarships | 452,312 | 452,312 | 452,312 | 452,312 | 452,312 | 452,312 | 452,312 | 452,312 |
| 84367 Improving Teacher Quality State Grants | 567,027 | 675,000 | 675,000 | 675,000 | 675,000 | 675,000 | 675,000 | 675,000 |
| TOTAL - All Funds | 65,065,877 | 65,564,565 | 114,980,049 | 67,138,868 | 59,209,851 | 115,275,083 | 69,139,805 | 53,356,386 |

Scholarships & Fellowships

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | 2009-2010 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|--|---------------|------------------|------------------|--------------------|------------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| <i>Personal Services</i> | | | | | | |
| Permanent Fulltime Positions | 2,174,050 | 2,272,861 | 2,470,022 | 2,470,022 | 2,382,998 | 2,382,998 |
| Other Positions | 93,038 | 99,272 | 103,950 | 103,950 | 102,610 | 102,610 |
| Other | 109,108 | 86,515 | 121,001 | 63,833 | 103,150 | 66,011 |
| TOTAL-Personal Services Gross | 2,376,196 | 2,458,648 | 2,694,973 | 2,637,805 | 2,588,758 | 2,551,619 |
| Less Reimbursements | | | | | | |
| Less Turnover | 0 | 0 | -21,894 | -53,790 | -29,145 | -51,775 |
| TOTAL-Personal Services Net | 2,376,196 | 2,458,648 | 2,673,079 | 2,584,015 | 2,559,613 | 2,499,844 |
| <i>Other Expenses-Contractual Services</i> | | | | | | |
| Dues and Subscriptions | 13,161 | 17,900 | 18,348 | 17,900 | 18,917 | 17,900 |
| Rentals, Storage and Leasing | 28,697 | 40,246 | 41,252 | 40,246 | 42,531 | 40,246 |
| Telecommunication Services | 9,288 | 23,954 | 24,553 | 23,954 | 25,314 | 23,954 |
| Motor Vehicle Expenses | 4,008 | 6,453 | 6,614 | 6,453 | 6,819 | 6,453 |
| Fees for Outside Professional Services | 0 | 20,000 | 20,500 | 20,000 | 21,135 | 20,000 |
| Fees for Non-Professional Services | 1,360 | 2,500 | 2,563 | 2,500 | 2,643 | 2,500 |
| DP Services, Rentals and Maintenance | 9,490 | 15,279 | 15,661 | 15,279 | 16,147 | 15,279 |
| Postage | 12,250 | 17,101 | 17,529 | 17,101 | 18,072 | 17,101 |
| Travel | 2,136 | 4,500 | 4,613 | 4,500 | 4,757 | 4,500 |
| Other Contractual Services | 178 | 300 | 308 | 300 | 318 | 300 |
| <i>Other Expenses-Commodities</i> | | | | | | |
| Agriculture, Horticulture, Dairy & Food | 97 | 156 | 159 | 156 | 162 | 156 |
| Maintenance and Motor Vehicle Supplies | 449 | 723 | 768 | 723 | 794 | 723 |
| Office Supplies | 15,539 | 35,035 | 35,911 | 35,035 | 37,024 | 35,035 |
| <i>Other Expenses-Sundry</i> | | | | | | |
| Sundry - Other Items | 224 | 14,380 | 14,740 | 14,380 | 15,197 | 14,380 |
| TOTAL-Other Expenses Gross | 96,877 | 198,527 | 203,519 | 198,527 | 209,830 | 198,527 |
| Less Reimbursements | 0 | -31,588 | -32,377 | -31,588 | -33,349 | -31,588 |
| TOTAL-Other Expenses Net | 96,877 | 166,939 | 171,142 | 166,939 | 176,481 | 166,939 |
| <i>Other Current Expenses</i> | | | | | | |
| Minority Advancement Program | 2,340,381 | 2,405,666 | 2,465,808 | 2,405,666 | 2,542,248 | 2,405,666 |
| Alternate Route to Certification | 82,429 | 100,000 | 102,378 | 100,000 | 102,725 | 100,000 |
| National Service Act | 243,303 | 328,365 | 336,574 | 328,365 | 347,008 | 328,365 |
| International Initiatives | 61,812 | 66,500 | 68,163 | 66,500 | 70,275 | 66,500 |
| Minority Teacher Incentive Program | 401,000 | 471,374 | 483,158 | 471,374 | 498,136 | 471,374 |
| Higher Educ State Matching Grant Fd | 0 | 0 | 10,563,573 | 0 | 0 | 0 |
| Education and Health Initiatives | 248,188 | 522,500 | 535,563 | 522,500 | 552,165 | 522,500 |
| CommPACT Schools | 526,875 | 712,500 | 730,313 | 712,500 | 752,953 | 712,500 |
| Charter Oak State College | 0 | 0 | 0 | 2,722,225 | 0 | 2,670,543 |
| Regional Community - Technical Colleges | 0 | 0 | 0 | 149,130,964 | 0 | 145,667,984 |
| Connecticut State University | 0 | 0 | 0 | 158,311,441 | 0 | 154,853,349 |
| Endowed Chairs | 0 | 0 | 3,000,000 | 0 | 0 | 0 |
| TOTAL-Other Current Expenses | 3,903,988 | 4,606,905 | 18,285,530 | 314,771,535 | 4,865,510 | 307,798,781 |
| <i>Pmts to Other Than Local Govts</i> | | | | | | |
| Capitol Scholarship Program | 8,588,024 | 8,902,779 | 9,125,348 | 8,902,779 | 9,408,233 | 8,902,779 |
| Awards Children Deceased/Disabled Vets | 0 | 4,000 | 4,000 | 4,000 | 4,000 | 4,000 |
| CT Independent College Student Grant | 23,413,860 | 23,413,860 | 30,858,845 | 17,560,395 | 30,858,845 | 11,706,930 |
| CT Aid for Public College Students | 30,208,469 | 30,208,469 | 71,904,929 | 30,208,469 | 71,904,929 | 30,208,469 |
| New England Board of Higher Education | 139,446 | 0 | 0 | 0 | 0 | 0 |
| Connecticut Aid to Charter Oak | 59,393 | 59,393 | 101,304 | 59,393 | 101,304 | 59,393 |
| Kirklyn M. Kerr Grant Program | 475,000 | 500,000 | 500,000 | 0 | 500,000 | 0 |
| Washington Center | 0 | 1,250 | 1,250 | 0 | 1,250 | 0 |
| TOTAL-Pmts to Other Than Local Govts | 62,884,192 | 63,089,751 | 112,495,676 | 56,735,036 | 112,778,561 | 50,881,571 |

| <i>Character & Major Object Summary</i> | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|---|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| Personal Services Net | 2,376,196 | 2,458,648 | 2,673,079 | 2,584,015 | 2,584,015 | 2,559,613 | 2,499,844 | 2,499,844 |
| Other Expenses Net | 96,877 | 166,939 | 171,142 | 171,139 | 166,939 | 176,481 | 176,476 | 166,939 |
| Capital Outlay | 0 | 1 | 9,550 | 6,300 | 1 | 9,550 | 6,300 | 1 |
| Other Current Expenses | 3,903,988 | 4,606,905 | 18,285,530 | 4,722,079 | 314,771,535 | 4,865,510 | 4,868,463 | 307,798,781 |
| Payments to Other Than Local Governments | 62,884,192 | 63,089,751 | 112,495,676 | 64,654,495 | 56,735,036 | 112,778,561 | 66,643,283 | 50,881,571 |
| TOTAL-General Fund Net | 69,261,253 | 70,322,244 | 133,634,977 | 72,138,028 | 374,257,526 | 120,389,715 | 74,194,366 | 361,347,136 |
| <i><u>Additional Funds Available</u></i> | | | | | | | | |
| State University Operating/Tuition Fd | 0 | 0 | 0 | 0 | 512,599,817 | 0 | 0 | 516,534,690 |
| Community Tech College Operating Fund | 0 | 0 | 0 | 0 | 212,324,532 | 0 | 0 | 216,224,621 |
| Federal and Other Activities | 7,067,256 | 8,501,718 | 8,501,718 | 8,501,718 | 140,547,422 | 8,501,718 | 8,501,718 | 142,653,346 |
| Special Funds, Non-Appropriated | 0 | 0 | 0 | 0 | 10,388,375 | 0 | 0 | 10,710,415 |
| Bond Funds | 0 | 16,919 | 17,000 | 17,000 | 8,912,000 | 17,000 | 17,000 | 9,517,000 |
| Private Funds | 1,055,476 | 1,636,500 | 1,644,500 | 1,644,500 | 1,661,128 | 1,651,500 | 1,651,500 | 1,668,128 |
| TOTAL-All Funds Net | 77,383,985 | 80,477,381 | 143,798,195 | 82,301,246 | 1,260,690,800 | 130,559,933 | 84,364,584 | 1,258,655,336 |

UNIVERSITY OF CONNECTICUT

AGENCY DESCRIPTION

The University of Connecticut (UConn) is a community of scholars and a center for learning dedicated to excellence, which serves the state and its citizens by providing services that enhance the quality of life and the economic well-being of Connecticut. Founded in 1881, the University of Connecticut serves as the flagship for higher education.

UConn's attention to quality service and economic well-being is evidenced by the percentage of freshmen graduating within 6 years, master's degrees completed in 6 years, doctoral degrees completed within 8 years, law students graduating within 3 years, as well as the range of passing levels for licensure and certificate exams in occupationally specific programs.

Approximately 7,000 degrees are conferred annually. In FY 2010 there were: 4,606 bachelor's degrees, 1,438 master's degrees, 309 doctoral degrees (including research and professional practice), 75 medicine doctorates, 40 dental medicine doctorates, 100 degrees in doctor of pharmacy program, 249 law (J.D. and L.L.M.) degrees, 69 sixth-year diplomas in professional education, 134 graduate/professional certificates, and 26 two-year agriculture degrees.

Approximately 67% of full-time employed graduates remain in Connecticut and contribute to meeting the economic and workforce needs of the state.

| Outcome Measure | |
|------------------------|---------|
| Graduation Rates | |
| Freshmen-Storrs | 78% |
| Freshmen-Regionals | 52% |
| Master's students | 80-85% |
| Doctoral students | 65-70% |
| Law students | 92% |
| Licensure exams | 90-100% |

First-time freshmen enrollment increased at Storrs by 52 percent from fall 1997 to fall 2010. As Storrs reached capacity, growth was directed at the regional campuses, which experienced a 9 percent increase in first-time freshmen from fall 2009 to fall 2010.

Energy Conservation Statement

UConn is committed to energy conservation and efficiency, which is demonstrated by the various measures being implemented, including:

- Communication and outreach to influence employee and student behavior
- Adjusting building temperature set points and occupancy schedules
- Computers and data systems enabled automatic low energy mode when not in use
- Upgrading lighting to use low energy bulbs
- Investment in lighting occupancy, variable speed motor drives and other energy saving devices
- Sub metering systems to analyze energy usage and prioritize operational improvements
- Retro-commissioning buildings and infrastructure to mitigate energy losses
- Deferred maintenance investments to prevent degradation of buildings and infrastructure
- Participate in state wide reverse auction for electricity procurement
- Utilize innovative energy procurement contracting to cause energy spend predictability and to moderate prices
- Continue leveraging of the Storrs Campus Central Utility Plant distribution system and its associated efficiencies.

The following programmatic and position descriptions are provided for informational purposes only. For funding information refer to "*The University of Connecticut Block Grant.*"

AGENCY PROGRAM INDEX

| | | | |
|------------------|-----|------------------------------|-----|
| Instruction | 429 | Institutional Support | 434 |
| Research | 430 | Physical Plant | 434 |
| Public Service | 431 | Scholarships and Fellowships | 435 |
| Academic Support | 431 | UConn Block Grant | 436 |
| Library | 432 | | |
| Student Services | 433 | | |

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Reduce Block Grant by 10%

| <u>2011-2012</u> | <u>2012-2013</u> |
|------------------|------------------|
| -25,462,590 | -24,776,584 |

AGENCY PROGRAMS

| Personnel Summary | | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|---|--|------------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| <i>Permanent Full-Time Positions</i> | | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| General Fund | | 2,866 | 127 | -8 | 2,985 | 2,901 | 2,901 | 2,921 | 2,921 |
| University of Connecticut Operating Fund | | 1,494 | 0 | 18 | 1,512 | 1,512 | 1,512 | 1,512 | 1,512 |
| UConn Research Foundation | | 440 | 0 | 0 | 440 | 440 | 440 | 440 | 440 |
| <i>Other Positions Equated to Full Time</i> | | | | 2009-2010 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
| | | | | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| University of Connecticut Operating Fund | | | | 1,024 | 1,024 | 1,024 | 1,024 | 1,024 | 1,024 |
| UConn Research Foundation | | | | 352 | 352 | 352 | 352 | 352 | 352 |
| Agency Programs by Total Funds (Net of Reimbursements) | | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
| | | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| Instruction | | 279,341,485 | 296,328,555 | 310,284,289 | 166,788,993 | 166,788,993 | 313,126,117 | 172,908,186 | 172,908,186 |
| Research | | 23,110,718 | 23,809,752 | 23,822,094 | 21,482,427 | 21,482,427 | 24,238,643 | 21,985,163 | 21,985,163 |
| Public Service | | 27,896,284 | 28,333,619 | 30,293,754 | 24,902,112 | 24,902,112 | 30,502,763 | 25,306,053 | 25,306,053 |
| Academic Support | | 75,565,765 | 76,496,007 | 80,056,967 | 44,827,736 | 44,827,736 | 80,833,796 | 46,711,796 | 46,711,796 |
| Library | | 22,726,621 | 21,715,899 | 22,728,512 | 15,327,715 | 15,327,715 | 22,927,465 | 15,799,296 | 15,799,296 |
| Student Services | | 226,626,707 | 243,456,816 | 253,825,325 | 239,339,173 | 239,339,173 | 257,092,071 | 243,053,024 | 243,053,024 |
| Institutional Support | | 85,989,051 | 87,124,270 | 91,187,291 | 60,063,961 | 60,063,961 | 91,980,267 | 62,003,446 | 62,003,446 |
| Physical Plant | | 91,462,704 | 95,576,568 | 80,437,082 | 65,927,320 | 65,927,320 | 81,097,708 | 67,110,435 | 67,110,435 |
| Scholarships and Fellowships | | 81,062,819 | 79,628,183 | 80,756,945 | 80,756,945 | 80,756,945 | 79,370,158 | 79,370,158 | 79,370,158 |
| UCONN Block Grant | | 88,632,811 | 94,979,783 | 97,604,436 | 352,230,325 | 326,767,735 | 101,352,316 | 349,118,146 | 324,341,562 |
| TOTAL Agency Programs - All Funds Gross | | 1,002,414,965 | 1,047,449,452 | 1,070,996,695 | 1,071,646,707 | 1,046,184,117 | 1,082,521,304 | 1,083,365,703 | 1,058,589,119 |
| Less Turnover | | | | | | | | | |
| TOTAL Agency Programs - All Funds Net | | 1,002,414,965 | 1,047,449,452 | 1,070,996,695 | 1,071,646,707 | 1,046,184,117 | 1,082,521,304 | 1,083,365,703 | 1,058,589,119 |
| Summary of Funding | | | | | | | | | |
| General Fund Net | | 233,011,263 | 233,011,263 | 253,975,877 | 254,625,889 | 229,163,299 | 246,921,431 | 247,765,830 | 222,989,246 |
| University of Connecticut Operating Fd | | 648,994,424 | 685,260,406 | 683,166,382 | 683,166,382 | 683,166,382 | 696,184,557 | 696,184,557 | 696,184,557 |
| UConn Research Foundation | | 31,776,467 | 34,198,000 | 36,250,000 | 36,250,000 | 36,250,000 | 38,063,000 | 38,063,000 | 38,063,000 |
| Federal and Other Activities | | 88,632,811 | 94,979,783 | 97,604,436 | 97,604,436 | 97,604,436 | 101,352,316 | 101,352,316 | 101,352,316 |
| TOTAL Agency Programs - All Funds Net | | 1,002,414,965 | 1,047,449,452 | 1,070,996,695 | 1,071,646,707 | 1,046,184,117 | 1,082,521,304 | 1,083,365,703 | 1,058,589,119 |

INSTRUCTION

Statutory Reference

C.G.S. Sections 10a-102 through 10a-142.

Statement of Need and Program Objectives

To enable qualified graduates of Connecticut's secondary schools to have access, regardless of economic status, to an education designed to challenge them and to provide graduate and professional educational opportunities, including programs at the doctoral level.

Program Description

For the entering freshman class at Storrs, the average SAT score has increased from 1113 to 1221 since fall 1996 and is now 204 points above the national average of 1017.

Recent efforts to enhance the undergraduate learning experience for students include: freshmen experience seminars and learning skills classes; expansion of academic advising, the early college experience program, honors and other enrichment programs; a mentor connection program to bring talented high school students to Storrs

for summer-involvement in faculty research; and ongoing input from a retention and graduation task force promoting timely graduation.

| Outcome Measure | |
|-------------------------------|-----|
| Storrs Campus Retention Rates | |
| Freshman Retention – 1 Yr. | 92% |
| Freshman Retention – 2 Yr. | 88% |

In addition to 101 undergraduate majors, the university offers 17 types of graduate degrees in 86 fields of study and five graduate professional programs. Although most of the post-baccalaureate programs are located in Storrs, there are graduate business programs in Hartford and Stamford, School of Law in Hartford, School of Social Work in West Hartford, and biomedical sciences and Schools of Medicine and Dental Medicine at the University Health Center in Farmington.

Budget-in-Detail

Personnel Summary

| | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|--|------------------|---------------|---------------|--------------|------------------|--------------------|------------------|--------------------|
| | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| <i>Permanent Full-Time Positions</i> | | | | | | | | |
| General Fund | 1,629 | 0 | 119 | 1,748 | 1,664 | 1,664 | 1,684 | 1,684 |
| University of Connecticut Operating Fund | 242 | 0 | -9 | 233 | 233 | 233 | 233 | 233 |
| UConn Research Foundation | 54 | 0 | 0 | 54 | 54 | 54 | 54 | 54 |

Other Positions Equated to Full Time

| | 2009-2010 | 2010-2011 | 2011-2012 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|--|---------------|------------------|------------------|-----------------|--------------------|------------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| University of Connecticut Operating Fund | 551 | 551 | 551 | 551 | 551 | 551 | 551 |
| UConn Research Foundation | 21 | 21 | 21 | 21 | 21 | 21 | 21 |

Financial Summary

| (Net of Reimbursements) | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|--|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| <i>Other Current Expenses</i> | | | | | | | | |
| Operating Expenses | 125,102,431 | 125,478,019 | 138,225,130 | 0 | 0 | 134,947,765 | 0 | 0 |
| Tuition Freeze | 4,741,885 | 4,741,885 | 4,741,885 | 0 | 0 | 4,741,885 | 0 | 0 |
| Regional Campus Enhancement | 504,745 | 528,281 | 528,281 | 0 | 0 | 528,281 | 0 | 0 |
| TOTAL-General Fund | 130,349,061 | 130,748,185 | 143,495,296 | 0 | 0 | 140,217,931 | 0 | 0 |
| <i>Additional Funds Available</i> | | | | | | | | |
| University of Connecticut Operating Fund | 140,401,615 | 156,488,287 | 157,116,789 | 157,116,789 | 157,116,789 | 162,723,458 | 162,723,458 | 162,723,458 |
| UConn Research Foundation | 8,590,809 | 9,092,083 | 9,672,204 | 9,672,204 | 9,672,204 | 10,184,728 | 10,184,728 | 10,184,728 |
| TOTAL - All Funds | 279,341,485 | 296,328,555 | 310,284,289 | 166,788,993 | 166,788,993 | 313,126,117 | 172,908,186 | 172,908,186 |

Instruction

RESEARCH

Statutory Reference

C.G.S. Sections 10a-102 through 10a-142.

Statement of Need and Program Objective

To contribute to knowledge by conducting research.

To apply research to the solving of problems faced by business, industry, agriculture and government agencies in Connecticut.

Program Description

Research is an integral part of the academic programs of the university. The research program includes externally funded projects awarded to individual faculty members, or centers funded for specific research areas. Research monies are used to purchase equipment and to pay research support staff including graduate students.

The university has established many centers and institutes to encourage and facilitate multidisciplinary and interdepartmental research and graduate training. A small sampling includes the Center

for Environmental Sciences and Engineering, Center for Real Estate and Urban Economic Studies, Connecticut Global Fuel Cell Center, Marine Sciences and Technology Center and Roper Center for Public Opinion Research.

Present programs include research in many areas, including the following: Fuel Cells and Systems research seeks to make fuel cell technology useful to society; Nanobionics research, combining nanotechnology and biotechnology to design new and improved materials and products for science; Stem Cell research; and Functional Foods research on plant-based and bioactive food components to develop natural and processed foods that promote health beyond the nutritional value.

A variety of research programs in the Biological Sciences, Engineering, Pharmacy, Psychology and many other fields provide applications to improve the quality of life and the economic well-being of the state.

Personnel Summary

| | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|--|------------------|---------------|---------------|--------------|------------------|--------------------|------------------|--------------------|
| | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| <i>Permanent Full-Time Positions</i> | | | | | | | | |
| General Fund | 28 | 0 | 0 | 28 | 28 | 28 | 28 | 28 |
| University of Connecticut Operating Fund | 68 | 0 | 3 | 71 | 71 | 71 | 71 | 71 |
| UConn Research Foundation | 301 | 0 | 0 | 301 | 301 | 301 | 301 | 301 |

Other Positions Equated to Full Time

| | 2009-2010 | 2010-2011 | 2011-2012 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|--|---------------|------------------|------------------|-----------------|--------------------|------------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| University of Connecticut Operating Fund | 9 | 9 | 9 | 9 | 9 | 9 | 9 |
| UConn Research Foundation | 330 | 330 | 330 | 330 | 330 | 330 | 330 |

Financial Summary

| (Net of Reimbursements) | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|-------------------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| <i>Other Current Expenses</i> | | | | | | | | |
| Operating Expenses | 2,169,240 | 2,152,155 | 2,339,667 | 0 | 0 | 2,253,480 | 0 | 0 |
| TOTAL-General Fund | 2,169,240 | 2,152,155 | 2,339,667 | 0 | 0 | 2,253,480 | 0 | 0 |

Additional Funds Available

| | | | | | | | | |
|--|------------|------------|------------|------------|------------|------------|------------|------------|
| University of Connecticut Operating Fd | 2,430,176 | 2,190,700 | 830,073 | 830,073 | 830,073 | 285,381 | 285,381 | 285,381 |
| UConn Research Foundation | 18,511,302 | 19,466,897 | 20,652,354 | 20,652,354 | 20,652,354 | 21,699,782 | 21,699,782 | 21,699,782 |
| TOTAL - All Funds | 23,110,718 | 23,809,752 | 23,822,094 | 21,482,427 | 21,482,427 | 24,238,643 | 21,985,163 | 21,985,163 |

Research

PUBLIC SERVICE**Statutory Reference**

C.G.S. Sections 10a-102 through 10a-142.

Statement of Need and Program Objectives

To contribute to Connecticut's economic, social, and cultural development by sharing the university community's knowledge and skills through specialized programs and services.

Program Description

The university extends to all citizens of the state its research-based knowledge and skills through a variety of outreach and public engagement activities.

Cooperative Extension educators in Bethel, Brooklyn, Haddam, North Haven, Norwich, Torrington, Vernon, West Hartford and Storrs work with individuals, families, government agencies, communities, and volunteers to develop and carry out educational programs for an estimated 66,500 state residents in a variety of areas including environmental management; food production and safety; nutrition; community development; waste management; water quality; youth development; forestry; greenhouse and nursery management; aquaculture; and Long Island Sound.

Continuing Studies programs serve diverse communities of learners with credit-free programs in several instructional formats. These programs are primarily self-supporting and serve approximately 27,000 participants each year. Fine Arts programs annually reach over 560,000 throughout the state in addition to individuals attending events at the Storrs Campus. Public outreach programs are also offered by the other schools and colleges.

Accelerated Schools Plus has its national headquarters on the Storrs Campus and partners with the Neag School's National Research Center on the Gifted and Talented to develop school reform programs for all students, including at-risk students. Numerous other programs at the university collaborate with Connecticut's public schools to help students attain their educational goals.

The Office of Public Engagement provides resources for faculty, staff and students to integrate engaged scholarship into their academic, research and service programs, and maximize the university's impact on the communities with whom it engages by facilitating interdisciplinary connections and community partnerships.

Personnel Summary*Permanent Full-Time Positions*

| | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|--|------------------|---------------|---------------|--------------|------------------|--------------------|------------------|--------------------|
| | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| General Fund | 64 | 0 | 0 | 64 | 64 | 64 | 64 | 64 |
| University of Connecticut Operating Fund | 169 | 0 | 5 | 174 | 174 | 174 | 174 | 174 |

Other Positions Equated to Full Time

| | 2009-2010 | 2010-2011 | 2011-2012 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|--|---------------|------------------|------------------|--------------------|------------------|--------------------|------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> |
| University of Connecticut Operating Fund | 109 | 109 | 109 | 109 | 109 | 109 | 109 |

Financial Summary**(Net of Reimbursements)**Other Current Expenses

| | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|----------------------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| Operating Expenses | 4,906,186 | 4,867,544 | 5,291,642 | 0 | 0 | 5,096,710 | 0 | 0 |
| Veterinary Diagnostic Laboratory | 100,000 | 100,000 | 100,000 | 0 | 0 | 100,000 | 0 | 0 |
| TOTAL-General Fund | 5,006,186 | 4,967,544 | 5,391,642 | 0 | 0 | 5,196,710 | 0 | 0 |

Additional Funds Available

| | | | | | | | | |
|--|------------|------------|------------|------------|------------|------------|------------|------------|
| University of Connecticut Operating Fd | 22,879,445 | 23,366,075 | 24,902,112 | 24,902,112 | 24,902,112 | 25,306,053 | 25,306,053 | 25,306,053 |
| UConn Research Foundation | 10,653 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - All Funds | 27,896,284 | 28,333,619 | 30,293,754 | 24,902,112 | 24,902,112 | 30,502,763 | 25,306,053 | 25,306,053 |

Public Service

ACADEMIC SUPPORT**Statutory Reference**

C.G.S. Sections 10a-102 through 10a-142.

Statement of Need and Program Objectives

To enhance the university's instructional, research and public service missions by providing the academic support services which are integral to the successful functioning of the university.

Program Description

Academic support includes a variety of computer, audio-visual, and technical services, fine arts collections, and administration of the schools and colleges.

University Information Technology Services serves as both an instructional and a research facility. Computing resources and services are provided to students, faculty, and staff, as well as to

some of the public institutions of higher education and several state agencies.

The administration of academic programs includes the national recruitment and retention of highly qualified faculty and staff who serve students through a comprehensive range of academic support services in the Office for Undergraduate Education and Instruction, the Graduate School, and the other schools and colleges.

The Institute for Teaching and Learning promotes excellence in teaching and learning and provides programs to enhance the undergraduate experience of students. Its Instructional Resource Center aids the implementation of web course tools and distance learning access to advance effective teaching and learning.

Undergraduate students are assisted in their academic studies by: Learning Resources, Quantitative Learning, and University Writing Centers; Institute for Student Success programs; enrichment opportunities such as honors and study abroad; and expanding options in general education and individualized majors.

The William Benton Museum, Connecticut's State Art Museum, houses a collection of more than 6,000 works of art. Some 50,000

people visit the art exhibits each year. The Connecticut State Museum of Natural History maintains the state collections in anthropology, archaeology, biology, geology and scientific instruments. The Connecticut Archaeology Center examines and encourages the preservation of prehistoric and historic archeological sites and resources. The Museum and Center annually serve approximately 90,000 at educational exhibits, tours, workshops, talks, and special natural history events in Storrs and statewide. The State Archaeologist, the State Historian, the State Ornithologist, and faculty members in every school and college offer public lectures as well as classes on historical, environmental, cultural and political issues of statewide interest.

Multicultural and International Affairs provides leadership in the understanding of, and respect for, diversity, international affairs, multiculturalism, and equity in teaching, learning and research. Partnerships with the African National Congress and University of Fort Hare in South Africa and various human rights initiatives foster international understanding and cooperation.

Personnel Summary

| | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|--|------------------|--------|-----------|-----------|-----------|-------------|-----------|-------------|
| | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| <i>Permanent Full-Time Positions</i> | | | | | | | | |
| General Fund | 363 | 46 | -46 | 363 | 363 | 363 | 363 | 363 |
| University of Connecticut Operating Fund | 97 | 0 | 1 | 98 | 98 | 98 | 98 | 98 |

Other Positions Equated to Full Time

| | 2009-2010 | 2010-2011 | 2011-2012 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|--|---------------|------------------|------------------|---------------|------------------|------------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Recommended</u> |
| University of Connecticut Operating Fund | 62 | 62 | 62 | 62 | 62 | 62 | 62 |

Financial Summary

| <i>(Net of Reimbursements)</i> | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|--|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| <i>Other Current Expenses</i> | | | | | | | | |
| Operating Expenses | 27,867,649 | 27,648,164 | 30,057,080 | 0 | 0 | 28,949,849 | 0 | 0 |
| Regional Campus Enhancement | 4,941,727 | 5,172,151 | 5,172,151 | 0 | 0 | 5,172,151 | 0 | 0 |
| TOTAL-General Fund | 32,809,376 | 32,820,315 | 35,229,231 | 0 | 0 | 34,122,000 | 0 | 0 |
| <i>Additional Funds Available</i> | | | | | | | | |
| University of Connecticut Operating Fd | 42,756,389 | 43,675,692 | 44,827,736 | 44,827,736 | 44,827,736 | 46,711,796 | 46,711,796 | 46,711,796 |
| TOTAL - All Funds | 75,565,765 | 76,496,007 | 80,056,967 | 44,827,736 | 44,827,736 | 80,833,796 | 46,711,796 | 46,711,796 |
| Academic Support | | | | | | | | |

LIBRARY

Statutory Reference

C.G.S. Sections 10a-102 through 10a-142.

Statement of Need and Program Objectives

To support the instruction, research, study, and public service needs of students, faculty, staff, and citizens through convenient access to a comprehensive collection of scholarly material.

To serve as the principal public repository for library collections which must remain current through a regular and efficient program of replacement, acquisition, and restoration.

Program Description

The University Library System is the largest public collection of research materials in Connecticut. It consists of some 3.6 million volumes housed in the Storrs, Law and Health Center libraries and in libraries at the Avery Point, Stamford, and Tri- Campuses. The Storrs library has several large special collections, including the largest

public map collection in New England, government publications, audio formats and video tapes, art and design, microtext, rare books, and historical manuscripts. In addition, there are departmental collections in art, music and pharmacy at Storrs and specialized subject collections in law, health sciences, social work, business and insurance, and marine sciences at various campuses. DigitalCommons@UConn provides an electronic depository of the intellectual output of the university.

The Thomas J. Dodd Research Center acquires, preserves, and makes available research material of national and international distinction including the African National Congress and South Africa, American and English literature, Americana, children's literature, Connecticut historic preservation, Connecticut politics and public affairs, ethnic heritage and immigration, film and photography, Hispanic history and culture, human rights, Judaic studies, natural history, oral history and sound recordings, and railroad history.

| Personnel Summary | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|---|------------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| <i>Permanent Full-Time Positions</i> | | | | | | | | |
| General Fund | 89 | 4 | -4 | 89 | 89 | 89 | 89 | 89 |
| University of Connecticut Operating Fund | 20 | 0 | 2 | 22 | 22 | 22 | 22 | 22 |
| | | | | | | | | |
| <i>Other Positions Equated to Full Time</i> | | | | | | | | |
| University of Connecticut Operating Fund | | | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| | | | 7 | 7 | 7 | 7 | 7 | 7 |
| | | | | | | | | |
| Financial Summary | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
| (Net of Reimbursements) | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| <i>Other Current Expenses</i> | | | | | | | | |
| Operating Expenses | 6,861,705 | 6,807,662 | 7,400,797 | 0 | 0 | 7,128,169 | 0 | 0 |
| TOTAL-General Fund | 6,861,705 | 6,807,662 | 7,400,797 | 0 | 0 | 7,128,169 | 0 | 0 |
| <i>Additional Funds Available</i> | | | | | | | | |
| University of Connecticut Operating Fd | 15,864,916 | 14,908,237 | 15,327,715 | 15,327,715 | 15,327,715 | 15,799,296 | 15,799,296 | 15,799,296 |
| TOTAL - All Funds | 22,726,621 | 21,715,899 | 22,728,512 | 15,327,715 | 15,327,715 | 22,927,465 | 15,799,296 | 15,799,296 |
| Library | | | | | | | | |

STUDENT SERVICES

Statutory Reference

C.G.S. Sections 10a-102 through 10a-142.

Statement of Need and Program Objectives

To assist students in attaining their intellectual, cultural, career, and personal development objectives by providing a wide range of services, counseling, and residential opportunities.

Program Description

The student services program is funded primarily through student fees or through charges for specific services or facilities. The student services program includes: assistance in obtaining financial aid; counseling in personal adjustment and development; assistance in career planning and placement; cooperative education through which planned work experiences become an integral part of the

student's academic program; special support services for veteran, minority, and older students, and students with a disability; and counseling and support services for participants in intercollegiate athletics.

A variety of university and student sponsored activities offer: a full schedule of recreational, athletic and cultural events; and health-related services for the physical and mental well-being of students, including bed care for uncomplicated medical conditions and a variety of outpatient services.

For the over 12,300 students who pay the room and board fees, Student Services provides food and housing, along with the physical, social, and educational support services necessary in a residential environment.

| Personnel Summary | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|---|------------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| <i>Permanent Full-Time Positions</i> | | | | | | | | |
| General Fund | 146 | 47 | -47 | 146 | 146 | 146 | 146 | 146 |
| University of Connecticut Operating Fund | 735 | 0 | 2 | 737 | 737 | 737 | 737 | 737 |
| | | | | | | | | |
| <i>Other Positions Equated to Full Time</i> | | | | | | | | |
| University of Connecticut Operating Fund | | | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| | | | 236 | 236 | 236 | 236 | 236 | 236 |
| | | | | | | | | |
| Financial Summary | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
| (Net of Reimbursements) | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| <i>Other Current Expenses</i> | | | | | | | | |
| Operating Expenses | 11,253,106 | 11,164,477 | 12,137,209 | 0 | 0 | 11,690,104 | 0 | 0 |
| Regional Campus Enhancement | 2,244,296 | 2,348,943 | 2,348,943 | 0 | 0 | 2,348,943 | 0 | 0 |
| TOTAL-General Fund | 13,497,402 | 13,513,420 | 14,486,152 | 0 | 0 | 14,039,047 | 0 | 0 |
| <i>Additional Funds Available</i> | | | | | | | | |
| University of Connecticut Operating Fd | 213,129,305 | 229,943,396 | 239,339,173 | 239,339,173 | 239,339,173 | 243,053,024 | 243,053,024 | 243,053,024 |
| TOTAL - All Funds | 226,626,707 | 243,456,816 | 253,825,325 | 239,339,173 | 239,339,173 | 257,092,071 | 243,053,024 | 243,053,024 |
| Student Services | | | | | | | | |

INSTITUTIONAL SUPPORT

Statutory Reference:

C.G.S. Sections 10a-102 through 10a-142.

Statement of Need and Program Objectives:

To ensure the efficient and effective planning, management and control of university operations through its executive management, fiscal operations and general administrative services.

Program Description:

Institutional Support encompasses activities that provide campus wide support, including the offices of the president, provost and vice presidents. Among the highly diversified support units within the program are diversity and equity, alumni affairs, budget, human resources and payroll services, public and environmental safety, parking and transportation, environmental policy, governmental relations, audit, compliance and ethics, and other fiscal and university/community relations activities.

Personnel Summary

| | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|---|------------------|---------------|---------------|------------------|------------------|--------------------|------------------|--------------------|
| | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| <i>Permanent Full-Time Positions</i> | | | | | | | | |
| General Fund | 376 | 30 | -30 | 376 | 376 | 376 | 376 | 376 |
| University of Connecticut Operating Fund | 74 | 0 | 6 | 80 | 80 | 80 | 80 | 80 |
| UConn Research Foundation | 85 | 0 | 0 | 85 | 85 | 85 | 85 | 85 |
| | | | | | | | | |
| <i>Other Positions Equated to Full Time</i> | | | | | | | | |
| | | | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| University of Connecticut Operating Fund | | | 43 | 43 | 43 | 43 | 43 | 43 |
| UConn Research Foundation | | | 1 | 1 | 1 | 1 | 1 | 1 |

Financial Summary

| | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|--|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| <i>(Net of Reimbursements)</i> | | | | | | | | |
| <i>Other Current Expenses</i> | | | | | | | | |
| Operating Expenses | 28,856,230 | 28,628,959 | 31,123,330 | 0 | 0 | 29,976,821 | 0 | 0 |
| TOTAL-General Fund | 28,856,230 | 28,628,959 | 31,123,330 | 0 | 0 | 29,976,821 | 0 | 0 |
| <i>Additional Funds Available</i> | | | | | | | | |
| University of Connecticut Operating Fd | 52,891,294 | 54,006,291 | 55,288,519 | 55,288,519 | 55,288,519 | 56,974,956 | 56,974,956 | 56,974,956 |
| UConn Research Foundation | 4,241,527 | 4,489,020 | 4,775,442 | 4,775,442 | 4,775,442 | 5,028,490 | 5,028,490 | 5,028,490 |
| TOTAL - All Funds | 85,989,051 | 87,124,270 | 91,187,291 | 60,063,961 | 60,063,961 | 91,980,267 | 62,003,446 | 62,003,446 |

Institutional Support

PHYSICAL PLANT

Statutory Reference

C.G.S. Sections 10a-102 through 10a-142.

Statement of Need and Program Objectives

To actively pursue the efficient operation, maintenance, and management of the physical facilities at the university campuses.

To provide an environment conducive to carrying out the mission of the university.

Program Description

Provides services through three primary subprograms: operations, engineering and administration.

The UCONN 2000 Infrastructure Improvement Program, also known as UCONN 2000, provides for a capital budget program in three phases at a total estimated cost of \$2,905 million (including \$307 million for the Health Center hospital renovation). A structured 10-

year program of phase I and phase II projects began in FY 1996. UCONN 2000 phase III extended the initiative in FY 2005 for another 11 years. The program modernizes, rehabilitates, expands and otherwise stabilizes the university's physical plant, and authorizes university issuance of General Obligation and Special Obligation Bonds to finance the approved projects. UCONN 2000 projects include deferred maintenance, renovations and adaptations, new buildings and additions, equipment replacement and upgrades, transportation and parking, roads, walks and residence halls. Since 1995, 100 major projects and over 9.7 million square feet of new and renovated space have been completed. The infrastructure improvements have been influential in enrollment growth, enhancement of the academic quality of the student body, and attraction of high-level faculty and research support.

Personnel Summary

| | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|--|------------------|---------------|---------------|--------------|------------------|--------------------|------------------|--------------------|
| | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| <i>Permanent Full-Time Positions</i> | | | | | | | | |
| General Fund | 171 | 0 | 0 | 171 | 171 | 171 | 171 | 171 |
| University of Connecticut Operating Fund | 89 | 0 | 8 | 97 | 97 | 97 | 97 | 97 |

| | 2009-2010 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|---|---------------|------------------|------------------|--------------------|------------------|--------------------|
| <i>Other Positions Equated to Full Time</i> | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| University of Connecticut Operating Fund | 7 | 7 | 7 | 7 | 7 | 7 |

| Financial Summary | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|--|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| (Net of Reimbursements) | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| <u>Other Current Expenses</u> | | | | | | | | |
| Operating Expenses | 13,150,411 | 13,046,839 | 14,183,578 | 0 | 0 | 13,661,089 | 0 | 0 |
| Regional Campus Enhancement | 311,652 | 326,184 | 326,184 | 0 | 0 | 326,184 | 0 | 0 |
| TOTAL-General Fund | 13,462,063 | 13,373,023 | 14,509,762 | 0 | 0 | 13,987,273 | 0 | 0 |
| <u>Additional Funds Available</u> | | | | | | | | |
| University of Connecticut Operating Fd | 78,000,641 | 82,203,545 | 65,927,320 | 65,927,320 | 65,927,320 | 67,110,435 | 67,110,435 | 67,110,435 |
| TOTAL - All Funds | 91,462,704 | 95,576,568 | 80,437,082 | 65,927,320 | 65,927,320 | 81,097,708 | 67,110,435 | 67,110,435 |

Physical Plant

SCHOLARSHIPS AND FELLOWSHIPS

Statutory Reference

C.G.S. Sections 10a-102 through 10a-142.

Statement of Need and Program Objectives

To ensure institutional access, diversity, and a competitive stance in attracting and retaining students of high quality by providing qualified undergraduate and graduate students with a variety of awards.

Program Description

The program includes awards to undergraduate students as scholarships, grants-in-aid, tuition remissions, work and loans. Graduates receive support through tuition remission/waivers, fellowships, work and loans. The primary sources of aid are:

The Perkins Loan Program - established under Title II of the National Defense Act of 1958.

The Work Study Program - established under Title I of the Economic Opportunity Act of 1964 to provide part-time employment for students from low-income families.

Graduate Fellowships, merit-based scholarship grants from the university's Tuition Funds, enable the university to recruit and retain well-qualified graduate students who plan to earn their doctorate degree. The university's academic programs depend, in many ways,

on graduate students in the late stage of their doctoral work. The average graduate fellowship award is \$3,776. Approximately 1,900 full assistantships, at an average 9-month salary of \$21,000, are provided to graduate students who perform key functions such as teaching, tutoring, conducting research, and providing public service.

Day of Pride and Leadership Fund Awards expand educational opportunities for minority students. The average annual scholarship for these awards is \$7,271 and \$16,012, respectively.

Scholarships and financial aid awards are made from various sources including funds from the federal government, the state and university. Most of these awards are need based.

Pell Grants are entirely federally funded and are available to undergraduates who meet specified financial criteria.

Supplemental Educational Opportunity Grants are federally and institutionally funded assistance to undergraduates who have exceptional financial need. As the name suggests, these grants supplement Pell grants to students with exceptional need.

Merit-based aid totals \$30.6 million annually; 38% of all tuition dollars are dedicated to financial aid and 77% of students received aid.

| Financial Summary | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|--|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| (Net of Reimbursements) | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| <u>Additional Funds Available</u> | | | | | | | | |
| University of Connecticut Operating Fd | 80,640,643 | 78,478,183 | 79,606,945 | 79,606,945 | 79,606,945 | 78,220,158 | 78,220,158 | 78,220,158 |
| UConn Research Foundation | 422,176 | 1,150,000 | 1,150,000 | 1,150,000 | 1,150,000 | 1,150,000 | 1,150,000 | 1,150,000 |
| TOTAL - All Funds | 81,062,819 | 79,628,183 | 80,756,945 | 80,756,945 | 80,756,945 | 79,370,158 | 79,370,158 | 79,370,158 |

Scholarships and Fellowships

THE UNIVERSITY OF CONNECTICUT BLOCK GRANT

Statutory Reference

C.G.S. Section 10a-105.

Statement of Need and Program Objectives

To provide the University of Connecticut with a streamlined, flexible, and responsive administrative structure.

Program Description

There are nine program elements in "The University of Connecticut Block Grant": Instruction; Research; Public Service; Academic Support; Library; Student Services; Institutional Support; Physical Plant; Scholarships and Fellowships.

For a more complete description of each of these, please refer to the aforementioned program elements.

| Financial Summary | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|--|---------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|--------------------|
| (Net of Reimbursements) | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| Other Current Expenses | | | | | | | | |
| Operating Expenses | 0 | 0 | 0 | 241,408,445 | 217,267,600 | 0 | 234,548,386 | 211,093,547 |
| Tuition Freeze | 0 | 0 | 0 | 4,741,885 | 4,267,696 | 0 | 4,741,885 | 4,267,696 |
| Regional Campus Enhancement | 0 | 0 | 0 | 8,375,559 | 7,538,003 | 0 | 8,375,559 | 7,538,003 |
| Veterinary Diagnostic Laboratory | 0 | 0 | 0 | 100,000 | 90,000 | 0 | 100,000 | 90,000 |
| TOTAL-General Fund | 0 | 0 | 0 | 254,625,889 | 229,163,299 | 0 | 247,765,830 | 222,989,246 |
| Additional Funds Available | | | | | | | | |
| Federal Contributions | | | | | | | | |
| 10001 Ag Research Basic/Applied | 1,555,132 | 1,601,786 | 1,637,826 | 1,637,826 | 1,637,826 | 1,711,529 | 1,711,529 | 1,711,529 |
| 10025 Plant &Animal Disease, Pest Control | 23,615 | 26,083 | 26,670 | 26,670 | 26,670 | 27,870 | 27,870 | 27,870 |
| 10170 Specialty Crop Block Grant Program - Farm Bill | 12,680 | 14,005 | 14,320 | 14,320 | 14,320 | 14,965 | 14,965 | 14,965 |
| 10200 Grants Agricultur Rsrch, Special Rsrch | 579,485 | 640,041 | 654,442 | 654,442 | 654,442 | 683,892 | 683,892 | 683,892 |
| 10202 Cooperative Forestry Research | 52,502 | 57,486 | 57,486 | 57,486 | 57,486 | 57,486 | 57,486 | 57,486 |
| 10203 Paymt Ag Exp Sta Hatch Act | 1,108,542 | 1,550,000 | 1,600,000 | 1,600,000 | 1,600,000 | 1,600,000 | 1,600,000 | 1,600,000 |
| 10206 Ag Research Competitive Grts | 607,036 | 625,247 | 639,315 | 639,315 | 639,315 | 668,084 | 668,084 | 668,084 |
| 10207 Animal Health & Disease Research | 15,365 | 15,738 | 15,738 | 15,738 | 15,738 | 15,738 | 15,738 | 15,738 |
| 10210 Food & Agri Sci Natl Needs Fellow Grt | 80,029 | 88,392 | 90,381 | 90,381 | 90,381 | 94,448 | 94,448 | 94,448 |
| 10212 Small Business Innovation Research | 47,237 | 52,173 | 53,347 | 53,347 | 53,347 | 55,748 | 55,748 | 55,748 |
| 10215 Sustainable Agric Research & Ed | 38,846 | 42,905 | 43,871 | 43,871 | 43,871 | 45,845 | 45,845 | 45,845 |
| 10217 Higher Education Challenge Grants | 19,916 | 19,319 | 18,739 | 18,739 | 18,739 | 18,177 | 18,177 | 18,177 |
| 10219 Biotech Risk Assessmt Research | 130,770 | 144,435 | 147,685 | 147,685 | 147,685 | 154,331 | 154,331 | 154,331 |
| 10220 Higher Education Multicultural Scholar | 28,770 | 31,776 | 32,491 | 32,491 | 32,491 | 33,954 | 33,954 | 33,954 |
| 10303 Integrated Programs | 331,301 | 341,240 | 348,918 | 348,918 | 348,918 | 364,619 | 364,619 | 364,619 |
| 10304 Homeland Security Agricultural | 36,430 | 40,237 | 41,142 | 41,142 | 41,142 | 42,994 | 42,994 | 42,994 |
| 10309 Specialty Crop Research Initiative | 45,697 | 50,472 | 51,608 | 51,608 | 51,608 | 53,930 | 53,930 | 53,930 |
| 10443 Outreach & Assist Soc Dis Farmer/Ranch | 1,550 | 1,504 | 1,458 | 1,458 | 1,458 | 1,415 | 1,415 | 1,415 |
| 10458 Crop Ins Education in Targeted States | 145,897 | 150,274 | 153,655 | 153,655 | 153,655 | 160,570 | 160,570 | 160,570 |
| 10500 Cooperative Extension Service | 2,986,854 | 2,500,000 | 2,500,000 | 2,500,000 | 2,500,000 | 2,500,000 | 2,500,000 | 2,500,000 |
| 10561 State Admin Match Grt Food Stamp | 2,112,858 | 2,333,652 | 2,386,159 | 2,386,159 | 2,386,159 | 2,493,536 | 2,493,536 | 2,493,536 |
| 10604 Tech Assistance Specialty Crop Pgm | 1,040 | 1,149 | 1,175 | 1,175 | 1,175 | 1,227 | 1,227 | 1,227 |
| 10664 Cooperative Forestry Assistance | 31,342 | 32,282 | 33,009 | 33,009 | 33,009 | 34,494 | 34,494 | 34,494 |
| 10676 Forest Legacy Program | 11,953 | 13,202 | 13,499 | 13,499 | 13,499 | 14,107 | 14,107 | 14,107 |
| 10680 Forest Health Protection | 41,421 | 45,749 | 46,779 | 46,779 | 46,779 | 48,884 | 48,884 | 48,884 |
| 10901 Resource Conservation & Development | 72,126 | 74,290 | 75,961 | 75,961 | 75,961 | 79,380 | 79,380 | 79,380 |
| 10912 Environmental Quality Incentives Pgm | 7,706 | 8,511 | 8,703 | 8,703 | 8,703 | 9,094 | 9,094 | 9,094 |
| 10913 Farmland Protection Program | 9,750 | 10,769 | 11,011 | 11,011 | 11,011 | 11,507 | 11,507 | 11,507 |
| 10961 Scientific Cooperation & Research | 6,551 | 7,236 | 7,398 | 7,398 | 7,398 | 7,731 | 7,731 | 7,731 |
| 11000 Department of Commerce | 274,963 | 303,697 | 310,530 | 310,530 | 310,530 | 324,504 | 324,504 | 324,504 |
| 11200 State of the Nation (2002B) | 41 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 11303 Economic Dev - Technical Assistance | 76,996 | 85,042 | 86,956 | 86,956 | 86,956 | 90,869 | 90,869 | 90,869 |
| 11417 Sea Grant Support | 893,573 | 986,951 | 1,009,158 | 1,009,158 | 1,009,158 | 1,054,570 | 1,054,570 | 1,054,570 |
| 11419 Coastal Zone Mgmt Admin | 89,843 | 92,538 | 94,620 | 94,620 | 94,620 | 98,878 | 98,878 | 98,878 |
| 11427 Fisheries Dev & Util R&D/Coop Agreements | 18,805 | 20,770 | 21,237 | 21,237 | 21,237 | 22,193 | 22,193 | 22,193 |
| 11430 Undersea Research | 253,145 | 260,739 | 266,606 | 266,606 | 266,606 | 278,603 | 278,603 | 278,603 |
| 11431 Climate & Atmospheric Research | 213,720 | 236,054 | 241,365 | 241,365 | 241,365 | 252,226 | 252,226 | 252,226 |

| | | | | | | | | |
|--|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| 11432 Oceanic & Atmospheric Rsrch JointCoop | 30,552 | 31,469 | 32,177 | 32,177 | 32,177 | 33,625 | 33,625 | 33,625 |
| 11460 Special Oceanic & Atmospheric Projects | 13,442 | 13,039 | 12,648 | 12,648 | 12,648 | 12,268 | 12,268 | 12,268 |
| 11468 Applied Meteorological Research | 185 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 11469 Congressionally Identified Awards Proj | 12,610 | 13,928 | 14,241 | 14,241 | 14,241 | 14,882 | 14,882 | 14,882 |
| 11472 Unallied Science Program | 7,844 | 7,609 | 7,380 | 7,380 | 7,380 | 7,159 | 7,159 | 7,159 |
| 11473 Coastal Services Center | 404,857 | 417,003 | 426,385 | 426,385 | 426,385 | 445,573 | 445,573 | 445,573 |
| 11478 Ctr Sponsored Coastal Ocean Rsrch | 193,930 | 199,748 | 204,242 | 204,242 | 204,242 | 213,433 | 213,433 | 213,433 |
| 11609 Measurement & Engineering Rsrch& Stnrd | 90,962 | 100,468 | 102,728 | 102,728 | 102,728 | 107,351 | 107,351 | 107,351 |
| 12000 Department of Defense | 1,309,584 | 1,348,872 | 1,379,221 | 1,379,221 | 1,379,221 | 1,441,286 | 1,441,286 | 1,441,286 |
| 12300 Basic & Applied Scientific Research | 1,358,426 | 1,399,179 | 1,430,660 | 1,430,660 | 1,430,660 | 1,495,040 | 1,495,040 | 1,495,040 |
| 12350 Department of Defense HIV/AIDS Prevention Program | 251,627 | 277,922 | 284,175 | 284,175 | 284,175 | 296,963 | 296,963 | 296,963 |
| 12351 Basic Scientific Research - Combating Weapons of M | 29,106 | 32,148 | 32,871 | 32,871 | 32,871 | 34,350 | 34,350 | 34,350 |
| 12420 Military Medical Research and Development | 450,474 | 497,549 | 508,743 | 508,743 | 508,743 | 531,637 | 531,637 | 531,637 |
| 12431 Basic Scientific Research | 319,952 | 329,551 | 336,965 | 336,965 | 336,965 | 352,129 | 352,129 | 352,129 |
| 12630 Basic, Applied, & Advanced Research | 154,300 | 170,424 | 174,259 | 174,259 | 174,259 | 182,101 | 182,101 | 182,101 |
| 12800 Air Force Defense Rsrch Sciences Pgm | 795,001 | 878,079 | 897,835 | 897,835 | 897,835 | 938,238 | 938,238 | 938,238 |
| 12901 Mathematical Sciences Grants Program | 9,030 | 8,759 | 8,496 | 8,496 | 8,496 | 8,241 | 8,241 | 8,241 |
| 12910 Research & Technology Development | 12,406 | 13,702 | 14,011 | 14,011 | 14,011 | 14,641 | 14,641 | 14,641 |
| 14905 Lead Hazard Reduction Demo Grant Pgm | 29,685 | 30,576 | 31,263 | 31,263 | 31,263 | 32,670 | 32,670 | 32,670 |
| 15634 State Wildlife Grants | 19,337 | 18,757 | 18,194 | 18,194 | 18,194 | 17,648 | 17,648 | 17,648 |
| 15805 Assist State Water Resources Rsrch Inst | 96,509 | 106,594 | 108,993 | 108,993 | 108,993 | 113,897 | 113,897 | 113,897 |
| 15808 USGS Rsrch & Data Collect | 98,364 | 101,315 | 103,595 | 103,595 | 103,595 | 108,256 | 108,256 | 108,256 |
| 15814 National Geological and Geophysical Data Preservat | 10,689 | 11,806 | 12,072 | 12,072 | 12,072 | 12,615 | 12,615 | 12,615 |
| 16525 Grt-Red Violent Crimes Against Women | 10,591 | 11,698 | 11,961 | 11,961 | 11,961 | 12,499 | 12,499 | 12,499 |
| 16560 National Inst Justice Rsrch,Eval Dev Prj | 86,504 | 95,544 | 97,693 | 97,693 | 97,693 | 102,090 | 102,090 | 102,090 |
| 16564 Combined Offndr DNA Index Sys Backlog Redu | 2,733 | 2,651 | 2,571 | 2,571 | 2,571 | 2,494 | 2,494 | 2,494 |
| 17258 WIA Adult Program | 33,695 | 34,706 | 35,487 | 35,487 | 35,487 | 37,084 | 37,084 | 37,084 |
| 17261 Employment & Training Administration | 121,686 | 134,402 | 137,426 | 137,426 | 137,426 | 143,610 | 143,610 | 143,610 |
| 19009 Academic Exchange Pgm - Undergrad Pgms | 314 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 19415 Professional Exchanges Annual Open Gra | 117,651 | 129,946 | 132,869 | 132,869 | 132,869 | 138,848 | 138,848 | 138,848 |
| 20205 Highway Planning & Construction | 886,867 | 979,545 | 1,001,584 | 1,001,584 | 1,001,584 | 1,046,656 | 1,046,656 | 1,046,656 |
| 20215 Highway Training & Education | 13,000 | 12,610 | 12,232 | 12,232 | 12,232 | 11,865 | 11,865 | 11,865 |
| 20314 Railroad Development | 76,510 | 84,505 | 86,407 | 86,407 | 86,407 | 90,295 | 90,295 | 90,295 |
| 20701 University Transportation Centers Prog | 328,069 | 362,352 | 370,505 | 370,505 | 370,505 | 387,178 | 387,178 | 387,178 |
| 20760 University Transportation Centers | 198,102 | 218,804 | 223,727 | 223,727 | 223,727 | 233,794 | 233,794 | 233,794 |
| 21008 Low-Income Taxpayer Clinics | 55,565 | 61,372 | 62,752 | 62,752 | 62,752 | 65,576 | 65,576 | 65,576 |
| 27011 Intergovernmental Personnel Act (IPA) | 22,869 | 25,259 | 25,827 | 25,827 | 25,827 | 26,989 | 26,989 | 26,989 |
| 42000 Library of Congress | 36,148 | 39,925 | 40,824 | 40,824 | 40,824 | 42,661 | 42,661 | 42,661 |
| 43001 Aerospace Education Services Pgm | 1,182,357 | 1,217,828 | 1,245,229 | 1,245,229 | 1,245,229 | 1,301,264 | 1,301,264 | 1,301,264 |
| 45160 Promotion of the Humanities Fellowships | 203,950 | 225,263 | 230,331 | 230,331 | 230,331 | 240,696 | 240,696 | 240,696 |
| 45312 National Leadership Grants | 6,459 | 6,265 | 6,077 | 6,077 | 6,077 | 5,895 | 5,895 | 5,895 |
| 47000 National Science Foundation | 352,187 | 362,753 | 370,915 | 370,915 | 370,915 | 387,606 | 387,606 | 387,606 |
| 47041 Engineering Grants | 2,126,359 | 2,348,564 | 2,401,406 | 2,401,406 | 2,401,406 | 2,509,469 | 2,509,469 | 2,509,469 |
| 47049 Mathematical & Physical Sciences | 1,483,815 | 1,528,329 | 1,562,717 | 1,562,717 | 1,562,717 | 1,633,039 | 1,633,039 | 1,633,039 |
| 47050 Geosciences | 1,160,512 | 1,281,786 | 1,310,626 | 1,310,626 | 1,310,626 | 1,369,604 | 1,369,604 | 1,369,604 |
| 47070 Computer and Information Science and Engineering | 1,480,993 | 1,635,757 | 1,672,561 | 1,672,561 | 1,672,561 | 1,747,827 | 1,747,827 | 1,747,827 |
| 47074 Biological Sciences | 3,178,810 | 3,274,174 | 3,347,843 | 3,347,843 | 3,347,843 | 3,498,496 | 3,498,496 | 3,498,496 |

Budget-in-Detail

| | | | | | | | | |
|--|------------|------------|------------|------------|------------|------------|------------|------------|
| 47075 Social, Behavioral, & Economic Sciences | 606,466 | 669,842 | 684,913 | 684,913 | 684,913 | 715,734 | 715,734 | 715,734 |
| 47076 Education & Human Resources | 1,329,568 | 1,468,508 | 1,501,549 | 1,501,549 | 1,501,549 | 1,569,119 | 1,569,119 | 1,569,119 |
| 47078 Polar Programs | 16,730 | 16,228 | 15,741 | 15,741 | 15,741 | 15,269 | 15,269 | 15,269 |
| 47079 International Science and Engineering | 202,148 | 208,212 | 212,897 | 212,897 | 212,897 | 222,478 | 222,478 | 222,478 |
| 47082 Trans-NSF Recovery Act Reasearch Support (B) | 1,369,534 | 1,512,650 | 1,546,685 | 1,546,685 | 1,546,685 | 1,616,286 | 1,616,286 | 1,616,286 |
| 59000 Small Business Administration | 116,455 | 128,625 | 131,519 | 131,519 | 131,519 | 137,437 | 137,437 | 137,437 |
| 64000 Department of Veterans Affairs | 191,231 | 211,215 | 215,967 | 215,967 | 215,967 | 225,685 | 225,685 | 225,685 |
| 66000 Environmental Protection Agency | 54,799 | 60,525 | 61,887 | 61,887 | 61,887 | 64,672 | 64,672 | 64,672 |
| 66460 Nonpoint Source Implement Grts | 415,803 | 459,254 | 469,588 | 469,588 | 469,588 | 490,719 | 490,719 | 490,719 |
| 66471 State Grants to Operators of Small Water Systems | 365,965 | 404,208 | 413,303 | 413,303 | 413,303 | 431,902 | 431,902 | 431,902 |
| 66509 Science To Achieve Results (STAR) Pgm | 144,491 | 148,826 | 152,174 | 152,174 | 152,174 | 159,022 | 159,022 | 159,022 |
| 66514 Science To Achieve Results (STAR) Fellowship | 17,005 | 18,782 | 19,205 | 19,205 | 19,205 | 20,069 | 20,069 | 20,069 |
| 66611 Environmental Policy & Innovation Gr | 5,491 | 6,065 | 6,201 | 6,201 | 6,201 | 6,480 | 6,480 | 6,480 |
| 66707 TSCA Title IV St Lead Grants Certificate | 49,969 | 55,191 | 56,433 | 56,433 | 56,433 | 58,972 | 58,972 | 58,972 |
| 81000 Department of the Interior | 120,920 | 133,556 | 136,561 | 136,561 | 136,561 | 142,706 | 142,706 | 142,706 |
| 81049 Office of Science Financial Assistance | 1,171,775 | 1,294,225 | 1,323,346 | 1,323,346 | 1,323,346 | 1,382,896 | 1,382,896 | 1,382,896 |
| 81057 University Coal Research | 19,584 | 18,996 | 18,427 | 18,427 | 18,427 | 17,874 | 17,874 | 17,874 |
| 81079 Regional Biomass Energy Programs | 72,116 | 79,652 | 81,444 | 81,444 | 81,444 | 85,109 | 85,109 | 85,109 |
| 81087 Renewable Energy Research & Development | 623,599 | 688,765 | 704,262 | 704,262 | 704,262 | 735,954 | 735,954 | 735,954 |
| 81089 Fossil Energy Research & Development | 53,292 | 58,861 | 60,185 | 60,185 | 60,185 | 62,894 | 62,894 | 62,894 |
| 81117 Energy Efficiency & Renewable Energy | 77,098 | 85,155 | 87,071 | 87,071 | 87,071 | 90,989 | 90,989 | 90,989 |
| 81135 Advanced Research & Projects Agy Energy Asst Pgm | 42,543 | 46,989 | 48,046 | 48,046 | 48,046 | 50,208 | 50,208 | 50,208 |
| 84000 Department of Education | 57,179 | 58,894 | 60,219 | 60,219 | 60,219 | 62,929 | 62,929 | 62,929 |
| 84000 Department of Energy | 323,275 | 357,057 | 365,091 | 365,091 | 365,091 | 381,520 | 381,520 | 381,520 |
| 84007 Federal Supplemental Educational Opport Grants | 718,477 | 695,451 | 695,451 | 695,451 | 695,451 | 695,451 | 695,451 | 695,451 |
| 84033 Federal Work-Study Program | 1,542,342 | 1,427,490 | 1,427,490 | 1,427,490 | 1,427,490 | 1,427,490 | 1,427,490 | 1,427,490 |
| 84042 TRIO Student Support Services | 284,982 | 314,763 | 321,845 | 321,845 | 321,845 | 336,328 | 336,328 | 336,328 |
| 84044 TRIO Talent Search | 307,274 | 339,384 | 347,020 | 347,020 | 347,020 | 362,636 | 362,636 | 362,636 |
| 84047 TRIO Upward Bound | 237,127 | 244,241 | 249,736 | 249,736 | 249,736 | 260,974 | 260,974 | 260,974 |
| 84048 Vocational Education Basic Grants to States | 58,122 | 59,866 | 61,213 | 61,213 | 61,213 | 63,967 | 63,967 | 63,967 |
| 84063 Federal Pell Grant Program | 16,259,373 | 17,700,000 | 18,700,000 | 18,700,000 | 18,700,000 | 19,200,000 | 19,200,000 | 19,200,000 |
| 84116 Improvement of Postsecondary Education | 16,884 | 18,648 | 19,068 | 19,068 | 19,068 | 19,926 | 19,926 | 19,926 |
| 84165 Magnet Schools Assistance | 17,481 | 19,308 | 19,742 | 19,742 | 19,742 | 20,631 | 20,631 | 20,631 |
| 84181 Special Education Grants for Infants | 15,587 | 17,216 | 17,603 | 17,603 | 17,603 | 18,395 | 18,395 | 18,395 |
| 84184 Safe & Drug-Free Schools & Communities | 180,562 | 199,431 | 203,918 | 203,918 | 203,918 | 213,094 | 213,094 | 213,094 |
| 84195 Bilingual Education - Professional Develop | 423,208 | 467,433 | 477,950 | 477,950 | 477,950 | 499,458 | 499,458 | 499,458 |
| 84200 Graduate Assistance in Areas of National Need | 304,582 | 336,411 | 343,980 | 343,980 | 343,980 | 359,459 | 359,459 | 359,459 |
| 84206 Javits Gifted & Talented Students Grant Pgm | 452,502 | 466,077 | 476,564 | 476,564 | 476,564 | 498,009 | 498,009 | 498,009 |
| 84220 Centers for International Business Education | 330,934 | 365,517 | 373,741 | 373,741 | 373,741 | 390,559 | 390,559 | 390,559 |
| 84305 Education Research, Development & Dissemination | 2,305,428 | 2,546,345 | 2,603,638 | 2,603,638 | 2,603,638 | 2,720,802 | 2,720,802 | 2,720,802 |
| 84324 Research in Special Education | 708,297 | 782,314 | 799,916 | 799,916 | 799,916 | 835,912 | 835,912 | 835,912 |
| 84326 Special Education Technical Assistance | 349,975 | 386,547 | 395,245 | 395,245 | 395,245 | 413,031 | 413,031 | 413,031 |
| 84327 Special Education Technology & Media | 922 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84333 Demonstration Projects to Ensure Stude | 291,355 | 321,802 | 329,042 | 329,042 | 329,042 | 343,849 | 343,849 | 343,849 |
| 84334 Gaining Early Awareness & Readiness | 725,099 | 746,852 | 763,656 | 763,656 | 763,656 | 798,021 | 798,021 | 798,021 |

Education

438

University of Connecticut

| | | | | | | | | |
|--|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| 84367 Improving Teacher Quality State Grants | 42,090 | 43,353 | 44,328 | 44,328 | 44,328 | 46,323 | 46,323 | 46,323 |
| 84373 Special Education_Technical Assistance on State Da | 216,282 | 238,883 | 244,258 | 244,258 | 244,258 | 255,250 | 255,250 | 255,250 |
| 84375 Academic Competitiveness Grants | 934,716 | 1,005,000 | 1,027,613 | 1,027,613 | 1,027,613 | 1,073,855 | 1,073,855 | 1,073,855 |
| 84376 SMART Grants | 907,716 | 900,000 | 927,000 | 927,000 | 927,000 | 968,715 | 968,715 | 968,715 |
| 84379 Teacher Education Assistance for College and High | 7,000 | 7,732 | 7,905 | 7,905 | 7,905 | 8,261 | 8,261 | 8,261 |
| 84391 Special Education Grants to States, Recovery Act | 20,548 | 22,695 | 23,206 | 23,206 | 23,206 | 24,250 | 24,250 | 24,250 |
| 84928 National Writing Project | 49,403 | 50,885 | 52,030 | 52,030 | 52,030 | 54,371 | 54,371 | 54,371 |
| 90401 Help America Vote Act Requirements Payments | 245,831 | 253,206 | 258,903 | 258,903 | 258,903 | 270,554 | 270,554 | 270,554 |
| 93000 National Institute for Health | 1,078,232 | 1,190,907 | 1,217,703 | 1,217,703 | 1,217,703 | 1,272,499 | 1,272,499 | 1,272,499 |
| 93061 Innovations in Applied Public Health Research | 462,398 | 476,270 | 486,986 | 486,986 | 486,986 | 508,900 | 508,900 | 508,900 |
| 93067 Global AIDS | 31,026 | 34,268 | 35,039 | 35,039 | 35,039 | 36,616 | 36,616 | 36,616 |
| 93100 Health Disparities in Minority Health | 1,377,758 | 1,419,091 | 1,451,020 | 1,451,020 | 1,451,020 | 1,516,316 | 1,516,316 | 1,516,316 |
| 93110 Maternal & Child Health | 166,111 | 183,470 | 187,598 | 187,598 | 187,598 | 196,040 | 196,040 | 196,040 |
| 93113 Environmental Health | 976,092 | 1,005,375 | 1,027,996 | 1,027,996 | 1,027,996 | 1,074,255 | 1,074,255 | 1,074,255 |
| 93121 Oral Diseases & Disorders Research | 5,015 | 5,539 | 5,664 | 5,664 | 5,664 | 5,919 | 5,919 | 5,919 |
| 93143 NIEHS Superfund Hazardous Substances B | 53,032 | 58,574 | 59,892 | 59,892 | 59,892 | 62,587 | 62,587 | 62,587 |
| 93173 Research Deafness/Communication Disorders | 795,140 | 818,994 | 837,422 | 837,422 | 837,422 | 875,106 | 875,106 | 875,106 |
| 93213 Research & Training in Complementary | 6,661 | 7,357 | 7,523 | 7,523 | 7,523 | 7,861 | 7,861 | 7,861 |
| 93242 Mental Health Research Grants | 4,164,289 | 4,599,457 | 4,702,945 | 4,702,945 | 4,702,945 | 4,914,578 | 4,914,578 | 4,914,578 |
| 93243 Substance Abuse & Mental Health Services | 376,967 | 416,360 | 425,728 | 425,728 | 425,728 | 444,886 | 444,886 | 444,886 |
| 93262 Occupational Safety and Health Program | 256,227 | 283,003 | 289,370 | 289,370 | 289,370 | 302,392 | 302,392 | 302,392 |
| 93273 Alcohol Research Programs | 1,134,539 | 1,253,098 | 1,281,293 | 1,281,293 | 1,281,293 | 1,338,951 | 1,338,951 | 1,338,951 |
| 93279 Drug Abuse Research Programs | 1,074,606 | 1,108,771 | 1,133,718 | 1,133,718 | 1,133,718 | 1,184,735 | 1,184,735 | 1,184,735 |
| 93281 Mental Health Research Career/Scientis | 130,638 | 144,290 | 147,536 | 147,536 | 147,536 | 154,175 | 154,175 | 154,175 |
| 93282 Mental Health National Research Services | 184,260 | 189,788 | 194,058 | 194,058 | 194,058 | 202,791 | 202,791 | 202,791 |
| 93283 Ctrs-Disease Control & Prevention | 56,026 | 61,881 | 63,273 | 63,273 | 63,273 | 66,120 | 66,120 | 66,120 |
| 93286 Discovery & Applied Research | 17,359 | 19,173 | 19,604 | 19,604 | 19,604 | 20,487 | 20,487 | 20,487 |
| 93310 Trans-NIH Research Support | 22,415 | 24,757 | 25,314 | 25,314 | 25,314 | 26,454 | 26,454 | 26,454 |
| 93358 Advanced Education Nursing Traineeship | 46,284 | 51,121 | 52,271 | 52,271 | 52,271 | 54,623 | 54,623 | 54,623 |
| 93389 National Center for Research Resources | 89,409 | 92,091 | 94,163 | 94,163 | 94,163 | 98,401 | 98,401 | 98,401 |
| 93393 Cancer Cause & Prevention Research | 284,639 | 293,178 | 299,775 | 299,775 | 299,775 | 313,265 | 313,265 | 313,265 |
| 93395 Cancer Treatment Research | 278,274 | 307,354 | 314,269 | 314,269 | 314,269 | 328,411 | 328,411 | 328,411 |
| 93407 ARRA - Scholarships for Disadvantaged Students | 31,925 | 35,261 | 36,055 | 36,055 | 36,055 | 37,677 | 37,677 | 37,677 |
| 93590 Community-Based Family Resource & Support | 11,297 | 10,958 | 10,629 | 10,629 | 10,629 | 10,310 | 10,310 | 10,310 |
| 93632 University Centers for Excellence in D | 36,842 | 40,692 | 41,608 | 41,608 | 41,608 | 43,480 | 43,480 | 43,480 |
| 93701 Trans-NIH Recovery Act Research Support | 1,387,541 | 1,532,539 | 1,567,021 | 1,567,021 | 1,567,021 | 1,637,537 | 1,637,537 | 1,637,537 |
| 93793 Medicaid Transformation Grants | 413,387 | 456,586 | 466,859 | 466,859 | 466,859 | 487,868 | 487,868 | 487,868 |
| 93837 Heart & Vascular Diseases Research | 388,242 | 428,813 | 438,462 | 438,462 | 438,462 | 458,192 | 458,192 | 458,192 |
| 93846 Arthritis, Musculoskeletal & Skin Diseases | 487,148 | 501,762 | 513,052 | 513,052 | 513,052 | 536,139 | 536,139 | 536,139 |
| 93847 Diabetes, Endocrinology & Metabolism | 15,763 | 17,410 | 17,802 | 17,802 | 17,802 | 18,603 | 18,603 | 18,603 |
| 93848 Digestive Diseases & Nutrition Research | 80,104 | 82,507 | 84,364 | 84,364 | 84,364 | 88,160 | 88,160 | 88,160 |
| 93853 Extramural Research Pgms | 1,327,643 | 1,466,382 | 1,499,375 | 1,499,375 | 1,499,375 | 1,566,847 | 1,566,847 | 1,566,847 |
| 93855 Allergy, Immunology & Transplantation | 663,545 | 732,885 | 749,375 | 749,375 | 749,375 | 783,097 | 783,097 | 783,097 |
| 93859 Biomedical Research & Research Training | 1,020,613 | 1,051,231 | 1,074,884 | 1,074,884 | 1,074,884 | 1,123,254 | 1,123,254 | 1,123,254 |
| 93864 Population Research | 23,368 | 25,810 | 26,391 | 26,391 | 26,391 | 27,578 | 27,578 | 27,578 |

Budget-in-Detail

| | | | | | | | | |
|--|-------------------|-------------------|-------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| 93865 Child Health/Human Development Extramural Research | 1,267,051 | 1,399,458 | 1,430,946 | 1,430,946 | 1,430,946 | 1,495,338 | 1,495,338 | 1,495,338 |
| 93866 Aging Research | 528,035 | 583,215 | 596,337 | 596,337 | 596,337 | 623,172 | 623,172 | 623,172 |
| 93867 Vision Research | 254,982 | 281,628 | 287,964 | 287,964 | 287,964 | 300,923 | 300,923 | 300,923 |
| 93879 Medical Library Assistance | 55,912 | 57,589 | 58,885 | 58,885 | 58,885 | 61,535 | 61,535 | 61,535 |
| 93925 Scholarships for Health Professions St | 72,590 | 74,768 | 76,450 | 76,450 | 76,450 | 79,890 | 79,890 | 79,890 |
| 93958 Block Grants for Community Mental Health | 15,873 | 17,532 | 17,926 | 17,926 | 17,926 | 18,733 | 18,733 | 18,733 |
| 93959 Block Grants for Prevention & Treatment | 55,849 | 61,685 | 63,073 | 63,073 | 63,073 | 65,911 | 65,911 | 65,911 |
| 94006 AmeriCorps | 55,399 | 57,061 | 58,345 | 58,345 | 58,345 | 60,970 | 60,970 | 60,970 |
| 97004 State Domestic Preparedness Equipment | 22,100 | 21,437 | 20,794 | 20,794 | 20,794 | 20,170 | 20,170 | 20,170 |
| 97061 Centers for Homeland Security | 527,623 | 582,760 | 595,872 | 595,872 | 595,872 | 622,686 | 622,686 | 622,686 |
| 97068 Competitive Training Grants | 176,562 | 181,859 | 185,951 | 185,951 | 185,951 | 194,318 | 194,318 | 194,318 |
| 97108 Homeland Security, Research, Testing, Evaluation, | 55,647 | 57,316 | 58,606 | 58,606 | 58,606 | 61,243 | 61,243 | 61,243 |
| 98000 United States Agency for International Dev | 12,140 | 11,776 | 11,423 | 11,423 | 11,423 | 11,080 | 11,080 | 11,080 |
| 98001 USAID Foreign Assistance for Programs | 166,353 | 171,344 | 175,199 | 175,199 | 175,199 | 183,083 | 183,083 | 183,083 |
| 98012 Est of Romanian-American Ctr | 126,358 | 139,562 | 142,703 | 142,703 | 142,703 | 149,124 | 149,124 | 149,124 |
| 99999 Uncoded federal funding | 56,282 | 98,380 | 79,168 | 79,168 | 79,168 | 75,600 | 75,600 | 75,600 |
| TOTAL - All Funds | 88,632,811 | 94,979,783 | 97,604,436 | 352,230,325 | 326,767,735 | 101,352,316 | 349,118,146 | 324,341,562 |
| UCONN Block Grant | | | | | | | | |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | 2009-2010 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|---|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| <i>Other Current Expenses</i> | | | | | | |
| Operating Expenses | 220,166,958 | 219,793,819 | 240,758,433 | 217,267,600 | 233,703,987 | 211,093,547 |
| Tuition Freeze | 4,741,885 | 4,741,885 | 4,741,885 | 4,267,696 | 4,741,885 | 4,267,696 |
| Regional Campus Enhancement | 8,002,420 | 8,375,559 | 8,375,559 | 7,538,003 | 8,375,559 | 7,538,003 |
| Veterinary Diagnostic Laboratory | 100,000 | 100,000 | 100,000 | 90,000 | 100,000 | 90,000 |
| TOTAL-Other Current Expenses | 233,011,263 | 233,011,263 | 253,975,877 | 229,163,299 | 246,921,431 | 222,989,246 |

| <i>Character & Major Object Summary</i> | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|---|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| Other Current Expenses | 233,011,263 | 233,011,263 | 253,975,877 | 254,625,889 | 229,163,299 | 246,921,431 | 247,765,830 | 222,989,246 |
| TOTAL-General Fund Net | 233,011,263 | 233,011,263 | 253,975,877 | 254,625,889 | 229,163,299 | 246,921,431 | 247,765,830 | 222,989,246 |
| <i>Additional Funds Available</i> | | | | | | | | |
| University of Connecticut Operating Fd | 648,994,424 | 685,260,406 | 683,166,382 | 683,166,382 | 683,166,382 | 696,184,557 | 696,184,557 | 696,184,557 |
| UConn Research Foundation | 31,776,467 | 34,198,000 | 36,250,000 | 36,250,000 | 36,250,000 | 38,063,000 | 38,063,000 | 38,063,000 |
| Federal and Other Activities | 88,632,811 | 94,979,783 | 97,604,436 | 97,604,436 | 97,604,436 | 101,352,316 | 101,352,316 | 101,352,316 |
| TOTAL-All Funds Net | 1,002,414,965 | 1,047,449,452 | 1,070,996,695 | 1,071,646,707 | 1,046,184,117 | 1,082,521,304 | 1,083,365,703 | 1,058,589,119 |

UNIVERSITY OF CONNECTICUT HEALTH CENTER

AGENCY DESCRIPTION

The University of Connecticut Health Center is a comprehensive educational, research and patient care organization. It is comprised of the School of Medicine, the School of Dental Medicine, the John Dempsey Hospital, an extensive medical and dental faculty practice, a leading research center, and administrative facilities. The Health Center offers an innovative educational curriculum supported by areas of excellence in research and clinical programs that enhance the vitality of the region and the state.

To meet its educational and public service responsibilities, the Health Center provides a wide array of graduate and continuing education programs that incorporate both scientific and clinical orientations and balance faculty initiatives among teaching, research and public service.

Equally important, through its inpatient and ambulatory care programs, the Health Center exposes students to a variety of patients and practice settings. This broad-based experience assures the development of competent and caring health care professionals.

As an integral part of their duties, the faculty of the Schools of Medicine and Dental Medicine conduct extensive research into the basic causes of disease, more effective clinical therapies, and more efficient ways of organizing, providing and financing health care.

Through its faculty, staff and students, the Health Center supports a wide variety of community outreach programs through collaborative efforts with other state agencies, city and town governments, community-based organizations, and the citizens of Connecticut to provide direct care to the poor and uninsured and to educate various populations about health and health care.

A description of the major programs at the University of Connecticut Health Center follows. The recommended General Fund amounts

are shown in The University of Connecticut Health Center Block Grant program.

Energy Conservation Statement

The University of Connecticut shall plan, design, construct, renovate and maintain sustainable, energy- and water-efficient buildings that: Yield cost savings through lowered lifetime operating costs,

Provide enhanced learning atmospheres for students and healthier environments for all building occupants and visitors, and

Realize the University's commitment to responsible growth and environmental stewardship.

Accordingly, for any building construction or renovation project entering the pre-design planning phase, and whenever the estimated total project cost exceeds \$5 million, excluding the cost of equipment other than building systems, the University shall establish the Leadership in Energy & Environmental Design (LEED) Silver rating as a minimum performance requirement. The University shall comply with all applicable LEED protocols, including registering the project with the US Green Building Council at the beginning of the design phase and applying for LEED certification at project completion.

In addition, it is UCHC policy to call a "CODE CONSERVATION" to reduce energy consumption in support of the Independent System Operator's Demand Response Initiative when the electric grid operator declares an emergency demand response event. UCHC sheds electrical energy load through conservation and use of emergency generator power.

The following programmatic and position descriptions are provided for informational purposes only. For funding information refer to "The University of Connecticut Block Grant."

AGENCY PROGRAM INDEX

| | | | |
|---------------------------|-----|----------------------------------|-----|
| School of Medicine | 442 | Managed Health Care System - DOC | 445 |
| School of Dental Medicine | 443 | UHC Block Grant | 446 |
| UHC Institutional Support | 444 | | |
| UHC Health System | 445 | | |

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

- Remove or Limit Inflation
- Reduce Block Grant by 10%

| | <u>2011-2012</u> | <u>2012-2013</u> |
|--|------------------|------------------|
| | -12,643 | -28,712 |
| | -12,556,633 | -12,128,527 |

Reallocations or Transfers

- Transfer Pass Through Funds from Judicial

| | | |
|--|---------|---------|
| | 658,000 | 658,000 |
|--|---------|---------|

Transfers funds from the Judicial Department's Court Support Services Division to UCHC for mental health evaluations, home care, and trauma services.

AGENCY PROGRAMS

| <i>Personnel Summary</i> | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|---|------------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| <i>Permanent Full-Time Positions</i> | | | | | | | | |
| General Fund | 1,196 | 0 | 429 | 1,625 | 1,641 | 1,641 | 1,658 | 1,658 |
| University of Connecticut Health Center Operating Fund | 1,013 | 0 | 103 | 1,116 | 1,131 | 1,131 | 1,149 | 1,149 |
| UConn Health Center Research | 509 | 0 | 1 | 510 | 528 | 528 | 545 | 545 |
| UConn Health Center Clinical Programs | 837 | 0 | -79 | 758 | 788 | 788 | 817 | 817 |
| <i>Other Positions Equated to Full Time</i> | | | | | | | | |
| | | | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| University of Connecticut Health Center Operating Fund | | | 689 | 711 | 726 | 726 | 742 | 742 |
| <i>Agency Programs by Total Funds (Net of Reimbursements)</i> | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| School of Medicine | 164,655,947 | 170,167,923 | 181,560,454 | 121,170,387 | 121,170,387 | 183,417,542 | 114,914,672 | 114,914,672 |
| School of Dental Medicine | 28,056,997 | 28,454,270 | 29,506,156 | 15,326,251 | 15,326,251 | 30,664,094 | 17,653,994 | 17,653,994 |
| UHC Institutional Support | 107,027,550 | 125,614,319 | 129,626,185 | 71,150,226 | 71,150,226 | 133,573,311 | 77,679,400 | 77,679,400 |
| University of Connecticut Health System | 313,779,818 | 320,842,003 | 334,386,582 | 334,386,582 | 334,386,582 | 348,534,616 | 348,534,616 | 348,534,616 |
| Managed Health Care System - DOC | 88,602,893 | 94,804,125 | 98,975,330 | 98,975,330 | 98,975,330 | 103,331,488 | 103,331,488 | 103,331,488 |
| UHC Block Grant | 0 | 0 | 0 | 126,084,676 | 114,173,400 | 0 | 121,819,688 | 110,320,449 |
| TOTAL Agency Programs - All Funds Gross | 702,123,205 | 739,882,640 | 774,054,707 | 767,093,452 | 755,182,176 | 799,521,051 | 783,933,858 | 772,434,619 |
| Less Turnover | | | | | | | | |
| TOTAL Agency Programs - All Funds Net | 702,123,205 | 739,882,640 | 774,054,707 | 767,093,452 | 755,182,176 | 799,521,051 | 783,933,858 | 772,434,619 |
| <i>Summary of Funding</i> | | | | | | | | |
| General Fund Net | 117,734,347 | 119,346,347 | 133,045,931 | 126,084,676 | 114,173,400 | 137,406,881 | 121,819,688 | 110,320,449 |
| UConn Hlth Cntr Operating Fund | 263,720,875 | 295,936,005 | 303,257,319 | 303,257,319 | 303,257,319 | 310,642,905 | 310,642,905 | 310,642,905 |
| UConn Health Center Research | 94,556,418 | 95,627,914 | 99,234,500 | 99,234,500 | 99,234,500 | 102,987,423 | 102,987,423 | 102,987,423 |
| UConn Health Center Clinical Programs | 226,111,565 | 228,972,374 | 238,516,957 | 238,516,957 | 238,516,957 | 248,483,842 | 248,483,842 | 248,483,842 |
| TOTAL Agency Programs - All Funds Net | 702,123,205 | 739,882,640 | 774,054,707 | 767,093,452 | 755,182,176 | 799,521,051 | 783,933,858 | 772,434,619 |

SCHOOL OF MEDICINE

Statutory Reference

C.G.S. Section 10a-102 and 10a-130.

Statement of Need and Program Objectives**Program Description**

Education: The School of Medicine administers programs at all levels of physician training. It educates future teachers and researchers in the life sciences and in the community health field through graduate programs at the doctoral and master degree levels.

Undergraduate Program: The school administers a four-year, post-baccalaureate program leading to the M.D. degree. The first two years expose students to fundamental scientific knowledge and skills necessary to all physicians, regardless of specialization. The student is also exposed to the ethical, legal, social and financial issues which dominate modern health care delivery. In the third and fourth years, the student applies the knowledge acquired in the first two years and sharpens clinical skills through more intensive clinical work and rotations through various medical disciplines. These clinical activities take place in a variety of settings to ensure student exposure to all types of problems, delivery systems, and population needs.

Graduate Programs: Residency programs at the School of Medicine provide the advanced training necessary to practice and obtain certification in many fields of medical specialization. The programs

vary in length from one to five years and are administered at John Dempsey Hospital and several closely affiliated health care delivery institutions. Master degree and doctoral programs are offered in cooperation with the University of Connecticut Graduate School in nine biomedical sciences and in community health sciences. There are also post-doctoral education programs for biomedical scientists that involve close collaboration between the faculty in the basic sciences and in the medical and dental clinical departments.

Continuing Education: The school provides facilities and opportunities for practicing health care professionals to continue their education by offering a wide variety of courses, lectures, seminars, and visiting lectureships both at the Health Center and at other institutions.

Research: More than half of the faculty members are expected to develop an active research program, both to advance basic knowledge within their fields of expertise and to enhance the quality of educational programs. Tens of millions of dollars of non-state funds is awarded to the school annually to support its research activities, including more than \$80 million in FY 2010 thanks in part to federal stimulus grants. These activities are essential for attracting and maintaining the best faculty and for developing economic partnerships with industry around the state.

| <i>Personnel Summary</i> | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|--|------------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| <i>Permanent Full-Time Positions</i> | | | | | | | | |
| General Fund | 574 | 0 | 293 | 867 | 876 | 876 | 885 | 885 |
| University of Connecticut Health Center Operating Fund | 71 | 0 | 8 | 79 | 80 | 80 | 94 | 94 |
| UConn Health Center Research | 417 | 0 | 1 | 418 | 433 | 433 | 446 | 446 |
| | | | 2009-2010 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
| <i>Other Positions Equated to Full Time</i> | | | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| University of Connecticut Health Center Operating Fund | | | 133 | 108 | 110 | 110 | 115 | 115 |
| <i>Financial Summary</i> | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
| <i>(Net of Reimbursements)</i> | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| <i>Other Current Expenses</i> | | | | | | | | |
| Operating Expenses | 51,257,171 | 60,683,856 | 59,884,360 | 0 | 0 | 67,997,163 | 0 | 0 |
| AHEC for Bridgeport | 505,707 | 505,707 | 505,707 | 0 | 0 | 505,707 | 0 | 0 |
| TOTAL-General Fund | 51,762,878 | 61,189,563 | 60,390,067 | 0 | 0 | 68,502,870 | 0 | 0 |
| <i>Additional Funds Available</i> | | | | | | | | |
| UConn Hlth Cntr Operating Fund | 52,376,961 | 47,776,495 | 57,660,307 | 57,660,307 | 57,660,307 | 49,002,721 | 49,002,721 | 49,002,721 |
| UConn Health Center Research | 60,516,108 | 61,201,865 | 63,510,080 | 63,510,080 | 63,510,080 | 65,911,951 | 65,911,951 | 65,911,951 |
| TOTAL - All Funds | 164,655,947 | 170,167,923 | 181,560,454 | 121,170,387 | 121,170,387 | 183,417,542 | 114,914,672 | 114,914,672 |
| School of Medicine | | | | | | | | |

SCHOOL OF DENTAL MEDICINE

Statutory Reference

C.G.S. Sections 10a-102 and 10a-130.

Statement of Need and Program Objectives

To provide qualified Connecticut residents the educational opportunity to become dentists.

To ensure an adequate number of highly qualified practitioners of dentistry through educational programs that incorporate both scientific and clinical content.

To advance knowledge through a diversified program of research and research training.

Program Description

Education: The School of Dental Medicine administers programs at all levels of dental education. The school educates those intent on practicing general dentistry or a dental specialty as well as future teachers and researchers in the life sciences.

Undergraduate Program: The School of Dental Medicine administers a four-year post-baccalaureate program leading to the D.M.D. degree. During the first two years dental students attend the same basic medical science classes as medical students. In addition, dental students are taught clinical dental sciences and applicability of basic medical sciences to the practice of dental medicine. During the next

two years, dental students receive approximately 2,200 hours of clinical experience and 800 hours of didactic instruction and pre-clinical laboratory training. Students gain broad experience in the diagnostic sciences related to dentistry and have the opportunity to provide a wide range of oral health care in the school's outpatient clinics which receive more than 93,000 patient visits annually.

Graduate Education Programs: The School of Dental Medicine offers residency programs leading to certification in all the recognized clinical dental specialties. Training grants from the National Institutes of Health allow students intent on a career in academic dentistry to concurrently pursue training in a specialty or D.M.D. degree and a Ph.D. in the biomedical sciences.

Research: The school's goal is to graduate students who possess the knowledge and clinical skills to provide quality dental care today and have the intellectual capacity to understand, develop, and apply new concepts to future challenges. An essential expectation for all faculty members is an ongoing effort to advance the basic understanding of human biology and pathology and raise the standard of oral health. About \$6 million of non-state funds is awarded annually to the school to support its research activities.

| <i>Personnel Summary</i> | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|--|------------------|---------------|---------------|------------------|------------------|--------------------|------------------|--------------------|
| | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| <i>Permanent Full-Time Positions</i> | | | | | | | | |
| General Fund | 130 | 0 | 10 | 140 | 144 | 144 | 145 | 145 |
| University of Connecticut Health Center Operating Fund | 26 | 0 | 4 | 30 | 30 | 30 | 18 | 18 |
| UConn Health Center Research | 41 | 0 | 0 | 41 | 42 | 42 | 44 | 44 |
| | | | 2009-2010 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
| <i>Other Positions Equated to Full Time</i> | | | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| University of Connecticut Health Center Operating Fund | | | 17 | 16 | 16 | 16 | 14 | 14 |

Budget-in-Detail

| Financial Summary | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|-----------------------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| (Net of Reimbursements) | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| <i>Other Current Expenses</i> | | | | | | | | |
| Operating Expenses | 9,764,338 | 11,669,631 | 14,179,905 | 0 | 0 | 13,010,100 | 0 | 0 |
| TOTAL-General Fund | 9,764,338 | 11,669,631 | 14,179,905 | 0 | 0 | 13,010,100 | 0 | 0 |
| <i>Additional Funds Available</i> | | | | | | | | |
| UConn Hlth Cntr Operating Fund | 10,728,146 | 9,134,406 | 7,387,491 | 7,387,491 | 7,387,491 | 9,415,000 | 9,415,000 | 9,415,000 |
| UConn Health Center Research | 7,564,513 | 7,650,233 | 7,938,760 | 7,938,760 | 7,938,760 | 8,238,994 | 8,238,994 | 8,238,994 |
| TOTAL - All Funds | 28,056,997 | 28,454,270 | 29,506,156 | 15,326,251 | 15,326,251 | 30,664,094 | 17,653,994 | 17,653,994 |

School of Dental Medicine

UNIVERSITY OF CONNECTICUT HEALTH CENTER INSTITUTIONAL SUPPORT

Statutory Reference

C.G.S. Sections 10a-102 and 10a-130.

Statement of Need and Program Objectives

To support the instruction, research, study and community service needs of students, faculty, staff, and citizens.

To enhance the overall operation of the Health Center through effective management, long-range planning and financial and educational support services.

To ensure a clean, safe and healthy environment through regular and effective operation, maintenance and upkeep of buildings and grounds.

To ensure the efficient and effective planning, management and control of Health Center operations through its executive management, fiscal operations, and general administrative services.

Program Description

The Lyman Maynard Stowe Library is the Health Center's academic resource for medical, dental and scientific information.

Administrative Support Services include the offices of the vice president for health affairs, communications, diversity management and equal opportunity, environmental health and safety, facilities management, finance, human resources, information technology, public safety, and radiation safety. Other support services include a bookstore, Reprographics, a center for laboratory animal care, day care, mail distribution, purchasing, receiving, warehousing, telecommunications and video communications.

Personnel Summary

| <i>Permanent Full-Time Positions</i> | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|--|------------------|---------------|---------------|--------------|------------------|--------------------|------------------|--------------------|
| | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| General Fund | 492 | 0 | 126 | 618 | 621 | 621 | 628 | 628 |
| University of Connecticut Health Center Operating Fund | 24 | 0 | -3 | 21 | 21 | 21 | 22 | 22 |
| UConn Health Center Research | 51 | 0 | 0 | 51 | 53 | 53 | 55 | 55 |

Other Positions Equated to Full Time

| | 2009-2010 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|--|---------------|------------------|------------------|--------------------|------------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| University of Connecticut Health Center Operating Fund | 22 | 21 | 21 | 21 | 22 | 22 |

Financial Summary

| (Net of Reimbursements) | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|-----------------------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| <i>Other Current Expenses</i> | | | | | | | | |
| Operating Expenses | 56,207,131 | 46,487,153 | 58,475,959 | 0 | 0 | 55,893,911 | 0 | 0 |
| TOTAL-General Fund | 56,207,131 | 46,487,153 | 58,475,959 | 0 | 0 | 55,893,911 | 0 | 0 |
| <i>Additional Funds Available</i> | | | | | | | | |
| UConn Hlth Cntr Operating Fund | 24,344,622 | 52,351,350 | 43,364,566 | 43,364,566 | 43,364,566 | 48,842,922 | 48,842,922 | 48,842,922 |
| UConn Health Center Research | 26,475,797 | 26,775,816 | 27,785,660 | 27,785,660 | 27,785,660 | 28,836,478 | 28,836,478 | 28,836,478 |
| TOTAL - All Funds | 107,027,550 | 125,614,319 | 129,626,185 | 71,150,226 | 71,150,226 | 133,573,311 | 77,679,400 | 77,679,400 |

UHC Institutional Support

UNIVERSITY OF CONNECTICUT HEALTH CENTER CLINICAL PROGRAMS

Statutory Reference

C.G.S. Sections 10a-126 through 10a-129 and 10a-132.

Statement of Need and Program Objectives

To provide the safe, streamlined delivery of all inpatient and outpatient medical and dental care offered by the Health Center.

To ensure a quality educational environment, provide the opportunity for faculty and students to be involved in patient care, and meet public service responsibilities.

Program Description

The Health Center operates an acute care general hospital, which includes clinics and the extensive faculty practices of both the School of Medicine and the School of Dental Medicine. These clinical resources are essential to the basic education of physicians, dentists, nurses and other health care professionals. They also allow the Health Center to support and sustain its educational and research mission in a rapidly changing health care environment. In addition, they assure the delivery of essential services to all patient populations, including medically under-served populations, by providing easier access to all levels of care and promoting efficiency designed to help hold the line on health care costs.

The Health Center operates its own clinical programs to ensure a quality educational environment, to provide the opportunity for faculty and students to be involved in patient care and to meet its public service responsibilities. Its education programs also make extensive use of clinical opportunities at affiliated teaching hospitals and other service providers in the community.

John Dempsey Hospital operates 204 general acute care beds and 20 nursery beds. It serves as the primary teaching hospital for the Schools of Medicine and Dental Medicine and participates in the clinical education of students from other health profession education programs. It provides a full range of services, including surgery, medicine, cardiac care, oncology, psychiatry, and obstetrics and gynecology.

UConn Medical Group and University Dentists are the faculty practices of the Schools. Physicians and dentists provide an extensive array of primary and specialized services. Patient care services currently generate approximately fifty percent of the Health Center's total revenue. These services exist to meet the educational needs of students and the health care needs of the community.

Personnel Summary

| | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|--|------------------|---------------|---------------|------------------|------------------|--------------------|------------------|--------------------|
| | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| <i>Permanent Full-Time Positions</i> | | | | | | | | |
| University of Connecticut Health Center Operating Fund | 200 | 0 | -2 | 198 | 205 | 205 | 212 | 212 |
| UConn Health Center Clinical Programs | 837 | 0 | -79 | 758 | 788 | 788 | 817 | 817 |
| | | | | | | | | |
| <i>Other Positions Equated to Full Time</i> | | | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| University of Connecticut Health Center Operating Fund | | | 485 | 525 | 538 | 538 | 550 | 550 |

Financial Summary

| | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|---------------------------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| <i>(Net of Reimbursements)</i> | | | | | | | | |
| <i>Additional Funds Available</i> | | | | | | | | |
| UConn Hlth Cntr Operating Fund | 87,668,253 | 91,869,629 | 95,869,625 | 95,869,625 | 95,869,625 | 100,050,774 | 100,050,774 | 100,050,774 |
| UConn Health Center Clinical Programs | 226,111,565 | 228,972,374 | 238,516,957 | 238,516,957 | 238,516,957 | 248,483,842 | 248,483,842 | 248,483,842 |
| TOTAL - All Funds | 313,779,818 | 320,842,003 | 334,386,582 | 334,386,582 | 334,386,582 | 348,534,616 | 348,534,616 | 348,534,616 |
| UHC Health System | | | | | | | | |

CORRECTIONAL MANAGED HEALTH CARE PROGRAM

Statement of Need and Program Objectives

The Health Center provides medical, mental, dental, and pharmacy services to the Department of Correction through an inter-agency memorandum of agreement rewritten in 2006, replacing the original agreement that was in place for more than a decade. More than 700 CMHC healthcare professionals are responsible for providing services to approximately 19,000 incarcerated individuals throughout Connecticut. The Health Center is the only academic medical center in the country that provides comprehensive health services to an entire state Department of Correction.

Program Description

Highlights and initiatives of programs include:

A medical consolidation program redeployed scores of CMHC employees and moved thousands of inmates, consolidating more needy inmates into facilities more capable of providing expanded services.

Mental health program and staffing enhancements that improved case finding for inmates with mental health needs, emphasizing assessments of suicide risk and the need for services at the time of admission to the system.

Budget-in-Detail

A major investment in information systems that made computing services and the CMHC network available to all facilities, with access to e-mail, standard office automation tools, lab and X-ray reports and educational and reference databases. A long-term effort is underway to add more functionality each year, enhancing patient safety and streamlining clinical processes.

Discharge planners hired for all units, improving inmate re-entry to the community and access to available resources.

All accreditations by the National Commission on Correctional Health Care and the American Correctional Association were successfully renewed.

Personnel Summary

| | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|--|------------------|---------------|----------------------------|-------------------------------|-------------------------------|---------------------------------|-------------------------------|---------------------------------|
| | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| <i>Permanent Full-Time Positions</i> | | | | | | | | |
| University of Connecticut Health Center Operating Fund | 692 | 0 | 96 | 788 | 795 | 795 | 803 | 803 |
| <i>Other Positions Equated to Full Time</i> | | | | | | | | |
| University of Connecticut Health Center Operating Fund | | | 2009-2010 <u>Actual</u> | 2010-2011 <u>Estimated</u> | 2011-2012 <u>Requested</u> | 2011-2012 <u>Recommended</u> | 2012-2013 <u>Requested</u> | 2012-2013 <u>Recommended</u> |
| | | | 32 | 41 | 41 | 41 | 41 | 41 |

Financial Summary

| (Net of Reimbursements) | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|-----------------------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| <i>Additional Funds Available</i> | | | | | | | | |
| UConn Hlth Cntr Operating Fund | 88,602,893 | 94,804,125 | 98,975,330 | 98,975,330 | 98,975,330 | 103,331,488 | 103,331,488 | 103,331,488 |
| TOTAL - All Funds | 88,602,893 | 94,804,125 | 98,975,330 | 98,975,330 | 98,975,330 | 103,331,488 | 103,331,488 | 103,331,488 |
| Managed Health Care System - DOC | | | | | | | | |

UNIVERSITY OF CONNECTICUT HEALTH CENTER BLOCK GRANT

Statutory Reference

C.G.S. Section 10a-105.

Statement of Need and Program Objectives

To better serve all Connecticut residents who use Health Center services.

Program Description

There are four program elements within "The University of Connecticut Health Center Block Grant." They are: School of Medicine; School of Dental Medicine; Institutional Support; and University of Connecticut Clinical Programs.

For a more complete description of each of these program elements, please refer to the aforementioned program descriptions.

Financial Summary

| (Net of Reimbursements) | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|-------------------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| <i>Other Current Expenses</i> | | | | | | | | |
| Operating Expenses | 0 | 0 | 0 | 125,566,326 | 113,667,693 | 0 | 121,285,269 | 109,814,742 |
| AHEC for Bridgeport | 0 | 0 | 0 | 518,350 | 505,707 | 0 | 534,419 | 505,707 |
| TOTAL-General Fund | 0 | 0 | 0 | 126,084,676 | 114,173,400 | 0 | 121,819,688 | 110,320,449 |
| UHC Block Grant | | | | | | | | |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | 2009-2010 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|---|---------------|------------------|------------------|--------------------|------------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| <i>Other Current Expenses</i> | | | | | | |
| Operating Expenses | 117,228,640 | 118,840,640 | 132,540,224 | 113,667,693 | 136,901,174 | 109,814,742 |
| AHEC for Bridgeport | 505,707 | 505,707 | 505,707 | 505,707 | 505,707 | 505,707 |
| TOTAL-Other Current Expenses | 117,734,347 | 119,346,347 | 133,045,931 | 114,173,400 | 137,406,881 | 110,320,449 |

| <i>Character & Major Object Summary</i> | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|---|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| Other Current Expenses | 117,734,347 | 119,346,347 | 133,045,931 | 126,084,676 | 114,173,400 | 137,406,881 | 121,819,688 | 110,320,449 |
| TOTAL-General Fund Net | 117,734,347 | 119,346,347 | 133,045,931 | 126,084,676 | 114,173,400 | 137,406,881 | 121,819,688 | 110,320,449 |
| <i>Additional Funds Available</i> | | | | | | | | |
| UConn Hlth Cntr Operating Fund | 263,720,875 | 295,936,005 | 303,257,319 | 303,257,319 | 303,257,319 | 310,642,905 | 310,642,905 | 310,642,905 |
| UConn Health Center Research | 94,556,418 | 95,627,914 | 99,234,500 | 99,234,500 | 99,234,500 | 102,987,423 | 102,987,423 | 102,987,423 |
| UConn Health Center Clinical Programs | 226,111,565 | 228,972,374 | 238,516,957 | 238,516,957 | 238,516,957 | 248,483,842 | 248,483,842 | 248,483,842 |
| TOTAL-All Funds Net | 702,123,205 | 739,882,640 | 774,054,707 | 767,093,452 | 755,182,176 | 799,521,051 | 783,933,858 | 772,434,619 |

CHARTER OAK STATE COLLEGE

AGENCY DESCRIPTION

The Board for State Academic Awards oversees Charter Oak State College and the Connecticut Distance Learning Consortium (CTDLC). Charter Oak was founded in 1973 to enable adults to earn associate and bachelor's degrees by incorporating credit earned in many ways, including from Charter Oak's online courses, courses from other accredited colleges and universities, testing, military credit, and the evaluation of life experience. Established in 1997, the CTDLC

provides a cost-effective means for Connecticut higher education, K-12, adult education, and CT State Agencies to provide access to web-based learning. The CTDLC provides direct services to students through its eTutoring, ePortfolio, and help desk and indirect support through its instructional and web design, data center, and hosting services.

The Charter Oak State College is recommended for consolidation with the Board of Regents for Higher Education in the Governor's budget as part of his proposal to restructure state government.

AGENCY PROGRAM INDEX

| | |
|--|-----|
| Charter Oak State College | 448 |
| Connecticut Distance Learning Consortium | 449 |

RECOMMENDED SIGNIFICANT CHANGES

| Reductions to Current Services | 2011-2012 | 2012-2013 |
|---|------------------|------------------|
| • Reduce Operating Expenses and Distance Learning Consortium Funding by 10% | -302,469 | -296,727 |
| Reallocations or Transfers | | |
| • Restructure State Government | -2,722,225 | -2,670,543 |
| <i>The agency is consolidated with the Board of Regents for Higher Education.</i> | | |

AGENCY PROGRAMS

| Personnel Summary | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|---|------------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| <i>Permanent Full-Time Positions</i> | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| General Fund | 31 | 0 | 0 | 31 | 31 | 0 | 31 | 0 |
| Agency Programs by Total Funds (Net of Reimbursements) | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| Charter Oak State College | 5,535,288 | 5,527,063 | 5,788,383 | 5,689,878 | 0 | 5,762,506 | 5,647,019 | 0 |
| Connecticut Distance Learning Consortium | 1,166,377 | 1,213,255 | 1,164,115 | 1,177,501 | 0 | 1,147,989 | 1,162,936 | 0 |
| TOTAL Agency Programs - All Funds Gross | 6,701,665 | 6,740,318 | 6,952,498 | 6,867,379 | 0 | 6,910,495 | 6,809,955 | 0 |
| Less Turnover | | | | | | | | |
| TOTAL Agency Programs - All Funds Net | 6,701,665 | 6,740,318 | 6,952,498 | 6,867,379 | 0 | 6,910,495 | 6,809,955 | 0 |
| Summary of Funding | | | | | | | | |
| General Fund Net | 2,847,633 | 2,897,633 | 3,109,813 | 3,024,694 | 0 | 3,067,810 | 2,967,270 | 0 |
| Federal and Other Activities | 3,756,168 | 3,826,057 | 3,826,057 | 3,826,057 | 0 | 3,826,057 | 3,826,057 | 0 |
| Private Funds | 97,864 | 16,628 | 16,628 | 16,628 | 0 | 16,628 | 16,628 | 0 |
| TOTAL Agency Programs - All Funds Net | 6,701,665 | 6,740,318 | 6,952,498 | 6,867,379 | 0 | 6,910,495 | 6,809,955 | 0 |

CHARTER OAK STATE COLLEGE

<http://www.cosc.edu/>

Statutory Reference

C.G.S. Sections 10a-143 and 10a-149.

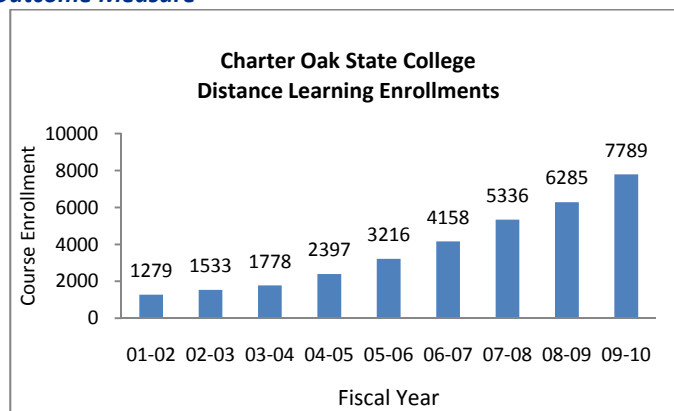
Statement of Need and Program Objectives

To extend access to higher education to adults through its associate and baccalaureate degree completion programs which incorporate transfer credit, Charter Oak distance learning courses, testing and other methods of competency validation and to encourage

innovation in higher education in order to meet the needs of adult learners.

Program Description

Charter Oak offers degrees without boundaries. The program is designed to accommodate adults who work and have family and job responsibilities regardless of where they live. Its low cost, flexible scheduling, and online services (tutoring, advising, and library), save the students time and money.

Outcome Measure

| <i>Personnel Summary</i> | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|--------------------------------------|------------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| <i>Permanent Full-Time Positions</i> | | | | | | | | |
| General Fund | 22 | 0 | 0 | 22 | 22 | 0 | 22 | 0 |
| <i>Financial Summary</i> | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
| (Net of Reimbursements) | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| <i>Other Current Expenses</i> | | | | | | | | |
| Operating Expenses | 2,165,086 | 2,156,847 | 2,418,167 | 2,319,662 | 0 | 2,392,290 | 2,276,803 | 0 |
| TOTAL-General Fund | 2,165,086 | 2,156,847 | 2,418,167 | 2,319,662 | 0 | 2,392,290 | 2,276,803 | 0 |
| <i>Additional Funds Available</i> | | | | | | | | |
| Private Funds | 16,614 | 16,628 | 16,628 | 16,628 | 0 | 16,628 | 16,628 | 0 |
| Federal Contributions | | | | | | | | |
| 84032 Federal Family Education Loans | 2,377,902 | 2,377,902 | 2,377,902 | 2,377,902 | 0 | 2,377,902 | 2,377,902 | 0 |
| 84063 Federal Pell Grant Program | 975,686 | 975,686 | 975,686 | 975,686 | 0 | 975,686 | 975,686 | 0 |
| TOTAL - All Funds | 5,535,288 | 5,527,063 | 5,788,383 | 5,689,878 | 0 | 5,762,506 | 5,647,019 | 0 |
| Charter Oak State College | | | | | | | | |

CONNECTICUT DISTANCE LEARNING CONSORTIUM<http://www.ctdlc.org>**Statutory Reference**

C.G.S. Section 10a-143.

Statement of Need and Program Objectives

To promote cost savings by providing a single point of presence for online learning in Connecticut. The CTDLC reduces the need for institutions to maintain separate data infrastructures, technical staff, help desks, student services, course designers, faculty trainers and marketing budgets by providing these services as a collaboration or as a fee for service model. For K-12 and adult education, the CTDLC provides a single point for the delivery of online courses which are shared by schools and programs across the state.

Program Description

The CTDLC promotes and delivers distance learning education to higher education, K-12, adult education, state agencies, and non-profit organizations. It provides a single point of presence for distance learning offered by Connecticut public and independent colleges and universities, distributing program information, course listings, registration links, and student support services. In addition, the CTDLC supports distance learning in other state agencies, adult education programs, K-12 and educational organizations. The goals are to have institutions work collaboratively to deliver distance learning and to lower the costs of delivery by reducing duplication.

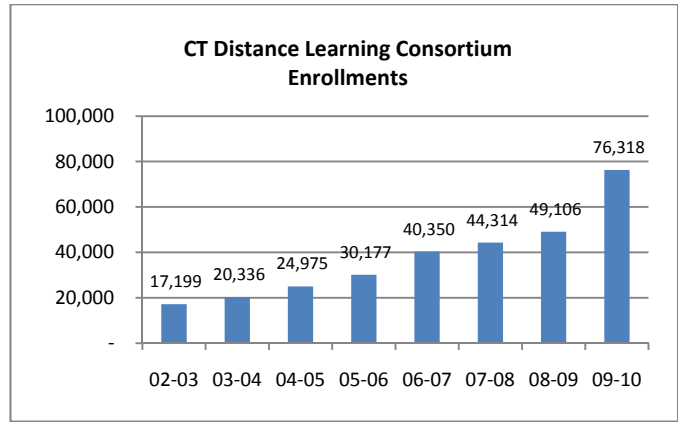
The CTDLC directs the CT Adult Virtual High School which is funded by a \$325,000 grant from the State Department of Education. The program provides online courses to students enrolled in an adult education program to earn an adult credit diploma, to study online for GED preparation, and sharpen writing skills in an online writing lab.

The CT Virtual Learning Center, a state program for high school students to take online courses completed its second full year of operation. Courses are focused on credit recovery, Advanced Placement, and world languages.

The CTDLC's collaborative eTutoring and ePortfolio programs provide direct support to students in 20 of Connecticut's colleges and universities as well as to 56 institutions in 9 other states. The eTutoring and ePortfolio program are designed to improve student learning and retention as well as provide a platform for authentic assessment and career planning. The CTDLC also provides a support center to provide help to those faculty and students using these programs.

Outcome Measure

One way that the CTDLCC measures its success is by the growth of quality distance education across Connecticut's educational landscape. During 2009-10, Connecticut's higher education institutions offered 4,572 online courses with enrollments of 76,318. This represents a 36% growth in courses offered and a 55% growth in enrollment from the 2008-09 academic year.



Personnel Summary

| Permanent Full-Time Positions | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|-------------------------------|------------------|--------|-----------|-----------|-----------|-------------|-----------|-------------|
| | Filled | Vacant | Change | Total | Requested | Recommended | Requested | Recommended |
| General Fund | 9 | 0 | 0 | 9 | 9 | 0 | 9 | 0 |

Financial Summary

| (Net of Reimbursements) | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|--|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| <u>Other Current Expenses</u> | | | | | | | | |
| Distance Learning Consortium | 682,547 | 690,786 | 691,646 | 705,032 | 0 | 675,520 | 690,467 | 0 |
| DOC - Distance Learning | 0 | 50,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL-General Fund | 682,547 | 740,786 | 691,646 | 705,032 | 0 | 675,520 | 690,467 | 0 |
| <u>Additional Funds Available</u> | | | | | | | | |
| Private Funds | 81,250 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Federal Contributions | | | | | | | | |
| 84002 Adult Education State Grant Program | 324,810 | 273,000 | 273,000 | 273,000 | 0 | 273,000 | 273,000 | 0 |
| 84116 Improvement of Postsecondary Education | 77,770 | 199,469 | 199,469 | 199,469 | 0 | 199,469 | 199,469 | 0 |
| TOTAL - All Funds | 1,166,377 | 1,213,255 | 1,164,115 | 1,177,501 | 0 | 1,147,989 | 1,162,936 | 0 |
| Connecticut Distance Learning Consortium | | | | | | | | |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| Current Expenses by Minor Object | 2009-2010 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|----------------------------------|-----------|-----------|-----------|-------------|-----------|-------------|
| | Actual | Estimated | Requested | Recommended | Requested | Recommended |
| <u>Other Current Expenses</u> | | | | | | |
| Operating Expenses | 2,165,086 | 2,156,847 | 2,418,167 | 0 | 2,392,290 | 0 |
| Distance Learning Consortium | 682,547 | 690,786 | 691,646 | 0 | 675,520 | 0 |
| DOC - Distance Learning | 0 | 50,000 | 0 | 0 | 0 | 0 |
| TOTAL-Other Current Expenses | 2,847,633 | 2,897,633 | 3,109,813 | 0 | 3,067,810 | 0 |

Character & Major Object Summary

| | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|-----------------------------------|-----------|-----------|-----------|-----------|-------------|-----------|-----------|-------------|
| | Actual | Estimated | Requested | Services | Recommended | Requested | Services | Recommended |
| Other Current Expenses | 2,847,633 | 2,897,633 | 3,109,813 | 3,024,694 | 0 | 3,067,810 | 2,967,270 | 0 |
| TOTAL-General Fund Net | 2,847,633 | 2,897,633 | 3,109,813 | 3,024,694 | 0 | 3,067,810 | 2,967,270 | 0 |
| <u>Additional Funds Available</u> | | | | | | | | |
| Federal and Other Activities | 3,756,168 | 3,826,057 | 3,826,057 | 3,826,057 | 0 | 3,826,057 | 3,826,057 | 0 |
| Private Funds | 97,864 | 16,628 | 16,628 | 16,628 | 0 | 16,628 | 16,628 | 0 |
| TOTAL-All Funds Net | 6,701,665 | 6,740,318 | 6,952,498 | 6,867,379 | 0 | 6,910,495 | 6,809,955 | 0 |

TEACHERS' RETIREMENT BOARD

AGENCY DESCRIPTION

The Teachers' Retirement Board's mission is to administer the State Teachers' Retirement system.

AGENCY PROGRAM INDEX

| | |
|---------------------|-----|
| Funding of System | 451 |
| Management Services | 452 |

RECOMMENDED SIGNIFICANT CHANGES

| Reductions to Current Services | 2011-2012 | 2012-2013 |
|---------------------------------------|------------------|------------------|
| • Remove or Limit Inflation | -19,065 | -43,298 |
| • Reduce System Operating Costs | -98,194 | -77,596 |

AGENCY PROGRAMS

| Personnel Summary | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|---|------------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| <i>Permanent Full-Time Positions</i> | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| General Fund | 24 | 3 | 0 | 27 | 27 | 27 | 27 | 27 |
| Agency Programs by Total Funds (Net of Reimbursements) | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| Funding of System | 559,224,245 | 581,593,215 | 748,599,992 | 789,576,992 | 789,576,992 | 779,308,316 | 821,924,316 | 821,924,316 |
| Management Services | 1,813,701 | 4,296,127 | 2,594,427 | 2,579,428 | 2,462,169 | 2,564,146 | 2,549,147 | 2,428,253 |
| TOTAL Agency Programs - All Funds Gross | 561,037,946 | 585,889,342 | 751,194,419 | 792,156,420 | 792,039,161 | 781,872,462 | 824,473,463 | 824,352,569 |
| Less Turnover | 0 | 0 | -12,000 | -12,000 | -12,000 | -12,000 | -12,000 | -12,000 |
| TOTAL Agency Programs - All Funds Net | 561,037,946 | 585,889,342 | 751,182,419 | 792,144,420 | 792,027,161 | 781,860,462 | 824,461,463 | 824,340,569 |
| Summary of Funding | | | | | | | | |
| General Fund Net | 561,037,946 | 585,889,342 | 751,182,419 | 792,144,420 | 792,027,161 | 781,860,462 | 824,461,463 | 824,340,569 |
| TOTAL Agency Programs - All Funds Net | 561,037,946 | 585,889,342 | 751,182,419 | 792,144,420 | 792,027,161 | 781,860,462 | 824,461,463 | 824,340,569 |

FUNDING OF SYSTEM

Statutory Reference

C.G.S. Section 10-183b through 10-183nn;
C.G.S. Section 10-183l(c).

Statement of Need and Program Objectives

To administer an actuarially funded program that provides for retirement, disability and survivor benefits for Connecticut public school teachers and their beneficiaries; to sponsor health insurance programs to retired members of the system who participate in Medicare A and B; and to provide a health insurance subsidy to municipalities for retired members that are participating in the municipalities health insurance plan.

Program Description

Pension The retirement benefits are funded by member contributions, state contributions and investment income.

Total retirement benefit payments for FY 2010 were \$1,364,689,000 and the member mandatory contributions were \$253,206,000. The member contributions include \$33,156,259 of personal payments to members' voluntary accounts.

Health Insurance Funding of health service costs are based on membership participation, utilization of services and the inflationary fluctuations associated with health care programs.

Revenue Sources for the TRB Health Fund Funding sources for the health programs are the State of Connecticut, retired teachers, and active teachers.

TRB Sponsored Health Program Costs (Retiree Health Service Costs)

The state appropriates one-third of the estimated cost of the TRB sponsored basic health program; participants pay one-third of the estimated cost of the plan; and the remaining one-third is covered by the Health Fund. To be a member of the state plan the individual must be participating in Medicare A and B. The number of members/beneficiaries covered by the state health insurance plan for June 30, 2010 was 16,296.

TRB Health Insurance Subsidy Program (Municipal Retiree Health Insurance Cost) The state pays one-third of the subsidy which is set by statute and is currently \$110 or \$220 per month for eligible health plan participants enrolled in a board of education plan. The balance comes from the Health Fund. On June 30, 2010, there were 17,465 members receiving a subsidy.

| Financial Summary | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|---|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| (Net of Reimbursements) | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| <u>Pmts to Other Than Local Governments</u> | | | | | | | | |
| Retirement Contributions | 559,224,245 | 581,593,215 | 716,269,000 | 757,246,000 | 757,246,000 | 744,920,000 | 787,536,000 | 787,536,000 |
| Retirees Health Service Cost | 0 | 0 | 24,958,272 | 24,958,272 | 24,958,272 | 26,500,836 | 26,500,836 | 26,500,836 |
| Municipal Retiree Health Insurance Costs | 0 | 0 | 7,372,720 | 7,372,720 | 7,372,720 | 7,887,480 | 7,887,480 | 7,887,480 |
| TOTAL-General Fund | 559,224,245 | 581,593,215 | 748,599,992 | 789,576,992 | 789,576,992 | 779,308,316 | 821,924,316 | 821,924,316 |
| Funding of System | | | | | | | | |

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Section 10-183I(c).

Program Description

Management Services Administration of the retirement system is delegated by the twelve member Teachers' Retirement Board to the Administrator.

The staff are responsible for determining eligibility of all funds received from various sources such as but limited to; the Boards of Education, the members financial companies or the member. Acceptance of all funds must be consistent with the statutes governing this system and the IRS code governing 401(a) plans. Upon receipt of applications for various benefits such as retirement, survivorship and health insurance benefits, as well as the disability allowance program, for participating members and their beneficiaries. The staff are responsible for determining eligibility, and computing and initiating the benefit on behalf of the

member. The staff determine eligibility and compute the cost to members purchasing additional service credits and maintain an installment payment program for the purchase of service credits.

The TRB sponsors a retiree supplemental health insurance program funded through payroll deductions, and state funds for eligible retired members and their beneficiaries. The agency also coordinates the medical records and agenda for the Medical Review Committee disability allowance determinations.

Demographics The total number of active members of the system as of 6/30/10 was 51,368; their average base salary was \$70,978. The total number of new entrants to the system for FY 2010 was 2190 and it is estimated that there will be 3,000 entrants in FY 2011. During FY 2010, there were 30,493 retired members and beneficiaries; the retiree average age at retirement was 60 years, the average length of service at retirement was 31 years and the average annual retirement benefit for FY 2010 was \$44,754.

| Program Measure | 2009-2010 Actual | 2010-2011 Estimated | 2011-2012 Projected | 2012-2013 Projected |
|---|---------------------|------------------------|------------------------|------------------------|
| Service credit computations performed | 2,000 | 2,000 | 2,000 | 2,000 |
| Computations-potential retiree benefits | 3,000 | 3,000 | 3,000 | 3,000 |
| Retirements during the year | 2,050 | 2,250 | 2,250 | 2,250 |

Personnel Summary

Permanent Full-Time Positions

| | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|--------------|------------------|---------------|---------------|--------------|------------------|--------------------|------------------|--------------------|
| General Fund | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| General Fund | 24 | 3 | 0 | 27 | 27 | 27 | 27 | 27 |

Financial Summary

(Net of Reimbursements)

| | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|-------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| Personal Services | 1,504,386 | 1,683,452 | 1,812,698 | 1,797,698 | 1,797,698 | 1,758,184 | 1,743,184 | 1,743,184 |
| Other Expenses | 309,315 | 2,612,674 | 781,729 | 781,729 | 664,470 | 805,962 | 805,962 | 685,068 |

Capital Outlay

| | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|---------------------------|------------------|------------------|------------------|------------------|--------------------|------------------|------------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| Equipment | 0 | 1 | 0 | 1 | 1 | 0 | 1 | 1 |
| TOTAL-General Fund | 1,813,701 | 4,296,127 | 2,594,427 | 2,579,428 | 2,462,169 | 2,564,146 | 2,549,147 | 2,428,253 |

Management Services

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | 2009-2010 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|--|---------------|------------------|------------------|--------------------|------------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| <i>Personal Services</i> | | | | | | |
| Permanent Fulltime Positions | 1,477,694 | 1,643,968 | 1,763,583 | 1,763,583 | 1,720,583 | 1,720,583 |
| Other | 26,692 | 39,484 | 49,115 | 34,115 | 37,601 | 22,601 |
| TOTAL-Personal Services Gross | 1,504,386 | 1,683,452 | 1,812,698 | 1,797,698 | 1,758,184 | 1,743,184 |
| Less Reimbursements | | | | | | |
| Less Turnover | 0 | 0 | -12,000 | -12,000 | -12,000 | -12,000 |
| TOTAL-Personal Services Net | 1,504,386 | 1,683,452 | 1,800,698 | 1,785,698 | 1,746,184 | 1,731,184 |
| | 4 | 10 | 0 | 0 | 0 | 0 |
| <i>Other Expenses-Contractual Services</i> | | | | | | |
| Rentals, Storage and Leasing | 6,886 | 16,975 | 17,399 | 14,789 | 17,938 | 15,247 |
| Telecommunication Services | 30,605 | 35,000 | 35,875 | 30,494 | 36,987 | 31,439 |
| General Repairs | 408 | 1,006 | 1,031 | 876 | 1,063 | 904 |
| Fees for Outside Professional Services | 107,992 | 2,151,341 | 308,874 | 262,543 | 318,450 | 270,683 |
| DP Services, Rentals and Maintenance | 26,483 | 68,892 | 70,614 | 60,023 | 72,802 | 61,882 |
| Postage | 111,347 | 175,059 | 179,435 | 152,520 | 184,998 | 157,249 |
| Other Contractual Services | 3,000 | 7,395 | 7,580 | 6,443 | 7,815 | 6,643 |
| <i>Other Expenses-Commodities</i> | | | | | | |
| Books | 333 | 821 | 842 | 714 | 868 | 737 |
| Office Supplies | 22,257 | 156,175 | 160,079 | 136,068 | 165,041 | 140,284 |
| TOTAL-Other Expenses Gross | 309,315 | 2,612,674 | 781,729 | 664,470 | 805,962 | 685,068 |
| Less Reimbursements | | | | | | |
| TOTAL-Other Expenses Net | 309,315 | 2,612,674 | 781,729 | 664,470 | 805,962 | 685,068 |
| <i>Pmts to Other Than Local Govts</i> | | | | | | |
| Retirement Contributions | 559,224,245 | 581,593,215 | 716,269,000 | 757,246,000 | 744,920,000 | 787,536,000 |
| Retirees Health Service Cost | 0 | 0 | 24,958,272 | 24,958,272 | 26,500,836 | 26,500,836 |
| Municipal Retiree Health Insurance Costs | 0 | 0 | 7,372,720 | 7,372,720 | 7,887,480 | 7,887,480 |
| TOTAL-Pmts to Other Than Local Govts | 559,224,245 | 581,593,215 | 748,599,992 | 789,576,992 | 779,308,316 | 821,924,316 |

| <i>Character & Major Object Summary</i> | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|---|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| Personal Services Net | 1,504,386 | 1,683,452 | 1,800,698 | 1,785,698 | 1,785,698 | 1,746,184 | 1,731,184 | 1,731,184 |
| Other Expenses Net | 309,315 | 2,612,674 | 781,729 | 781,729 | 664,470 | 805,962 | 805,962 | 685,068 |
| Capital Outlay | 0 | 1 | 0 | 1 | 1 | 0 | 1 | 1 |
| Payments to Other Than Local Governments | 559,224,245 | 581,593,215 | 748,599,992 | 789,576,992 | 789,576,992 | 779,308,316 | 821,924,316 | 821,924,316 |
| TOTAL-General Fund Net | 561,037,946 | 585,889,342 | 751,182,419 | 792,144,420 | 792,027,161 | 781,860,462 | 824,461,463 | 824,340,569 |

REGIONAL COMMUNITY-TECHNICAL COLLEGES

AGENCY DESCRIPTION

The Connecticut community colleges offer two-year associate degrees, transfer opportunities, short-term certificate programs, skill-building and personal interest courses in more than 100 career-related areas.

The twelve community colleges and their outreach programs serve more than half of the undergraduates in public higher education in Connecticut, with more than 55,000 students enrolling in credit courses each semester. This includes more than two-thirds of the African American and Hispanic undergraduates enrolled at public institutions of higher education.

Many of the colleges' programs are specifically developed to serve the state's businesses and industries, state agencies and non-profit organizations. Annually, 35-40,000 individuals are served in non-credit skill-building, personal interest or community service programs, many of which are related to improving workforce skills.

Approximately one third of the colleges' enrollments are in liberal arts or general studies, making the community colleges an important point of entry for both public and private higher education in the state.

| PERFORMANCE MEASURES | |
|---|-----------|
| First-time, full-time degree/ certificate students - persistence fall-to-fall | 59% |
| Licensure pass rates, nursing, early child-hood, allied health occupational graduates | 95% |
| Occupational graduates employed at graduation / retained 6 months later | 78% / 91% |
| Average annual wage increase of occupational program graduates | \$ 25,896 |

Energy Conservation Statement

In addition to on-going conservation efforts at each campus, the twelve Connecticut community colleges have participated in and continue to participate in a variety of energy initiatives sponsored by the Office of Policy and Management's Energy Division, based on the specific type of fuel utilized (oil, natural gas, or both). For many years, the colleges which agree to utilize natural gas only, have benefited from negotiated "locked in" pricing that has achieved significant cost savings overall. This new pricing structure has saved the community colleges approximately half a million dollars over several years. The community colleges will continue to identify and participate in initiatives to reduce energy demands and cost for the colleges and the State of Connecticut.

The Regional Community-Technical Colleges is recommended for consolidation with the Board of Regents for Higher Education in the Governor's budget as part of his proposal to restructure state government.

The following programmatic and position descriptions are provided for informational purposes only. For funding information refer to "The Regional Community-Technical Colleges Block Grant" program

AGENCY PROGRAM INDEX

| | | | |
|------------------|-----|---|-----|
| Instruction | 455 | Institutional Support | 457 |
| Public Service | 456 | Physical Plant Operations and Maintenance | 457 |
| Academic Support | 456 | Scholarships and Fellowships | 458 |
| Library | 456 | Reg Comm-Tech Colleges Block Grant | 458 |
| Student Services | 457 | | |

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

| | 2011-2012 | 2012-2013 |
|--|------------------|------------------|
| • Remove or Limit Inflation | -13,625 | -30,942 |
| • Reduce Block Grant, Tuition Freeze and Manufacturing Technology Program by 10% | -16,570,106 | -16,570,106 |

Reallocations or Transfers

| | | |
|--------------------------------|--------------|--------------|
| • Restructure State Government | -149,130,964 | -145,667,984 |
|--------------------------------|--------------|--------------|

The agency is consolidated with the Board of Regents for Higher Education.

AGENCY PROGRAMS

| Personnel Summary | | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|---|--|------------------|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|
| <i>Permanent Full-Time Positions</i> | | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| General Fund | | 0 | 1,927 | 131 | 2,058 | 2,082 | 0 | 2,082 | 0 |
| Community Technical College Operating and Tuition Fund | | 209 | 14 | 0 | 223 | 223 | 0 | 223 | 0 |
| <i>Other Positions Equated to Full Time</i> | | | | 2009-2010 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
| | | | | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| General Fund | | | | 17 | 17 | 17 | 0 | 17 | 0 |
| Agency Programs by Total Funds (Net of Reimbursements) | | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
| | | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| Instruction | | 69,033,180 | 64,634,100 | 70,516,635 | 0 | 0 | 68,457,872 | 0 | 0 |
| Academic Support | | 26,018,341 | 27,729,281 | 30,075,161 | 0 | 0 | 29,487,890 | 0 | 0 |
| Library | | 4,200,424 | 4,898,012 | 5,360,954 | 0 | 0 | 5,196,120 | 0 | 0 |
| Student Services | | 18,354,005 | 17,886,334 | 19,571,706 | 0 | 0 | 18,968,907 | 0 | 0 |
| Institutional Support | | 25,749,776 | 29,679,242 | 32,711,422 | 0 | 0 | 31,652,277 | 0 | 0 |
| Physical Plant Operations and Maintenance | | 10,743,469 | 10,990,367 | 12,399,578 | 0 | 0 | 18,245,733 | 0 | 0 |
| Reg Comm-Tech Colleges Block Grant | | 245,148,555 | 301,745,913 | 310,641,959 | 473,581,838 | 0 | 316,833,701 | 476,262,405 | 0 |
| TOTAL Agency Programs - All Funds Gross | | 399,247,750 | 457,563,249 | 481,277,415 | 473,581,838 | 0 | 488,842,500 | 476,262,405 | 0 |
| Less Turnover | | | | | | | | | |
| TOTAL Agency Programs - All Funds Net | | 399,247,750 | 457,563,249 | 481,277,415 | 473,581,838 | 0 | 488,842,500 | 476,262,405 | 0 |
| Summary of Funding | | | | | | | | | |
| General Fund Net | | 158,523,261 | 158,523,261 | 173,410,272 | 165,714,695 | 0 | 174,849,127 | 162,269,032 | 0 |
| Community Tech College Operating Fund | | 168,066,630 | 205,718,429 | 212,324,532 | 212,324,532 | 0 | 216,224,621 | 216,224,621 | 0 |
| Federal and Other Activities | | 72,657,859 | 93,321,559 | 95,542,611 | 95,542,611 | 0 | 97,768,752 | 97,768,752 | 0 |
| TOTAL Agency Programs - All Funds Net | | 399,247,750 | 457,563,249 | 481,277,415 | 473,581,838 | 0 | 488,842,500 | 476,262,405 | 0 |

INSTRUCTION

Statutory Reference

C.G.S. Sections 10a-71 and 10a-72.

Statement of Need and Program Objectives

To enable people with a high school diploma (or equivalent) to obtain credits towards a certificate or associate degree or to upgrade personal or occupational skills by offering a broad range of liberal arts/transfer, vocational, occupational, technology and general programs including remediation, adult and continuing education.

Program Description

It is the responsibility of Connecticut's community colleges to provide a comprehensive array of relevant and responsive programs and services to meeting the changing needs of individual students and the state's economy.

Liberal Arts and Sciences, The College of Technology and General Studies Programs provide access to affordable educational opportunities by offering the first two years of baccalaureate

education for students interested in continuing their education through transfer to a four-year college.

Business, Commerce and Office Technology Programs include accounting, banking, real estate and tourism which prepare students for the world of work in specialized areas of interest.

Public and Human Services Programs include criminal justice, early childhood education, recreation therapy and special education which prepare education and public safety professionals who safeguard and improve the quality of life throughout Connecticut.

Health Services and Paramedical Programs include dietetic technician, dental hygiene, nursing and radiation therapy which prepare allied health and paramedical technicians to ensure the quality and availability of the state's healthcare and emergency services.

Technology Programs prepare graduates who can apply advances in technology to improve the state's environment and competitive position in the global economy.

| Financial Summary (Net of Reimbursements) | | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|--|--|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| <i>Other Current Expenses</i> | | | | | | | | | |
| Operating Expenses | | 69,033,180 | 64,634,100 | 70,516,635 | 0 | 0 | 68,457,872 | 0 | 0 |
| TOTAL-General Fund | | 69,033,180 | 64,634,100 | 70,516,635 | 0 | 0 | 68,457,872 | 0 | 0 |
| Instruction | | | | | | | | | |

PUBLIC SERVICE

Statutory Reference

C.G.S. Sections 10a-71 and 10a-72.

Statement of Need and Program Objectives

To enable interested individuals to acquire educational, career, cultural or recreational skills through a variety of non-traditional specialized programs tailored to the needs of individuals, businesses, industries, public agencies and the state's economic outlook.

Program Description

Community service programs make available various resources and special capabilities that exist within the colleges, by offering primarily short-term non-credit educational activities related to professional development, improved job skills, or career advancement through educational services, outreach programs, conferences, workshops, public lectures and events, televised or individualized instruction, contract and grant projects and interagency services.

ACADEMIC SUPPORT

Statutory Reference

C.G.S. Sections 10a-71 and 10a-72

Statement of Need and Program Objectives

To strengthen the instructional and community service programs of the community colleges through planning, management and operation of direct support services such as instructional technology, curriculum development and academic program management, communication and presentation technology, and faculty professional development.

Program Description

The academic support program embodies those activities that support the primary instructional programs through various services, programs and activities that directly assist the academic functions of the institution. Included are academic and instructional technology, educational media, course and curriculum development, allied health, child care and other instructional practica, museums, galleries, laboratories, learning resource centers and faculty professional development.

| Financial Summary | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|-------------------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| (Net of Reimbursements) | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| <i>Other Current Expenses</i> | | | | | | | | |
| Operating Expenses | 26,018,341 | 27,729,281 | 30,075,161 | 0 | 0 | 29,487,890 | 0 | 0 |
| TOTAL-General Fund | 26,018,341 | 27,729,281 | 30,075,161 | 0 | 0 | 29,487,890 | 0 | 0 |
| Academic Support | | | | | | | | |

LIBRARY

Statutory Reference

C.G.S. Sections 10a-71 and 10a-72.

Statement of Need and Program Objectives

To ensure that students, faculty, staff and citizens have convenient access to library collections appropriate to the size and the educational mission of the community colleges. To ensure that such collections remain current through regular replacement, acquisition

and restoration, access to information databases and other on-line resources.

Program Description

The library program is responsible for enhancement and management of library collections, on-line services for research and interlibrary loans, and library support staffing.

| Financial Summary | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|-------------------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| (Net of Reimbursements) | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| <i>Other Current Expenses</i> | | | | | | | | |
| Operating Expenses | 4,200,424 | 4,898,012 | 5,360,954 | 0 | 0 | 5,196,120 | 0 | 0 |
| TOTAL-General Fund | 4,200,424 | 4,898,012 | 5,360,954 | 0 | 0 | 5,196,120 | 0 | 0 |
| Library | | | | | | | | |

STUDENT SERVICES

Statutory Reference

C.G.S. Sections 10a-71 and 10a-72.

Statement of Need and Program Objectives

To help students and potential students to identify and attain their educational, vocational and intellectual objectives by providing counseling, testing, advising, financial aid, admissions, placement assistance, records services and other auxiliary support activities.

Program Description

In order to serve the community college student population effectively and make further study a reality for those students who may be under-prepared or returning to higher education for career

advancement, a high level of academic support services is required. The colleges provide developmental and remedial programs along with the following programs and services that make success at the college level possible for many of the state's students: academic advising; placement testing and counseling; individualized instruction and tutoring; transfer and career counseling; financial aid advising and programs; library and laboratory support and school to career programs and child care services.

| <i>Financial Summary</i> (Net of Reimbursements) | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|---|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| <i>Other Current Expenses</i> | | | | | | | | |
| Operating Expenses | 18,354,005 | 17,886,334 | 19,571,706 | 0 | 0 | 18,968,907 | 0 | 0 |
| TOTAL-General Fund | 18,354,005 | 17,886,334 | 19,571,706 | 0 | 0 | 18,968,907 | 0 | 0 |
| Student Services | | | | | | | | |

INSTITUTIONAL SUPPORT

Statutory Reference

C.G.S. Sections 10a-71 and 10a-72

Statement of Need and Program Objectives

To enhance the overall operation of the community colleges through effective management, information and planning services that support administration, instruction and services for students.

Program Description

Administrative and program personnel have the responsibility for operating and developing the total community college system including the areas of executive management, financial operations, administrative computing, information systems, institutional research, accreditation, public relations and fund raising, affirmative action and employee relations.

| <i>Financial Summary</i> (Net of Reimbursements) | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|---|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| <i>Other Current Expenses</i> | | | | | | | | |
| Operating Expenses | 25,749,776 | 29,679,242 | 32,711,422 | 0 | 0 | 31,652,277 | 0 | 0 |
| TOTAL-General Fund | 25,749,776 | 29,679,242 | 32,711,422 | 0 | 0 | 31,652,277 | 0 | 0 |
| Institutional Support | | | | | | | | |

PHYSICAL PLANT OPERATIONS AND MAINTENANCE

Statutory Reference

C. G. S. Sections 10a-71 and 10a-72.

Statement of Need and Program Objectives

To ensure a clean, safe and healthy environment for students, faculty, employees, visitors and the public through regular and effective facilities operation and development. To protect the state's

financial investment in its facilities through proper maintenance and upkeep of buildings and grounds.

Program Description

The twelve community colleges are composed of fifteen major campus locations. This program includes campus security, custodial maintenance, building maintenance, landscape and grounds maintenance, utility services, repairs and renovations and physical plant administration for those sites.

| <i>Financial Summary</i> (Net of Reimbursements) | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|---|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| <i>Other Current Expenses</i> | | | | | | | | |
| Operating Expenses | 10,743,469 | 10,990,367 | 12,399,578 | 0 | 0 | 18,245,733 | 0 | 0 |
| TOTAL-General Fund | 10,743,469 | 10,990,367 | 12,399,578 | 0 | 0 | 18,245,733 | 0 | 0 |
| Physical Plant Operations and Maintenance | | | | | | | | |

SCHOLARSHIPS AND FELLOWSHIPS

Statutory Reference

C.G.S. Sections 10a-71, 10a-72 and 10a-77.

Statement of Need and Program Objectives

To minimize economic barriers for community college students by providing financial assistance in the form of grants, scholarships, part-time employment, tuition waivers and loan programs.

Program Description

Federal Student Financial Assistance Programs include: Pell Grants, Supplemental Educational Opportunity Grants, College Work-Study and the Perkins Loan Program.

State Aid includes the Connecticut Aid to Public College Students grant, tuition set-aside grants and waivers, and matching funds for federal Work-Study and Perkins Loans.

Student Eligibility for financial assistance is based on satisfactory academic standing and demonstrated financial need.

Administration of Student Financial Assistance Programs is included under the student service program. Student loan collection is included under the Institutional Support program.

Community colleges provide more than \$100 million annually in student aid, including more than \$20 million in tuition-supported set-aside grants, waivers and work study.

REGIONAL COMMUNITY-TECHNICAL COLLEGES BLOCK GRANT

Statutory Reference

C.G.S. Sections 10a-71 and 10a-72.

Statement of Need and Program Objectives

To provide the Regional Community-Technical Colleges with a streamlined, flexible and responsive administrative structure.

Program Description

State funding provided as a single block grant fosters effective and timely decision-making and allocation of resources to meet changing instructional, academic and student support requirements. Within available resources, community college management, faculty and

staff are accountable and responsible for the delivery of educational programming responsive to the needs of individual students, college communities and the state's economy. Budgetary flexibility along with local decision-making and accountability supports an environment that enables a streamlined administrative response to operational needs.

There are eight program elements supported by the block grant: Instruction; Public Services; Academic Support; Library; Student Services; Institutional Support; Physical Plant Operation and Maintenance and Scholarships and Fellowships.

Personnel Summary

| | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|--|------------------|---------------|---------------|------------------|------------------|--------------------|------------------|--------------------|
| | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| <i>Permanent Full-Time Positions</i> | | | | | | | | |
| General Fund | 0 | 1,927 | 131 | 2,058 | 2,082 | 0 | 2,082 | 0 |
| Community Technical College Operating and Tuition Fund | 209 | 14 | 0 | 223 | 223 | 0 | 223 | 0 |
| <i>Other Positions Equated to Full Time</i> | | | | | | | | |
| General Fund | | | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| | | | 17 | 17 | 17 | 0 | 17 | 0 |

Financial Summary

| | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|--|------------------|------------------|------------------|--------------------|--------------------|------------------|--------------------|--------------------|
| (Net of Reimbursements) | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| <i>Other Current Expenses</i> | | | | | | | | |
| Operating Expenses | 1,718,141 | 0 | 0 | 162,995,145 | 0 | 0 | 159,532,165 | 0 |
| Tuition Freeze | 2,160,925 | 2,160,925 | 2,212,376 | 2,160,925 | 0 | 2,263,827 | 2,160,925 | 0 |
| Manufacturing Tech Pgm - Asnuntuck | 345,000 | 345,000 | 356,040 | 353,625 | 0 | 364,941 | 364,587 | 0 |
| Expand Manufacturing Technology Program | 200,000 | 200,000 | 206,400 | 205,000 | 0 | 211,560 | 211,355 | 0 |
| TOTAL-General Fund | 4,424,066 | 2,705,925 | 2,774,816 | 165,714,695 | 0 | 2,840,328 | 162,269,032 | 0 |
| <i>Additional Funds Available</i> | | | | | | | | |
| Community Tech College Operating Fund | 168,066,630 | 205,718,429 | 212,324,532 | 212,324,532 | 0 | 216,224,621 | 216,224,621 | 0 |
| Federal Contributions | | | | | | | | |
| 10561 State Admin Match Grt Food Stamp | 567,753 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 17235 Sr Community Service Employment | 47,546 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 17255 WIA Adult Program | 418 | 51,861 | 53,095 | 53,095 | 0 | 54,332 | 54,332 | 0 |
| 17259 WIA Youth Activities | 138,800 | 194,530 | 199,160 | 199,160 | 0 | 203,800 | 203,800 | 0 |
| 17260 WIA Dislocated Workers | 41,309 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 17261 Employment & Training Administration | 1,783,152 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 17267 WIA Incentive Grants Section 503 Grant | 43,675 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | | | |
|---|-------------|-------------|-------------|-------------|---|-------------|-------------|---|
| 17268 H-1B Job Training Grants | 274,171 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 17269 Community Based Job Training Grants | 882,824 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 17700 Women's Bureau | 224 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20600 State & Community Highway Safety | 63,780 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 43001 Aerospace Education Services Program | 408,655 | 75,000 | 76,785 | 76,785 | 0 | 78,574 | 78,574 | 0 |
| 45164 Promotion of the Humanities Public Programs | 2,500 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 47076 Education & Human Resources | 1,012,665 | 1,025,839 | 1,050,254 | 1,050,254 | 0 | 1,074,725 | 1,074,725 | 0 |
| 66033 Ozone Transport | 14,716 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 81042 Weatherization Assist for Low-Income | 216,841 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84002 Adult Education State Grant Program | 217,306 | 95,000 | 97,261 | 97,261 | 0 | 99,527 | 99,527 | 0 |
| 84007 Federal Supplemental Educational Opportunity Grants | 839,231 | 844,039 | 864,127 | 864,127 | 0 | 884,261 | 884,261 | 0 |
| 84010 Title I Grants to Local Educational Agencies | 870 | 81,907 | 83,856 | 83,856 | 0 | 85,810 | 85,810 | 0 |
| 84031 Higher Education Institutional Aid | 433,177 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84033 Federal Work-Study Program | 1,335,505 | 1,244,004 | 1,273,611 | 1,273,611 | 0 | 1,303,286 | 1,303,286 | 0 |
| 84042 TRIO Student Support Services | 355,051 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84048 Vocational Education Basic Grants to States | 2,108,927 | 762,084 | 780,222 | 780,222 | 0 | 798,401 | 798,401 | 0 |
| 84063 Federal Pell Grant Program | 60,559,425 | 88,552,849 | 90,660,407 | 90,660,407 | 0 | 92,772,794 | 92,772,794 | 0 |
| 84116 Improvement of Postsecondary Education | 344,374 | 58,078 | 59,460 | 59,460 | 0 | 60,845 | 60,845 | 0 |
| 84185 Byrd Honors Scholarships | 750 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84243 Tech-Prep Education | 698 | 77,368 | 79,209 | 79,209 | 0 | 81,055 | 81,055 | 0 |
| 84375 Academic Competitiveness Grants | 631,797 | 100,000 | 102,380 | 102,380 | 0 | 104,765 | 104,765 | 0 |
| 84378 College Access Challenge Grant Program | 142,514 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93359 Nurse Education, Practice & Retention Grants | 19,916 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93913 Grants for Operation of Offices of Rural Health | 169,289 | 159,000 | 162,784 | 162,784 | 0 | 166,577 | 166,577 | 0 |
| TOTAL - All Funds | 245,148,555 | 301,745,913 | 310,641,959 | 473,581,838 | 0 | 316,833,701 | 476,262,405 | 0 |
| Reg Comm-Tech Colleges Block Grant | | | | | | | | |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | 2009-2010 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 | | |
|---|---------------|------------------|------------------|--------------------|--------------------|--------------------|-------------|---|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> | | |
| <i>Other Current Expenses</i> | | | | | | | | |
| Operating Expenses | 155,817,336 | 155,817,336 | 170,635,456 | 0 | 172,008,799 | 0 | | |
| Tuition Freeze | 2,160,925 | 2,160,925 | 2,212,376 | 0 | 2,263,827 | 0 | | |
| Manufacturing Tech Pgm - Asnuntuck | 345,000 | 345,000 | 356,040 | 0 | 364,941 | 0 | | |
| Expand Manufacturing Technology Program | 200,000 | 200,000 | 206,400 | 0 | 211,560 | 0 | | |
| TOTAL-Other Current Expenses | 158,523,261 | 158,523,261 | 173,410,272 | 0 | 174,849,127 | 0 | | |
| | | | | | | | | |
| <i>Character & Major Object Summary</i> | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | | |
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | | |
| | | | | | | <u>Current</u> | | |
| | | | | | | <u>Services</u> | | |
| | | | | | | <u>Recommended</u> | | |
| Other Current Expenses | 158,523,261 | 158,523,261 | 173,410,272 | 165,714,695 | 0 | 174,849,127 | 162,269,032 | 0 |
| TOTAL-General Fund Net | 158,523,261 | 158,523,261 | 173,410,272 | 165,714,695 | 0 | 174,849,127 | 162,269,032 | 0 |
| <i>Additional Funds Available</i> | | | | | | | | |
| Community Tech College Operating Fund | 168,066,630 | 205,718,429 | 212,324,532 | 212,324,532 | 0 | 216,224,621 | 216,224,621 | 0 |
| Federal and Other Activities | 72,657,859 | 93,321,559 | 95,542,611 | 95,542,611 | 0 | 97,768,752 | 97,768,752 | 0 |
| TOTAL-All Funds Net | 399,247,750 | 457,563,249 | 481,277,415 | 473,581,838 | 0 | 488,842,500 | 476,262,405 | 0 |

CONNECTICUT STATE UNIVERSITY SYSTEM

AGENCY DESCRIPTION

The four comprehensive universities of the CSU System are Central Connecticut State University (CCSU); Eastern Connecticut State University (ECSU); Southern Connecticut State University (SCSU) and Western Connecticut State University (WCSU).

The CSUS provides high-quality, active learning opportunities that are geographically and technologically accessible.

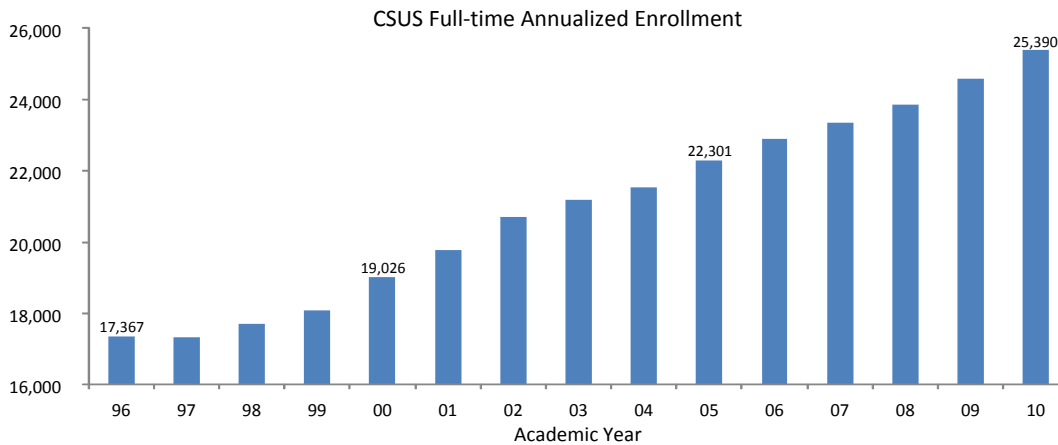
The majority of students attending the universities are in the traditional college-age range of 17 to 24. However, non-traditional students who are age 25 and over are enrolled as well, an increasingly important part of the workforce. For the fall of 2009, enrollment in the Connecticut State University System was 29,179 full-time equivalent students.

A CSUS education leads to baccalaureate, graduate and professional degrees consistent with CSUS's historical missions of

teacher education and career advancement, including applied doctoral degree programs in education.

Energy Conservation Statement

CSUS is committed to sustainability, energy efficiency and conservation. All four Universities continue to voluntarily participate in the Demand Response Initiative. Currently, new construction of \$5 million or more, or facility renovation projects projected to cost \$2 million or more each, must meet or exceed compliance with LEED silver rating, or an equivalent standard. We also consider the purchase of hybrid or alternative-fuel vehicles as older vehicles are replaced. We maximize the use of our facilities to ensure their most effective and efficient operation whenever possible.



The Connecticut State University System is recommended for consolidation with the Board of Regents for Higher Education in the Governor's budget as part of his proposal to restructure state government.

The following programmatic and position descriptions are provided for informational purposes only. For funding information refer to "The Connecticut State University Block Grant" program.

AGENCY PROGRAM INDEX

| | | | |
|------------------|-----|------------------------------|-----|
| Learning | 461 | Institutional Support | 464 |
| Research | 462 | Physical Plant | 465 |
| Public Service | 462 | Scholarships and Fellowships | 465 |
| Academic Support | 463 | CSU Auxiliary Enterprises | 465 |
| Library | 463 | CSU Block Grant | 466 |
| Student Services | 464 | | |

RECOMMENDED SIGNIFICANT CHANGES

| | <u>2011-2012</u> | <u>2012-2013</u> |
|---|------------------|------------------|
| Reductions to Current Services | | |
| • Remove or Limit Inflation | -135,359 | -275,563 |
| • Reduce General Fund Appropriation by 10% | -17,601,197 | -17,232,546 |
| Reallocations or Transfers | | |
| • Transfer Pass Through Funds from the Judicial Department <i>Transfer funds from the Judicial Department's Court Support Services Division to CCSU's Institute for Technology and Business Development for room rental and voicemail boxes.</i> | 36,000 | 36,000 |
| • Restructure Higher Education Governance <i>Block Grant funding for the Connecticut State University System is recommended for transfer to the newly created Board of Regents for Higher Education.</i> | -158,311,411 | -154,853,349 |

AGENCY PROGRAMS

| Personnel Summary | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|---|------------------|------------------|------------------|-----------------|--------------------|--------------------|------------------|--------------------|
| <i>Permanent Full-Time Positions</i> | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| General Fund | 2,330 | 0 | -36 | 2,294 | 2,331 | 0 | 2,331 | 0 |
| State University Operating/Tuition Fund | 896 | 119 | 0 | 1,015 | 1,015 | 0 | 1,015 | 0 |
| Agency Programs by Total Funds (Net of Reimbursements) | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| Learning | 189,989,827 | 208,248,015 | 222,746,155 | 145,844,422 | 0 | 221,956,535 | 145,881,756 | 0 |
| Research | 2,659,912 | 2,917,127 | 3,013,310 | 2,107,643 | 0 | 3,129,008 | 2,197,758 | 0 |
| Public Service | 4,573,020 | 5,096,918 | 5,388,590 | 3,936,189 | 0 | 5,349,582 | 3,946,272 | 0 |
| Academic Support | 32,222,622 | 35,014,354 | 37,468,128 | 24,967,664 | 0 | 37,495,213 | 25,104,217 | 0 |
| Library | 17,616,470 | 19,007,069 | 20,309,461 | 13,186,379 | 0 | 20,236,597 | 13,183,105 | 0 |
| Student Services | 46,556,882 | 50,519,028 | 54,183,346 | 35,580,119 | 0 | 53,943,556 | 35,563,681 | 0 |
| Institutional Support | 90,435,492 | 102,143,229 | 108,587,948 | 72,733,535 | 0 | 108,711,838 | 73,184,700 | 0 |
| Physical Plant | 113,625,850 | 121,041,845 | 127,701,141 | 100,892,319 | 0 | 129,094,341 | 102,579,320 | 0 |
| Scholarships and Fellowships | 46,166,244 | 49,287,233 | 54,404,904 | 54,404,904 | 0 | 54,794,929 | 54,794,929 | 0 |
| CSU Auxiliary Enterprises | 66,726,352 | 70,535,217 | 78,230,018 | 78,230,018 | 0 | 80,309,367 | 80,309,367 | 0 |
| CSU Block Grant | 34,595,279 | 36,119,083 | 32,677,035 | 208,689,002 | 0 | 32,556,818 | 204,882,276 | 0 |
| TOTAL Agency Programs - All Funds Gross | 645,167,950 | 699,929,118 | 744,710,036 | 740,572,194 | 0 | 747,577,784 | 741,627,381 | 0 |
| Less Turnover | | | | | | | | |
| TOTAL Agency Programs - All Funds Net | 645,167,950 | 699,929,118 | 744,710,036 | 740,572,194 | 0 | 747,577,784 | 741,627,381 | 0 |
| Summary of Funding | | | | | | | | |
| General Fund Net | 162,517,232 | 162,517,232 | 180,149,809 | 176,011,967 | 0 | 178,275,861 | 172,325,458 | 0 |
| State University Operating/Tuition Fd | 438,514,894 | 483,157,803 | 512,599,817 | 512,599,817 | 0 | 516,534,690 | 516,534,690 | 0 |
| Federal and Other Activities | 34,595,279 | 36,119,083 | 32,677,035 | 32,677,035 | 0 | 32,556,818 | 32,556,818 | 0 |
| Special Funds, Non-Appropriated | 9,540,545 | 10,135,000 | 10,388,375 | 10,388,375 | 0 | 10,710,415 | 10,710,415 | 0 |
| Bond Funds | 0 | 8,000,000 | 8,895,000 | 8,895,000 | 0 | 9,500,000 | 9,500,000 | 0 |
| TOTAL Agency Programs - All Funds Net | 645,167,950 | 699,929,118 | 744,710,036 | 740,572,194 | 0 | 747,577,784 | 741,627,381 | 0 |

LEARNING

Statutory Reference

C.G.S. Sections 10a-87 and 10a-89.

Statement of Need and Program Objectives

To enable students meeting admissions criteria to obtain baccalaureate degrees, master's degrees and other graduate degrees providing them with the competencies they need to succeed in employment and further study.

Program Description

Educational activities are offered to enhance student learning. The universities offer curricula to prepare students for careers in

education, business administration, nursing, social work, library science, engineering technologies and other professional career and field choices. Programs of study are also provided in the areas of the liberal and fine arts.

The process of learning involves faculty and student work in classes, laboratories and other learning situations and includes applied and basic research undertaken within a faculty member's regular workload. To the extent that faculty members, whose primary responsibility is to teach, are involved in the administration of the academic departments, administrative costs are included in this program.

Budget-in-Detail

| <i>Financial Summary</i> | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|---------------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| (Net of Reimbursements) | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| <i>Other Current Expenses</i> | | | | | | | | |
| Operating Expenses | 64,995,373 | 65,798,898 | 73,369,371 | 0 | 0 | 72,565,854 | 0 | 0 |
| Tuition Freeze | 2,762,048 | 2,796,951 | 2,801,152 | 0 | 0 | 2,800,157 | 0 | 0 |
| Waterbury-Based Degree Programs | 648,926 | 674,587 | 731,210 | 0 | 0 | 708,768 | 0 | 0 |
| TOTAL-General Fund | 68,406,347 | 69,270,436 | 76,901,733 | 0 | 0 | 76,074,779 | 0 | 0 |
| <i>Additional Funds Available</i> | | | | | | | | |
| State University Operating/Tuition Fd | 121,583,480 | 138,432,113 | 145,237,932 | 145,237,932 | 0 | 145,234,015 | 145,234,015 | 0 |
| Bond Funds | 0 | 545,466 | 606,490 | 606,490 | 0 | 647,741 | 647,741 | 0 |
| TOTAL - All Funds | 189,989,827 | 208,248,015 | 222,746,155 | 145,844,422 | 0 | 221,956,535 | 145,881,756 | 0 |

Learning

RESEARCH

Statutory Reference

C.G.S. Sections 10a-89 and 10a-98.

Statement of Need and Program Objectives

To expand knowledge by conducting applied research and other creative activities while maximizing the benefits of research for state citizens, businesses and schools.

Program Description

The faculty of the Connecticut State University System are involved in research and creative activity to enhance learning. Applied research activity predominates. Expenditures under this program are supported primarily by externally funded grants made to individual faculty members or centers.

The benefits of research activities to citizens, businesses and schools are many and depend upon the types of research grants received. Examples based on recent grant activity center around the STEM

(science/technology/engineering/math) areas, and include funding for the expansion of the research and educational capacity of graduate programs that teach earth science students the techniques of field data analysis (maximizes benefits by preparing science teachers); grant funds to support basic scientific research to promote progress in the biological and physical sciences and strengthen the nation's scientific enterprise (benefits the state, businesses, and students by promoting careers in science and preparing graduates for employment in STEM areas, as well as future science teachers); and grant funding to work with the K-12 institutions to prepare students for lifelong learning, and in particular, employment in STEM areas. Research funding also supports research for important social programs, such as the prevention of alcohol and substance abuse and violence against women and the study of climate change and environmental issues.

| <i>Financial Summary</i> | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|---------------------------------------|------------------|------------------|------------------|------------------|--------------------|------------------|------------------|--------------------|
| (Net of Reimbursements) | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| <i>Other Current Expenses</i> | | | | | | | | |
| Operating Expenses | 799,456 | 808,587 | 872,678 | 0 | 0 | 896,973 | 0 | 0 |
| Tuition Freeze | 33,638 | 34,022 | 32,989 | 0 | 0 | 34,277 | 0 | 0 |
| TOTAL-General Fund | 833,094 | 842,609 | 905,667 | 0 | 0 | 931,250 | 0 | 0 |
| <i>Additional Funds Available</i> | | | | | | | | |
| State University Operating/Tuition Fd | 1,826,818 | 2,052,934 | 2,083,644 | 2,083,644 | 0 | 2,172,127 | 2,172,127 | 0 |
| Bond Funds | 0 | 21,584 | 23,999 | 23,999 | 0 | 25,631 | 25,631 | 0 |
| TOTAL - All Funds | 2,659,912 | 2,917,127 | 3,013,310 | 2,107,643 | 0 | 3,129,008 | 2,197,758 | 0 |

Research

PUBLIC SERVICE

Statutory Reference

Sections 10a-87 and 10a-89.

Statement of Need and Program Objectives

To enhance non-instructional services to those external to the universities through special social, cultural and economic activities and services for individuals, businesses, industry and other groups.

Program Description

Public service has long been recognized as part of the mission of the Connecticut State University System and is a logical extension of the learners' community.

Consulting and voluntary service, applied research, training programs, exhibits, plays, sports and concerts are means by which

faculty and students share their expertise and render public service to the people of Connecticut. A variety of centers and institutes have been established within the CSUS to carry out projects funded by the federal government or other external sources.

Grants and contracts often provide the opportunity for students to be employed as junior members of a professional team applying academic knowledge to the real problems of society. Such projects provide especially effective learning environments for students enabling them to have the kind of practical experiences future employers seek.

Public service creates firmer relations between the universities and surrounding communities to the benefit of both parties.

| Financial Summary | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|---------------------------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| (Net of Reimbursements) | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| <u>Other Current Expenses</u> | | | | | | | | |
| Operating Expenses | 1,228,253 | 1,218,824 | 1,399,497 | 0 | 0 | 1,351,657 | 0 | 0 |
| Tuition Freeze | 51,680 | 51,283 | 52,904 | 0 | 0 | 51,653 | 0 | 0 |
| TOTAL-General Fund | 1,279,933 | 1,270,107 | 1,452,401 | 0 | 0 | 1,403,310 | 0 | 0 |
| <u>Additional Funds Available</u> | | | | | | | | |
| State University Operating/Tuition Fd | 3,293,087 | 3,803,611 | 3,910,394 | 3,910,394 | 0 | 3,918,722 | 3,918,722 | 0 |
| Bond Funds | 0 | 23,200 | 25,795 | 25,795 | 0 | 27,550 | 27,550 | 0 |
| TOTAL - All Funds | 4,573,020 | 5,096,918 | 5,388,590 | 3,936,189 | 0 | 5,349,582 | 3,946,272 | 0 |
| Public Service | | | | | | | | |

ACADEMIC SUPPORT

Statutory Reference

Sections 10a-87 and 10a-89.

Statement of Need and Program Objectives

To enhance the primary activities of learning, research and public service through support services that provide academic program management, access to equipment and materials, and opportunities for personal and professional growth of the faculty.

Program Description

The Academic Support program embodies those activities that support the primary educational program through retention, preservation and display of materials or provide services that directly assist the academic functions of the institution. Included are computer support, audio-visual services, academic administration, faculty and academic professional growth and clerical support for faculty.

| Financial Summary | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|---------------------------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| (Net of Reimbursements) | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| <u>Other Current Expenses</u> | | | | | | | | |
| Operating Expenses | 10,678,067 | 10,419,841 | 11,606,408 | 0 | 0 | 11,509,649 | 0 | 0 |
| Tuition Freeze | 465,673 | 455,478 | 455,330 | 0 | 0 | 456,087 | 0 | 0 |
| Waterbury-Based Degree Programs | 389,355 | 404,752 | 438,726 | 0 | 0 | 425,260 | 0 | 0 |
| TOTAL-General Fund | 11,533,095 | 11,280,071 | 12,500,464 | 0 | 0 | 12,390,996 | 0 | 0 |
| <u>Additional Funds Available</u> | | | | | | | | |
| State University Operating/Tuition Fd | 20,689,527 | 22,731,259 | 23,852,427 | 23,852,427 | 0 | 23,913,125 | 23,913,125 | 0 |
| Bond Funds | 0 | 1,003,024 | 1,115,237 | 1,115,237 | 0 | 1,191,092 | 1,191,092 | 0 |
| TOTAL - All Funds | 32,222,622 | 35,014,354 | 37,468,128 | 24,967,664 | 0 | 37,495,213 | 25,104,217 | 0 |
| Academic Support | | | | | | | | |

LIBRARY

Statutory Reference

Sections 10a-87 and 10a-89.

Statement of Need and Program Objectives

To ensure that students, faculty, staff and citizens are provided convenient access to library collections appropriate for the size and educational and research mission of the Connecticut State University System. To ensure that such collections remain current through a regular and efficient program of replacement, acquisition and restoration. Increasingly, libraries provide electronic access to full-text information sources.

Program Description

Each of the libraries contains collections of books, periodicals and other media to support the academic program offerings of each campus. There are also several collections to meet specialized needs:

- Young Library – Ansell School of Business at WCSU.
- Connecticut Studies at ECSU.
- Curricula laboratories for teacher preparation at the four universities and Polish American Archives at CCSU.

| Financial Summary | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|-------------------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| (Net of Reimbursements) | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| <u>Other Current Expenses</u> | | | | | | | | |
| Operating Expenses | 6,168,742 | 6,150,774 | 6,863,623 | 0 | 0 | 6,793,867 | 0 | 0 |
| Tuition Freeze | 259,556 | 258,797 | 259,459 | 0 | 0 | 259,625 | 0 | 0 |
| TOTAL-General Fund | 6,428,298 | 6,409,571 | 7,123,082 | 0 | 0 | 7,053,492 | 0 | 0 |

Budget-in-Detail

Additional Funds Available

| | | | | | | | | |
|---------------------------------------|-------------------|-------------------|-------------------|-------------------|----------|-------------------|-------------------|----------|
| State University Operating/Tuition Fd | 11,188,172 | 12,574,618 | 13,160,939 | 13,160,939 | 0 | 13,155,935 | 13,155,935 | 0 |
| Bond Funds | 0 | 22,880 | 25,440 | 25,440 | 0 | 27,170 | 27,170 | 0 |
| TOTAL - All Funds | 17,616,470 | 19,007,069 | 20,309,461 | 13,186,379 | 0 | 20,236,597 | 13,183,105 | 0 |

Library

STUDENT SERVICES

Statutory Reference

Sections 10a-87 and 10a-89.

Statement of Need and Program Objectives

To enhance a student's educational experience by providing a collegiate environment that offers social and cultural activities, with housing and food services financed primarily as self-supporting operations.

Program Description

The Student Services program comprises all activities related to students and includes such services as social and cultural development activities, counseling, career guidance and placement,

financial aid administration, student admissions and records, student health services, cooperative education programs and child care.

The Connecticut State University System through student fees currently provides:

- Housing for approximately 34% of the full-time students enrolled.
- Food Service Facilities accommodating both resident students and commuters.
- Student Centers that are the focal points of most student activities, including radio stations, student newspapers, bookstores and recreation areas and intercollegiate athletics.

Financial Summary

| | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|---------------------------------------|-------------------|-------------------|-------------------|-------------------|--------------------|-------------------|-------------------|--------------------|
| (Net of Reimbursements) | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| <u>Other Current Expenses</u> | | | | | | | | |
| Operating Expenses | 16,003,363 | 16,052,847 | 17,925,603 | 0 | 0 | 17,703,349 | 0 | 0 |
| Tuition Freeze | 673,357 | 675,432 | 677,624 | 0 | 0 | 676,526 | 0 | 0 |
| TOTAL-General Fund | 16,676,720 | 16,728,279 | 18,603,227 | 0 | 0 | 18,379,875 | 0 | 0 |
| <u>Additional Funds Available</u> | | | | | | | | |
| State University Operating/Tuition Fd | 29,880,162 | 33,676,669 | 35,453,276 | 35,453,276 | 0 | 35,428,211 | 35,428,211 | 0 |
| Bond Funds | 0 | 114,080 | 126,843 | 126,843 | 0 | 135,470 | 135,470 | 0 |
| TOTAL - All Funds | 46,556,882 | 50,519,028 | 54,183,346 | 35,580,119 | 0 | 53,943,556 | 35,563,681 | 0 |

Student Services

INSTITUTIONAL SUPPORT

Statutory Reference

C. G. S. Sections 10a-87 and 10a-89.

Statement of Need and Program Objectives

To operate the Connecticut State University System with effective management, long-range planning, and services to support faculty, staff and students.

Program Description

This program consists of activities that support the major functions of learning, academic support and student services. These include: general management of the campuses, strategic planning, budgeting, academic planning and research, public information, fiscal operations, information technology operations, campus security and safety, and operations of the Connecticut State University System Office.

Financial Summary

| | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|---------------------------------------|-------------------|--------------------|--------------------|-------------------|--------------------|--------------------|-------------------|--------------------|
| (Net of Reimbursements) | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| <u>Other Current Expenses</u> | | | | | | | | |
| Operating Expenses | 31,374,677 | 31,239,882 | 34,548,413 | 0 | 0 | 34,219,456 | 0 | 0 |
| Tuition Freeze | 1,320,120 | 1,314,434 | 1,306,000 | 0 | 0 | 1,307,682 | 0 | 0 |
| TOTAL-General Fund | 32,694,797 | 32,554,316 | 35,854,413 | 0 | 0 | 35,527,138 | 0 | 0 |
| <u>Additional Funds Available</u> | | | | | | | | |
| State University Operating/Tuition Fd | 57,740,695 | 64,711,939 | 67,310,949 | 67,310,949 | 0 | 67,393,293 | 67,393,293 | 0 |
| Bond Funds | 0 | 4,876,974 | 5,422,586 | 5,422,586 | 0 | 5,791,407 | 5,791,407 | 0 |
| TOTAL - All Funds | 90,435,492 | 102,143,229 | 108,587,948 | 72,733,535 | 0 | 108,711,838 | 73,184,700 | 0 |

Institutional Support

PHYSICAL PLANT OPERATIONS AND MAINTENANCE

Statutory Reference

C.G.S. Sections 10a-87 and 10a-89.

Statement of Need and Program Objectives

To ensure a clean, safe and healthy environment for students, faculty, employees and visitors through regular and effective maintenance and upkeep of buildings and grounds.

Program Description

Four full-service universities maintain a total of 174 buildings/structures and 1,053 acres. Campuses provide housing, food service and student center facilities, classrooms, laboratories, offices and libraries.

Master planning of physical facilities and capital outlay are incorporated in this program.

| <i>Financial Summary</i> (Net of Reimbursements) | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|---|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| <i>Other Current Expenses</i> | | | | | | | | |
| Operating Expenses | 23,669,049 | 23,186,269 | 25,832,309 | 0 | 0 | 25,539,057 | 0 | 0 |
| Tuition Freeze | 995,899 | 975,574 | 976,513 | 0 | 0 | 975,964 | 0 | 0 |
| TOTAL-General Fund | 24,664,948 | 24,161,843 | 26,808,822 | 0 | 0 | 26,515,021 | 0 | 0 |
| <i>Additional Funds Available</i> | | | | | | | | |
| State University Operating/Tuition Fd | 79,420,357 | 85,352,210 | 88,955,334 | 88,955,334 | 0 | 90,214,966 | 90,214,966 | 0 |
| Special Funds, Non-Appropriated | 9,540,545 | 10,135,000 | 10,388,375 | 10,388,375 | 0 | 10,710,415 | 10,710,415 | 0 |
| Bond Funds | 0 | 1,392,792 | 1,548,610 | 1,548,610 | 0 | 1,653,939 | 1,653,939 | 0 |
| TOTAL - All Funds | 113,625,850 | 121,041,845 | 127,701,141 | 100,892,319 | 0 | 129,094,341 | 102,579,320 | 0 |
| Physical Plant | | | | | | | | |

SCHOLARSHIPS AND FELLOWSHIPS

Statutory Reference

C. G. S. Sections 10a-87, 10a-89 and 10a-99.

Statement of Need and Program Objectives

To ensure access to the Connecticut State University System by capable students who might otherwise be unable to attend because of financial barriers by providing financial assistance in the form of scholarships, loans and tuition and fee waivers.

Program Description

The Connecticut State University System provides financial aid in the form of tuition and fee waivers or refunds, scholarships, grants, loans and work-study programs. Awards are financed from student tuition, state funds (Connecticut Aid to Public College Students and the Capitol Scholarship Program), federal funds (e.g., Pell grants) and loan repayments. Awards are packaged to fit each student's needs. Loans, as used here, do not include Guaranteed Student Loans financed through banks.

| <i>Financial Summary</i> (Net of Reimbursements) | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|---|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| <i>Additional Funds Available</i> | | | | | | | | |
| State University Operating/Tuition Fd | 46,166,244 | 49,287,233 | 54,404,904 | 54,404,904 | 0 | 54,794,929 | 54,794,929 | 0 |
| TOTAL - All Funds | 46,166,244 | 49,287,233 | 54,404,904 | 54,404,904 | 0 | 54,794,929 | 54,794,929 | 0 |
| Scholarships and Fellowships | | | | | | | | |

AUXILIARY ENTERPRISES

Statutory Reference

Sections 10a-87 and 10a-89.

Statement of Need and Program Objectives

To furnish goods and services to students.

Program Description

The distinguishing characteristic of an auxiliary enterprise is that it is managed as an essentially self-supporting activity. Examples are residence halls, food services, intercollegiate athletic camps and clinics, and telecommunications.

| <i>Financial Summary</i> (Net of Reimbursements) | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|---|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| <i>Additional Funds Available</i> | | | | | | | | |
| State University Operating/Tuition Fd | 66,726,352 | 70,535,217 | 78,230,018 | 78,230,018 | 0 | 80,309,367 | 80,309,367 | 0 |
| TOTAL - All Funds | 66,726,352 | 70,535,217 | 78,230,018 | 78,230,018 | 0 | 80,309,367 | 80,309,367 | 0 |
| CSU Auxiliary Enterprises | | | | | | | | |

THE CONNECTICUT STATE UNIVERSITY BLOCK GRANT

Statutory Reference

C.G.S. Section 10a-87, 10a-99.

Statement of Need and Program Objectives

To enable people with appropriate academic qualifications to obtain baccalaureate degrees, master's degrees, sixth-year certificates or advanced graduate study and doctoral degrees.

To provide continuing education that will enable people to enhance personal and/or occupational skills.

Program Description

There are eight program elements in the Connecticut State University Block Grant. These programs include: Learning; Research; Public Service; Academic Support; Library; Student Services; Institutional Support and Physical Plant Operations and Maintenance.

Personnel Summary

| | As of 06/30/2010 | | 2010-2011 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 |
|---|------------------|---------------|---------------|--------------|------------------|--------------------|------------------|--------------------|
| | <u>Filled</u> | <u>Vacant</u> | <u>Change</u> | <u>Total</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> |
| Permanent Full-Time Positions | | | | | | | | |
| General Fund | 2,330 | 0 | -36 | 2,294 | 2,331 | 0 | 2,331 | 0 |
| State University Operating/Tuition Fund | 896 | 119 | 0 | 1,015 | 1,015 | 0 | 1,015 | 0 |

Financial Summary

| (Net of Reimbursements) | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
|---------------------------------|---------------|------------------|------------------|-----------------|--------------------|------------------|-----------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| <u>Other Current Expenses</u> | | | | | | | | |
| Operating Expenses | 0 | 0 | 0 | 168,326,668 | 0 | 0 | 164,657,692 | 0 |
| Tuition Freeze | 0 | 0 | 0 | 6,561,971 | 0 | 0 | 6,561,971 | 0 |
| Waterbury-Based Degree Programs | 0 | 0 | 0 | 1,123,328 | 0 | 0 | 1,105,795 | 0 |
| TOTAL-General Fund | 0 | 0 | 0 | 176,011,967 | 0 | 0 | 172,325,458 | 0 |

Additional Funds Available**Federal Contributions**

| | | | | | | | | |
|--|------------|------------|------------|------------|---|------------|------------|---|
| 10020 CT Oyster Fishery Study | 84,063 | 89,143 | 0 | 0 | 0 | 0 | 0 | 0 |
| 12002 Procurement Tech Assist Business Firms | 11,913 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16525 Grt-Red Violent Crimes Against Women | 100,320 | 510,510 | 400,000 | 400,000 | 0 | 0 | 0 | 0 |
| 16734 Spec Data Collections & Statistic Studies | 23,640 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 17600 Mine Health & Safety Grants | 0 | 54,094 | 0 | 0 | 0 | 0 | 0 | 0 |
| 19014 Capacity Building-UG/GR SA | 25,225 | 74,681 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20601 Alcohol Traffic Safety & Drunk Driv | 20,055 | 42,345 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20607 Alcohol Open Container Requirements | 27,802 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 20614 National Highway Transportation Safety Admin | 2,159 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 43001 Aerospace Education Services Program | 132,349 | 321,106 | 110,553 | 110,553 | 0 | 0 | 0 | 0 |
| 45149 Promotion of the Humanities Division o | 25,459 | 28,352 | 0 | 0 | 0 | 0 | 0 | 0 |
| 47049 Mathematical & Physical Sciences | 422,757 | 360,576 | 338,998 | 338,998 | 0 | 0 | 0 | 0 |
| 47074 Biological Sciences | 1,693 | 6,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 47076 Education & Human Resources | 218,720 | 266,871 | 266,871 | 266,871 | 0 | 118,640 | 118,640 | 0 |
| 47078 Polar Programs | 17,175 | 13,479 | 0 | 0 | 0 | 0 | 0 | 0 |
| 47082 Trans-NSF Recovery Act Reasearch Support (B) | 23,378 | 274,313 | 100,000 | 100,000 | 0 | 100,000 | 100,000 | 0 |
| 59000 Customized Job Training Pgm (ITBD CJT) | 91,941 | 6,893 | 0 | 0 | 0 | 0 | 0 | 0 |
| 59037 Small Business Development Center | 714,493 | 585,780 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84007 Federal Supplemental Educational Opport Grants | 882,754 | 768,188 | 785,000 | 785,000 | 0 | 801,400 | 801,400 | 0 |
| 84033 Federal Work-Study Program | 789,106 | 869,877 | 905,469 | 905,469 | 0 | 922,469 | 922,469 | 0 |
| 84047 TRIO Upward Bound | 186,082 | 225,000 | 225,000 | 225,000 | 0 | 225,000 | 225,000 | 0 |
| 84063 Federal Pell Grant Program | 26,846,254 | 27,570,000 | 28,383,144 | 28,383,144 | 0 | 29,221,309 | 29,221,309 | 0 |
| 84116 Improvement of Postsecondary Education | 23,949 | 10,001 | 0 | 0 | 0 | 0 | 0 | 0 |
| 8416Z Fed Alloc Nursing Ed FY10 | 172,322 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84215 Fund for the Improvement of Education | 50,425 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| | | | | | | | | |
|---|------------|------------|------------|-------------|---|------------|-------------|---|
| 8431A US Dept of Ed Title III | 105,022 | 300,000 | 300,000 | 300,000 | 0 | 300,000 | 300,000 | 0 |
| 8434A CT DHE Gear-Up | 433,262 | 214,659 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84366 Mathematics & Science Partnerships | 5,655 | 13,013 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84367 Improving Teacher Quality State Grants | 56,358 | 47,623 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84375 Academic Competitiveness Grants | 415,641 | 290,000 | 295,000 | 295,000 | 0 | 301,000 | 301,000 | 0 |
| 84376 SMART Grants | 170,341 | 109,867 | 55,000 | 55,000 | 0 | 55,000 | 55,000 | 0 |
| 84379 Teacher Education Assistance for College and High | 51,923 | 32,000 | 32,000 | 32,000 | 0 | 32,000 | 32,000 | 0 |
| 8459B US Dept of Education | 1,358,587 | 1,935,517 | 0 | 0 | 0 | 0 | 0 | 0 |
| 8467B CT Dept of Higher Ed-Day yr 2 | 152,134 | 155,075 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84928 Central Connecticut Writing Project | 20,500 | 51,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 84950 Training All Teachers | 248,456 | 400,000 | 400,000 | 400,000 | 0 | 400,000 | 400,000 | 0 |
| 93242 Mental Health Research Grants | 88,135 | 14,283 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93243 Substance Abuse & Mental Health Services | 203,424 | 75,000 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93244 Mental Health Clinical & AIDS Servic | 5,168 | 80,000 | 80,000 | 80,000 | 0 | 80,000 | 80,000 | 0 |
| 93275 Substance Abuse & Mental Health Services | 140,208 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93276 Drug-Free Communities Support Program Grants | 8,690 | 3,810 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93701 Social Determinants-Child Hlth | 11,427 | 122,593 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93855 Allergy, Immunology & Transplantation | 69,052 | 46,185 | 0 | 0 | 0 | 0 | 0 | 0 |
| 93959 Block Grants for Prevention & Treatment | 145,475 | 144,374 | 0 | 0 | 0 | 0 | 0 | 0 |
| 97067 Homeland Security Grant Program | 11,787 | 6,875 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL - All Funds | 34,595,279 | 36,119,083 | 32,677,035 | 208,689,002 | 0 | 32,556,818 | 204,882,276 | 0 |
| CSU Block Grant | | | | | | | | |

AGENCY FINANCIAL SUMMARY - GENERAL FUND

| <i>Current Expenses by Minor Object</i> | 2009-2010 | 2010-2011 | 2011-2012 | 2011-2012 | 2012-2013 | 2012-2013 | | |
|---|---------------|------------------|------------------|--------------------|--------------------|--------------------|-----------------|--------------------|
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Recommended</u> | <u>Requested</u> | <u>Recommended</u> | | |
| <i>Other Current Expenses</i> | | | | | | | | |
| Operating Expenses | 154,916,980 | 154,875,922 | 172,417,902 | 0 | 170,579,862 | 0 | | |
| Tuition Freeze | 6,561,971 | 6,561,971 | 6,561,971 | 0 | 6,561,971 | 0 | | |
| Waterbury-Based Degree Programs | 1,038,281 | 1,079,339 | 1,169,936 | 0 | 1,134,028 | 0 | | |
| TOTAL-Other Current Expenses | 162,517,232 | 162,517,232 | 180,149,809 | 0 | 178,275,861 | 0 | | |
| <i>Character & Major Object Summary</i> | | | | | | | | |
| | 2009-2010 | 2010-2011 | 2011-2012 | Current | 2011-2012 | 2012-2013 | Current | 2012-2013 |
| | <u>Actual</u> | <u>Estimated</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> | <u>Requested</u> | <u>Services</u> | <u>Recommended</u> |
| Other Current Expenses | 162,517,232 | 162,517,232 | 180,149,809 | 176,011,967 | 0 | 178,275,861 | 172,325,458 | 0 |
| TOTAL-General Fund Net | 162,517,232 | 162,517,232 | 180,149,809 | 176,011,967 | 0 | 178,275,861 | 172,325,458 | 0 |
| <i>Additional Funds Available</i> | | | | | | | | |
| State University Operating/Tuition Fd | 438,514,894 | 483,157,803 | 512,599,817 | 512,599,817 | 0 | 516,534,690 | 516,534,690 | 0 |
| Federal and Other Activities | 34,595,279 | 36,119,083 | 32,677,035 | 32,677,035 | 0 | 32,556,818 | 32,556,818 | 0 |
| Special Funds, Non-Appropriated | 9,540,545 | 10,135,000 | 10,388,375 | 10,388,375 | 0 | 10,710,415 | 10,710,415 | 0 |
| Bond Funds | 0 | 8,000,000 | 8,895,000 | 8,895,000 | 0 | 9,500,000 | 9,500,000 | 0 |
| TOTAL-All Funds Net | 645,167,950 | 699,929,118 | 744,710,036 | 740,572,194 | 0 | 747,577,784 | 741,627,381 | 0 |

