The Connecticut Health Insurance Exchange

d/b/a

Access Health CT

Request for Proposals (RFP)

for

Access Health CT Small Business Lead Agency Program

October 17, 2016

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I. Purpose and Background

The primary mission of the Connecticut Health Insurance Exchange, d/b/a Access Health CT ("Access Health CT"), is to increase the number of insured residents in Connecticut, promote health, lower costs, and eliminate health disparities. To accomplish this mission, Access Health CT has worked with brokers, among others, to reach uninsured households and assist qualified individuals and employees with both new enrollments and renewals.

In addition to providing health insurance options to individuals and families, Access Health CT provides health insurance options to small employer groups with 1-50 full time (or full time equivalent) employees through its small business marketplace. Access Health CT seeks to work with select health insurance brokerage agencies (each a "Lead Agency") to actively engage Connecticut's small business community through a collaborative campaign of general marketing, education and co-marketing initiatives. Ultimately, the purpose of the Lead Agency program is to assist small businesses and their employees with enrolling into health insurance plans available through Access Health CT's small business marketplace.

II. Scope of Work

Each Lead Agency will be required to enter into a Contract with Access Health CT in which the Lead Agency will agree to, among other things, meet specified technology and staffing requirements and service level agreements (SLAs). Lead Agencies will be required to:

- Complete Access Health CT small business training specific to Lead Agencies. (All independent brokers who will participate in the Lead Agency program will be required to complete training specific to the ACA's Small Business Health Options Program and Access Health CT's vendor-based eligibility and enrollment system.)
- Comply with all Access Health CT, state, and federal privacy and security requirements in connection with the Lead Agency's activities with respect to Access Health CT
- Comply with the service level agreements ("SLAs") set forth in this RFP

- Provide sufficient staff to receive leads, generate leads, conduct face-to face and telephonic sales calls necessary to meet the productivity goals designated by Access Health CT
- Comply with all Access Health CT, state, and federal record retention requirements and maintain sufficient systems to allow the Lead Agency to efficiently generate reports on its activities with respect to Access Health CT. Records and reports shall be made available for Access Health CT's inspection at any time deemed necessary by Access Health CT in its sole discretion
- Provide a secure workspace (both physically and with respect to any and all electronic systems)
- Submit to periodic assessments by Access Health CT to ensure standards are being met
- Ensure sufficient telephonic, technology, and staffing capabilities required to file required reports, receive live leads, generate agency-developed leads, and follow up through face-to-face and telephonic sales calls in order to meet the productivity goals for the small business health options program.

III. Service Level Agreements

Lead Agencies will be required to meet certain SLAs. The SLAs will be subject to periodic revision based on Access Health CT's customer service and operational needs. If the Lead Agency fails to meet the SLAs, Access Health CT, in its sole discretion, may require the Lead Agency to take remedial measures or may terminate its Contract with the Lead Agency. The following SLAs are expected to be incorporated into the Lead Agency Contract (subject to change at Access Health CT's sole discretion prior to Contract execution):

Type	SLA #	Description	Frequency of Evaluation	Method of Measurement
Hours of Operations (?)	SLA1	The Lead Agency will be available 9 am to 5pm ET Monday through Friday,	Monthly	Lead Agency Reporting with Access Health CT audit

Monthly Productivity Goals	SLA2	The Lead Agency shall report accurate monthly productivity **results against goals on a monthly basis.**	Monthly	Lead Agency Reporting with Access Health CT audit
Reporting Timeliness	SLA3	Monthly (timing) Reports due to the Access Health CT by third (3rd) Business Day following the end of the reporting period. Semi-Annual Reports due by the twentieth (20th) Business Day following the end of the reporting period. Annual Reports due by the twentieth (20th) Business Day following the end of the reporting period.	Monthly / Quarterly / Annual	Access Health CT audit
Reporting Accuracy	SLA4	Provide accurate reports. If Access Health CT identifies a substantive problem with the content of any report delivered by the Lead Agency, the Lead Agency will be required to generate a corrected report within one (1) Business Day of Access Health CT's request.	Monthly	Access Health CT audit
Sales Advising Completion Monitoring	SLA5	The Lead Agency shall incorporate standards for Sales Lead completion. The Standard (50%) shall not include calls that relate to service related issues.	Monthly	Lead Agency Reporting with Access Health CT audit
Lead Agency Personnel	SLA6	The Lead Agency will ensure sufficient staffing (based on time of the year) with no gaps in phone coverage.	Monthly	Lead Agency Reporting with Access Health CT audit

IV. Selection Criteria

Access Health CT's evaluation committee will assess responding agencies (each a "Respondent") on the basis of their timely-submitted proposals to this RFP, additional written information that may be requested by Access Health CT and, if requested by Access Health CT, oral presentations. The evaluation committee will select the Respondents that demonstrate the best combination of commitment to customer service, proficiency in enrolling small business customers in health care coverage through Access Health CT's small business marketplace, staffing adequacy, and outreach/marketing capabilities. The evaluation committee may consider the following non-exclusive factors in making its selection:

- Enrollment activities of the Respondent through 2015 and 2016
- Respondent's demonstrated interest in and commitment to identifying and enrolling customers in health care coverage through Access Health CT's marketplace
- Whether the Respondent has or is committed to have sufficient staff to conduct outreach to target market businesses, respond expeditiously to leads provided by AHCT Small Business, and conduct face-to-face & telephonic sales calls.
- Whether the Respondent has a physical location and a technological system that is sufficient and secure to manage group lead management and maintain prospect/client privacy.
- Respondent's commitment to applicable state and federal privacy and security standards and to the prevention of fraud, waste, and abuse
- Respondent's existing ability to communicate in Spanish and/or languages other than English that are commonly spoken by Access Health CT customers
- Respondent's agreement to meet Lead Agency training requirements (including the AHCT small business vendor's system training)
- Respondent's adherence to all ethical professional standards as evidenced by active producer licensing and good standing with Connecticut Insurance Department and carriers
- Whether members of the Respondent agency are in good standing with Access Health CT and the Connecticut Insurance Department

- Respondent's commitment to ongoing marketing to, and servicing of, Access Health CT small business customers throughout the year
- Respondent's demonstrated knowledge of the Affordable Care Act and Access Health CT's small business enrollment process
- Two (2) letters of reference from customers that Respondent successfully enrolled in small business health care coverage through Access Health CT that can attest to Respondent's service as an insurance agency and the service provided by such Respondent's broker Respondent's experience with enrolling and servicing small group health plans

V. Key Dates

Below please find a schedule of key dates for this RFP process.

Activity	Date
RFP Issued	October 17, 2016
Questions from Agencies Received By	October 28, 2016
Answers Posted By	November 2, 2016
Electronic and Binder Submissions Due By	November 10, 2016
Oral Presentations for RFP finalists	November 18, 2016
Vendor Selection	November 29, 2016

VI. Preparation and Submission of Response to RFP

When preparing your response to this RFP, please adhere to the following requirements and guidelines:

1. The Respondent's proposal should consist of the following sections, in the order listed below:
   a) Cover letter
   b) Completed questionnaire – attached to this RFP as Exhibit A
   c) Description of past work and organizational qualifications that demonstrate Respondent's suitability to serve as a Lead Agency
   d) Approach and methodology to address items detailed in Section II - Scope of Work
   e) Certificate of Insurance in the coverage amounts set forth on Exhibit B
   f) A copy of Respondent's Producer Agency License
   g) Biographical sketches for broker personnel that will participate in Lead Agency

Program

h) Two (2) letters of reference (as described in Section IV - Selection Criteria)

i) Disclose any past or present assignments, relationships or other employment that your agency or any employee/broker of your agency has or has had that may create a conflict of interest or the appearance of a conflict of interest, if selected to provide the services outlined hereunder.

j) Discuss any pending complaints or investigations, or any made or concluded within the past five (5) years, to or by any regulatory body or court regarding the conduct of your agency or its predecessors, or any of its present or former members, employees, or associates.

k) Respondent's proposal must be signed by an authorized official and shall be a binding commitment that Access Health CT may incorporate, in whole or in part, by reference or otherwise into the Contract. The proposal must also include evidence that the person submitting the proposal has the requisite power and authority on behalf of the agency to submit and deliver the proposal and subsequently enter into, execute and deliver, and perform the Contract.

2. The proposal should be formatted as follows:
   - Paper size: 8.5 x 11 inches
   - Minimum font size: 11 point (except for footnotes, headers, or footers)
   - One (1) completed binder
   - Electronic version ready for printing: All electronic files submitted must be pre-formatted for printing
   - Software: All electronic files submitted should be created (or fully compatible) with any of the following software suites or packages: Microsoft Office 2010, Adobe PDF

3. Respondent is required to submit:
   - One (1) digital copy of the proposal and all required supporting documents submitted via email in a format compatible with Microsoft Word and affording the user the capability of searching its contents, except that signature pages and forms that are

not conveniently available in Word format may be provided in PDF format.

- One (1) hard copy of the proposal and all required supporting documents organized in a binder in the order specified above by tabbed dividers.

Questions regarding this RFP must be received by Access Health CT no later than 12 noon EST on October 28, 2016. Questions must be emailed to SHOP.AHCT@ct.gov. Responses to questions received will be posted on Access Health CT's website (http://www.ct.gov/hix/cwp/view.asp?a=4298&q=506870) by 5 pm EST on November 2, 2016.

Printed binders and electronic RFP Responses must be received by Access Health CT no later than 4 pm EST on November 11, 2016. The mailing and email addresses where RFP Responses should be sent are listed below:

Connecticut Health Insurance Exchange
d/b/a Access Health CT
280 Trumbull Street, 15th
Floor Hartford, CT 06103
Attn: John Carbone

Email: SHOP.AHCT@ct.gov

VII. Freedom of Information

Access Health CT is a quasi-public agency and its records, including responses to this RFP, are public records. See Conn. Gen. Stat. §§ 1-200, et seq., and especially §§ 1-210(b)(4) and 1-210(b)(5)(B). Due regard will be given to the protection of proprietary or confidential information contained in all proposals received. However, all materials associated with this RFP are subject to the terms of the Connecticut Freedom of Information Act ("FOIA") and all applicable rules, regulations and administrative decisions. If an agency is interested in preserving the confidentiality of any part of its proposal, it will not be sufficient merely to state generally in the proposal that the proposal is proprietary or confidential in nature and not, therefore, subject to release to third parties. Instead, those particular sentences, paragraphs, pages or sections that an agency believes to be exempt from disclosure under FOIA must be specifically identified as such. Convincing explanation and rationale

sufficient to justify each exemption consistent with § 1-210(b) of FOIA must accompany the proposal. The rationale and explanation must be stated in terms of the reasons the materials are legally exempt from release pursuant to FOIA. Respondents should not request that their entire proposal, or the majority of the proposal, be confidential. Any submitted proposal, once execution of a contract is complete and any completed contract will be considered public information. Access Health CT has no obligation to initiate, prosecute or defend any legal proceeding or to seek a protective order or other similar relief to prevent disclosure of any information that is sought pursuant to a FOIA request. Respondent has the burden of establishing the availability of any FOIA exemption in any proceeding where it is an issue. In no event shall Access Health CT have any liability for the disclosure of any documents or information in its possession that Access Health CT believes are required to be disclosed pursuant to FOIA or any other law.

VIII. Notice of State Certification Requirements

Unless required with submission of this RFP, the selected Respondent will be required to execute the following forms, as applicable, contemporaneously with the Contract:

> Pursuant to Conn. Gen. Stat. §§ 4a-60(a)(1) and 4a-60a(a)(1), as amended by Public Act 07-245 and Sections 9 and 10 of Public Act 07-142, every contractor is required to provide the State with documentation to support the contractor's nondiscrimination agreements and warranties. Copies of two certification forms (one for businesses and one for individuals) that will satisfy these requirements are attached to this RFP as **Appendix A**. The applicable certification form must be signed by an authorized signatory of the selected firm(s) and submitted to Access Health CT at the time of Contract execution.

IX. Notice of Special Compliance Requirements

The selected Respondent will be required to comply with the requirements below, which will be included in any Contract entered as a result of this RFP.

A. Nondiscrimination and Affirmative Action

a) For purposes of this Section A of this Exhibit B, the following terms are defined as follows:

i. "Commission" means the Commission on Human Rights and Opportunities;

ii. "Contract" and "contract" include any extension or modification of this Agreement;

iii. "Contractor" and "contractor" include any successors or assigns of the Contractor or contractor;

iv. "Gender identity or expression" means a person's gender-related identity, appearance or behavior, whether or not that gender-related identity, appearance or behavior is different from that traditionally associated with the person's physiology or assigned sex at birth, which gender-related identity can be shown by providing evidence including, but not limited to, medical history, care or treatment of the gender-related identity, consistent and uniform assertion of the gender-related identity or any other evidence that the gender-related identity is sincerely held, part of a person's core identity or not being asserted for an improper purpose;

v. "good faith" means that degree of diligence which a reasonable person would exercise in the performance of legal duties and obligations;

vi. "good faith efforts" shall include, but not be limited to, those reasonable initial efforts necessary to comply with statutory or regulatory requirements and additional or substituted efforts when it is determined that such initial efforts will not be sufficient to comply with such requirements;

vii. "marital status" means being single, married, widowed, separated or divorced as recognized by the State of Connecticut (the "State");

viii. "mental disability" means one or more mental disorders, as defined in the most recent edition of the American Psychiatric Association's "Diagnostic and Statistical Manual of Mental Disorders," or a record of or regarding a person as having one or more such disorders;

ix. "minority business enterprise" means any small contractor or supplier of materials fifty-one percent or more of the capital stock, if any, or assets of which are owned by a person or persons: (1) who are active in the daily affairs of the enterprise, (2) who have the power to direct the management and policies of the enterprise, and (3) who are members of a minority, as such term is defined in subsection (a) of Connecticut General Statutes § 32-9n; and

x. "public works contract" means any agreement between any individual, firm or

corporation and the State or any political subdivision of the State other than a municipality for construction, rehabilitation, conversion, extension, demolition or repair of a public building, highway or other changes or improvements in real property, or which is financed in whole or in part by the State, including, but not limited to, matching expenditures, grants, loans, insurance or guarantees.

For purposes of this Section, the terms “Contract” and “contract” do not include an agreement where each contractor is (1) a political subdivision of the state, including, but not limited to, a municipality, (2) a quasi-public agency, as defined in Connecticut General Statutes § 1-120, (3) any other state, including but not limited to, any federally recognized Indian tribal governments, as defined in Connecticut General Statutes § 1-267, (4) the federal government, (5) a foreign government, or (6) an agency of a subdivision, agency, state or government described in the immediately preceding enumerated items (1), (2), (3), (4) or (5).

b) (1) The Contractor agrees and warrants that in the performance of the Contract such Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of race, color, religious creed, age, marital status, national origin, ancestry, sex, sexual orientation, gender identity or expression, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by such Contractor that such disability prevents performance of the work involved, in any manner prohibited by the laws of the United States or of the State of Connecticut; and the Contractor further agrees to take affirmative action to insure that applicants with job-related qualifications are employed and that employees are treated when employed without regard to their race, color, religious creed, age, marital status, national origin, ancestry, sex, sexual orientation, gender identity or expression, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by the Contractor that such disability prevents performance of the work involved; (2) the Contractor agrees, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, to state that it is an "affirmative action equal opportunity employer" in accordance with regulations adopted by the Commission; (3) the Contractor agrees to provide each labor union or representative of workers with which the Contractor

has a collective bargaining agreement or other contract or understanding and each vendor with which the Contractor has a contract or understanding, a notice to be provided by the Commission, advising the labor union or workers' representative of the Contractor's commitments under this section and to post copies of the notice in conspicuous places available to employees and applicants for employment; (4) the Contractor agrees to comply with each provision of this Section and Connecticut General Statutes §§ 46a-68e and 46a-68f and with each regulation or relevant order issued by said Commission pursuant to Connecticut General Statutes §§ 46a-56, 46a-68e and 46a-68f; and (5) the Contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor as relate to the provisions of this Section and Connecticut General Statutes § 46a-56. If the contract is a public works contract, the Contractor agrees and warrants that it will make good faith efforts to employ minority business enterprises as subcontractors and suppliers of materials on such public works projects

c) Determination of the Contractor's good faith efforts shall include, but shall not be limited to, the following factors: The Contractor's employment and subcontracting policies, patterns and practices; affirmative advertising, recruitment and training; technical assistance activities and such other reasonable activities or efforts as the Commission may prescribe that are designed to ensure the participation of minority business enterprises in public works projects.

d) The Contractor shall develop and maintain adequate documentation, in a manner prescribed by the Commission, of its good faith efforts.

e) The Contractor shall include the provisions of subsection (b) of this Section in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the State and/or the Exchange and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The Contractor shall take such action with respect to any such subcontract or purchase order the Commission may direct as a means of enforcing such provisions

including sanctions for noncompliance in accordance with Connecticut General Statutes § 46a-56; provided that if such Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission regarding a state contract, the Contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.

f) The Contractor agrees to comply with the regulations referred to in this Section as they exist on the date of this Contract and as they may be adopted or amended from time to time during the term of this Contract and any amendments thereto.

B. Certain State Ethics Requirements

a) For all State contracts as defined in P.A. 07-01 having a value in a calendar year of $50,000 or more or a combination or series of such agreements or contracts having a value of $100,000 or more, the authorized signatory to this Agreement expressly acknowledges receipt of the State Elections Enforcement Commission's notice advising state contractors of state campaign contributions and solicitation prohibitions and will inform its principals of the contents of the notice.

b) Pursuant to Governor M. Jodi Rell's Executive Order No. 1, paragraph 8, the Contractor must submit a contract certification annually to update previously-submitted certification forms for state contracts valued at $50,000 or more. Contractors must use the Gift and Campaign Contribution Certification (CT HIX Ethics Form 1) for this purpose, attached as Appendix A. The first of these CT HIX Ethics Form 1 certifications is due on the first annual anniversary date of the execution of this Agreement and subsequent certifications are due on every succeeding annual anniversary date during the time that this Agreement is in effect, including the first anniversary date following the termination or expiration of this Agreement or conclusion of the Services. This provision shall survive the termination or expiration of this Agreement in order for the Contractor to satisfy its obligation to submit the last certification.

C. Applicable Executive Orders of the Governor

The Contractor shall comply, to the extent applicable, with the provisions of Executive Order No. Three of Governor Thomas J. Meskill, promulgated June 16, 1971, concerning labor employment practices, Executive Order No. Seventeen of Governor Thomas J. Meskill, promulgated February 15, 1973, concerning the listing of employment openings, and Executive Order No. Sixteen of Governor John G. Rowland promulgated August 4, 1999, concerning violence in the workplace. These Executive Orders are incorporated into and are made a part of this Agreement as if they had been fully set forth in it. At the Contractor's request, the Exchange shall provide a copy of these orders to the Contractor.

X. Rights of Access Health CT

1. All proposals shall become the sole property of the Exchange and will not be returned.

2. Issuance of this RFP does not guarantee that Access Health CT will award a contract to any Responder. Access Health CT reserves the right to withdraw, re-bid, extend or otherwise modify the RFP or the related schedule and process, in any manner, solely at its discretion.

3. Access Health CT also reserves the right to:

    i. Consider any source of information in evaluating proposals;
    ii. Omit any planned evaluation step if, in Access Health CT's view, the step is not needed;
    iii. At its sole discretion, reject any and all proposals at any time; and
    iv. Open contract discussions with other Respondent(s), if Access Health CT and the first selected Respondent(s) are unable to agree on contract terms.

XI. Disqualification

Any attempt by a Respondent to influence a member of the evaluation committee during the proposal review and evaluation process will result in the elimination of that Respondent's proposal from consideration.

Exhibit A:

Questionnaire

Q1. Name of Agency and Primary Contact A1.
Q2. Contact information for Primary Contact (including telephone, email and mailing address) A2.
Q3. Physical location(s) of agency A3.
Q4. Staff will be available during the Access Health CT Small Business's hours of operation (Monday – Friday 9:00 am- 5:00 pm) during which brokers and staff will be expected to be available to accept live transfer calls from Access Health CT Small Business. If you agree to provide such support during all such periods, please indicate that below by circling "YES" or "NO". A4. (circle one) Yes or No

Q5. Lead Agencies will be required to provide a bi-weekly enrollment report in an Excel spreadsheet prescribed by Access Health CT. This report will, at a minimum, include the following data elements: number of live transfers received, number of resulting enrollments, and certain demographic data such as the prior coverage status of newly enrolled, and number of Broker Service Requests (BSR) requested. Please confirm your agency's willingness to provide such bi-weekly reporting. A5. (circle one) Yes or No

Q6. If selected as a Lead Agency, are you committed to servicing (including renewals) any group and its membership sold through Access Health CT Small Business?

A6.

(circle one) Yes or No

Q7. Do members of your broker staff, who will be servicing AHCT clients through the Lead Agency Program, possess language abilities other than English? Please indicate how many staff members and the specific languages available to clients.

A7
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(circle one) Yes or No

Q8. Will the staff members of your agency who will be servicing AHCT clients through the Lead Agency Program hold a valid State of Connecticut Insurance Department Life, Accident/Health Producers license for at least 3 years prior to the date of this RFP?

A8
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(circle one) Yes or No

Q 10. Have you enrolled/successfully reenrolled health care coverage through Access Health CT's small business marketplace during the two prior open enrollment periods (i.e., 2015 and 2016)?

A10.

(circle one) Yes or No

Q 11. Have any brokers at the agency been disciplined or under investigation by the State of Connecticut Insurance Department at any point within the 5 years prior to the date of this RFP? (If "yes", please state broker names, violations and dates.)
A11.

(circle one) Yes or No

EXHIBIT B

Insurance Requirements

Respondents must provide a Certificate of Insurance that meets the insurance requirements set forth below. If a Respondent does not have the required insurance coverage at the time proposals are submitted to Access Health CT, such Respondent must provide a Certificate of Insurance evidencing its current insurance coverage and provide a written certification that it will obtain the required insurance coverage, if such Respondent is awarded a contract through this RFP.

i. **Commercial General Liability**: $1,000,000 combined single limit per occurrence for bodily injury, personal injury and property damage. Coverage shall include Premises and Operations, Independent Contractors, Contractual Liability and Broad Form Property Damage coverage. If a general aggregate is used, the general aggregate limit shall apply separately to the work covered by this Agreement or the general aggregate limit shall be twice the occurrence limit.

ii. **Workers' Compensation and Employer's Liability**: coverage in compliance with applicable worker's compensation laws. Coverage shall include Employer's Liability with minimum limits of $100,000 each accident, $500,000 Disease – Policy limit, $100,000 each employee.

iii. **Professional Liability**: The Lead Agency shall secure and maintain Errors and Omissions coverage in a form acceptable to the Exchange in the minimum amount of One Million Dollars ($1,000,000) aggregate, and as otherwise required by the Connecticut Insurance Department.

APPENDIX A

Nondiscrimination Certification for Individual and Business

CONNECTICUT HEALTH INSURANCE EXCHANGE

NONDISCRIMINATION CERTIFICATION – Representation

By Entity

For Contracts Valued at Less Than $50,000

Written representation that complies with the nondiscrimination agreements and warranties under Connecticut General Statutes §§ 4a-60(a)(1) and 4a-60a(a)(1), as amended

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INSTRUCTIONS:

For use by an entity (corporation, limited liability company, or partnership) when entering into any contract type with the **Connecticut Health Insurance Exchange** valued at $50,000 or more for any year of the contract. Complete all sections of the form. Sign form in the presence of a Commissioner of the Superior Court or Notary Public. Submit to the **Connecticut Health Insurance Exchange** prior to contract execution.

AFFIDAVIT:

I, the undersigned, am over the age of eighteen (18) and understand and appreciate the obligations of an oath. I am_____of_____, an entity

Signatory's Title Name of Entity

duly formed and existing under the laws of_____.

Name of State or Commonwealth

I certify that I am authorized to execute and deliver this affidavit on behalf of

_____and that _____ Name of Entity

has a policy in place that complies with the nondiscrimination agreements and warranties of Connecticut General Statutes §§ 4a-60(a)(1) and 4a-60a(a)(1), as amended.

_____ _____

Authorized Signatory Date

_____

Printed Name

CONNECTICUT HEALTH INSURANCE EXCHANGE

NONDISCRIMINATION CERTIFICATION – Representation

By Individual

Written representation that complies with the nondiscrimination agreements and warranties under Connecticut General Statutes §§ 4a-60(a)(1) and 4a-60a(a)(1), as amended

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INSTRUCTIONS:

For use by an individual who is not an entity (corporation, limited liability company, or partnership) when entering into any contract type with the **Connecticut Health Insurance Exchange**, regardless of contract value. Submit to the **Connecticut Health Insurance Exchange** prior to contract execution.

REPRESENTATION OF AN INDIVIDUAL:

I, _____, of _____,
Signatory Business Address

represent that I will comply with the nondiscrimination agreements and warranties of Connecticut General Statutes §§ 4a-60(a)(1) and 4a-60a(a)(1), as amended.

_____ _____
Signatory Date

_____
Printed Name