
Memorandum of Understanding

This Agreement is entered into between the Office of Policy and Management (OPM) and the Office of the Lt. Governor.

Purpose:

To pay for the salary and fringe benefit costs for the period of 7/15/11 – 12/31/11 for the Special Advisor to the Governor for Healthcare Reform's (Special Advisor) work as Principal Investigator for OPM's Insurance Exchange Planning Grant (Planning Grant) project. The objective of the Planning Grant is to conduct the necessary research and data analysis to determine whether Connecticut should establish an Exchange and if so, to provide the modeling and analysis necessary for policy development and implementation strategy formation. As Principal Investigator for the Planning Grant, the Special Advisor is responsible for overall direction of the Planning Grant.

All expenses covered under this agreement are related to the Planning Grant project activities.

Article I: OPM shall reimburse the Office of the Lt. Governor through a Service Transfer Invoice for salary and fringe benefit costs associated with the Planning Grant activities performed by Jeannette DeJesus – Special Advisor. The reimbursement for these expenses for the period 7/15/11 – 12/31/11 shall not exceed the following and represent 11% of Special Advisor Jeannette DeJesus' salary for the referenced period:

Salary:	\$15,000.00
Fringe Benefits (69%)	<u>\$10,350.00</u>
TOTAL:	\$25,350.00

Special Advisor DeJesus shall complete a biweekly time allocation worksheet developed by OPM to track the actual number of hours such staff works on the Planning Grant. Such time allocation worksheets shall be approved by the Director of Health and Human Services at OPM and shall be the basis for the Office of the Lt. Governor invoicing OPM for salaries associated with the Planning Grant.

Reimbursement for salary and fringe benefit costs shall come from federal funds associated with the Planning Grant award. The Office of the Lt. Governor shall submit a Transfer Invoice to OPM that documents the amount actually expended for salary and fringe benefit costs during the period of this Memorandum of Understanding. Such documentation shall include Core-CT reports regarding fringe benefits and copies of approved applicable time allocation worksheets in order for the Office of the Lt. Governor to receive reimbursement from OPM. The Office of the Lt. Governor shall complete the transfer of funds through a quarterly invoice receivable process to the Office of State Comptroller.

Office of the Lt. Governor
and Office of Policy and Management

CORE Contract Number

Article II: This agreement may be cancelled at will by either party upon thirty (30) days written notice. Such notice by Office of the Lt. Governor will be delivered to OPM personally or by certified mail to the following address:

State of Connecticut
Office of Policy and Management
Policy Development and Planning Division
450 Capitol Avenue MS 52LTC
Hartford, CT 06106-1379
ATTN: Tia Cintron

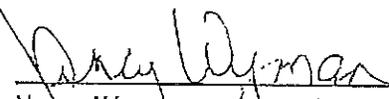
Such notice by OPM will be delivered to the Office of the Lt. Governor personally or by certified mail to the following address:

State of Connecticut
Office of the Lt. Governor
Hartford, CT 06106
ATTN: Bettye Jo Pakulis

Article III: The Office of the Lt. Governor shall maintain all records related to this agreement in compliance with State requirements for public records, but shall retain records for at least four years beyond the completion date (12/31/11) of this Memorandum of Understanding.

This Memorandum of Understanding shall be in effect from 7/15/11 through 12/31/11.


Benjamin Barnes
Secretary
Office of Policy and Management


Nancy Wyman
Lieutenant Governor
Office of the Lt. Governor

Dated: 11/3/11

Dated: 11/7/11

MEMORANDUM OF UNDERSTANDING
between
THE CONNECTICUT HEALTH INSURANCE EXCHANGE
and
THE OFFICE OF THE LIEUTENANT GOVERNOR

This Memorandum of Understanding ("MOU") is entered into by the Connecticut Health Insurance Exchange (the "Exchange") and the Office of the Lieutenant Governor. This MOU shall take effect on March 14, 2012 and may be modified by amendments or superseded in its entirety at any time by mutual written agreement of both signatories.

WHEREAS, in accordance with Sec. 13 of P.A. 11-58, there was established in the Office of the Lieutenant Governor the Office of Health Reform and Innovation; and

WHEREAS, in accordance with Sec. 11 of P.A. 11-58, the Office of Health Reform and Innovation was required to convene a working group to develop a plan to implement a state-wide multipayer data initiative to enhance the state's use of health care data from multiple sources to increase efficiency, enhance outcomes and improve the understanding of health care expenditures in the public and private sectors; and

WHEREAS, the working group has developed a plan to establish an All-Payer Claims Database (APCD) for the purpose of providing transparent and public reporting of health care information relating to safety, quality, cost-effectiveness, access and efficiency for all levels of health care, and the Governor recently filed proposed legislation to establish an APCD program; and

WHEREAS, the APCD will be able to support a variety of functions to be carried out by the Exchange, and the Exchange is expected to be a significant user of the APCD; and

WHEREAS, given the importance of the APCD for the Exchange, it is anticipated that start-up funding for the APCD will be sought through the next Level I and the Level II Exchange grant requests; and

WHEREAS, the Board of Directors of the Exchange voted at its February 16, 2012 meeting to make existing Level I funds available for the Office of Health Reform and Innovation to obtain consultant services to address various immediate critical needs related to the development of the APCD;

THEREFORE, the parties mutually agree as follows:

1. Funding in the amount of \$20,000 ("Funds") will be transferred from the Exchange to the Office of the Lieutenant Governor, with the expectation that the Office of the Lieutenant Governor will provide periodic reports on the usage of said funds.
2. The Funds will be used by the Office of Health Reform and Innovation to hire two consultants (the "Consultants") to assist with various critical APCD-related tasks, including the preparation of a business/sustainability plan for the APCD, including a potential budget for the database launch and

ongoing implementation, for inclusion in the upcoming Exchange grants requests. Specifically, the arrangements with the Consultants will respectively include the following scope(s) of work to be provided at the following rates:

A. Freedman Health Care, LLC:

This engagement, which will have a total contract cost not to exceed \$12,000, will have two aspects:

i. Development of business/sustainability plan:

Assist with the development of a business/sustainability plan for an all-payer claims data base (APCD) for the State of Connecticut, including a potential budget for database launch and ongoing implementation for inclusion in the anticipated upcoming submissions of grant requests for the Exchange. The initial portion of the APCD budget covering the period May through August, 2012 will be included in the second Level I grant request. The second portion of the budget covering the period from August, 2012 through December, 2013 will be included in the Level II grant request. This work will be performed by Linda Green, at a rate of \$200/hour and is expected to take approximately 20 hours.

ii. Advisement on other issues:

Provide advisement on a variety of critical initial planning and implementation issues related to the APCD, including but not limited to: 1) completeness and reasonableness of draft work plan, including timing estimates; 2) governance framework for overall administration of APCD and for data collection; 3) "use case" documentation process, and 4) issues that may arise regarding proposed APCD enabling legislation. In providing these services, Contractor will review and comment on documents, provide telephone consultation and participate in meetings, as appropriate. Services will be billed at the following hourly rates:

- John Freedman, Measurement Expert: \$245/hour
- Linda Green, APCD Expert: \$200/hour
- Greg Spino, Technical Expert: \$180/hour
- Pranali Trivedi, Analyst: \$90/hour

B. Sue Hoben:

This contract will have a total contract cost not to exceed \$8,000.

Ms. Hoben will provide services in support of the implementation of an all-payer claims data base for the State of Connecticut, including project management services, development of a communications plan and communications materials, managing "use case" documentation process and providing advice as requested. In providing these services, Ms. Hoben shall provide telephone consultation and participate in meetings, as appropriate. Services will be billed at a rate of \$150 per hour.

3. The Office of the Lieutenant Governor shall be responsible for paying Consultants for services rendered upon their submission of invoices in accordance with the terms of their respective Personal Services Agreements. The Office of the Lieutenant Governor shall submit copies of each paid invoice to the Exchange for record keeping purposes within 30 days of payment of invoice to the consultant.

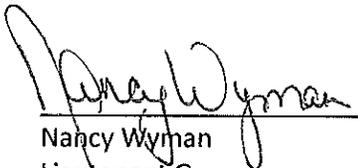
4. The term of this MOU shall be from March 14, 2012 to August 14, 2012. It is specifically understood and agreed that the funding to be provided pursuant to this MOU for the consultant arrangements described herein is limited to \$20,000 (in total) from existing Level I funds. To the extent that additional APCD-related funding is obtained through a second Level I grant or Level II grant, this MOU would need to be amended to cover the transfer of any additional funds from such grant(s) to support additional APCD-related activities.

5. The Office of the Lieutenant Governor agrees to promptly return to the Exchange any unexpended funds remaining after August 14, 2012 and an accounting of all expenditures of the Funds.



Tia Cintron
Acting CEO
Connecticut Health Insurance Exchange

3/19/12
Date



Nancy Wyman
Lieutenant Governor
Office of the Lieutenant Governor

3/26/12
Date



Jeannette DeJesus
Date

Special Advisor to the Governor on Health Reform