

OSE NEWS SEPTEMBER – OCTOBER 2014

Enforcement Actions

October 2014

Docket Number 2013-19: In the Matter of United Healthcare Services Inc., (“UHS”) on October 23, 2014 the Office of State Ethics entered into a Consent Order settling allegations of violations of the Code of Ethics, General Statutes §§ 1-96 (a) and (e). UHS, a client lobbyist registrant, violated the Code of Ethics when it failed to report expenses associated with a lobbying reception it hosted during the 2012 Democratic National Convention. Under the terms of the settlement UHS paid a civil penalty of \$5,000.

Docket Number 2013-20: In the Matter of Boehringer Ingelheim Pharmaceuticals, Inc., (“Boehringer”) on October 23, 2014 the Office of State Ethics entered into a Consent Order settling allegations of violations of the Code of Ethics, General Statutes §§ 1-96 (a) and (e) and 1-97(d). Boehringer, a client lobbyist registrant, violated the Code of Ethics when it failed to report expenses associated with a lobbying reception it hosted during the 2012 Democratic National Convention and failed to provide proper notification to attendees. Under the terms of the settlement Boehringer paid a civil penalty of \$5,000.

Docket Number 2013-21 / 2013-22: In the Matter of CPV Towantic, LLC (“Towantic”) and CPV Danbury on October 23, 2014 the Office of State Ethics entered into a Consent Order settling allegations of violations of the Code of Ethics, General Statutes §§ 1-96 (a) and (e) and 1-97(d). Towantic and CPV Danbury, client lobbyist registrants, violated the Code of Ethics when they failed to report expenses associated with a lobbying reception it hosted during the 2012 Democratic National Convention and failed to provide proper notification to attendees. Additionally, Towantic violated § 1-95 (a) which requires registration every two years or prior to commencement of lobbying, whichever comes first. Under the terms of the settlement Towantic and CPV Danbury paid an aggregate civil penalty of \$5,230.

September 2014

Docket No. 2012-45: In the Matter of Lucille Dellamarggio. On September 18, 2014 the Office of State Ethics entered into a Consent Order settling allegations of violations of the Code of Ethics, General Statutes § 1-84 (c). Under this section of the Code, a public official or state employee is prohibited from using their state position to obtain personal financial gain. The complaint alleged that beginning July 2008 until March 2012 the Respondent misappropriated mental health client funds that she had custody and control over.

Docket No. 2014-02: In the Matter of Sandy Breslin. On September 18, 2014 the Office of State Ethics entered into a Consent Order settling allegations of violations of the Code of Ethics, General Statutes § 1-94, § 1-95 and § 1-96. The complaint alleged that the Respondent failed to register with the Office of State Ethics prior to engaging in lobbying in 2013, and also failed to timely file a 2013 disclosure of income.

Docket No. 2013-34: In the Matter of Eldon G. Porter. On September 3, 2014 the Office of State Ethics entered into a Consent Order settling allegations of violations of the Code of Ethics, General Statutes § 1-84. The complaint alleged that the Respondent an analyst and Policy and Research Specialist for Access Health CT, used his state position to attempt to obtain financial gain for himself from a state contractor.

Click on the summaries link below to view Violations of the Codes of Ethics by Public Officials or Lobbyists



[Summaries - 1979 to Present](#)

Advisory Opinion Update

On October 23, 2014 the **Citizen's Ethics Advisory Board** met and decided on the opinion, summarized below. Click on the AO number to read the full text of the opinion.

[ADVISORY OPINION NO. 2014-7](#)

Application of General Statutes § 1-84b (a)—the side-switching provision—to a former assistant attorney general.

The Citizen's Ethics Advisory Board concluded that a former assistant attorney general did not participate "personally and substantially" in a particular health care fraud matter while in state service and is thus not barred by General Statutes § 1-84b (a) from representing a private client in that matter before the Department of Social Services.

You may search Advisory Opinions by using the search engine located at the top right side of our website: www.ct.gov/ethics.

Avoid Penalties – Request Legal Advice

HOW TO REQUEST LEGAL ADVICE

The Connecticut Office of State Ethics practices and promotes the highest ethical standards and accountability in state government by providing education and legal advice, ensuring disclosure, and impartially enforcing the Codes of Ethics.

The Office of State Ethics is committed to providing you with the tools and resources that will foster positive ethical behavior in state government. Call on us if you need anything that will help your agency and its employees to do the same. Visit our new webpage www.ct.gov/ethics click on "**Request Legal Advice**" in the left hand navigation to review the types of legal advice we provide.

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