



# BACKGROUND INFORMATION QUESTIONNAIRE

## APPLICATION TO STATE BOARDS AND COMMISSIONS

M. JODI RELL  
GOVERNOR  
STATE OF CONNECTICUT

All Applicants must attach a current resume.  
If more space is required to fully explain your answer, please attach additional sheets.

Please type or print your responses.  
Applications must be signed, dated and notarized.  
Return to our office by mail or fax to:

OFFICE OF THE GOVERNOR  
DIRECTOR OF BOARDS AND COMMISSIONS  
STATE CAPITOL  
210 CAPITOL AVENUE  
HARTFORD, CONNECTICUT 06106  
PHONE: (860)566-4840 FAX: (860) 524-7357

▪BOARD/COMMISSION APPLYING FOR: \_\_\_\_\_

### PERSONAL

▪NAME: \_\_\_\_\_ ▪AGE: \_\_\_\_\_ ▪SEX: \_\_\_\_\_ ▪RACE: \_\_\_\_\_  
▪SOC. SEC. #: \_\_\_\_\_ ▪HOME PHONE: \_\_\_\_\_  
▪ADDRESS: \_\_\_\_\_  
▪HOME EMAIL: \_\_\_\_\_ ▪HOME FAX: \_\_\_\_\_  
▪DATE OF BIRTH: \_\_\_\_\_ ▪CITY/STATE OF BIRTH: \_\_\_\_\_  
▪POLITICAL AFFILIATION: \_\_\_\_\_ ▪CT DRIVER'S LICENSE NO: \_\_\_\_\_  
▪ARE YOU A REGISTERED VOTER? (If yes, please give town) \_\_\_\_\_  
▪SPOUSE'S NAME: \_\_\_\_\_ ▪SPOUSE'S OCCUPATION: \_\_\_\_\_  
▪SPOUSE'S EMPLOYER/ADDRESS: \_\_\_\_\_

### EDUCATION

▪INSTITUTION: \_\_\_\_\_ ▪DEGREE: \_\_\_\_\_ YEAR: \_\_\_\_\_  
▪INSTITUTION: \_\_\_\_\_ ▪DEGREE: \_\_\_\_\_ YEAR: \_\_\_\_\_  
▪INSTITUTION: \_\_\_\_\_ ▪DEGREE: \_\_\_\_\_ YEAR: \_\_\_\_\_  
▪INSTITUTION: \_\_\_\_\_ ▪DEGREE: \_\_\_\_\_ YEAR: \_\_\_\_\_

### EMPLOYMENT

▪CURRENT EMPLOYER: \_\_\_\_\_  
▪POSITION/TITLE: \_\_\_\_\_  
▪DATES: \_\_\_\_\_  
▪WORK ADDRESS: \_\_\_\_\_  
▪WORK PHONE: \_\_\_\_\_ ▪WORK FAX: \_\_\_\_\_  
▪WORK EMAIL: \_\_\_\_\_

**EMPLOYMENT HISTORY (last 10 years)**

- PAST EMPLOYER: \_\_\_\_\_  
 POSITION/TITLE: \_\_\_\_\_ DATES: \_\_\_\_\_

**REFERENCES**

*Please list name, address and phone numbers of three persons, other than relatives, employers or fellow employees.*

- (1) \_\_\_\_\_ (2) \_\_\_\_\_ (3) \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_

**MEMBERSHIPS**

▪CURRENT MEMBERSHIPS IN CIVIC, FRATERNAL OR COMMUNITY ORGANIZATIONS:  
\_\_\_\_\_  
\_\_\_\_\_

▪MILITARY SERVICE BRANCH (Name, Date(s) of Service, Type Discharge Received, Highest Rank Held):  
\_\_\_\_\_  
\_\_\_\_\_

▪LIST AND EXPLAIN YOUR RELATIONSHIP WITH ALL BUSINESSES WITH WHICH YOU ARE ASSOCIATED OR WITH WHICH YOU HAVE BEEN ASSOCIATED IN THE PAST FIVE (5) YEARS:

“BUSINESS WITH WHICH ASSOCIATED” means any business, non-profit organization or trust in which you or a member of your immediate family is/was a director, officer, owner, general or limited partner, beneficiary, manager, member or holder of stock constituting five percent or more of the outstanding stock of any class.

“IMMEDIATE FAMILY” means spouse, children or dependent relatives residing in your household.

“OFFICER” refers only to the president, executive or senior vice president or treasurer of such business.

“TRUST” means a trust in which you or a member of your immediate family has/had a present or future interest which exceeded ten percent of the trust or exceeded fifty thousand dollars, whichever is/was less.

▪BUSINESS: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

▪NON-PROFIT ORGANIZATIONS: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

▪TRUST: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**PLEASE CIRCLE YOUR ANSWER**

▪ ARE YOU A REGISTERED LOBBYIST OR HAVE YOU BEEN WITHIN THE PAST FIVE (5) YEARS?

NO YES (please explain) \_\_\_\_\_

▪ ARE YOU CURRENTLY UNDER FEDERAL, STATE OR LOCAL INVESTIGATION FOR POSSIBLE VIOLATION OF A CRIMINAL LAW OR ORDINANCE?

NO YES (please explain) \_\_\_\_\_

▪ HAVE YOU EVER RUN FOR POLITICAL OFFICE, SERVED ON A POLITICAL COMMITTEE OR BEEN IDENTIFIED PUBLICLY WITH A PARTICULAR POLITICAL ORGANIZATION, CANDIDATE OR ISSUE?

NO YES (please explain) \_\_\_\_\_

▪ HAVE YOU BEEN PUBLICLY IDENTIFIED, IN PERSON OR BY VIRTUE OF AN ORGANIZATIONAL ASSOCIATION, WITH A PARTICULARLY CONTROVERSIAL NATIONAL, STATE OR LOCAL ISSUE?

NO YES (please explain) \_\_\_\_\_

▪ HAVE YOU EVER SUBMITTED ORAL OR WRITTEN VIEWS TO ANY GOVERNMENT AUTHORITY OR THE NEWS MEDIA ON ANY PARTICULAR CONTROVERSIAL ISSUE OTHER THAN IN AN OFFICAL GOVERNMENT CAPACITY?

NO YES (please explain) \_\_\_\_\_

▪ HAVE YOU EVER WRITTEN ANY BOOKS OR ARTICLES?

NO YES (please explain) \_\_\_\_\_

▪ HAVE YOU EVER HAD ANY ASSOCIATION WITH A PERSON, GROUP OR BUSINESS VENTURE WHICH COULD BE USED, EVEN UNFAIRLY, TO IMPUGN OR QUESTION YOUR CHARACTER AND QUALIFICATIONS FOR THE REQUESTED APPOINTMENT?

NO YES (please explain) \_\_\_\_\_

▪ DO YOU KNOW ANYONE WHO MIGHT TAKE ANY STEPS, OVERTLY OR COVERTLY, TO OPPOSE YOUR APPOINTMENT?

NO YES (please explain) \_\_\_\_\_

▪ HAVE YOU EVER BEEN ARRESTED OR CONVICTED OF ANY VIOLATION OF THE LAW, EXCLUDING PARKING TICKETS?

NO YES (please explain) \_\_\_\_\_

▪ HAVE YOU RECEIVED ANY TRAFFIC CITATIONS OR BEEN INVOLVED IN ANY TRAFFIC ACCIDENTS, IN THE PAST TEN (10) YEARS, EXCLUDING PARKING INFRACTIONS?

NO YES (please explain) \_\_\_\_\_

▪ ARE YOU OR YOUR SPOUSE, OR ANY OF THE BUSINESSES WITH WHICH YOU OR YOUR SPOUSE ARE ASSOCIATED, THE SUBJECT OF AN ACTIVE, PENDING OR PAST CRIMINAL INVESTIGATION BY ANY LAW ENFORCEMENT OR REGULATORY AGENCY OR THE INTERNAL REVENUE SERVICE?

NO YES (please explain) \_\_\_\_\_

▪ **HAVE THERE BEEN ANY INVESTIGATIONS, DISCIPLINES OR ACTIONS TAKEN AGAINST YOU FOR A BREACH OF ETHICS OR UNPROFESSIONAL CONDUCT?**

NO YES (please explain) \_\_\_\_\_

▪ **ARE YOU CURRENTLY IN ARREARS ON ANY COURT-ORDERED CHILD SUPPORT PAYMENTS?**

NO YES (please explain) \_\_\_\_\_

▪ **HAVE YOU EVER BEEN ASSESSED A PENALTY FOR FAILURE TO FILE A TIMELY FEDERAL OR STATE PERSONAL INCOME TAX RETURN OR FOR FAILURE TO PAY TAXES OWED?**

NO YES (please explain) \_\_\_\_\_

▪ **ARE YOU OR YOUR SPOUSE IN ARREARS ON ANY FEDERAL, STATE OR LOCAL TAXES?**

NO YES (please explain) \_\_\_\_\_

▪ **ARE YOU CURRENTLY THE SUBJECT OF ANY TAX AUDIT?**

NO YES (please explain) \_\_\_\_\_

▪ **WITHIN THE PAST THREE (3) YEARS, HAS ANY BUSINESS VENTURE FOR WHICH YOU WERE A PERSON RESPONSIBLE FOR REMITTING WITHHOLDING TAXES OR SALES TAXES FILED TO PAY SUCH TAXES IN A TIMELY MANNER?**

NO YES (please explain) \_\_\_\_\_

▪ **DO YOU HAVE ANY CURRENT OUTSTANDING DEBTS OVER \$10,000 (OTHER THAN RESIDENTIAL MORTGAGES, LOANS AGAINST LIFE INSURANCE POLICIES, PENSION AND PROFIT SHARING PLAN LOANS AND EDUCATION LOANS)?**

NO YES (please explain) \_\_\_\_\_

▪ **DO YOU HAVE ANY OUTSTANDING JUDGEMENT, LIENS OR GARNISHMENTS AGAINST YOU CURRENTLY OR IN THE LAST TEN (10) YEARS?**

NO YES (please explain) \_\_\_\_\_

▪ **ARE YOU PRESENTLY, OR WERE YOU WITHIN THE PAST TEN (10) YEARS, IN DEFAULT UNDER ANY OF YOUR OBLIGATIONS?**

NO YES (please explain) \_\_\_\_\_

▪ **HAVE YOU ENTERED INTO ANY LEASE OR CONTRACT WITH THE STATE OF CONNECTICUT OR ANY STATE OF CONNECTICUT AGENCY OR INSTRUMENTALITY WITHIN THE PAST FIVE (5) YEARS?**

NO YES (please explain) \_\_\_\_\_

▪ **WITHIN THE PAST FIVE (5) YEARS HAVE YOU APPLIED FOR ANY LICENSE, PERMIT OR CERTIFICATION (EXCLUDING DRIVERS LICENSE, HUNTING OR FISHING LICENSES) ISSUED BY THE STATE OF CONNECTICUT OR ANY STATE OF CONNECTICUT AGENCY OR INSTRUMENTALITY? DO YOU EXPECT TO APPLY FOR SUCH A LICENSE, PERMIT OR CERTIFICATE WITHIN THE NEXT FIVE (5) YEARS?**

NO YES (please explain) \_\_\_\_\_

▪ HAS THE STATE OF CONNECTICUT OR ANY AGENCY OR INSTRUMENTALITY THEREOF EVER REVOKED OR SUSPENDED ANY LICENSE, PERMIT OR CERTIFICATE ISSUED TO YOU?

NO YES (please explain) \_\_\_\_\_

▪ HAS ANY DISCIPLINARY ACTION EVER BEEN TAKEN AGAINST YOU IN YOUR EMPLOYMENT?

NO YES (please explain) \_\_\_\_\_

▪ HAVE YOU EVER BEEN DISCHARGED OR ASKED TO RESIGN FROM YOUR EMPLOYMENT?

NO YES (please explain) \_\_\_\_\_

▪ ARE THERE ANY NONCONSENSUAL LIENS OR ATTACHMENTS AGAINST ANY OF YOUR PROPERTIES?

NO YES (please explain) \_\_\_\_\_

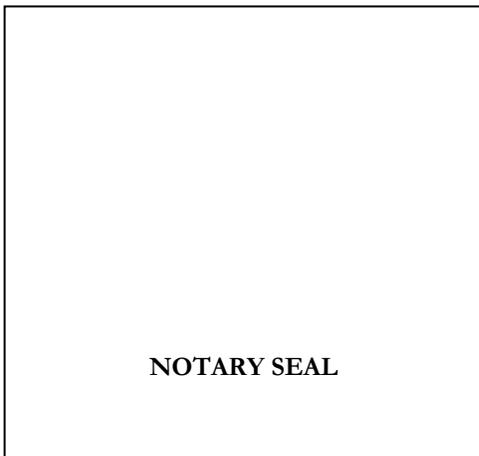
▪ IS THERE ANYTHING IN THE PAST INVOLVING YOU OR ANY MEMBER OF YOUR IMMEDIATE FAMILY WHICH WOULD BE A SOURCE OF EMBARRASSMENT IF IT WERE TO BECOME PUBLIC?

NO YES (please explain) \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

▪ I, \_\_\_\_\_, certify that all of the answers and statements on this form are true, complete and correct to the best of my knowledge and are made in good faith.

SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_



\_\_\_\_\_  
NOTARY PUBLIC/COMMISSION OF THE COURT

\_\_\_\_\_  
DATE