

Quantification of Ratepayer Savings		Scorecard of Cases with Final Decisions				
Due to OCC Advocacy		Decisions Issued 1/1/06 -2/9/09 (or impacting rates effective 1/1/06 and thereafter)				
<u>Docket Name</u>	<u>Docket No.</u>	<u>Decision Date</u>	<u>Company Proposal</u>	<u>OCC Recommendation</u>	<u>Allowed</u>	<u>Ratepayer Savings</u>
Water						
Hazardville Water Co. Rate Case Limited reopener	04-09-06RE01	8/21/2006				\$75,000 in savings from estimate in rate case.
Avon Water Rate Case	05-10-12					\$100,000 in adjustments
Ellington Acres Rate Case	05-08-17					\$10,000 in savings
Birmingham Utilities Rate Case	06-05-10	11/28/06	Increase of \$1.8 million	\$842,853	\$1.17 million increase	\$630,000 in savings
Connecticut Water Rate Case	06-07-08	1/16/07	Revised App: \$15.99 million	Settlement - Yr 1 = \$7.1 million, Step \$3.8 million effective 4/1/08	approved settlement	Yr.1 = \$7.9 million Yr. 2 = \$4.1 million
Jewett City Water Rate Case	06-09-03	2/16/2007	\$233,588	Settlement: \$140,760	approved settlement	\$92,828 in savings
Valley Water Rate Case	06-10-07	3/30/2007	\$677,407	\$245,150	\$418,723	\$258,684 in savings
Woodruff Court Apartments/ Taymill partners	06-05-16	2/6/2008	OCC filed petition against Woodruff Court Apts/Taymill for rebilling for water service	OCC alledged it was illegal to rebill for water to tenants without submetering.	Settlement reached Taymill would return payments and stop this.	\$300,000 annually for all Taymill properties. Good precedent against landlords that rebill for water or other utility service.
Aquarion Rate Case	07-05-19	12/12/2007	\$32 million in rate relief	\$8.5 million	\$19.3 million	\$12.7 million in savings
Water Infrastructure and Conservation Adjustment	07-09-09	Legislation Passed 6/2007 DPUC Decision 4/23/2008	DPUC Docket started fall 2007	OCC spearheaded legislative initiative that resulted in a fair process for incenting water companies to replace aging infrastructure, and central in docket that sets up criteria and monitoring process going	Dpuc requires criteria and planning process for prioritizing projects that are eligible. Incremental expenditures required to get a plan approved.	Legislation limited eligible areas of capital expenditures & capped associated rate increases to 7.5% for the surcharge. Will save millions of \$ in rates.
Connecticut Water Rate Case (Phase In and Limited reopner)	06-07-08PH02	3/28/2008	\$7.06 million rate increase proposed associated with phase-in and 2007 plant adds.	OCC recommended \$750,000 in adjustments to property taxes, depreciation & plant.	\$6.7 million increase for phased-in rate increase.	\$345,000 in savings
United Water Rate Case	07-05-44	4/16/2008	Originally filing proposed a \$1.38 million or 42%. Amended filing requested \$1.54 million.	OCC entered settlement with UWC for \$966.350 or 29.8% increase. First rate case in 17 years.	DPUC approved settlement	\$577,000 in savings.

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Torrington Water Rate Case	08-03-19	8/13/2008	\$1,285,482 rate increase proposed	OCC recommended numerous adjustments: ROE, Capital Structure, property tax & weather normalization for a rate increase of \$424,334	\$664,874 Rate increase	Savings of \$620,608 OCC appealed decision on ROE, rate of return and property tax issues.
Heritage Village Water Co. Special user rate for Southbury Training School	08-05-19	10/15/2008	Special Contract and CIAC from Southbury Training School to hook-up to service system & plant rebuild	OCC got involved in negotiations to assure that ratepayers are protected from the contract	Approved contract	Ratepayers have avoided capital costs of \$2.25 million
WICA Infrastructure Assessment Report - Aquarion Water	06-06-21WI01	12/17/08	Aquarion filed a listing of proposed WICA projects and inventory of system	OCC raised concerns over lack of economic cost/benefit analysis and classification of programatic projects as WICA eligible.	Approved WICA projects but required cost benefits analysis be filed and removed programatic items from WICA	Revenue requirement savings of approx. \$1.75 million for years 2008-2010.
Connecticut Water Company Merger with Ellington Acres	08-08-15	12/24/2008	CWC to purchase neighboring utility, which will provide a more financially solvent and improved operations, as well as future cost savings	OCC supported acquisition and proposed accounting treatment as it will save costs that exceed purchase price	Approved by DPUC	Approx. \$750,000 of net savings above purchase price
Gas						
PGA	04-10-01		Yankee Gas requested recovery of \$10 million of unbilled revenue error.	OCC opposed recovery until a detail review is completed.	DPUC rejected recovery of costs prior to mgt audit	Decision on \$10 million in recoveries delayed until audit results
Supplemental Supply Costs Gas Unbundling	05-05-10				DPUC rejects including uncollectibles and other non-gas costs in PGA	\$5-8 million
CNG	06-03-04PH01	3/14/2007	\$37 million bill increase	Settlement: \$24.3 million, \$15.5 million net	\$25.6 million, \$14.4 Million net bill increase	\$22.6 million net savings annually, 2 yr. stay out
SCG	05-03-17PH01	12/28/2005	\$39.2 million bill increase	\$23.7 million - Settlement	\$26.7 million in modified settlement	\$12.5 million savings annually 2 1/2 year stay out
Yankee Gas	06-12-02PH01	6/29/07	\$71.9 million bill increase \$37.2 million net	Settlement: \$39.3 million, \$19.4 million net after mitigation	Modified settlement: \$42 million, \$22.1 net	\$30 million in savings
PGA	04-10-01re01 040401	6/11/2008	Yankee Gas requested recovery of \$6 million of	OCC recommended that adjustments be validated for	DPUC rejected recovery of out of period costs	\$6 million in adjustments

<u>Docket Name</u>	<u>Docket No.</u>	<u>Decision Date</u>	unbilled revenue error. <u>Company Proposal</u>	accuracy <u>OCC Recommendation</u>	as retroactive ratemaking <u>Allowed</u>	<u>Ratepayer Savings</u>
CNG Overearnings Docket	08-06-10	8/6/2008	CNG was called in by DPUC because it had triggered the overearnings docket by exceeding its allowed ROE by > 100 basis points for 6 consecutive months.	OCC advocated an interim rate reduction of \$15.1 million	DPUC approved \$15.1 million rate reduction through bill credits	\$15.1 million in savings
CNG Overearnings Docket	08-07-10	11/19/2008	SCG was called in by DPUC because it had triggered the overearnings docket by exceeding its allowed ROE by > 100 basis points for 6 consecutive months.	OCC advocated an interim rate reduction of \$15.5 million	DPUC approved \$15.5 million rate reduction through bill credits	\$15.5 million in savings
<u>COURT</u>						
CL&P Sale of Hydro Assets					Upheld DPUC Decision	\$125 million of remaining benefits
SNET Reserve Defeciency					Settled/Withdrew Appeal	\$40 million annualy
OCC v. AT&T		OCC successfully appealed DPUC Docket No. 05-06-12 in federal court and reversed the DPUC's decision, thereby affording Connecticut ratepayers with the protections offered to cable subscribers under federal law				

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Electric						
LICAP Settlement	FERC		Original annual cost estimate was \$500 million to \$1 billion	Settlement: equates to payments of \$350 million by Connecticut	FERC Approved	Savings of at least \$200 million annually
CL&P TSO	03-07-01re05 03-07-02re08	12/28/2005	Large increase in GSC due to new procurement	Proposed rate mitigation using of excess GSC and CTA recoveries	rate mitigation through continuation of \$108 million CTA credit and \$40 million new credit	\$148 million in rate mitigation
Generic Decoupling Investigation	.05-09-09	1/18/2006	Electric & Gas companies lukewarm on decoupling	OCC opposed all decoupling mechanisms.	DPUC rejected requiring decoupling.	2006 savings - Retrospective Bills would have been higher by approx. \$60 million in 2007 if full decoupling was implemented.
United Illuminating Rate Case	05-06-04	1/27/2006	2006:\$39.8 million, 2007: \$3.6 million, 2008: \$12.5 million, 2009: \$8.6 million	-\$2.4 million(2006); -\$3.7 million (2007); \$0.1 million (2008) \$5.2 million (2009)	2006:\$ 14.3 million, 2007: \$4.3 million, 2008: \$10.3 million, 2009: \$6.7 million	2006:\$25.5 million; 2007 \$24.8 million; 2008 27 million; 2009 \$29 million
Dominion Retail/LEVCO	06-03-12	6/13/2007	0	Settlement with Dominion, AG & OCC: \$507,000 refund + \$200,000 fine	Approved settlement	\$507,000
Enron RMR	ER 06-118	RC Approved 1/06		Settlement with NRG, AG, DPUC & OCC		Over \$8 million in savings from Company proposal
CL&P Rate Case	07-07-01	1/28/2008	2008: \$189 million 2009: \$22 million	2008: \$50.6 million	2008: \$78 million 2009: \$20 million	Savings of approximately \$250 Million over two-year rate plan
Project 150 Docket	07-04-27	01/30/08	Total over market cost of \$764 million if all eleven projects were approved.	OCC recommends that only those projects with a limited cost impact to ratepayers and which provide co-benefits to the generation of Class I renewable power	DPUC rejected three large fuel cell projects.	DPUC estimated savings of \$651 million over fifteen years.
Transmission Adjustment Clause	07-06-01		CL&P & UI requested true-ups	OCC proposed changes to the process including: At least semi-annual contested cases; sales by class be reviewed	Adjusted Transmission rates for reduced FERC ROE allowance.	\$14.6 million savings
United Illuminating rate Case	05-06-04 RE05	Jun-08	UI requested a reopening of its rate case to set up negotiating sessions to discuss a limited reopener	OCC opposed reopening and potential settlement with CIEC	DPUC rejected proposed settlement and closed docket	No additional CTA deferrals or fund transfers. Saved at least \$25 million in annual deferrals over 2 1/2 years

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United Illuminating Rate Case	08-07-04	2/4/09	UI's updated Application would have effectively raised rates by \$51.4 million in 2009 & an incremental \$29.7 million in 2010.	OCC proposed a rate decrease \$11.2 million rate reduction for 2009 and a \$5.6 million rate increase for 2010.	DPUC approved a rate increase of \$6.1 million for 2009 and a tentative \$25.3 million in 2010.	This represents a \$45.3 million reduction in 2009 and a \$56.9 million reduction below 2010. savings of \$102 million total.
<u>TELECOMMUNICATIONS</u>						
BWG Audit of SNET Affiliate Transactions	07-03-01	Case pending	Company took position that investigation and hearings were unnecessary.	OCC initiated investigation into management audit that revealed about \$1 billion in questionable charges	Mgt Audit is complete, DPUC ordered hearings be held.	Pending
TOTAL RATEPAYER SAVINGS APPROXIMATELY \$2.5 BILLION						

