

# REGISTRATION

\_\_\_\_\_  
Last Name

\_\_\_\_\_  
First Name

\_\_\_\_\_  
Firm or Affiliation

\_\_\_\_\_  
Street Address

\_\_\_\_\_  
City State Zip

\_\_\_\_\_  
Business Phone

\_\_\_\_\_  
E-Mail Address

Please check one:

AGA Member

Non-Member

***Opportunities to enroll in AGA as a new member will be made available during the seminar; anyone enrolling as such will receive a \$20 membership refund.***

***Cancellation Policy - Participants unable to attend and cancel prior to 6/8/07 will receive a refund less a service fee of \$20.***

**Charles Heaven & Company  
207-231 Bank Street, 5<sup>th</sup> Floor  
Waterbury, CT 06702**



## **HARTFORD CHAPTER OFFERS**

**A ONE DAY SEMINAR TO  
PRESENT CURRENT ISSUES &  
CHALLENGES IN THE  
FOLLOWING AREAS:**

- (1) Ethics**  
Mr. Michael Rion
- (2) Government Financial Reporting  
Standards** – Mr. Jay Fountain
- (3) Government Auditing**  
Mr. John Rasimas
- (4) Gaming Fraud** – Mr. Edward F. Pickett Jr.

**8 CPE CREDITS EARNED**

**FRIDAY • JUNE 15, 2007  
CITY OF HARTFORD  
CONFERENCE ROOM CENTER  
260 CONSTITUTION PLAZA  
HARTFORD, CT**

## **SEMINAR OBJECTIVES**

At this seminar, attendees will learn how to apply the following information with respect to the listed topics:

**Ethics:** Identifying ethical dilemmas, managing your workplace ethics - using practical approaches, accessing right versus wrong, fairness, integrity, enforcement, and understanding what the reasonable person would do under certain circumstances. **Financial Reporting:** How to make use of recent GASB Standards, upcoming projects on the GASB technical agenda, comprehension of managing for results in a government organization, insights into a special project on performance reporting. **Government Auditing:** Become aware of the role of the state auditors in attesting to the activities contained in the Comprehensive Annual Financial Report (CAFR), and gain insights into the significant reporting changes now required under GASB 34. Other issues will be presented as time allows. **Gaming Fraud:** Learn the characteristics of gaming fraud and discover how cheating – type play is detected. Learn the efforts that are in place to protect customers and become knowledgeable of the methods used to gather and preserve evidence for prosecuting persons who become lawbreakers.

## **CREDIT INFORMATION**

This program has been designed to meet the Connecticut State Board of Accountancy's rules for continuing education for accountants. In accordance with the standards of the National Registry of CPE Sponsors, CPE credits have been granted based upon a 50-minute hour.

**Prerequisites:** basic understanding of government accounting and auditing.

**Advance Preparation: none**

**Instructional/Delivery Method:** group-live

**Fields of Study:** Accounting/Auditing/Ethics

## **SEMINAR PRESENTERS**

### **James R. Fountain, Jr., CPA, CMA, CGFM**

James R. Fountain is retired Assistant Director of Research for the Governmental Accounting Standards Board (GASB) in Norwalk, CT. While at GASB, Mr. Fountain was involved with such projects as GASB No. 33 and Statement No. 34. His other projects involved development work on the financial reporting model and his participation related to developing criteria related to reporting management performance information.

### **John A. Rasimas, CPA**

John A. Rasimas presently holds the position of Administrative Auditor within the office of Auditors of Public Accounts, State of Connecticut. In his position, Mr Rasimas is responsible for supervising audit engagements of state higher education entities and other audit engagements, and is also responsible for recruiting new staff auditors. John's background is in government auditing standards.

### **Michael Rion, Ph.D.**

Dr. Michael Rion, Principal of Resources for Ethics and Management, is recognized nationally for his pioneering work in ethics training that successfully bridges the gap between theory and practice. His achievements include developing the first corporate ethics training programs put in place at the Cummins Engine Company 25 years ago.

### **Edward F. Pickett Jr.**

Edward F. Pickett Jr. is Assistant Director of Investigations for the Mohegan Tribal Gaming Commission in Uncasville, CT. A former member of the Connecticut State Police, Mr. Pickett has extensive experience in conducting gaming related criminal investigations. He is dedicated to ensuring that the integrity of the gaming operation at the Mohegan Commission is not compromised.

## **SEMINAR SCHEDULE**

8:00 Check-in  
8:25 Program Begins  
8:25 – 10:05 – Speaker – Michael Rion  
10:05 – 10:20 – Morning Break \*  
10:20 – 12:00 – Speaker – Jay Fountain  
12:00 – 1:00 – Lunch Break  
(Numerous Restaurants Available)  
1:00 – 2:40 – Speaker – John Rasimas  
2:40 – 2:55 – Afternoon Break \*  
2:55 – 4:35 – Speaker – Edward Pickett  
4:45 Program Ends  
\* Refreshments provided during breaks

## **REGISTRATION INFORMATION**

AGA Members \$110.00  
Non-Member - \$130.00

Return completed registration forms along with payment made payable to AGA Hartford Chapter prior to June 8, 2007. Form should be mailed to:

Charles Heaven and Company  
Attn: E. Melaragno, CPA, CGFM  
207-231 Bank Street  
Waterbury, CT 06702

For questions, FEIN # or directions contact Percy Cave at (860) 240-8121

Parking will be available in the Constitution Plaza north garage off Talcott Street, Htfd. Take elevator to the plaza level; ask security for directions to the Htfd Conference Room. Parking is included in the registration fee.