

Quantification of Ratepayer Savings		Scorecard of Cases with Final Decisions				
Due to OCC Advocacy		Decisions Issued 2/1/09 -6/30/11 (or impacting rates effective 1/1/09 and thereafter)				
<u>Docket Name</u>	<u>Docket No.</u>	<u>Decision Date</u>	<u>Company Proposal</u>	<u>OCC Recommendation</u>	<u>Allowed</u>	<u>Ratepayer Savings</u>
Water						
United Water of CT Rate Case	10-09-08	4/27/2011	Proposed rate increase of \$1,716,031 or 35.74% Limited reopener for new projects that would result in an incremental \$395,951 or 8.8% rate increase.	Rate decrease of \$90,684. OCC recommended numerous adjustments to UWCT's rate base for poor record keeping and an imprudence penalty for poor management performance	\$1,032,318 rate increase with no limited reopener granted at this time.	Savings of approximately \$1,080,000 from original rate increase + reopener proposed.
Valley Water Systems Rate Increase	10-06-05	11/3/2010	Proposed rate increase of \$687,204 or 19.62%	Settlement: Rate Increase of \$199,343 or 5.64% Large reduction in rate increase due to changes in capital structure and debt refinancing	Approved Settlement	\$487,861 in savings
Jewett City Water Rate Case	10-10-05	3/2/2011	Rate Increase requested of \$374,578 or 28%	Settlement: Rate increase of \$320,367 Reduction in ROE to 10.0% Expenses to follow precedent from its sister company Hazardville Water case.	Approved Settlement	\$54,211 in savings Majority of rate increase associated with two large capital projects
Hazardville Water Co. Rate Case	09-06-10	12/23/2009	Rate Increase requested of \$565,811.00	Rate decrease of \$14,854	Rate increase of \$117,142	\$448,669 in savings
Avon Water Rate Case	09-10-08	3/31/2010	Revised rate increase of \$688,091 requested.	Rate increase of \$218,203	Rate increase of \$511,021	\$177,070 in savings
Connecticut Water Rate Case	09-12-11	7/14/10	Three year rate increase: Rate Year 1: \$16.3 million Rate Year 2: \$1.4 million Rate Year 3: \$1.4 million	\$4 million in Rate Year 1, no multi-year incremental rate increases	\$8 million Year 1, no multi-year increases. No decoupling (WCAM) or baseload revenue method.	Yr. 1 = \$8.3 million Yr. 2 = \$9.4 million Yr. 3 = \$10.8 million of savings annually.
Connecticut Water Rate Case - Reopener	06-07-08RE01	7/1/2009	CWC & OCC reached a settlement that resulted in a limited 6-month rate decrease of \$580,000 (1.84%) to reflect a change in depreciation rates.	Settlement: \$580,000 rate decrease 7/1/09 - 12/31/09	approved settlement	\$580,000 in savings

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Aquarion Rate Case	10-02-13	9/08/10	Three year rate increase: Rate Year 1:\$23.5 million Rate Year 2: \$3.9 million Rate Year 3: \$3.8 million	\$9.14 million in Rate Year 1, no multi-year incremental rate increases	Single year rate increase of \$15.2 million	Yr.1 = \$8.3 million Yr. 2 = \$12.2 million Yr. 3 = \$16 million of savings annually.
Water Infrastructure and Conservation Adjustment Proceedings (Cases for Aquarion, CT Water and United Water)	08-10-05 08-06-21	Various	Individual company WICA applications	OCC applied criteria from initial DPUC WICA proceeding which limited the type of projects that were eligible to be included in surcharge. Projects not completed and property taxes not included were not allowed.	Removed unfinished projects, as well as routine systematic projects. Removed unbilled property taxes.	Annual savings of \$2 million
United Water Special Accounting Treatment	08-09-23	4/8/2009	United requested special accounting treatment on a remediation settlement.	OCC entered settlement with UWC where the \$662,622 of proceeds were amortized over a 5 1/2 year period.	DPUC approved settlement	\$330,00 for amortization period.
Heritage Village Water Co. Rate case	08-09-23	3/26/2009	HVWC requested an increase in water rates of \$539,775 and an increase in sewer rates of \$328,061.	Settlement between HVWC & OCC. First rate case in 18 years. Settlement allowed rate increase of \$387,404 for water and \$305,680 for sewer.	Approved Settlement	\$152, 371 for water service and \$22,381 for sewer.
Topstone Hydraulic Rate Increase	08-08-56	3/4/2009	\$65,408 increase sought	OCC recommend numerous adjustments and a transfer of ownership. \$30,000 rate increase proposed	\$48,646 rate increase	\$16,962 in savings
Gas						
Yankee Gas rate case	10-12-02	6/29/2011	Increase of \$32.8 million Yr 1 incremental \$44.2 million Yr 2	\$5.4 million rate decrease in Year 1 rate increase of \$659,000 Yr 2	\$534,874 rate decrease for Yr. 1 and a rate increase of \$6.1 million Yr.2.	\$33.4 million savings Yr. 1 \$38.1 million in savings Year 2
CNG Rate Case	08-12-06	6/30/2009	\$16.4 million rate increase	\$19.2 million rate decrease	\$16.2 million rate decrease	\$32.6 million in savings
SCG Rate Case	08-12-07	7/17/2009	\$50.1 million rate increase	\$1.6 million rate reduction	\$12.5 million rate reduction	\$62.6 million in savings

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<u>COURT</u>						
CNG Rate Case Appeal	CV094021664S	1/6/2010	Company appealed DPUC Decision in Docket No. 08-12-06		Upheld DPUC Decision	(\$32.6 million in savings affirmed)
SCG Rate Case Appeal	CV094021665S	4/1/2010	Company appealed DPUC Decision in Docket No. 08-12-07		Upheld DPUC Decision	(\$62.6 million in savings affirmed)
Markley lawsuit/Repeal of CTA Tax	S.C. 18750	June 2011	As a means to balance the FY'10 budget, the legislature approved a tax on electric bills that would have	OCC testified against the tax and authored an amicus brief to the CT Supreme Court in opposition to the CTA Tax.	Supreme Court ruled against Senator Markley. However, in June 2011, the CT legislature repealed tax after July 1, 2011.	\$1 billion of savings over life of proposed bond
<u>Electric</u>						
LICAP Settlement	FERC	200	Original annual cost estimate was \$500 million to \$1 billion	Settlement: equates to payments of \$350 million by Connecticut	FERC Approved	Savings of at least \$200 million annually
UI Special Contract	10-12-18		UI entered into an agreement that would put individual customer on UI tariffed rates and would pay building owner for net output of fuel cell.	Awarding the special contract proposed violates State law. This is a purchased power agreement not a discount electric service rate for a manufacturer. OCC's proposal would save ratepayers \$4 million.	Decision would reduce ratepayer payments for net output of fuel cell to reflect metering and customer costs.	Approximately \$2 million over contract life
Semi-Annual Transmission Adjustment Clause Proceeding	10-12-01	4/27/2011	From 2/1/06 - 12/31/08, CL&P billed local ratepayers over \$50 million of CWIP related revenues. Per FERC rule these expense could have been regionalized throughout New England.	For the past three years, OCC has requested that the DPUC order CL&P to request regionalization of its RNS related CWIP	DPUC directed CL&P to seek regionalization of its RNS CWIP. FERC approved this change effective 5/27/11.	Estimated annual savings are at least \$10 million.
CL&P Rate Case	09-12-05	6/30/10	\$133.4 million Year 1 incremental \$44.2 million Yr 2	\$6.9 million Year 1 incremental \$40.6 million Yr.2.	Yr. 1: \$63.4 million Yr. 2: \$38.5 million Two years of rate increases recovered over 18 months with carrying charges.	Savings of approximately \$146 Million over the two year rate plan
Long-Term Renewable Contracts	08-03-03	4/8/2009	Total over market cost of \$329-500 million if all projects were approved.	OCC recommends that only those projects with a limited cost impact to ratepayers and which provide benefits be approved	DPUC approved projects costing \$7-8 million annually	Estimated savings of \$130 million over fifteen years.

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Integrated Resource Planning	08-07-01	2/18/09	Various parties proposed new generation, conservation, load management and renewable resource projects that are incremental to those funded by customers	OCC opposed new generating assets as well as the expansion of the DSM Reference Case.	Given planned generation and the \$103.7 million of DSM funded, DPUC did not call for an increase in funding.	Savings of at least \$30 million annually.
United Illuminating Rate Case	08-07-04	2/4/09	UI's updated Application would have effectively raised rates by \$51.4 million in 2009 & an incremental \$29.7 million in 2010.	OCC proposed a rate decrease of \$11.2 million for 2009 and a \$5.6 million rate increase for 2010.	DPUC approved a rate increase of \$6.1 million for 2009 and a tentative \$25.3 million (\$19.2 million incremental) increase	This represents a \$45.3 million reduction in 2009 and a \$56.9 million reduction for 2010 savings of \$102 million total.
MX Energy Investigation	08-08-55	2/18/2009	Investigation regarding billing and rate issues	Settlement with OCC, AG & Company. \$367,097 credits to customers.	Approved settlement	\$367,097 in savings
Becker Energy Efficiency Partners	08-07-02	12/17/2008	Requested \$14.9 million grant funded by ratepayers.	Recommended limiting grant level based on various criteria	DPUC denied grant	\$14.9 million in ratepayer savings
Becker Energy Efficiency Partners	09-09-11	4/28/2010	Requested \$7 million grant funded by ratepayers.	Recommended limiting grant to \$2.9 million.	DPUC allowed grant of \$549,077	\$6,500,923 in ratepayer savings
2010 Integrated resource Plan	10-02-07	9/15/2010	Certain docket participants proposed increased C&LM spending trying to achieve "all cost effective" project spending at a ratepayer cost of \$206 million	OCC proposed no increase in funding at this time	No increase in program funding charged to ratepayers allowed	Savings of \$65 million to \$100 million annually
TELCOM						
AT&T/SNET Quality of Service	10-04-12	6/1/2011 Final Approval Pending	Proposed Settlement to resolve company's appeal of fine for sub-standard performance.	OCC Petition to DPUC to hold AT&T accountable for long-term service under-performance. DPUC levied \$1 million fine. AT&T appealed.	Settlement calls for \$500,000 penalty & \$5,000 each month AT&T is out of compliance.	\$500,000 to General Fund
TOTAL 2/1/09 - 6/30/2011 RATEPAYER SAVINGS APPROXIMATELY \$2 BILLION						